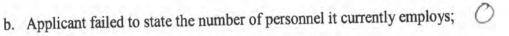
# Docket No. A-2018-3000648 Bradley R Lewis Data Requests

- Reference Application, Section 1.b, Registered Agent please provide an updated application page with the following corrections:
  - a. Applicant's Registered Agent address does not match the address listed on the Commercial Registered Office Providers website.
     <a href="http://www.dos.pa.gov/BusinessCharities/Business/Resources/Pages/Commercial-Registered-Office-Providers.aspx#.Vp-Q4GQo6zV">http://www.dos.pa.gov/BusinessCharities/Business/Resources/Pages/Commercial-Registered-Office-Providers.aspx#.Vp-Q4GQo6zV</a>
  - b. Applicant failed to provide a telephone number for its registered agent.
- 2. Reference Application, Section 2.b, Chief Officers Applicant failed to provide its chief officers' names and addresses. Please provide an updated application page with the requested information.
- 3. Reference Application, Section 4.a, Present Operations Applicant failed to indicate that it is currently doing business in PA as a licensed Electric Generation Supplier, at Docket No. A-2010-2186267. Please file an updated Application page with the requested information.
- 4. Reference Application, Section 6.a and 6.b, Certificate of Service Applicant failed remove the 'Example' and 'John Doe' language from its Certificate of Service. Please provide updated documentation.
- Reference Application, Section 7.a, Bonding Applicant failed to provide natural gas
  distribution company bonding letters for each service territory in which the applicant has
  requested licensing. Please provide the missing documentation.
- Reference Application, Section 7.b, Financial Fitness Applicant did not provide sufficient documentation to demonstrate financial fitness. Please provide financial fitness documentation that may include two consecutive years of income tax filings, three consecutive months of bank statements, etc.
- 7. Reference Application, Section 7.f, Taxation Applicant failed to provide a fully complete Tax Certification Statement. Please resubmit a complete Tax Certification Statement.

  Previously Mailed In
- 8. Reference Application, Section 8.a, Technical Fitness Applicant failed to provide documentation for Technical Fitness. Please provide the following:
  - a. Information and documentation as listed in the application under this Section;



c. Applicant failed to provide its licenses for California, Illinois, Maryland, Massachusetts, New York, and Texas. — Updated Application

Reference Application, Section 11, Affidavits – The Affidavits submitted contain illegible notary stamps. These Affidavits are intended to be legally binding documents, so they must be correct in their entirety. Please resubmit the Application Affidavit and the Operations Affidavit with legible notary stamps.

Application of Bradley & Lews, d/b/a render, furnish, or supply natural gas supply services as a(n)	, for approval to offer,  [as specified in item #4b below] to the public in
the Commonwealth of Pennsylvania (Pennsylvania).	Broker
To the Pennsylvania Public Utility Commission:	
1. <u>IDENTIFICATION AND C</u>	ONTACT INFORMATION
IDENTITY OF THE APPLICANT: Provide name (included)     web address, and telephone number of Applicant:	cluding any fictitious name or d/b/a), primary address,
Bradley R. Lais	913-498-8795
Bradley R. Lewis 13900 Nicklaus Drive	AT 2. 7 AT 10. 23 AT 10. 24 AT 2
overland Pork, KS Lelezzz	www. Creativenergy. org
outside of Pennsylvania, provide the name, address, Applicant's secondary office within Pennsylvania. If within Pennsylvania, provide the name, address, tele Registered Agent within Pennsylvania.  National Registered Agents Leon N 2nd Street Suite 401  Hamsburg PA 17101 (877)  C. REGULATORY CONTACT: Provide the name, title, address of the person to whom questions about this Bradley R. Lewis - Sale proprietor 13900 Nicklaus Drive  Overland Park, KS ULLZZZ	the Applicant does not maintain a physical location ophone number, and fax number of the Applicant's  The Applicant does not maintain a physical location ophone number, and fax number of the Applicant's  407-3525  address, telephone number, fax number, and e-mail Application should be addressed.
d. ATTORNEY: Provide the name, address, telephone Applicant's attorney. If the Applicant is not using an N A	number, fax number, and e-mail address of the

CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: telephone number, fax number, and e-mail OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED) responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSs.

Bradley R. Lewis - Sole Proprietor 13900 Nicklaus Pr. Overland Park, KS Lele 223 913-498-2795 Elele-491e-0230(fax) brad. lewis Ocreative regy org

Greg Lews 913-428 - 8585 greg, levis @ creatmenty or

#### BUSINESS ENTITY FILINGS AND REGISTRATION 2.

a.

a. I	FICTITIOUS NAME: (Sele	ect appropriate statemen	t and provide supporting documentation as listed.)
I	The Applicant will be	using a fictitious name o	or doing business as ("d/b/a")
	Provide a copy of Pursuant to 54 Pa	the Applicant's filing with . C.S. §311.	Pennsylvania's Department of State
		Or	
1	The Applicant will not	be using a fictitious nam	ne
-	BUSINESS ENTITY AND (Select appropriate stater means being formed with	nent and provide support	ting documentation. As well, understand that Domestic ign means being formed outside Pennsylvania.)
	The Applicant is a so	le proprietor.	Bradley R. Lews 13900 Nicklaus Dr. Overland Park, Ks Ulezzz 913-498-8795 Commonwealth, provide proof of compliance with 15 It of State filing requirements.
	Pa. C.S. 941.	Or	to of otate ming requirements
	The Applicant is a:		
	domestic limited foreign general domestic limited foreign limited l	al partnership (*) I partnership (15 Pa. C.S or limited partnership (15 I liability partnership (15 I ability general partnershi I ability limited partnershi	5 Pa. C.S. §4124) Pa. C.S. §8201) ip (15 Pa. C.S. §8211)
	<ul> <li>Provide prod indicated ab</li> </ul>		ropriate Department of State filing requirements as
	- Give name, business na	d/b/a, and address of parture of the partner entity	rtners. If any partner is not an individual, identify the and identify its partners or officers.
	- Provide the Applicant's of	state in which the busine tharter documentation.	ess is organized/formed and provide a copy of the
	- * If a corpore Pennsylvani C.S. §4124.	a, attach a copy of the A	ant's domestic partnership is not domiciled in applicant's Department of State filing pursuant to 15 Pa.

П	The Applicant is a:						
		domestic corporation (15 Pa. C.S. §1308) foreign corporation (15 Pa. C.S. §4124) domestic limited liability company (15 Pa. C.S. §8913)					
	8	foreign limited liability company (15 Pa. C.S. §8981) Other (Describe):					

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy
  of the Applicant's charter documentation.
- Give name and address of officers.

## 3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

NIA

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

AIN

## 4. OPERATIONS

a. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

-	- 1	P*	*4		
1)	$\alpha$	חוו	IT.	ior	70
	C I	11 1	16	w	10

Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of an natural gas distribution company
 Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

The Applicant is presently doing business in Pennsylvania as a

natural gas interstate pipeline municipality providing service outside its municipal limits local gas distribution company retail supplier of natural gas services in the Commonwealth a natural gas producer a broker/marketer engaged in the business of supplying natural gas services Other. (Identify the nature of service being rendered)	7710
A licensed Electric Broker, Docket No. A-2010 -2186	120
or	
The Applicant is not presently doing business in Pennsylvania.	
b. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a:	
Supplier or Aggregator of natural gas services  Municipal supplier of natural gas services Cooperative supplier of natural gas services Broker/Marketer engaged in the business of supplying natural gas services	

Check here to verify that your organization will not be taking title to the natural gas nor will

you be making payments for customers.

Other (Describe):

Services include energy procorement for commerce
nd small industrial customers.
<b>PROPOSED SERVICE AREA:</b> Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.
☐ Columbia ☐ Philadelphia Gas Works
☐ National Fuel Gas ☐ UGI Central Penn
☐ PECO ☐ UGI Penn natural
Peoples Gas – Equitable Div.
Peoples Natural Gas Valley Energy
Peoples Gas Company All of the above
CUSTOMERS: Applicant proposes to provide services to:  Residential Customers Small Commercial Customers - (Less than 6,000 Mcf annually) Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE) Large Commercial Customers - (6,000 Mcf or more annually) Industrial Customers Governmental Customers All of above (Except Mixed Meter) Other (Describe):

f. START DATE: Provide the approximate date the Applicant proposes to <u>actively market</u> within the Commonwealth.

5/1/2018

# COMPLIANCE

a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Applicant has not been convicted or accused of any comminal acts described above.

b. SUMMARY: If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

NIA.

c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission. If the Applicant has no actions or complaints to list, explicitly state such.

Applicant does not have any formal or escalated complaints.

d. SUMMARY: If applicable; provide a statement as to the resolution or present status of any actions listed above.

# PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

(Example Certificate of Service is attached at Appendix C)

a.) STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Office of the Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120 b.) NGDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

Columbia Gas of PA, Inc.	
Michele Caddell	
290 W. Nationwide Blvd.	
Columbus, OH 43215	
PH: 614.460.6841	
FAX: 614.460.8447	
e-mail: mcaddell@nisource.com	
Peoples Natural Gas – Equitable Division	National Fuel Gas Distribution Corp.
Lynda Petrichevich	David D. Wolford
225 North Shore Drive	6363 Main Street
Pittsburgh, PA 15212	Williamsville, NY 14221
PH: 412.208.6528	PH: 716.857.7483
FAX: 412.208.6577	FAX: 716.857.7479
e-mail: Lynda.w.petrichevich@peoples-gas.com	e-mail: wolfordd@natfuel.com
The Peoples Natural Gas Company	PECO
Lynda Petrichevich	Carlos Thillet, Manager, Gas Supply and
225 North Shore Drive	Transportation
Pittsburgh, PA 15212	2301 Market Street, S9-2
PH: 412.208.6528	Philadelphia, PA 19103
FAX: 412.208.6577	PH: 215.841.6452
e-mail: Lynda.w.petrichevich@peoples-gas.com	Email: carlos.thillet@exeloncorp.com
Peoples Gas Company	Philadelphia Gas Works
Lynda Petrichevich	Nicholas LaPergola
225 North Shore Drive	800 West Montgomery Avenue
Pittsburgh, PA 15212	Philadelphia, PA 19122
PH: 412.208.6528	PH: 215.684.6278
FAX: 412.208.6577	email: nicholas.lapergola@pgworks.com
e-mail: Lynda.w.petrichevich@peoples-gas.com	Official Interiordentagorigenacy greatest
UGI Central Penn	UGI
David Lahoff	David Lahoff
2525 N. 12 <sup>th</sup> Street, Suite 360	2525 N. 12 <sup>th</sup> Street, Suite 360
Reading, PA 19612-2677	Reading, PA 19612-2677
PH: 610.796.3520	PH: 610.796.3520
Email: dlahoff@ugi.com	Email: dlahoff@ugi.com
Email. dianon@uqi.com	Linai. dianone agricorn
Valley Energy Inc.	UGI Penn Natural
Ed Rogers	David Lahoff
523 South Keystone Avenue	2525 N. 12th Street, Suite 360
Sayre, PA 18840-0340	Reading, PA 19612-2677
PH: 570.888-9664	PH: 610.796.3520
FAX: 570.888.6199	Email: dlahoff@ugi.com
	Email: diditorità dell'international
email: erogers@ctenterprises.org	

# 7. FINANCIAL FITNESS

a. BONDING: In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require.

Attached

- b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
  - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
  - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
  - Applicant's accounting statements, including balance sheet and income statements for the past two years.
  - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
  - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
  - Audited financial statements exhibiting accounts over a minimum two year period.
  - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

Attached

c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than <u>Broker/Marketer only</u>, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

Broker Marketer Only

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Monthy reimbursed supplier fees.

	ACCOUNTING DECORDS CUSTODIAN. Provide the same file address belief the same	
	ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.	
	Bradley R Lewis - Sole Proprietor Elle-496-0230 C- 3900 Nicklaus Dr.  Jerland Park, KS ULEZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZ	fc
	zam Nicklaus Dr.	
1	serland Park, KS ULEZZZZ brad. Lew S@ Creative	V
	3-498-8795	
	TAXATION: Complete the <u>TAX CERTIFICATION STATEMENT</u> attached as Appendix D to this application.	
	All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.	
	Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.	
	Mailed In	
	8. <u>TECHNICAL FITNESS</u> :	
	To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.	
a.	EXPERIENCE, PLAN, STRUCTURE: such information may include:	
	- Applicant's previous experience in the natural gas industry.	
	<ul> <li>Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.</li> </ul>	
	- Type of customers and number of customers Applicant currently serves in other jurisdictions.	
	<ul> <li>Staffing structure and numbers as well as employee training commitments.</li> </ul>	
	- Business plans for operations within the Commonwealth.	
	<ul> <li>Any other information appropriate to ensure the technical capabilities of the Applicant.</li> </ul>	
	See Attached	
b.	PROPOSED MARKETING METHOD (check all that apply)	
	Internal – Applicant will use its own internal resources/employees for marketing	
	External NGS – Applicant will contract with a PUC LICENSED NGS	
	Affiliate – Applicant will use a NON-NGS affiliate that is a nontraditional marketer and/or	
	marketing services consultant  External Third-Party – Applicant will contract with a NON-NGS third party nontraditional	
	marketer and/or non-selling marketer	
	Other (Describe):	

C.	DOOF	R TO DOOR SALES: Will the Applicant be implementing door to door sales activities?
	Q	Yes
	M	No
		If yes, will the Applicant be using verification procedures?
		Yes
	U	No
		If yes, describe the Applicant's verification procedures.
d.		SIGHT OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed an ethical manner, for both employees and subcontractors.
I	nte	rnal compliance review
	direc	CERS: Identify Applicant's chief officers, and include the professional resumes for any officers the responsible for operations. All resumes should include date ranges and job descriptions aining actual work experience.

# 9. DISCLOSURE STATEMENT:

(Not applicable for an applicant applying for a license exclusively as a broker/marketer.)

**DISCLOSURE STATEMENTS:** If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

ALM

# 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

a. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.



#### AGREED

- b. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission:
  - Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30<sup>th</sup> following the end of the calendar year per 52 Pa. Code § 62.110.



#### AGREED

c. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.



#### AGREED

d. ANNUAL FEES: The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling natural gas in the Commonwealth of PA, and a supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.



#### ACKNOWLEDGED

e. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.



#### AGREED

f. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.



AGREED

g. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.



h. CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

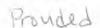


 FILING FEE: The Applicant has enclosed or paid the required, non-refundable filing fee by CERTIFIED CHECK OR MONEY ORDER in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.



# 11. <u>AFFIDAVITS</u> (All affidavits must be notarized before filing.)

a.) APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.



b.) OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.



### 12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each NGDC. For example, an applicant that wants to operate in Peoples – Equitable would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times- News	Harrisburg Patriot- News	Philadelphia Daily News	Pittsburgh Post- Gazette	Scranton Times- Tribune	Williamsport Sun-Gazette	Johnstown Tribune- Democrat
Columbia Gas	Х	X		X		X	X
National Fuel Gas				X			
PECO			X				
Peoples - Equitable	X			X			
Peoples Natural Gas	X			X			X
Peoples Gas Company				X			
Philadelphia Gas Works			x				
UGI		X	X		X		
UGI Central Penn	X	X	X	X	X	X	X
UGI Penn Natural		X			X	X	
Valley Energy					X	X	
Entire Commonwealth	×	X	Х	x	X	×	х

(Example Publications are provided at Appendices F and G)

13. SIGNATURE

Applicant: Bralle R Lews

By: Brodley R. Lews

Title: Sole Proprietor

# 14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

Applicant: Bradley R. Lews

	Signature
3	Filing Fee (ONLY CERTIFIED CHECK OR MONEY ORDER)
/	Application Affidavit
/	Operations Affidavit
1	Proof of Publication
Win	Tax Certification Statement
1	Commonwealth Department of State Verification
/	Certificate of Service

PUC Secretary's Bureau Use

# Appendix A

# **APPLICATION AFFIDAVIT**

[Commonwealth/State] of Kansus
SS.
county of July n son
Bridles R Luns, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:
[He/she is the Sole Proprietor (Office of Affiant) of Bralley R Lever (Name of Applicant):]
[That he/she is authorized to and does make this affidavit for said Applicant;]
That the Applicant herein Bridley & Low has the burden of producing information and supporting
documentation demonstrating its technical and financial fitness to be licensed as an natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).
That the Applicant herein the Application correctly, truthfully, and completely and provided supporting documentation as required.
That the Applicant herein 100 has acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.
That the Applicant herein Bridle & Levi acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.
Signature of Affiant
Sworn and subscribed before me this
Signature of official administering bath
My commission expires 03.04.2019

# Appendix B

# **OPERATIONS AFFIDAVIT**

[Commonwealth/State] of Kansa) :
ss.
County of Juhnson:
Bulle- & Louis , Affiant, being duly [sworn/affirmed] according to law, deposes and says that:
[He/she is the Sole Provider (Office of Affiant) of Bridley & Levis (Name of Applicant);]
[That he/she is authorized to and does make this affidavit for said Applicant;]
That Bridge Learn the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.
That Deally Learner, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.
That
Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

# Appendix B (Continued)

My commission expires

# **Bradley R. Lewis**

# CreativEnergy Options

13900 Nicklaus Dr Overland Park, Ks 66223

913-498-8795/866-496-0230(fax)

# **Summary of Experience**

#### Introduction

Currently assisting several national account customers manage their utility expenses. Customers which are assisted nationwide include Applebee's Restaurants, Burger King, Denny's, CKE Restaurants, Extended Stay Hotels, and AMC Theatres. Annual utility spend for current customers exceeds \$600 million.

Key deregulated gas markets where assistance is provided include New Hampshire and Ohio. We have acquired a license in both and are now pursuing a PA Gas Broker's License. I have attached the Ohio and New Hampshire license. We are also licensed as an Electricity Broker in PA,OH,MA,IL,MD,NJ,ME,NH,DC. Those licenses are attached, unless we are not provided with a license copy.

Thirteen years of experience as a rate and public policy consultant to the electric and gas utility industry. An additional ten years of hands-on executive level operating and financial experience working for a major investor owned utility.

Areas of responsibilities and listing of key projects include the following:

- Utility Vice President of Rates, Finance, Sales, and Marketing
- Utility CFO responsible for financial management, billing, and accounts payable
- National Commercial Rate Expert
- Executive Level Utility Negotiator
- Launch of EnergyOne Competitive Retail Energy Strategy
- Energy restructuring presentations at numerous conferences and legislative reviews
- Launch of competitive retail energy competition in Pennsylvania
- Consulting engagements for over 100 electric and gas utilities
- Managed 30,000 customer commercial account base

# **Summary of Work History**

<u>UtiliCorp</u> (1989-1998) - Accountable for marketing, sales, IT, community affairs, economic development, and regulatory affairs. At EnergyOne, responsible for development of competitive retail energy strategy, franchise sales, sizing of competitive energy markets, and implementation in emerging deregulated markets.

<u>Hagler Bailly</u> (1988) - Senior consultant responsible for developing and managing regulatory and energy efficiency engagements for utility clients. Recruited from consulting firm by UtiliCorp to manage corporate regulatory affairs.

LMSL, Senior Utility Partner (1978-1987) - Senior Utility Partner in a regulatory and management consulting firm. Presented expert rate testimony in over 20 state and federal jurisdictions on behalf of 100 electric and gas utilities. Responsible for business development, sales, regulatory projects, general rate cases, management audits, construction audits, merger applications and reviews, rate design reviews, and fuel procurement audits.

# Education

Bachelors Degree in Accounting from the University of Missouri

Masters Degree in Economics from the University of Missouri

Certified Public Accountant

#### Current Gas License:

Currently licensed as a Gas Broker in Ohio and New Hampshire. License copies are attached.

#### Personnel:

Current staff of O. Bradley R Lewis is a Sole Proprietor.



# THE COMMONWEALTH OF MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER GOVERNOR

KARYN E. POLITO LIEUTENANT GOVERNOR

MATTHEW A. BEATON SECRETARY OF ENERGY AND ENVIRONMENTAL AFFAIRS ONE SOUTH STATION BOSTON, MA 02110 (617) 305-3500 ANGELA M. O'CONNOR CHAIRMAN

ROBERT E. HAYDEN COMMISSIONER

CECILE M. FRASER COMMISSIONER

December 26, 2017

Bradley Lewis, Proprietor CreativEnergy Options 13900 Nicklaus Drive Overland Park, KS 66223

Dear Mr. Lewis,

The Department of Public Utilities ("Department") has reviewed your application for renewal of your Electricity Broker License, EB-118, and is pleased to inform you that your application for renewal has been approved.

As a condition of maintaining this license, you must file updated information within 30 days of any material or organic change in the information required by 220 CMR 11.05(2), and you must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 CMR 11.00, 12.00. Consistent with the information included with CreativEnergy Options' application, the activities the Company is licensed to provide are limited to electricity broker services to commercial and industrial customers. If, at a later date, the Company seeks to provide electricity broker services to residential customers, it must first seek and obtain Department approval at the time of renewal of its license. If you decide to request renewal of your license next year, please submit the renewal application no later than November 1, 2018. The renewal application is available at: <a href="https://www.mass.gov/information-for-competitive-suppliers-and-electricity-brokers">https://www.mass.gov/information-for-competitive-suppliers-and-electricity-brokers</a>.

Matthew Nelson

Director, Electric Power Division

FAX: (617) 345-9101 www.mass.gov/dpu



Board of Public Utilities

44 S. Clinton Ave., P.O. Box 350, Trenton, New Jersey 08625

# **HEREBY REGISTERS**

Bradley R. Lewis 13900 Nicklaus Drive Overland Park, Kansas 66223

To conduct business in the State of New Jersey as an

**Energy Agent** 

Kristi Izzo

Secretary of the Board

Registration No. EA-0200

Effective Date: November 20, 2012

Expiration Date: November 19, 2013



STATE OF NEW JERSEY
Board of Public Utilities
44 S. Clinton Ave.
P.O. Box 350
Trenton, NJ 08625-0350

www.nj.gov/bpu/

Kim Guadagno LL Governor

Chris Christie

Governor

Kristi Izzo Secretary of the Board Tel. # (609) 292-1599

November 20, 2012

Bradley Lewis, Sole Proprietor Bradley R. Lewis 13900 Nicklaus Drive Overland Park, Kansas 66223

Re: Energy Agent Initial Registration

Dkt. No. EE12040341L

Dear Mr. Lewis:

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its November 20, 2012 Agenda meeting, the New Jersey Board of Public Utilities voted to issue a **REGISTRATION** as an Energy Agent to Bradley R. Lewis. Your registration number is EA-0200.

The enclosed registration is effective November 20, 2012 and expires on November 19, 2013. This registration and the rights thereunder are Non-Transferable.

This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.

If you have any questions, please contact Anna Procopio at (609) 292-1681.

Sincerely,

Kristi Izzo

Secretary of the Board

Kl/alp Encl.(s) TITLE:



15,20

#### THE STATE OF NEW HAMPSHIRE

CHAIRMAN Amy L. Ignatius

COMMISSIONERS Michael D. Harrington Robert R. Scott

EXECUTIVE DIRECTOR Debra A. Howland



PUBLIC UTILITIES COMMISSION 21 S. Fruit Street, Suite 10 Concord, N.H. 03301-2429

December 6, 2012

TDD Access: Relay NH 1-800-735-2964

Tel. (603) 271-2431

FAX (603) 271-3878

Website: www.puc.nh.gov

Bradley R. Lewis 13900 Nicklaus Drive Overland Park, KS 66223

Re: DM 12-312, Notification of Mr. Bradley R. Lewis' Intent to Provide Electric

Aggregation Service

Dear Mr. Lewis:

This is to confirm that the New Hampshire Public Utilities Commission (Commission) has received your notice of intent to provide electric aggregation service in the State of New Hampshire, pursuant to New Hampshire Administrative Rules Puc 2003.05. Staff has reviewed the application provided and believes that it meets the requirements of Puc 2003.05. Based on Staff's recommendation and the Commission's review, the Commission approves your application to provide electric aggregation service in New Hampshire.

Please note the following provision of Puc 2003.05 (f): "[E]ach approved aggregator shall re-notify the commission after the initial 2 year period, or after the 5 year renewal period as applicable, by filing with the commission an application for renewal at least 60 days prior to the expiration of the currently effective registration." Therefore it is required to refile an up-to-date written notice on or before **October 4**, **2014** if you continue to offer and provide electric aggregation service. In addition, you are subject to the provisions of Puc 2004.03, telephone solicitation of customers, and Puc 2004.08, customer protections provided by aggregators. Finally, aggregators must comply with the requirements set out in RSA 362-F relative to the renewable portfolio standard (RPS) and RSA 362-F relative to disclosure of electric service energy sources and environmental characteristics. Please refer to Puc 2500 for further details regarding RPS reporting requirements. Commission Order No. 25,264 provides guidance as to the appropriate format for environmental disclosure.

If you have any questions regarding these provisions, please contact the Commission. Thank you for your cooperation in this matter.

Sincerely,

Debra A. Howland Executive Director

# PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA 1333 H STREET N.W., 2ND FLOOR, WEST TOWER WASHINGTON, D.C. 20005

#### ORDER

July 28, 2014

FORMAL CASE NO. EA 2014-10, IN THE MATTER OF THE APPLICATION OF BRADLEY R. LEWIS D/B/A CREATIVENERGY OPTIONS FOR AN ELECTRICITY LICENSE, Order No. 17566

## I. INTRODUCTION

1. By this Order, the Public Service Commission of the District of Columbia ("Commission") grants a license to Bradley R. Lewis d/b/a CreativEnergy Options ("CreativEnergy" or "Applicant") to function as an electricity supplier in the District of Columbia. The license for Bradley R. Lewis d/b/a CreativEnergy Options is effective upon issuance of this Order.

### II. BACKGROUND

- 2. On May 6, 2014, CreativEnergy filed its license application.<sup>2</sup> Specifically, CreativEnergy intends to operate as a broker of electricity for residential, commercial and industrial customers in the District of Columbia, pursuant to Section 34-1505 of the "Retail Electric Competition and Consumer Protection Act of 1999 ("Act")." Pursuant to the Commission's licensing requirements as set forth in Order No. 11796, CreativEnergy has provided the following information:
  - a) Proof of technical and managerial competence;
  - An affidavit of compliance with applicable Federal and District of Columbia environmental laws and regulations dated April 19, 2014;

D.C. Official Code § 34-1501(17) (2001) defines, in part, an electricity supplier as "a person, including an aggregator, broker, or marketer, who generates electricity; sells electricity; or purchases, brokers, arranges or markets electricity for sale to customers."

Formal Case No. EA 2014-10, In the Matter of the Application of Bradley R. Lewis d/b/a CreativEnergy Options for an Electricity License ("Formal Case No. EA 2014-10"), Application of Bradley R. Lewis d/b/a CreativEnergy Options filed May 6, 2014.

D.C. Official Code § 34-1505; see generally §§ 34-1501-1520.

Formal Case No. 945, In the Matter of the Investigation into Electric Service Market Competition and Regulatory Practices, Order No. 11796 at Attachment C, rel. September 20, 2000.

- c) Proof of financial integrity to include financial statements;
- d) Proof that CreativEnergy has registered with the Department of Consumer and Regulatory Affairs to do business in the District of Columbia;
- e) An affidavit dated April 19, 2014, stating that CreativEnergy is subject to all applicable taxes;
- f) An affidavit dated April 19, 2014, stating that CreativEnergy will comply with all the requirements of all orders and regulations of the Commission; and
- g) Applicant's website address: http://www.creativenergy.org.

CreativEnergy filed supplemental financial information on June 12 and July 16, 2014.5

### III. <u>DISCUSSION</u>

3. Our review of the information contained in CreativEnergy's application, demonstrates that it has met all the prescribed licensing requirements and that the Applicant has the ability and the financial integrity to serve electricity customers in the District of Columbia. The Commission also finds that granting CreativEnergy's application will serve the public interest. Accordingly CreativEnergy's application is approved.

# THEREFORE, IT IS ORDERED THAT:

4. The application of Bradley R. Lewis d/b/a CreativEnergy Options for a license to conduct business in the District of Columbia as an electricity supplier is hereby **GRANTED**. The license for Bradley R. Lewis d/b/a CreativEnergy Options is effective upon issuance of this Order.

A TRUE COPY:

BY DIRECTION OF THE COMMISSION:

CHIEF CLERK:

BRINDA WESTBROOK-SEDGWICK COMMISSION SECRETARY

Junda Westhood . Solgwill

Formal Case No. EA 2014-10, CreativEnergy filed supplemental financial information on June 12 and July 16, 2014.



Commissioners Lynn Slaby M. Beth Trombold Thomas W. Johnson M. Howard Petricoff

# **PUBLIC UTILITIES COMMISSION OF OHIO**

Certified as a Competitive Retail Electric Service Provider

Certificate Number: 16-1103E (1)

Issued Pursuant to Case Number(s):

# 16-1458-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, Bradley R. Lewis whose office or principal place of business is located at 13900 Nicklaus Dr., Overland Park, KS 66223 to provide <u>aggregation and power broker</u> services within the State of Ohio effective July 25, 2016.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: July 27, 2016

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary

Tanowa M. Troupe, Acting Secretary Felecia D. Burdett, Acting Secretary

Certificate Expires: July 25, 2018

This is to certify that the images appearing are at accurate and complete reproduction of a case file document delivered in the regular course of business.

Technician Date Processed III 27 2016

180 East Broad Street Columbus, Ohio 43215-3793 (614) 466-3016 www.PUCO.ohio.gov

# CRES AUTOMATIC CASE ACTION FORM

Case No. 16-1458-EL-AGG
Date Sent: 7-27-16
Effective Date of Certificate: 7-25-16
Certificate Expires: 7-25-18
Company Name and Company Name d/b/a: Bradley R. Lewis
Renewal
Action Needed:
Issue Certificate Number to: Bradley R. Lewis at (address): 13900 Nicklaus Dr., Overland Park, KS 66223
☑Certified To Provide the Following Services:
Retail Generation Aggregation Power Marketer Power Broker Governmental Aggregation
Renew Certificate No. to
Revise Certificate No. to (check all applicable):
Reflect name change from to
Reflect address change from to
Add new service offering to certificate:  Retail Generation Aggregator Power Marketer Power Broker Natural Gas Governmental Aggregator
Correct Administrative Error
Reflect Change of Ownership to:
Cancel Certificate No.
Protect Un-redacted copies until
Close Case File, Case Withdrawn at Applicant's Request
Close Case File

CASE NUMBER:

16-1458-EL-AGG

CASE DESCRIPTION:

**BRADLEY R LEWIS** 

DATE OF SERVICE:

7/27/2016

DOCUMENT SIGNED ON: 7/27/2016

Sign Here:

APPLICANT

PARTY OF RECORD

**ATTORNEY** 

ATTORNEY

LEWIS, BRADLEY R

13900 NICKLAUS DRIVE

OVERLAND PARK, KS 66223

Phone:913-498-8795

Email: WWW.CREATIVEENERGY.ORG

PARTY OF RECORD

ATTORNEY

\*Smith, Cheryl A Ms.

Carpenter Lipps & Leland

NONE

280 N. High Street

Suite 1300

Columbus, OH 43081

Phone:614-365-4100

Fax:614-365-9145

Email:smith@carpenterlipps.com

none

#### THE STATE OF NEW HAMPSHIRE

CHAIRMAN Martin P. Honigberg

COMMISSIONERS Kathryn M. Bailey Michael S. Giaimo

EXECUTIVE DIRECTOR Debra A. Howland



PUBLIC UTILITIES COMMISSION 21 S. Fruit Street, Suite 10 Concord, N.H. 03301-2429

February 15, 2018

TDD Access: Relay NH 1-800-735-2964

Tel. (603) 271-2431

FAX (603) 271-3878

Website: www.puc.nh.gov

Bradley R. Lewis 13900 Nicklaus Drive Overland Park, KS 66223

Re: DM 18-005 Mr. Bradley R Lewis

Application to Register as Provider of Natural Gas Aggregation Service

Dear Mr. Lewis:

On January 22, 2018, you submitted an application with the Commission to register as a provider of natural gas aggregation service. Staff reviewed the application and determined that it is complete.

The Commission approves your application effective as of February 14, 2018. The registration is for a term of 2 years and expires at the end of business on February 13, 2020. Pursuant to N.H. Code Admin. Rules Puc 3003.02(a), you must submit your next renewal application at least 60 days prior to the expiration of the registration approved here, on or before December 13, 2019.

Please be aware that registered providers of natural gas aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 3000 - Competitive Natural Gas Supplier and Aggregator Rules. These rules are available at: http://www.puc.state.nh.us/Regulatory/Rules/PUC3000.pdf.

Please note that, effective as of July 1, 2014, each registered natural gas aggregator is subject to an annual assessment of \$2,000, unless it demonstrates that its gross revenue in New Hampshire during the preceding fiscal year (from July 1 through June 30) was less than \$10,000, pursuant to RSA 363-A:2, III and 5.

Sincerely,

Debra A. Howland Executive Director

cc: Service List/Docket File

# The Public Utilities Commission of Ohio Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate

Issued pursuant to Case Number(s): 16-2313-GA-AGG

is

Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number: 17-567G (1)

Granted to: Bradley R. Lewis

Whose office or principal place of business is located at: 13900 Nicklaus Drive, Overland Park, KS 66223

And is hereby certified to provide:

Retail Natural Gas Aggregator/Broker Services
within the state of Ohio, for a two-year period.

Certification Effective: January 5, 2017 through January 5, 2019

The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929,20 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio Dated: January 6, 2017

By Order of

The Public Utilities Commission of Ohio

Barcy F. McMeal, Secretary

Tanowa M. Troupe, Acting Secretary Felecia D. Burdett, Acting Secretary

Form No. CRNGS/AGG/B-06

This is to certify that the images appearing are at accurate and complete reproduction of a case file document delivered in the regular course of business.

Technician Date Processed JAN 0 6 2017

# PROOF OF PUBLICATION THE ERIE TIMES-NEWS

#### COMBINATION EDITION

**Bradley Lewis** 13900 Nicklaus Drive Overland Park KS 66223

REFERENCE:

95277 300421

**PUC Notice** 

STATE OF PENNSYLVANIA) COUNTY OF ERIE ) SS:

Brenda L. Learn, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 01/23/18

TOTAL COST: \$610.00

AD SPACE: 0 Lines

FILED ON: 01/23/18

# NOTHER

Application of Bradley R Lewis For Approval To Offer, Render, or Furnish Natural Gas Services as a Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Bradley Lewis will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Brad Lewis proposes to sell natural gas and related services in Columbia Gas, National Fuel Gas, PECO, Peoples- Equitable, Peoples Natural Gas, Peoples Gas Company, Philadelphia Gas Works, UGI, UGI Central Penn, UGI Penn Natural, and Valley Energy under the provisions of the Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Bradley R Lewis may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Brad Lewis at the address listed below.

> Bradley R. Lewis 13900 Nicklaus Drive Overland Park, KS 66223 Phone: 913-498-8795 FAX: 866-496-0230

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL Ann K. Wilson, Notary Public City of Erie, Erie County My Commission Expires March 16, 2019 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES



# The Patriot News **LEGAL AFFIDAVIT**

AD#: 0008505230

Commonwealth of Pennsylvania,) ss

County of Cumberland)

Crystal Rosensteel being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 01/23/2018

Principal Clerk of the Publisher

Swom to and subscribed before me this 24th day of January 2018

alderado

Commonwealth of Pennsylvania - Notary Seal Donna M. Maldonado, Notary Public **Dauphin County** My commission expires November 5, 2021 Commission number 1252842

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE
Application of Bradley R Lewis For
Approval To Offer, Render, or Furnish
Natural Gas Services as a Broker
Engaged in The Business Of Supplying
Natural Gas Supply Services, To The Natural Gas Supply Services, To The Public in The Commonwealth Of Pennsylvania.

Bradley Lewis will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Brad Lewis proposes to sell natural gas and related services in Columbia Gas, National Fuel Gas, PECO, Peoples-Equitable, Peoples Natural Gas, Peoples Gas Company, Philodelphia Gas Works, UGI, UGI Central Penn, UGI Penn Natural, and Valley Energy under the provisions of the Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial titness of Bradley R Lewis may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Brad Lewis at the address listed below.

Bradley R. Lewis 13900 Nicklaus Drive Overland Park, K5 66223 Phone: 913-498-8795 FAX: 866-496-0230

# Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

January 22, 2018

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Helene Severy

Sworn to and subscribed before me this 22nd day of January, 2018.

Notary Public

My Commission Expires:

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL
CIMDY JAKUBOWSKI, Notary Public
City of Philadelphia, Phila. County
My Commission Expires November 30, 2020

Copy of Notice of Publication

PUBLIC UTSLITY COMMENSION NOTICE
Application of Braciley R Lewis For Approval To
Offer, Render, or Furnish Natural Gas Services
as a Broker Engaged in The Businese Of Supplying Natural Gas Supply Services, To Tre
Public in The Commonwealth Of Pennsylvania,
Bradley Lewis will be filling an application with
the Pennsylvania Public Utility Commission
("PUC") for a license to provide natural gas
supply services as a broker/marketer engaged
in the business of providing natural gas services. Brad Lewis proposes to sell natural gas
and related services in Columbia Gas, National
Fuel Gas, PECO, Peoples- Equitable, Peoples
Natural Gas, Pacopes Gas Company, Philadelphia Gas Works, UGI, UGI Central Penn, UGI
Penn Natural, and Velley Energy under the provisions of the Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial litness of Bradley R Lewis may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Brad Lewis at the address listed below.

Bradley R. Lawle 13900 Moklaus Drive Overland Park, KS 66223 Phone: 913-468-8765 PAX: 958-463-9965

	1	No		erm,
Proc	of of Publication of Notice	in Pittsburgh	Post-Gazette	
Under Act No 587, A	pproved May 16, 1929, PL 1784, a	s last amended by A	ct No 409 of Septe	ember 29, 1951
Pittsburgh Post-Gazette, a newsp established in 1993 by the merg Gazette and Sun-Telegraph was Pittsburgh Gazette established it	a, County of Allegheny, ss <u>K. Fla</u> caper of general circulation published ting of the Pittsburgh Post-Gazette and sestablished in 1960 and the Pittsburgh Post, establication ounty and that a copy of said printed regular on the following dates, viz:	in the City of Pittsburg d Sun-Telegraph and T urgh Post-Gazette was shed in 1842, since what I notice or publication	h, County and Communication Press established in 1922 inch date the said Pitalish attached hereto	nonwealth aforesaid, was and the Pittsburgh Post- 7 by the merging of the ttsburgh Post-Gazette has
that, as such agent, affiant is dul-	he is an agent for the PG Publishing C y authorized to verify the foregoing states cation, and that all allegations in the fo	atement under oath, tha	at affiant is not intere	sted in the subject matter aracter of publication are
	1 2			OR PUBLICATION
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STAT Brad 1390	PG Publishing Copylary In to and subscribed before me this day In 06, 2018  Commonwealth of Pennsylvania - Notary Seal Elizabeth R. Chmura, Notary Public Allegheny County My commission expires February 8, 2022 Commission number 1326781  Member, Pennsylvania Association of Notaries  CEMENT OF ADVERTISING CO 1 ley Lewis 0 Nicklaus Dr. 1 and Park, KS 66223-2999	MMA OSTS		PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Application of Bradley R Lewis For Approval To Of fer. Render, or Furnish Natural Gas Services as a Broker Engaged in The Business Of Supplying Natural Gas Supply Services, To The Public in The Commonwealth Of Pennsylva nia.  Bradley Lewis will be filling an application with the Pennsylvania Public Utility Commission (*PuC*) for a license to provide natural gas supply services as a broker/marketer engaged in the business of provid ing natural gas services rad Lewis proposes to sell natural gas and related services in Columbia Gas, National Fuel Gas, Peoples Gas Company, Philadel phia Gas Works, UGI, UGI Central Penn, UGI Penn Natural, and Valley Energy under the provisions of the Natural Gas Choice and Competition Act. The PUC may consider this application without a hearing, Protests directed
	To PG Publishing Company			to the technical or finan cial fitness of Bradley R Lewis may be filed within
				15 days of the date of this notice with the Secretary
Total	\$4	18.50		of the PUC, 400 North Street, Harrisburg, PA 17120. You should send
PG PUBLISHING COMP of general circulation, her publication costs and certi Office 2201 Sweeney Drive CLINTON, PA 15026 Phone 412-263-1338	4	ch Post-Gazette, a restaurant aforsaid advertising ly paid.  poration, Publisher of spaper of General Circumant Arbutine	ng and	copies of any protest to Brad Lewis at the address listed below. Bradley R. Lewis, 13900 Nicklaus Drive, Overland Park, KS 66223, Phone: 913-498-8795, FAX: 866-496-0230
I hereby certify that the foregoing subject matter of said notice.	g is the original Proof of Publication and	receipt for the Advertis	sing costs in the	

Attorney For

# The Scranton Times (Under act P.L. 877 No 160. July 9,1976)

Commonwealth of Pennsylvania, County of Lackawanna

BRADLEY LEWIS 13900 NICKLAUS DRIVE OVERLAND PARK KS 66223

Account # 627399 Order # 82166819 Ad Price: 232.95

LEGAL NOTICE PENNSYLVANIA

Gina Krushinski

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

#### 02/02/2018

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true

Sworn and subscribed to before me this 2nd day of February A.D., 2018

(Notary Public)

Sharon Venturi, Notary Public City of Scranton, Lackawanna County My Commission Expires Feb. 12, 2018

#### LEGAL NOTICE

PENNSYLVANIA PUBLIC
UTILITY COMMISSION NOTICE
Application of Bradley R Lewis For
Approval To Offer, Render, or Furnish Natural Gas Services as a Broker Engaged in The Business Of
Supplying Natural Gas Supply Services, To The Public in The Commonwealth Of Pennsylvania.

Bradley Lewis will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer angaged in the business of providing natural gas services. Brad Lewis proposes to sell natural gas and related services in Columbia Gas, National Fuel Gas, PECO, Populas- Equitable, Paoples Natural Gas, Paoples Gas Company, Philadelphis Gas Works, UGI, UGI Central Penn, UGI Penn Natural, and Valley Energy under the provisions of the Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Bradley R Lewis may be filled within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Herrisburg, pA 17120. You should eard copies of any protest to Brad Lewis at the address listed below.

Bradley R. Lewis 13900 Nickleus Drive Overland Park, KS 66223 Phone: 913-498-8795 FAX: 968-496-9230

# COMMONWEALTH OF PENNSYLVANIA County of Cambria

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE application of Bradley R Lewis For Approval To Offer, Render, or Furnish Natural Gas Services as a Broker Engaged Natural Gas Services, To The Public tvania Public Utility

On this 8th day of February A.D. 2018, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Sales Manager / Major Accounts of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

published conti that the annexe of The Johnston interested in the character of sa

otice in the above matter published in said publication in the regular issues les Gas Company otice in the above matter published in said publication in the regular issues delphia Gas Works. Johnstown, PA, on February 2, 2018; and that the Affiant is not UGI Central Penn Natural, and d notice or advertising and that all of the allegations as to time, place and y Energy under the store of the Natural. Company, as Works, Gas Cholos and Competition Act. The PUC consider

Pa. in the County of Cambria, and Commonwealth of Pennsylvania and

STATEMENT OF ADVERTISING COSTS

may consider this application without a hearing. Protests directed to the technical or financial fitness of Bradley R Lewis
Signed and swol may be filed within 15 days
8th day of Febr of the date of this notice with the Secretary of the with the Secretary of the Harrisburg, PA 17120. You should send copies of any protest to Brad Lewis at the address listed below.

Bradley R. Lewis

Bradley R. Lewis 13900 Nicklaus Drh Overland Park, KS 66223 Phone: 918-498-8795 FAX

130.00 52 Lines @ \$2.50 per line 0.00 Inches @ \$25.00 per inch 0.005.00 Notary Fee 2.50 Clerical Fee **Total Cost** 137.50

...ealth of Pennsylvania - Notary Seal Vivian Ohs, Notary Public Cambria County

My commission expires December 6, 2020 Commission number 1123017

tement.

Member, Pennsylvania Association of Notaries

To The Tribune-Democrat, Johnstown, PA For publishing the notice or publication attached hereto on the above stated dates.

#### PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

for publisher	
a newspaper of general circulation, hereby a and publication costs and certifies that	cknowledges receipt of the aforesaid t the same has been duly paid.
	(Name of Newspaper)
Ву	



PENNSYLVANIA S



On this 8th day of February A.D. 2018, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Sales Manager / Major Accounts of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

published continuously at Johnstown Pa. in the County of Cambria, and Commonwealth of Pennsylvania and that the annexed is a true copy of a notice in the above matter published in said publication in the regular issues of The Johnstown Tribune-Democrat, Johnstown, PA, on February 2, 2018; and that the Affiant is not interested in the subject matter of said notice or advertising and that all of the allegations as to time, place and character of said publication are true.

STATEMENT OF ADVERTISING COSTS

Signed and sworn to before me on 8th day of February, 2018, by Christine Marhefka making the statement.

Commonwealth of Pennsylvania - Not

 52 Lines @ \$2.50 per line
 130.00

 0.00 Inches @ \$25.00 per inch
 0.00

 Notary Fee
 5.00

 Clerical Fee
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Commonwealth of Pennsylvania - Notary Seal Vivian Ohs, Notary Public Cambria County My commission expires December 6, 2020 Commission number 1123017

Member, Pennsylvania Association of Notaries

To The Tribune-Democrat, Johnstown, PA For publishing the notice or publication attached hereto on the above stated dates.

#### PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

for publisher of

a newspaper of general circulation, hereby and publication costs and certifies that	acknowledges receipt of the aforesaid at the same has been duly paid.
_	(Name of Newspaper)
Ву	

# PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

### STATE OF PENNSYLVANIA

#### COUNTY OF LYCOMING

SS:

Bernard A. Oravec. Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin. both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

January 22, 2018

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

#### PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of Bradley P.
Lewis For Approval To
Offer, Render, or Furnish
Natural Gas Services as a
Broker Engaged in The
Business Of Supplying
Natural Gas Supply Services, To The Public In
The Commonwealth Of
Pennsylvania.

Bradley Lewis will be filling an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Brad Lewis proposes to sell natural gas services. Brad Lewis proposes to sell natural gas and related aervices in Columbia Gas, National Fuel Gas, PECO, Peoples Natural Gas, Peoples Gas Company, Philadelphia Gas Works, UGI, UGI Central Penn, UGI Penn Natural, and Valley Energy under the provisions of the Natural Gas Choice and Competition Act.

The PUC may consider this application without a heering. Protests directed to the technical or financial fitness of Bradley R. Lewis may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Brad Lewis at the address listed below.

Bradley R. Lewis 13909 Nickleus Drive Overland Park, KS 65223 Phone: 913-496-8795 FAX: 688-496-0230 SUN-GAZETTE LLC

Sworn to and subscribed before me

The 22 day of January 20

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL BETH A MILLER

Notary Public
CITY OF WILLIAMSPORT, LYCOMING COUNTY
My Commission Expires Apr 18, 2020

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates...

Probated same.....\$

Total....

DIC COSTS

- (S)3

Notary Public

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Bernard A. Oravec

# Appendix C

## **CERTIFICATE OF SERVICE**

On this the Sth day of May 2018, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120	Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120	
Office of the Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101	Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946	
Columbia Gas of PA, Inc. Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: mcaddell@nisource.com	Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120	
Peoples Natural Gas – Equitable Division	National Fuel Gas Distribution Corp.	
Lynda Petrichevich	David D. Wolford	
375 North Shore Drive	6363 Main Street	
Pittsburgh, PA 15212	Williamsville, NY 14221	
PH: 412.208.6528	PH: 716.857.7483	
FAX: 412.208.6577	FAX: 716.857.7479	
e-mail: <u>Lynda.w.petrichevich@peoples-gas.com</u>	e-mail: wolfordd@natfuel.com	
The Peoples Natural Gas Company	PECO	
Lynda Petrichevich	Carlos Thillet, Manager, Gas Supply and	
375 North Shore Drive	Transportation	
Pittsburgh, PA 15212	2301 Market Street, S9-2	
PH: 412.208.6528	Philadelphia, PA 19103	
FAX: 412.208.6577	PH: 215.841.6452	
e-mail: <u>Lynda.w.petrichevich@peoples-gas.com</u>	Email: carlos.thillet@exeloncorp.com	
Peoples Gas Company	Philadelphia Gas Works	
Lynda Petrichevich	Nicholas LaPergola	
375 North Shore Drive	800 West Montgomery Avenue	
Pittsburgh, PA 15212	Philadelphia, PA 19122	
PH: 412.208.6528	PH: 215.684.6278	
FAX: 412.208.6577	email: nicholas.lapergola@pgworks.com	
e-mail: Lynda.w.petrichevich@peoples-gas.com		

**UGI Central Penn** 

David Lahoff

2525 N. 12th Street, Suite 360

Reading, PA 19612-2677

PH: 610.796.3520 Email: <u>dlahoff@ugi.com</u> Reading, PA 19612-2677 PH: 610.796.3520

PH: 610.796.3520 Email: dlahoff@ugi.com

Valley Energy Inc.

**Ed Rogers** 

523 South Keystone Avenue

Sayre, PA 18840-0340

PH: 570.888-9664 FAX: 570.888.6199

email: erogers@ctenterprises.org

**UGI Penn Natural** 

David Lahoff

David Lahoff

UGI

2525 N. 12th Street, Suite 360

2525 N. 12th Street, Suite 360

Reading, PA 19612-2677

PH: 610.796.3520

Email: dlahoff@ugi.com

Bradley R Lewis, Sole Proprietor



May 14, 2018

Bradley R Lewis Bradley R Lewis 13900 Nicklaus Drive Overland Park, KS 66223

Dear Bradley R Lewis:

We are pleased that Bradley R Lewis has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, Bradley R Lewis could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. Bradley R Lewis has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that Bradley R Lewis does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to Bradley R Lewis changes in the future, Columbia Gas might deem it appropriate to require Bradley R Lewis to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-4881 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

Debbie Vair

Debbie Vair

Manager, Gas Transportation and Sales Support



Carol Scanlon Manager, Rates & Regulation

Peoples Service Company LLC

Phone: 412-208-6931

Email: Carol.Scanlon@peoples-gas.com

May 17, 2018

**Bradley R Lewis** Sole Proprietor Bradley R Lewis 13900 Nicklaus Drive Overland Park, KS 66223

Dear Mr. Lewis:

We are pleased that Bradley R Lewis has applied for a license to provide natural gas services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, Peoples Natural Gas Company LLC - Equitable Division, and Peoples Gas Company LLC (formerly Peoples TWP) ("the Companies").

Since Bradley R Lewis is not currently serving customers on the Peoples systems, we have determined at this time that Bradley R Lewis does not need a bond or other financial security requirement to provide these services to the Company's customers.

If a Pool is established, and customers are enrolled which alters the creditworthiness requirement or the Company's exposure to Bradley R Lewis provision of services on the Peoples' system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6931 or by email at Carol.Scanlon@peoples-gas.com.

Sincerely,

Manager, Rates and Regulation Peoples Natural Gas Company LLC

Cc:

Stephen Kelly Mina Speicher



May 17, 2018

Bradley R. Lewis (Sole Proprietor) 13900 Nicklaus Drive Overland Park, KS 66223

Re: Broker Requirements

Dear Bradley R. Lewis:

PECO is aware that Bradley R. Lewis has applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

In making such an application, Bradley R. Lewis could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. Bradley R. Lewis has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers, and will not take title to any delivered natural gas; nor will accept any customer payments or deposits. Therefore, PECO has determined at this time that Bradley R. Lewis does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by Bradley R. Lewis or the creditworthiness requirement for PECO's exposure to Bradley R. Lewis changes in the future, PECO reserves the right to require Bradley R. Lewis to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at 215-841-6422 or myself at 215-841-6452.

Respectfully submitted,

Carlos O. Thille

Carlos P. Thillet

Manager, Gas Supply and Transportation

2301 Market Street

Philadelphia, Pa 19103



May 17, 2018

Bradley R. Lewis 13900 Nicklaus Drive Overland Park, KS 66223

Re: Security Requirement for Bradley R. Lewis

Dear Bradley,

National Fuel Gas Distribution Corporation ("NFGDC") is aware Bradley R. Lewis (BRL) has filed an application with the Pennsylvania Public Utility Commission to supply natural gas service to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, BRL must furnish acceptable security to each utility where BRL will do business. As such, under its tariff, NFGDC could require BRL to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

However, you have indicated, and it is NFGDC's understanding that BRL intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, BRL will never take title to any delivered natural gas.

Based upon your representations, NFGDC has determined that, at this time, BRL does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by BRL change in the future, NFGDC reserves the right to require security from BRL as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7541.

Yours truly,

Nicole Barker

**Transportation Services Department** 

vicale Barker

# VIA EMAIL

Mr. Bradley R. Lewis CreativEnergy 13900 Nicklaus Drive Overland Park, KS 66223 brad.lewis@creativenergy.org

Dear Mr. Lewis:

We understand that CreativEnergy has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because CreativEnergy intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that CreativEnergy will not be required to post a bond or other form of financial security instrument to provide these services in our service area. However, if the services provided change in the future, we reserve the right to require security from CreativEnergy as deemed appropriate.

If you have any questions, please contact Jamie Levering at 570-888-9664.

Sincerely,

Edward E. Rogers President & CEO

EER/ss

cc: J. Levering, Valley Energy



## PHILADELPHIA GAS WORKS

800 West Montgomery Avenue • Philadelphia, PA 19122

May 31, 2018

Mr. Bradley R. Lewis CreativEnergy Options 13900 Nicklaus Drive Overland Park, KS 66223

Email: Teresa.Dawson@creativenergy.org

RE: Security Requirement Bond for CreativEnergy Options

Dear Mr. Lewis:

Philadelphia Gas Works ("PGW") is aware that CreativEnergy Options has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, CreativEnergy Options must furnish acceptable security to each utility where CreativEnergy Options will do business. As such, under its tariff, Philadelphia Gas Works could require CreativEnergy Options to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understanding, that CreativEnergy Options intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that in performing these services CreativEnergy Options will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, CreativEnergy Options does not need to post a bond or other form of security to operate in its service territory. If the services provided by CreativEnergy Options should change, Philadelphia Gas Works reserves the right to require security from CreativEnergy Options as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 215-684-6725.

Sincerely,

JOHN ZUK

Vice President, Gas Supply

NL/dls



UGI Utilities, Inc. 2525 North 12<sup>th</sup> Street Suite 360 Post Office Box 12677

May 30, 2018

Bradley R Lewis – Sole Proprietor 13900 Nicklaus Drive Overland Park, KS 66223

RE: Bradley R Lewis application to serve as a Natural Gas Broker

Dear Mr. Lewis,

Based on your assertion that Bradley R Lewis, Sole Proprietor ("BRADLEY LEWIS") is applying with the State of Pennsylvania to operate as a natural gas broker/marketer, UGI Utilities Inc. ("UGIU") has concluded that BRADLEY LEWIS will not need to post security with UGI-Central Penn Gas ("CPG"), UGI-Penn Natural Gas ("PNG") or UGI Utilities Gas Division ("UGI"). This is based on the declaration that BRADLEY LEWIS will not be taking title to gas or directly serving end use customers. This also assumes that BRADLEY LEWIS will be acting in conjunction with a licensed Natural Gas Supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the respective UGIU tariffs. If BRADLEY LEWIS wishes to directly serve Choice customers in the service territories of UGI, PNG and/or CPG in the future as a natural gas supplier, it will have to post security as specified in the respective UGI tariffs prior to the commencement of the service. Please feel free to contact me with any additional questions that you may have.

Sincerely

David E. Lahoff

Senior Manager, Tariff & Supplier Administration

UGI Utilities, Inc.