



August 14th, 2018

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
400 North Street  
Harrisburg, PA 17120

Dear Secretary:

Please find enclosed the amended pages for Competitive Energy Services' application to operate as a Broker/Marketer for electricity within the Commonwealth of Pennsylvania per the letter dated August 10, 2018 (Docket No. A-2018-3003592). Included are pages 3, 4, 30, and 31 of Competitive Energy Services' application with amendments to sections 1.a, 1.b, 1.c, 1.e, and 8A (with additional attachments).

If you or the Commission staff have any questions, please give me a call at (207) 772-6190.

Thank you for your attention to this filing.

Sincerely,

A handwritten signature in blue ink, appearing to read "Andrew Price", written in a cursive style.

Andrew Price  
President and Chief Operating Officer

## BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Competitive Energy Services, LLC, for approval to offer, render, furnish, or supply electricity or electric generation services as an Broker/Marketer engaged in the business of supplying electricity services to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

### 1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (*including any fictitious name or d/b/a*), primary address, web address, and telephone number of Applicant:

**Competitive Energy Services, LLC**  
148 Middle St., Suite 500  
Portland, ME 04101

**Ph: (207) 772-6190**  
**Fax: (866) 743-4968**  
**<http://www.competitive-energy.com/>**

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

**CT Corporation System**  
600 North Second Street, Suite 401  
Harrisburg, PA 17101

**Ph: (614) 280-3338**

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

**Andrew Price, President and Chief Operating Officer**  
**Competitive Energy Services, LLC**  
148 Middle St., Suite 500  
Portland, ME 04101

**Ph: (207) 772-6190**  
**Fax: (866) 743-4968**  
**Email: [aprice@competitive-energy.com](mailto:aprice@competitive-energy.com)**

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

**Applicant is not using an attorney.**

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

**Allie Munier, Senior Marketing Coordinator**  
148 Middle St., Suite 500  
Portland, ME 04101  
Ph: (207) 772-6190  
Fax: (866) 743-4968  
Email: [amunier@competitive-energy.com](mailto:amunier@competitive-energy.com)

**Linda Hyatt, Client Services and Procurement Manager**  
148 Middle St., Suite 500  
Portland, ME 04101  
Ph: (207) 772-6190  
Fax: (866) 743-4968  
Email: [lhhyatt@competitive-energy.com](mailto:lhhyatt@competitive-energy.com)

## 2. BUSINESS ENTITY FILINGS AND REGISTRATION

- a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

**or**

The Applicant will not be using a fictitious name.

- b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**  
*(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)*

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

**or**

The Applicant is a:

- domestic general partnership (\*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

## Exhibit 8A - Technical Fitness

### Overview of Competitive Energy Services, LLC (CES)

**Competitive Energy Services, LLC ("CES")** is an established energy services firm that advises the commercial, industrial, institutional, and non-profit sectors in procuring electricity supply in the competitive marketplace. Founded in 1999, CES provides energy supply procurement services, risk management and strategic planning to approximately 5,000 accounts across the United States and Canada. In aggregate, our clients consume more than 2 billion kWh's of electricity annually, with a value of more than \$500 Million, as well as significant volumes and dollar values of other energy commodities, products and services.

With a staff of 35 market and industry specialists, CES focuses on providing full accessibility to the most comprehensive skill set in the energy industry to each of our valued clients. Our clients enjoy the full benefit of an entire team of dedicated professionals that provides specialized and combined energy experience, market knowledge and the highest level of commitment to superior on-going services

### CES - Represents Energy Consumers Only.

- Daily presence in multiple markets provides up-to-the-minute information
- In-depth analytical and data management expertise
- Known and respected career energy professionals
- Extensive experience in all aspects of the energy industry
- Well-earned reputation among suppliers and clients alike for the quality, expertise and care we provide our clients

### Market Reach:

- **Geographic**

CES operates wherever our customers have facilities in the U.S. and Canada. We have been especially active in those states that have deregulated their electricity markets, including ME, MA, NH, CT, NY, and RI and have assisted clients in their energy activities across the country and overseas.

- **Energy Products**

Electricity, natural gas, propane, residual oil, heating oil, biodiesel, biofuels, Renewable Energy Credits (RECs).

- **Economic Sectors**

Commercial, industrial, government and institutional. CES customers are in all sectors of the economy from paper companies to hospitals to universities to governments to retail stores. Our customers range from small commercial companies to very large manufacturing operations consuming millions of dollars of energy each month.

### "Green" Power Strategy, Execution and Certification

CES is an active participant in renewable power markets. CES works with its clients to establish goals and objectives, steer them through the constantly changing rules, regulations, definitions and criteria that have become the "green power market", develop a procurement strategy to achieve goals and objectives and execute the strategy to meet those goals and objectives efficiently. Finally, CES provides its clients with an analysis of the impacts client actions are having in reducing pollution, lowering "carbon footprints" and achieving environmental objectives.

## Exhibit 8A – Technical Fitness (Continued)

### Renewable Generation

CES had previously done work with a hydro-electric renewable generation project – the Worumbo Dam on the Androscoggin River in Lisbon Falls, Maine and is currently affiliated with Beaver Ridge Wind Project in Freedom, Maine. CES has advised clients on solar PV contracts for over 150 MW in generating capacity throughout New England, as well as over 10 MW in wind generating capacity nationally.

### Carbon Footprinting

Greenhouse Gas Emissions Carbon Manager™ is a proprietary product offered exclusively by CES. Carbon Manager™ is an essential tool for companies and institutions that are trying to achieve Greenhouse Gas (GHG) emission reductions, to meet their goals and objectives.

### Full Service Consulting

Competitive Energy Services offers comprehensive, strategic energy management services to many large commercial, industrial and institutional clients. Our "full service" clients include colleges and universities, hospitals, industrial facilities and large retail chains. Our strategic energy management and procurement services help clients who wish to gain control of energy expenses - and manage market risk - that if not properly managed can be both significant and highly unpredictable due to energy market volatility.

In our role as full service energy advisor and manager, we provide the following services:

- comprehensive energy budgeting and forecasting
- integrated energy strategy development
- multi-fuel, multi-bidder strategic energy procurement
- renewable energy procurement
- co-generation feasibility
- fuel arbitrage
- fuel conversion analysis switching
- demand response
- tariff analysis and utility negotiations
- other services as desired

CES energy procurement and management strategies are based on customer risk preferences. Whether the customer is more focused on containing its risk or securing every possible dollar in price savings, CES will develop an energy strategy to achieve agreed-upon goals and objectives. CES will then work closely with its customers to implement the annual strategy and minimize energy costs.

Additional information can be found on our web site at [www.competitive-energy.com](http://www.competitive-energy.com)

### State Licenses

Competitive Energy Services, LLC is licensed as a broker in each of the following states:

State/Commonwealth	License Date	License No.
Maine	3/21/2000	2000-213
Massachusetts	12/21/2009	EB-023 / RA-015
Connecticut	6/21/2002	37291
Maryland	6/21/2006	IR-895
New Hampshire	10/25/2017 & 5/15/2004	DM 17-168 / DM 17-170

STATE OF MAINE  
PUBLIC UTILITIES COMMISSION

March 21, 2000

COMPETITIVE ENERGY SERVICES, LLC  
Application for License to Operate as a  
Competitive Electricity Provider

Docket No. 2000-213

ORDER GRANTING LICENSE

WELCH, Chairman; NUGENT and DIAMOND, Commissioners

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**I. SUMMARY**

In this Order, we license Competitive Energy Services, LLC to operate as a competitive electricity provider furnishing aggregator/broker services in Maine pursuant to Chapter 305 of the Commission's Rules.

**II. APPLICATION**

On March 3, 2000, Competitive Energy Services, LLC (CES) applied to the Commission for a license to operate in Maine as a competitive electricity provider, as provided in Chapter 305. On March 14, 2000, CES filed additional information to supplement its earlier filing.

A. Type of Service Proposed

CES proposes to act as an aggregator or broker for electric service provided to the public at retail. CES's application states that the proposed licensee plans to market aggregation services to customers in the transmission and distribution utility service territories of "Central Maine Power Company and Bangor Hydroelectric Company, and those territories of Consumer owned utilities interconnected to the NEPOOL grid."

B. Fee Paid

With its application, CES paid a \$100 fee to the Commission, as required by section 2(C)(5) of Chapter 305.

**III. FINANCIAL ISSUES**

A. Security

CES will operate as an electricity broker/aggregator in Maine. Pursuant to section 2(B)(1)(a)(i) of Chapter 305, CES does not have to furnish a security instrument to the Commission.

B. Showing of Professional and Financial Capability

CES will operate as an electricity broker/aggregator in Maine; accordingly, CES is required to demonstrate its professional responsibility pursuant to section 2(B)(1)(b) of Chapter 305. CES filed supporting information to demonstrate its professional responsibility. We have reviewed that information and find that it complies with the requirements of Chapter 305. CES stated that it will not hold retail customer funds, and accordingly is not required to demonstrate its financial capability pursuant to section 2(B)(1)(b) of Chapter 305. If CES wishes to hold customer funds in the future, it must first obtain authority from the Commission.

**IV. TECHNICAL ISSUES**

A. Showing of Technical Capability

CES will operate as an electricity broker/aggregator in Maine; accordingly, CES is required to demonstrate its technical fitness to conduct its proposed business pursuant to section 2(B)(2)(c) of Chapter 305. CES filed supporting information to demonstrate its technical capability. We have reviewed that information and find that it complies with the requirements of Chapter 305.

B. Resource Portfolio

CES will operate as an electricity broker/aggregator in Maine. Pursuant to section 2(B)(4) of Chapter 305, CES is not required to demonstrate an ability to meet the resource portfolio requirement of 35-A M.R.S.A. § 3210 and the portfolio requirement reporting rules in Chapter 311 of the Commission's rules.

## V. CONSUMER PROTECTION ISSUES

### A. Showing of Fitness

In its application, CES provided information required by Chapter 305 section 2(B)(3) related to enforcement proceedings and customer complaints. We have reviewed that information and find that it meets the requirements of Chapter 305.

### B. Ability to Comply with Consumer Protection Rules

CES will operate as an electricity aggregator/broker in Maine. Pursuant to section 2(B)(6) of Chapter 305, CES is not required to demonstrate its ability to comply with applicable consumer protection requirements.

### C. Do-Not-Call List

Chapter 305 section 4(I) states that “[t]he Commission will maintain or cause to be maintained a ‘Do-Not-Call’ list of customers who have requested -- orally, in writing, or by commercially accepted electronic means -- that they not receive telemarketing calls from competitive electricity providers.” We require that licensees use do-not-call list mechanisms already in place nationally to satisfy that requirement. To the extent that it telemarkets to Maine consumers, CES shall comply with the following requirements.

CES must comply with the requirements of the Telephone Consumer Protection Act,<sup>1</sup> the Telemarketing and Consumer Fraud and Abuse Prevention Act,<sup>2</sup> and related rules of the Federal Communications Commission<sup>3</sup> and Federal Trade Commission.<sup>4</sup> CES must comply with those requirements and must maintain its own do-not-call list as required by those laws and rules, for all intrastate and interstate telemarketing of Maine consumers, including both residential and business customers. CES shall not telemarket to Maine customers on that list, as required in Chapter 305 section 4(I)(1). CES shall update its do-not-call list at least monthly, and maintain copies of that list for at least six months. CES shall provide a copy of that list to the Commission upon request.

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<sup>1</sup>47 U.S.C. § 227

<sup>2</sup>15 U.S.C. §§ 6101-6108

<sup>3</sup>47 CFR 64.1200

<sup>4</sup>FTC Telemarketing Sales Rule, 16 CFR Part 310

Further, each month, CES must obtain listings of Maine consumers who have arranged to be included on the do-not-call list maintained by the Telephone Preference Service of the Direct Marketing Association, Inc.<sup>5</sup> CES shall not telemarket to Maine customers on that list, as required in Chapter 305 section 4(I)(1).

## VI. ADDITIONAL PROVISIONS

CES must comply with all applicable requirements and limitations in Chapter 305 not explicitly waived in this Order. CES must also comply with all requirements and limitations in other applicable Commission rules, including any applicable future changes in Maine laws and Commission rules, and in other parts of this Order.

## VII. ORDERING PARAGRAPHS

Accordingly, we

1. license Competitive Energy Services, LLC to operate as a competitive electricity provider in Maine; under this license, Competitive Energy Services, LLC may operate only as an aggregator/broker, as defined in Chapter 305 of the Commission's Rules, in the service territories of transmission and distribution utilities within the ISO-New England Control Area in Maine;
2. order that Competitive Energy Services, LLC may not hold retail customer funds;
3. order Competitive Energy Services, LLC to comply with all Do-Not-Call List requirements contained in Part V(C) of this Order to the extent that it telemarkets to Maine consumers; and
4. order that this license is effective on the date of this Order and valid until revoked by the Commission pursuant to section 3(A)(4) of Chapter 305, or abandoned by the licensee pursuant to sections 2(C)(9) and 2(C)(11) of Chapter 305 of the Commission's Rules.

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<sup>5</sup>Telephone Preference Service, Direct Marketing Association, Inc., P.O. Box 9014, Farmingdale, NY 11735-9014

Dated at Augusta, Maine, this 21st day of March, 1999.

BY ORDER OF THE COMMISSION

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Dennis L. Keschl  
Administrative Director

COMMISSIONERS VOTING FOR:     Welch  
   Nugent  
   Diamond

## NOTICE OF RIGHTS TO REVIEW OR APPEAL

5 M.R.S.A. § 9061 requires the Public Utilities Commission to give each party to an adjudicatory proceeding written notice of the party's rights to review or appeal of its decision made at the conclusion of the adjudicatory proceeding. The methods of review or appeal of PUC decisions at the conclusion of an adjudicatory proceeding are as follows:

1. Reconsideration of the Commission's Order may be requested under Section 1004 of the Commission's Rules of Practice and Procedure (65-407 C.M.R.110) within 20 days of the date of the Order by filing a petition with the Commission stating the grounds upon which reconsideration is sought.
2. Appeal of a final decision of the Commission may be taken to the Law Court by filing, within 30 days of the date of the Order, a Notice of Appeal with the Administrative Director of the Commission, pursuant to 35-A M.R.S.A. § 1320 (1)-(4) and the Maine Rules of Civil Procedure, Rule 73 et seq.
3. Additional court review of constitutional issues or issues involving the justness or reasonableness of rates may be had by the filing of an appeal with the Law Court, pursuant to 35-A M.R.S.A. § 1320 (5).

Note: The attachment of this Notice to a document does not indicate the Commission's view that the particular document may be subject to review or appeal. Similarly, the failure of the Commission to attach a copy of this Notice to a document does not indicate the Commission's view that the document is not subject to review or appeal.



THE COMMONWEALTH OF MASSACHUSETTS  
DEPARTMENT OF PUBLIC UTILITIES

DEVAL L. PATRICK  
GOVERNOR

TIMOTHY P. MURRAY  
LIEUTENANT GOVERNOR

IAN A. BOWLES  
SECRETARY OF ENERGY  
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION  
BOSTON, MA 02110  
(617) 305-3500

PAUL J. HIBBARD  
CHAIRMAN

TIM WOOLF  
COMMISSIONER

JOLETTE A. WESTBROOK  
COMMISSIONER

December 21, 2009

Mark Isaacson, Member  
Competitive Energy Services, LLC  
148 Middle Street, Ste. 506  
Portland, ME 04101

The Department of Public Utilities ("Department") has reviewed your application for renewal of your **Electricity Broker License, EB-023** and is pleased to inform you that your application for renewal has been approved.

As a condition of maintaining this license, you must file updated information within 30 days of any material or organic change in the information required by 220 C.M.R. § 11.05(2), and you must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 C.M.R. §§ 11.00, 12.00 *et seq.* If you decide to request renewal of your license next year, please submit renewal application no later than December 1, 2010.

  
Mark D. Marini, Secretary

**Privileged, confidential, protected communication, for the intended recipient only**

FAX: (617) 345-9101  
[www.mass.gov/dpu](http://www.mass.gov/dpu)



THE COMMONWEALTH OF MASSACHUSETTS  
DEPARTMENT OF PUBLIC UTILITIES

DEVAL L. PATRICK  
GOVERNOR

RICHARD K. SULLIVAN, JR.  
SECRETARY OF ENERGY  
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ANN G. BERWICK  
CHAIR

JOLETTE A. WESTBROOK  
COMMISSIONER

DAVID W. CASH  
COMMISSIONER

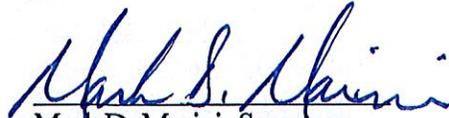
December 23, 2013

Mark Isaacson  
Competitive Energy Services, LLC  
148 Middle Street, Suite 5006  
Portland, ME 04101

Mr. Isaacson,

The Department of Public Utilities has reviewed Competitive Energy Services LLC's ("Competitive") application for renewal of its Gas Retail Agent license to commercial and industrial customers in the Commonwealth of Massachusetts. I am pleased to inform you that the application has been approved. Competitive's license number is RA-015.

As a condition of maintaining its license, Competitive must file updated information within 30 days of any material or organic change in the information required by 220 C.M.R. § 14.04(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations thereunder, including 220 C.M.R. §§ 12.00, 14.00 *et seq.* If you decide to request renewal of Competitive's license next year, please submit a renewal application that includes audited financial statements, at least 30 days prior to the expiration of this license.

  
Mark D. Marini, Secretary



# STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC UTILITY CONTROL  
TEN FRANKLIN SQUARE  
NEW BRITAIN, CT 06051

DOCKET NO. 02-04-02 APPLICATION OF COMPETITIVE ENERGY SERVICES  
FOR AN ELECTRIC AGGREGATOR LICENSE

June 21, 2002

By the following Commissioners:

Glenn Arthur  
John W. Betkoski, III  
Jack R. Goldberg

**DECISION**

## **DECISION**

### **I. INTRODUCTION**

#### **A. SUMMARY**

This Decision addresses the request of Competitive Energy Services – Connecticut, LLC for a license to operate as an electric aggregator in Connecticut. In this Decision, the Department of Public Utility Control grants Competitive Energy Services – Connecticut, LLC an Electric Aggregator License.

#### **B. BACKGROUND OF THE PROCEEDING**

By application filed on April 1, 2002 (Application), pursuant to Section 16-245 of the General Statutes of Connecticut (Conn. Gen. Stat.) and §§16-245-1 to 16-245-6, inclusive, of the Regulations of Connecticut State Agencies (Conn. Agencies Regs.), Competitive Energy Services – Connecticut, LLC (CES-CT or Company) requests the Department of Public Utility Control's (Department) approval of a license to operate as an electric aggregator within Connecticut.

#### **C. CONDUCT OF THE PROCEEDING**

By Notice of Hearing dated April 29, 2002, a public hearing was scheduled in this matter for May 7, 2002, at the Department's offices, Ten Franklin Square, New Britain, Connecticut 06051. The hearing was not held and by Notice of Hearing dated May 8, 2002, the hearing was continued to May 21, 2002. The hearing was held and closed.

#### **D. PARTIES TO THE PROCEEDING**

The Department recognized Competitive Energy Services – CT, LLC, 148 Middle Street, Portland, Maine 04101; and the Office of Consumer Counsel (OCC), Ten Franklin Square, New Britain, Connecticut 06051, as Parties to the proceeding.

### **II. DESCRIPTION OF APPLICANT AND PROPOSED SCOPE OF SERVICE**

#### **A. COMPANY STRUCTURE**

CES-CT was formed on February 5, 2002 in Portland, Maine. CES-CT is a wholly owned subsidiary of Competitive Energy Services, LLC (CES-ME). CES-ME also owns Competitive Energy Services – Massachusetts, LLC (CES-MA) and Competitive Energy Services – Texas, LLC (CES-TX) and is a majority owner of Competitive Energy Services – New Hampshire, LLC (CES-NH). CES-ME, CES-NH, CES-TX and CES-MA, CES-CT contract with Maine Energy Aggregation Company, LLC (MEAC) to provide all necessary support and services to accomplish its objectives. Application, Exhibit A-13.

**B. SCOPE OF SERVICE**

CES-CT intends to aggregate electric customers consisting of residential, commercial, and industrial customers within Connecticut. Application, Exhibit B-1. CES-CT will use a variety of conventional promotional, advertising, direct mail and sales methods to aggregate electricity customers for the purpose of securing electricity supply on favorable terms and conditions. CES-CT fees are included in the quoted price and paid when the customer pays the electric supplier. There are no membership or other up front fees. Application, Exhibit B-5.

**III. DEPARTMENT ANALYSIS****A. TECHNICAL CAPABILITIES**

CES-CT testified that MEAC will handle all the data management and interfacing with electric suppliers. MEAC is currently providing aggregation services for customers of CES-ME, MECC (Maine Electric Consumer Cooperative) and CES-MA totaling over two billion kWhs annually and over 2000 customers. Application, Exhibit B-7. Therefore, the Department concludes that CES-CT possesses adequate technical capability, as required under Conn. Gen. Stat. §16-245, to provide electric aggregation services.

**B. FINANCIAL CAPABILITIES**

CES-CT will be supported by CES-ME and MEAC. Start-up capital and expenses for CES-CT will be provided from CES-ME or from capital contributions from its owners. Ongoing expenses will be provided from aggregation fees and consulting contracts. Application, Exhibit B-9 and response to interrogatory EL-3. Based on the information provided, the Department concludes that CES-CT possesses adequate financial capability, as required under Conn. Gen. Stat. §16-245, to provide electric aggregation services.

**C. MANAGERIAL CAPABILITIES**

MEAC will provide all the necessary services in order for CES-CT to accomplish its objective. Both MEAC and its members have considerable experience in providing the services that are required by CES-CT. Application, Exhibit B-7. One of CES-CT's Managing Partners is a member of Maine Electricity Cost Recovery, LLC (MECR). MECR is the Licensor of the technology and strategy currently being employed by Energy Atlantic, LLC and Engage Energy in providing all Standard Offer Service to residential customers in Central Maine Power Company's service territory. Additional experience includes economic regulation, energy and telecommunications and consulting services on matters related to electric utility deregulation. Application, Exhibit A-10. Based on the foregoing, the Department concludes that CES-CT possesses adequate managerial capability, as required under Conn. Gen. Stat. §16-245, to provide electric aggregation services.

**D. CUSTOMER SERVICE**

CES-CT has provided an address and toll-free telephone number for customer service and complaints. Application, Section A-5. CES-CT has not been fined, sanctioned, or otherwise penalized for violation of any consumer protection law or regulation. Application, Section A-14. CES-CT has attested that without a signed release from a customer, it would not release information to any person, including, but not limited to, information related to the quantity, time of use, type and destination of electric service, information contained in electric service bills and other data specific to the customer. Application, Affidavit No. 3. The Department finds CES-CT's customer service information to be satisfactory. Response to Interrogatories CA-1, CA-2, and CA-3. Based on the foregoing, the Department finds that CES-CT's proposed policies and procedures should ensure that high quality customer services are provided to its Connecticut customers.

**IV. FINDINGS OF FACT**

1. CES-CT was formed on February 5, 2002 in Portland, Maine.
2. CES-CT is a wholly owned subsidiary of Competitive Energy Services, LLC.
3. CES-CT intends to aggregate electric customers consisting of residential, commercial, and industrial customers within Connecticut.
4. MEAC will handle all the data management and interfacing with electric suppliers.
5. CES-CT fees are included in the quoted price and paid when the customer pays the electric supplier.
6. CES-CT will contract with MEAC to provide all the necessary services to accomplish its objective.

**V. CONCLUSION AND ORDER****A. CONCLUSION**

Based on the evidence presented, the Department concludes that CES-CT has adequate technical, managerial and financial capabilities, as required under Conn. Gen. Stat. §16-245, to provide electric aggregation services in Connecticut and finds that CES-CT's proposed electric aggregation services are in the public interest. Therefore, the Department hereby grants CES-CT an electric aggregator license subject to CES-CT complying with all post-licensing requirements.

**B. ORDER**

For the following Order, please submit an original and eight (8) copies of the requested material to the Executive Secretary, identified by Docket Number, Title and Order Number.

1. No later than July 31, 2002 CES-CT shall provide a sample copy of the notice to be issued to aggregated customers pursuant to section 16-245-3(d) of the Regulations of Connecticut State Agencies.

**DOCKET NO. 02-04-02 APPLICATION OF COMPETITIVE ENERGY SERVICES  
FOR AN ELECTRIC AGGREGATOR LICENSE**

This Decision is adopted by the following Commissioners:

Glenn Arthur

John W. Betkoski, III

Jack R. Goldberg

CERTIFICATE OF SERVICE

The foregoing is a true and correct copy of the Decision issued by the Department of Public Utility Control, State of Connecticut, and was forwarded by Certified Mail to all parties of record in this proceeding on the date indicated.

\_\_\_\_\_  
Louise E. Rickard  
Acting Executive Secretary  
Department of Public Utility Control

\_\_\_\_\_  
June 26, 2002  
Date

STATE OF MARYLAND

COMMISSIONERS

ROBERT L. EHRLICH, JR.  
GOVERNOR

MICHAEL S. STEELE  
LIEUTENANT GOVERNOR



KENNETH D. SCHISLER  
CHAIRMAN

HAROLD D. WILLIAMS  
ALLEN M. FREIFELD  
KAREN A. SMITH  
CHARLES R. BOUTIN

PUBLIC SERVICE COMMISSION

#8, 6/21/06 CA; ML#s 101736 and 101888  
License Reference No.: IR-895

June 21, 2006

Mr. Mark Isaacson, Managing Member  
Competitive Energy Services - Maryland, LLC  
18 Middle Street, Suite 506  
Portland, Maine 04101

Dear Mr. Isaacson:

On May 31, 2006, Competitive Energy Services - Maryland, LLC ("Company") filed an Application for a license to supply electricity or electric generation services in Maryland under COMAR 20.51. The Company also filed an application for a license to supply natural gas or natural gas supply services in Maryland under COMAR 20.54.

The Company proposes to provide electric and natural gas broker services in Maryland for commercial and industrial customers without restrictions on the number and size of end-use customers.

After considering this matter at the June 21, 2006 Administrative Meeting, the Commission granted Competitive Energy Services - Maryland, LLC a license to operate as an electricity and natural gas broker in Maryland in accordance with its Applications (License Reference Number IR-895). The license granted by the Commission under this Letter Order is limited to electricity and natural gas broker services only.

Finally, Competitive Energy Services - Maryland, LLC is reminded that it is under a continuing obligation to notify the Commission of any substantial changes to the information upon which the Commission relied in granting this license.

By Direction of the Commission,

*/s/ O. Ray Bourland*

O. Ray Bourland  
Executive Secretary

ORB/gjd

cc: John Sillin, Director, Integrated Resource Planning  
Patricia Smith, People's Counsel  
Rebecca Bowman, Office of the Attorney General

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAUL STREET • BALTIMORE, MARYLAND 21202-6806

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THE STATE OF NEW HAMPSHIRE

CHAIRMAN  
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Debra A. Howland



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**PUBLIC UTILITIES COMMISSION**

21 S. Fruit Street, Suite 10  
Concord, N.H. 03301-2429

October 31, 2017

Lynn Gallagher, Controller  
Competitive Energy Services, LLC  
148 Middle Street, Suite 500  
Portland, ME 04101

Re: DM 17-168 Competitive Energy Services, LLC  
Application to Register as Provider of Electric Aggregation Service

Dear Ms. Gallagher:

On October 25, 2017, Competitive Energy Services, LLC submitted an application with the Commission to register as a provider of electric aggregation service. Staff reviewed the application and determined that it is complete.

The Commission approves your application effective as of October 31, 2017. The registration is for a term of two years and expires at the end of business on October 31, 2019. Pursuant to N.H. Code Admin. Rules Puc 2003.05(a), you must submit a renewal application at least 60 days prior to the expiration of the registration approved here, on or before September 1, 2019.

Please be aware that registered providers of electric aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 2000 – Competitive Electric Power Supplier and Aggregator Rules. These rules are available at: <http://www.puc.nh.gov/Regulatory/Rules/PUC2000.pdf>. Please also be aware that revised Puc 2000 rules, which were adopted on August 25, 2017, and become effective on November 1, 2017, contain certain requirements that are different from those in the currently effective rules. The revised rules can be accessed at: [Puc 2000 Rules Amendments Effective 11-1-17](#).

Please also note that, effective as of July 1, 2014, each registered electric load aggregator is subject to an annual assessment of \$2,000, unless it demonstrates that its gross revenue in New Hampshire during the preceding fiscal year (from July 1 through June 30) was less than \$10,000, pursuant to RSA 363-A:2, III and 5.

Sincerely,

A handwritten signature in black ink that reads "Debra A. Howland".

Debra A. Howland  
Executive Director

cc: Service List/Docket File

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August 6, 2018

Lynn Gallagher, Controller  
Competitive Energy Services, LLC  
148 Middle Street, Suite 500  
Portland, ME 04101

Re: DM 17-170, Competitive Energy Services, LLC  
Renewal of Registration to Provide Natural Gas Aggregation Service

Dear Ms. Gallagher:

On October 27, 2017, Competitive Energy Services, LLC (CES) filed an application to renew its registration as a provider of natural gas aggregation service. CES's previously-approved registration period ran through May 15, 2017. On December 26, 2017, Staff sent a deficiency letter regarding Puc 3003.05(a). On July 9, 2018, CES filed a request for waiver of that rule. On August 6, 2018, Staff filed a memorandum recommending that the Commission grant a waiver of Puc 3003.05(a), so that CES's registration is renewed without any lapse in registration, and that the Commission approves CES's renewal application to provide natural gas aggregation service for a period of 5 years.

The Commission has granted the requested rule waiver regarding the renewal filing deadline, having found that it meets the public interest standard under Puc 201.05, and has approved the application for registration renewal effective as of May 16, 2017. The registration is for a term of 5 years and expires at the end of business on May 15, 2022. Pursuant to N.H. Code Admin. Rules Puc 3003.02(a), you must submit your next renewal application at least 60 days prior to the expiration of the approved registration period, on or before March 16, 2022.

Please be aware that registered providers of natural gas aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 3000 - Competitive Natural Gas Supplier and Aggregator Rules. These rules are available at:  
<http://www.puc.state.nh.us/Regulatory/Rules/PUC3000.pdf>.

Please note that, effective as of July 1, 2014, each registered natural gas aggregator is subject to an annual assessment of \$2,000, unless it demonstrates that its gross revenue in New Hampshire during the preceding fiscal year (from July 1 through June 30) was less than \$10,000, pursuant to RSA 363-A:2, III and 5.

Sincerely,

A handwritten signature in black ink that reads "Debra A. Howland".

Debra A. Howland  
Executive Director

cc: Service List/Docket File



August 14th, 2018

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
400 North Street  
Harrisburg, PA 17120

**RE: Docket No. A-2018-3003592 – Competitive Energy Services Data Request**

Dear Secretary:

I, Andrew Price, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

Sincerely,

A handwritten signature in blue ink, appearing to read "Andrew Price", is written over a light blue horizontal line.

Andrew Price  
President and Chief Operating Officer