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PA PUBLIC UTILITY COMMISSION  
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159 20th St., Suite 1B  
Brooklyn, NY 11232

P. 212 590 0145

info@feller.law  
www.feller.law

Via FedEx &E-File

September [17], 2018

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
P.O. Box 3265  
Harrisburg, PA 17105-3265

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**Re: Electric Broker Marketer License Application of Utiliz LLC**

Dear Secretary Chiavetta:

Utiliz LLC ("Utiliz") hereby submits one (1) original and one (1) copy of its Electric Broker/Marketer License Application, as well as a \$350 application fee, payable to the Commonwealth of Pennsylvania. Separate public copies of the application have been sent to the required state agencies and utilities.

In addition, in accordance with Pa. Code Section 54.32, Utiliz encloses under separate cover and requests that its responses and corresponding attachments to the following be treated as confidential:

- Attachment 7b (Applicant Financials)
- Attachment 7b (Credit Report)

This information is treated as confidential by Utiliz, and public disclosure of such information could be potentially harmful to its position as a competitive Electric Broker/Marketer. Utiliz further requests that its responses to any subsequent requests for additional information or clarification which staff might make with regard to these same requests also be permitted to be filed under seal.

Thank you, and please direct any questions or concerns about the information enclosed to the undersigned.

Sincerely,

/s/ Lena Golze Desmond

Lena Golze Desmond, Esq.

Feller Law Group, PLLC

159 20<sup>th</sup> St, Suite 1B, Brooklyn, NY 11232

(212) 590-0145

LenaDesmond@feller.law

*Counsel for Utiliz LLC*

Enc.



COMMONWEALTH OF PENNSYLVANIA  
PENNSYLVANIA PUBLIC UTILITY COMMISSION  
400 NORTH STREET, HARRISBURG, PA 17120

**Application Form for Parties Wishing to Offer, Render, Furnish, or Supply  
Electricity or Electric Generation Services to the Public in the Commonwealth  
of Pennsylvania**

Version Revised 03/08/18

**BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION**

Application of **Utiliz LLC**, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) Broker/Marketer engaged in the business of supplying electricity services to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

**1. IDENTIFICATION AND CONTACT INFORMATION**

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

**Utiliz LLC**  
40 Richards Ave 3rd Floor  
Norwalk, CT 06854  
Tel: (844) 707-5757  
Fax: (888) 270-7655

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- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

**Utiliz LLC**  
175 Strafford Avenue Suite One PMB 112  
Wayne, PA 19087  
Tel: (844) 707-5757  
Fax: (888) 270-7655

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

**Utiliz LLC**  
c/o Kim Silvera, Director of Client Relations  
175 Strafford Avenue Suite One PMB 112  
Wayne, PA 19087  
Tel: (844) 707-5757  
Fax: (888) 270-7655

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Natara Feller, Esq.  
Feller Law Group, PLLC  
159 20th St Suite 1B  
Brooklyn, NY 11232  
(212) 590-0145  
natarafeller@feller.law

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- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

**CONTACT #1**

Utiliz LLC  
c/o Kim Silvera, Director of Client Relations  
175 Strafford Avenue Suite One PMB 112  
Wayne, PA 19087  
Tel: (844) 707-5757  
Fax: (888) 270-7655

**CONTACT #2**

Utiliz LLC  
c/o Ed Cuyatti, Manager, Client Services  
175 Strafford Avenue Suite One PMB 112  
Wayne, PA 19087  
Tel: (844) 707-5757  
Fax: (888) 270-7655

**2. BUSINESS ENTITY FILINGS AND REGISTRATION**

- a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")  
Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

**Or**

**X** The Applicant will not be using a fictitious name.

- b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

*(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)*

The Applicant is a sole proprietor.  
- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

**or**

The Applicant is a:

- domestic general partnership (\*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

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- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

OR

- The Applicant is a:
  - domestic corporation (15 Pa. C.S. §1308)
  - foreign corporation (15 Pa. C.S. §4124)
  - domestic limited liability company (15 Pa. C.S. §8913)
  - foreign limited liability company (15 Pa. C.S. §8981)**
  - Other (Describe):
    - Provide proof of compliance with appropriate Department of State filing requirements as indicated above.  
**Please see Exhibit 2b (State Filings).**
    - Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.  
**Please see Exhibit 2b (State Filings).**
    - Give name and address of officers.  
**Please see Exhibit 2b (List of Officers)**

### 3. AFFILIATES AND PREDECESSORS

*(both in state and out of state)*

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

**Please see Exhibit 7b for corporate ownership information. Applicant does not have any affiliates currently applying to do business in PA.**

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

N/A

**4. OPERATIONS**

**a. APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

- The Applicant is presently doing business in Pennsylvania as a
- municipal electric corporation
  - electric cooperative
  - local gas distribution company
  - provider of electric generation, transmission or distribution services
  - broker/marketer engaged in the business of supplying electricity services
  - Other; Identify the nature of service being rendered.

**or**

**X The Applicant is not presently doing business in Pennsylvania.**

**b. APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- X Broker/Marketer engaged in the business of supplying electricity services**  
**X Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.**
- Electric Cooperative and supplier of electric power
- Other (Describe):

**c. PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

**A proprietary, automatic, web-based energy supply management service for residential, commercial and industrial.**

**d. PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- |   |  |
|---|--|
| <input type="checkbox"/> Citizens' Electric | <input type="checkbox"/> Pike          |
| <input type="checkbox"/> Duquesne Light     | <input type="checkbox"/> PPL           |
| <input type="checkbox"/> Met-Ed             | <input type="checkbox"/> UGI Utilities |
| <input type="checkbox"/> PECO               | <input type="checkbox"/> Wellsboro     |
| <input type="checkbox"/> Penelec            | <input type="checkbox"/> West Penn     |
| <input type="checkbox"/> Penn Power         |  |

**X Entire Commonwealth of PA**

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e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)**
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

Upon application approval.

**5. COMPLIANCE**

a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

**The Applicant has no proceedings to list.**

b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

**The Applicant has no actions or complaints to list.**

d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

## 6. PROOF OF SERVICE

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**  
(Example Certificate of Service is attached at Appendix C)

- a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Office of the Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

- b. **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

**Pike County Light & Power Company:**  
Vice President – Energy Supply  
Corning Natural Gas Holding Corporation  
330 West William Street  
Corning, NY 14830

**West Penn:**  
Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

**PECO:**  
Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

**Duquesne Light:**  
Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

**PPL:**  
Office of General Counsel  
Attn: Kimberly A. Klock  
PPL  
Two North Ninth Street (GENTW3)  
Allentown, PA 18101-1179

**Met-Ed, Penelec, and Penn Power:**

**UGI:**

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Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, Pa 19612-2677

**Citizens' Electric Company:**  
Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

**Wellsboro Electric Company:**  
Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901

## 7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...
- Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
  - Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
  - For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

Please see Exhibit 7a (Bond).

### CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at [sjakab@pa.gov](mailto:sjakab@pa.gov) to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond, fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

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b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.

**Please see Exhibit 7b (Organizational Structure).**

- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)

**N/A**

- Applicant's accounting statements, including balance sheet and income statements for the past two years.

**Please see CONFIDENTIAL Exhibit 7b (Applicant Financials), which will be filed under seal.**

- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.

**Please see CONFIDENTIAL Exhibit 7b (Credit Report), which will be filed under seal.**

- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.

- Audited financial statements exhibiting accounts over a minimum two year period.

- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

**N/A.**

d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

**Utiliz collects a monthly or annual subscription fee from our customers. Utiliz does not generally collect from suppliers, although it may collect a nominal amount from suppliers in jurisdictions where we cannot do utility EDI, in order to ensure it may access reliable usage information. For commercial accounts with usage over 250,000 kWh/year, Utiliz may also use embedded billing capped at 2.5 mills.**

e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

**Elina Aniston, VP Finance and Administration  
175 Strafford Avenue Suite One PMB 112  
Wayne, PA 19087  
PH: (844) 606-9185 x 1006  
FAX: (888) 270-7655**

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f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.

*All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.*

*Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.*

## 8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

**Please see Attachment 8a (Experience & Plans), which contains information related to Applicant's previous experience, state license information, staffing structure, and business plans for the Commonwealth.**

b. **PROPOSED MARKETING METHOD** (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing**
- External EGS – Applicant will contract with a PUC LICENSED EGS broker/marketer**
- Affiliate – Applicant will use a NON-EGS affiliate marketing company and or individuals.**
- External Third-Party – Applicant will contract with a NON-EGS third party marketing company and or individuals**
- Other (Describe):**

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

**Applicant will not be implementing door to door sales activities.**

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- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

**Utiliz LLC markets to customers via an online presence, word of mouth, customer referral program, company developed print and online advertising. Prospective customers may submit quote requests to evaluate potential savings before executing an agreement with Utiliz LLC. Please also see Exhibit 8d (Training Materials).**

- e. **OFFICERS:** Identify Applicant's chief officers and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

See attached Exhibit 8E\_Resumes

- f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.  
 Received approval from FERC to be a Power Marketer at Docket or Case Number \_\_\_\_\_.  
 Not applicable

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## 9. DISCLOSURE STATEMENTS:

**Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

***Not applicable for an applicant applying for a license exclusively as a broker/marketer.***

## 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
- proof of registration as a PJM Load Serving Entity (LSE), or
  - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

*(Select only one of the following)*

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license

**X ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information**

**b. STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

**X AGREED**

**c. REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on [http://www.puc.pa.gov/consumer\\_info/electricity/alternative\\_energy.aspx](http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx). Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

**X AGREED**

**d. TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

**X AGREED**

**e. ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

**X ACKNOWLEDGED**

**f. FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

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**X      AGREED**

- g. FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

**X      AGREED**

- h. NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

**X      AGREED**

- i. CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

**X      AGREED**

- j. Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.

**X      AGREED**

- k. FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by CERTIFIED CHECK OR MONEY ORDER in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

**X      PAYMENT ENCLOSED**

## **11.    AFFIDAVITS**

**Must be notarized before filing.**

- a. APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b. OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

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## 12. NEWSPAPER PUBLICATIONS

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

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**13. SIGNATURE**

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Applicant: Kevin Manley

By: 

Title: Founder & Chief Technology Officer

**14. CHECKLIST**

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: Utiliz LLC

	<b>Signature</b>	
	<b>Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)</b>	
	<b>Application Affidavit</b>	
	<b>Operations Affidavit</b>	
	<b>Proof of Publication</b>	
	<b>Bond, Letter of Credit, or Parental/Affiliate Guarantee</b>	
	<b>Tax Certification Statement</b>	
	<b>Commonwealth Department of State Verification</b>	
	<b>Certificate of Service</b>	

Applicant's Use

PUC Secretary's Bureau Use

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of Connecticut

: ss. Norwalk

County of Fairfield :

Kevin Manley, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He is the Chief Technology Officer (Office of Affiant) of Utiliz LLC (Name of Applicant);

That he is authorized to and does make this affidavit for said Applicant;

That the Applicant herein Utiliz LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Utiliz LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Utiliz LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

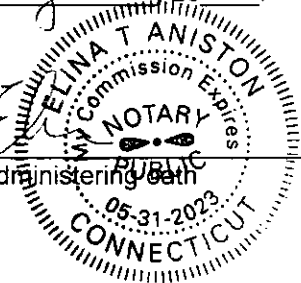
That the Applicant herein Utiliz LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Signature of Affiant

Sworn and subscribed before me this 24<sup>th</sup> day of July, 2018.

Signature of official administering oath



My commission expires 05/31/2023

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Appendix B

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OPERATIONS AFFIDAVIT

[Commonwealth/State] of Connecticut:

: ss. *Norwalk*

County of Fairfield :

Kevin Manley, Affiant, being duly [sworn/affirmed] according to law,  
deposes and says that:

He is the Chief Technology Officer (Office of Affiant) of Utiliz LLC  
(Name of Applicant);

That he is authorized to and does make this affidavit for said Applicant;

That Utiliz LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Utiliz LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Utiliz LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2 ), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

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Appendix B (Continued)

PA PUBLIC UTILITY COMMISSION  
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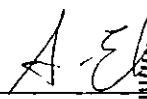
That Utiliz LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

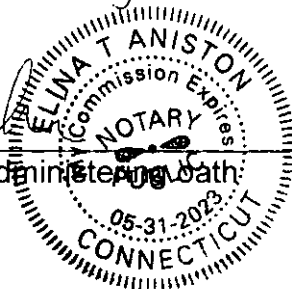
That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

  
\_\_\_\_\_  
Signature of Affiant

Sworn and subscribed before me this 24<sup>th</sup> day of July, 2018.

  
\_\_\_\_\_  
Signature of official administering oath



My commission expires 05/31/2023.

## VERIFICATION

I, Lena Golze Desmond, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).



Lena Golze Desmond, Esq.  
Feller Law Group, PLLC  
159 20<sup>th</sup> St, Suite 1B  
Brooklyn, NY 11232  
lenadesmond@feller.law  
*Counsel for Utiliz LLC*

Date: September 17, 2018

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## Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

### Example CERTIFICATE OF SERVICE

On this the 17 day of ~~August~~ <sup>September</sup> 2018, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

Vice President – Energy Supply  
Corning Natural Gas Holding Corporation  
330 West William Street  
Corning, NY 14830

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

Office of General Counsel  
Attn: Kimberly A. Klock  
PPL  
Two North Ninth Street (GENTW3)  
Allentown, PA 18101-1179

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, Pa 19612-2677

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901

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Kevin Manley, Utiliz LLC

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**Exhibit 2b**  
**Principal Officers Directors & Partners**

**Exhibit 2b**  
**Principal Officers Directors & Partners**

**Ownership**

100% owned by Path One Group, LLC

**Control**

Path One Group, LLC is a manager managed limited liability company. The LLC is managed by two members, who at present are Cami Boehme (CEO) and Kevin Manley (CTO). The major company decisions are made at meetings of the members, which are scheduled quarterly and/or when necessary.

**Principal Officers**

Cami Boehme - Chief Executive Officer

Personal address - 23 Cardinal Rd, Weston, CT 06883

Kevin Manley - Chief Technology Officer

Personal address - 20 Clayton Place, Ridgefield, CT 06877

**Exhibit 2b**  
**State Filings**

**PENNSYLVANIA DEPARTMENT OF STATE  
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS**

Return document by mail to:

Kevin Manley  
 Name  
 40 Richards Ave 3rd Flr  
 Address  
 Norwalk CT 06854  
 City State Zip Code

Return document by email to: kim@myutilz.com

Foreign Registration Statement  
 DSCB:16-412  
 (rev. 2/2017)

TML180621JD1946

Read all instructions prior to completing. This form may be

Fee: \$250

I qualify for a veteran/reservist-owned small business fee exemption (see instructions)

In compliance with the requirements of the applicable provisions of 15 Pa. C.S. § 412 (relating to foreign registration statement), the undersigned foreign association hereby states that:

1. The type of association is (check only one):

- Business Corporation
- Nonprofit Corporation
- Limited Liability Company
- Limited Partnership
- Limited Liability (General) Partnership
- Limited Liability Limited Partnership
- Business Trust
- Professional Association

2. The full and proper name of the foreign association as registered in its jurisdiction of formation is:

UTILZ LLC

2A. If the name in 2 does not contain a required designator or if the name in 2 is not available for use in the Commonwealth, the alternate name under which the association is registering in this Commonwealth is:

3. The jurisdiction of formation is: CT

4. The street and mailing address of the association's principal office.

<u>40 Richards Ave 3rd Flr</u>	<u>Norwalk</u>	<u>CT</u>	<u>06854</u>
Number and street	City	State	Zip

4A. The street and mailing address of the office, if any, required to be maintained by the law of the association's jurisdiction of formation in that jurisdiction:

<u>40 Richards Ave 3rd Flr</u>	<u>Norwalk</u>	<u>CT</u>	<u>06854</u>
Number and street	City	State	Zip

PA DEPT OF STATE

JUN 21 2018



**Exhibit 2B(2) - CT Certificate of Legal Existence**

Office of the Secretary of the State of Connecticut

I, the Connecticut Secretary of the State, and keeper of the seal thereof,  
DO HEREBY CERTIFY, that articles of organization for

UTILIZ LLC

a domestic limited liability company, were filed in this office on April 27, 2016.

Articles of dissolution have not been filed, and so far as indicated by the records of this office such  
limited liability company is in existence.



---

Secretary of the State

Date Issued: July 31, 2018



**SECRETARY OF THE STATE OF CONNECTICUT**

MAILING ADDRESS: COMMERCIAL RECORDING DIVISION, CONNECTICUT SECRETARY OF THE STATE, P.O. BOX 150470, HARTFORD, CT 06115-0470  
 DELIVERY ADDRESS: COMMERCIAL RECORDING DIVISION, CONNECTICUT SECRETARY OF THE STATE, 30 TRINITY STREET, HARTFORD, CT 06108  
 PHONE: 860-509-6003 WEBSITE: WWW.CORPORATE.DOTS.CT.GOV

**ARTICLES OF ORGANIZATION  
 LIMITED LIABILITY COMPANY - DOMESTIC**

C.G.S. §§34-120, 34-121

USE INK. COMPLETE ALL SECTIONS. PRINT OR TYPE. ATTACH 8 1/2 X 11 SHEETS IF NECESSARY.

<p><b>FILING PARTY (CONFIRMATION WILL BE SENT TO THIS ADDRESS):</b>                  NAME: <u>KEVIN T. MANLEY</u> <span style="float:right">Kevin@utiliz. CT</span>                  ADDRESS: <u>20 CLAYTON PL</u>                   CITY: <u>RIDGEFIELD</u>                  STATE: <u>CT</u> <span style="float:right">ZIP: <u>06877</u></span></p>	<p><b>FILING FEE: \$120</b>                  MAKE CHECKS PAYABLE TO "SECRETARY OF THE STATE"</p>
<p><b>1. NAME OF LIMITED LIABILITY COMPANY - REQUIRED:</b> (MUST INCLUDE BUSINESS DESIGNATION I.E. LLC, L.L.C., ETC)  <u>UTILIZ LLC</u></p>	
<p><b>2. DESCRIPTION OF BUSINESS TO BE TRANSACTED OR PURPOSE TO BE PROMOTED - REQUIRED:</b>                  ATTACH 8 1/2 X 11 SHEETS IF NECESSARY.  <u>INTERNET SERVICES</u></p>	
<p><b>3. LLC'S PRINCIPAL OFFICE ADDRESS - REQUIRED:</b> (NO P.O. BOX) PROVIDE FULL ADDRESS. "SAME AS ABOVE" NOT ACCEPTABLE.                  ADDRESS: <u>20 CLAYTON PL</u>                   CITY: <u>RIDGEFIELD</u>                  STATE: <u>CT</u> <span style="float:right">ZIP: <u>06877</u></span></p>	
<p><b>4. MAILING ADDRESS, IF DIFFERENT THAN #3:</b> PROVIDE FULL ADDRESS. "SAME AS ABOVE" NOT ACCEPTABLE.                  ADDRESS: <u>PO BOX 661</u>                   CITY: <u>RIDGEFIELD</u>                  STATE: <u>CT</u> <span style="float:right">ZIP: <u>06877</u></span></p>	
<p><b>5. APPOINTMENT OF STATUTORY AGENT FOR SERVICE OF PROCESS - REQUIRED:</b> (COMPLETE A OR B NOT BOTH)  <input checked="" type="checkbox"/> <b>A. IF AGENT IS AN INDIVIDUAL.</b>                  PRINT OR TYPE FULL LEGAL NAME: <u>KEVIN T MANLEY</u></p>	
<p><b>BUSINESS ADDRESS</b>                  (P.O. BOX NOT ACCEPTABLE) IF NONE, MUST STATE "NONE"</p>	<p><b>CONNECTICUT RESIDENCE ADDRESS</b>                  (P.O. BOX NOT ACCEPTABLE)</p>
<p>ADDRESS:                   CITY: <u>NONE</u>                  STATE:                  ZIP:</p>	<p>ADDRESS: <u>20 CLAYTON PL</u>                   CITY: <u>RIDGEFIELD</u>                  STATE: <u>CT</u>                  ZIP: <u>06877</u></p>
<p><b>SIGNATURE ACCEPTING APPOINTMENT:</b></p> <div style="text-align: center; margin-top: 20px;"> </div>	

B. IF AGENT IS A BUSINESS:  
 PRINT OR TYPE NAME OF BUSINESS AS IT APPEARS ON OUR RECORDS:

**CT BUSINESS ADDRESS (P.O. BOX UNACCEPTABLE)**

ADDRESS:

CITY:

STATE:

ZIP:

SIGNATURE ACCEPTING APPOINTMENT ON BEHALF OF AGENT:

PRINT NAME & TITLE OF PERSON SIGNING:

**6. MANAGER OR MEMBER INFORMATION-REQUIRED: (MUST LIST AT LEAST ONE MANAGER OR MEMBER OF THE LLC.)**  
 ATTACH 8 1/2 X 11 SHEETS IF NECESSARY.

NAME	TITLE	BUSINESS ADDRESS (No. P.O Box) IF NONE, MUST STATE "NONE"	RESIDENCE ADDRESS: (No. P.O Box)
KEVIN MANLEY	MANAGER	NONE	20 CLAYTON PL RIDGEBFIELD, CT 06877
THOMAS PLACE	MANAGER	NONE	323 FRONT ST NYACK, NY 10960

**7. MANAGEMENT - PLACE A CHECK NEXT TO THE FOLLOWING STATEMENT ONLY IF IT APPLIES**


MANAGEMENT OF THE LIMITED LIABILITY COMPANY SHALL BE VESTED IN A MANAGER OR MANAGERS

**8. ENTITY EMAIL ADDRESS-REQUIRED: (IF NONE, MUST STATE "NONE")**

info@utiliz.co

**9. EXECUTION: (SUBJECT TO PENALTY OF FALSE STATEMENT)**

DATED THIS 27TH DAY OF APRIL, 20 16

NAME OF ORGANIZER (PRINT OR TYPE)	SIGNATURE
KEVIN T MANLEY	

AN ANNUAL REPORT WILL BE DUE YEARLY IN THE ANNIVERSARY MONTH THAT THE ENTITY WAS FORMED REGISTERED AND CAN BE EASILY FILED ONLINE @ [WWW.CONCORD-SOIS.CT.GOV](http://WWW.CONCORD-SOIS.CT.GOV). CONTACT YOUR TAX ADVISOR OR THE TAXPAYER SERVICE CENTER AT THE DEPARTMENT OF REVENUE SERVICES AS TO ANY POTENTIAL TAX LIABILITY RELATING TO YOUR BUSINESS, INCLUDING QUESTIONS ABOUT THE BUSINESS ENTITY TAX. TAX PAYER SERVICE CENTER: (800) 382-9463 OR (860) 297-5962 OR GO TO [WWW.CT.GOV/Drs](http://WWW.CT.GOV/Drs)

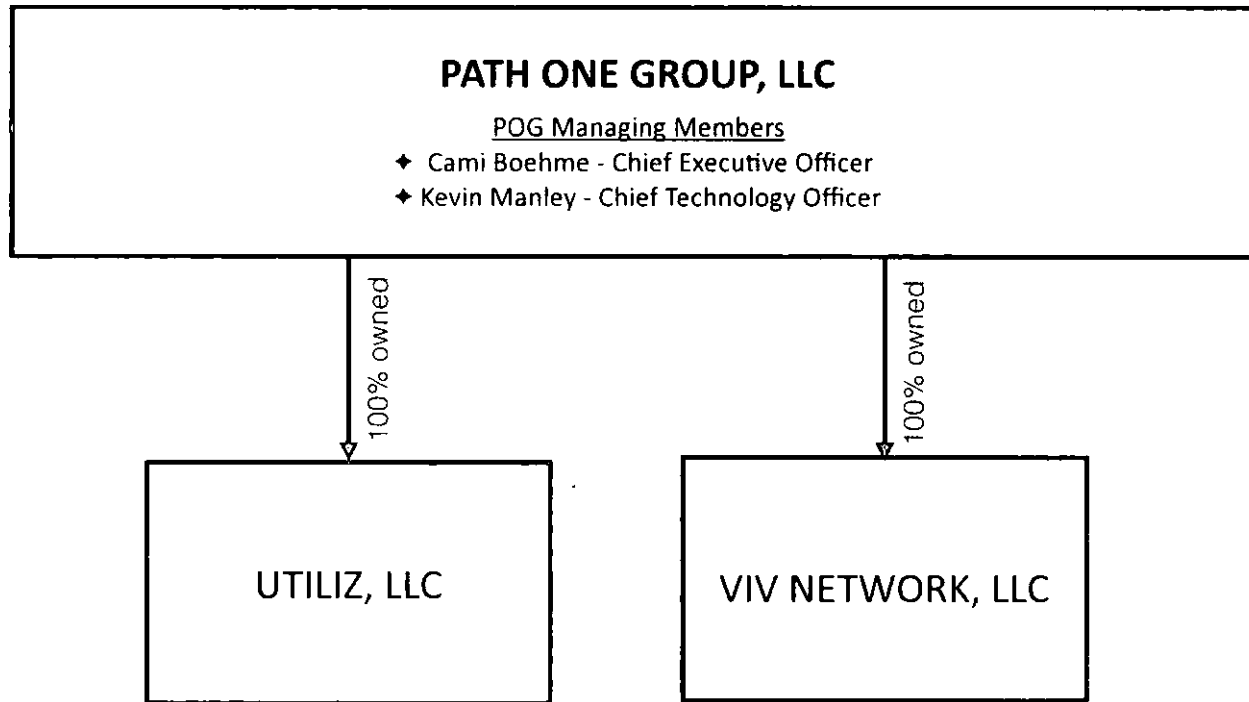
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**Exhibit 7b**  
**Corporate Structure**

## PATH ONE GROUP OF COMPANIES ORG CHART



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**Exhibit 7b**  
**Applicant Financials (2017-16)**  
**CONFIDENTIAL**

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**Exhibit 7b**  
**Credit Report**  
**CONFIDENTIAL**

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**Exhibit 8a**  
**Summary of Experience & Plans**



**Exhibit 8(A)**  
**Summary of Experience & Plans**

Utiliz has been serving customers since mid-2016, and currently has over 10 million kWh/yr under management (over 1,000 Residential Customer Equivalents). Utiliz LLC has executed thousands of enrollments on behalf of its clients, and saved its customers over \$339,486 compared to standard service since September 2016 (statistics updated daily on its webpage: <https://www.myutiliz.com/>).

As of August 8, 2018, Utiliz is currently licensed in the following states:

- As an electricity aggregator in the State of Connecticut (PURA docket 16-10-04);
- As an electric broker and aggregator in the State of Maryland (docket IR-4067);
- As an Energy Agent and Energy Consultant (registration numbers EA-0426 and EC-0137) in the State of New Jersey; and,
- As an energy broker in the State of New Hampshire (docket DM 17-092)
- Utiliz also does business in New York where there is no energy broker licensing requirement.

Utiliz has the necessary experience to fulfill the technical competency required to operate as an energy broker / aggregator in the state of Pennsylvania. The founder brings over 16 years' experience building technology solutions and working with highly efficient trading markets and high customer volume. The executive team brings over 18 years experience in the deregulated energy market. *Please also see the attached resumes for more detail.*

Utiliz employs an existing team of front and back office team members who are responsible for processing online customer enrollments, responding to customer inquiries via chat, e-mail and phone, facilitating customer renewals and liaising with retail suppliers. These team members bring 21 years of utility and retail energy supplier customer service experience. View over 50 5-star testimonials at <https://trustspot.io/store/Utiliz>

The Utiliz LLC service offering is solely management of retail suppliers on behalf of its customers, including:

- Identification of retail supplier and plan to best fit our customer
- Automatic enrollment of customers onto plans
- Querying customer usage history periodically
- Monitoring of market prices and plan availability to ensure clients remain on competitive plans
- Negotiating plan rates on behalf of our clients
- Re-enrolling a customer automatically when their current enrollment is about to end.
- Moving customers to standard service temporarily if we can't find them a better deal in the retail market
- Handling first line support for our customers
- Working closely with state regulators to help improve the deregulated energy markets in their states

We look forward to bringing the Utiliz service to Pennsylvania!

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**Exhibit 8d Training  
Materials**

# Confident Customer Care

## Why is Confidence Important and how do I convey it?

### Why is it important to convey confidence when speaking with someone?

- **Sounding confident on the phone makes for a more effective and productive conversation with your customer.**
- **This means that the customer will be more likely to:**
  - Trust the information you are giving out.
  - Desire to work with you and hear what you are saying.
  - Trust your ability to resolve their issue.

People make all sorts of snap judgments when hearing your voice. Your tone, pitch, pace, and inflection conveys all sorts of meaning.

### How can I sound Confident on the phone?

Sounding confident will require that we make some adjustments to our speech pattern. We'll need to eliminate words and verbal cues that might make a customer feel that you are less than sure of the information you are giving out.

Some common verbal cues and words you can try to eliminate from your speech are:

- Saying 'um' and 'ah' more often than you should
- Using **"Filler Words"** that can make you sound unsure or immature, such as:
  - "Like"
  - "Sort Of"
  - "I believe"
  - "You Know"
- **Raising the pitch of your voice at the end of a statement** (as if you were asking a question). Doing this makes an individual sound:
  - Unsure of the information being provided
  - Unprofessional
- **Speaking constantly in the same tone of voice**, which can make you sound boring, tired, and uninterested.

Making an effort to replace those verbal cues with language and intonations that express a level of confidence on the phone will not only set your customer at ease, but also convey that you are capable of solving their problem.

You may want to think about the following points when assessing how to convey certain pieces of information:

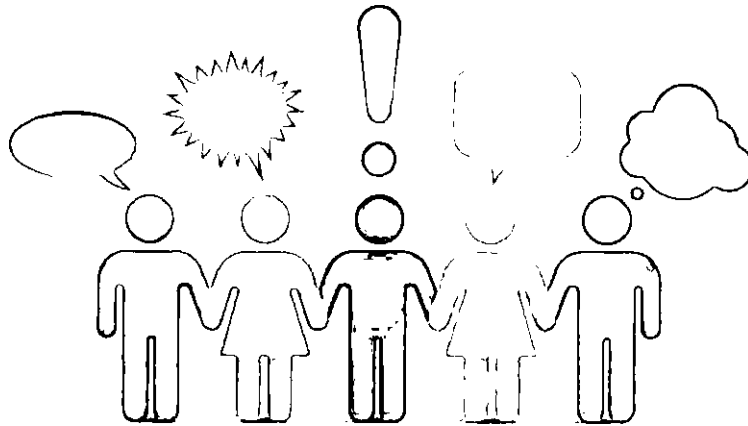
- **People respond positively to words that are active rather than passive.**
  - It's the subtle difference between saying "*I Can*" and "*I Will*"
  - Think of the passive catch-all, "*Let me see what I can do*", which sets the customer's expectations somewhere between low and zero.
  - Avoid using words that are non-committal, like saying "*I may be able to help you with that.*"
  - Changing that to "*I'm delighted to help*" let's a customer know that you actually **want** to assist them.
  - Here are some more examples of positive words that you can use to replace vague language:
    - Delighted
    - Absolutely
    - Pleasure
    - Happy
    - Yes
- Delivering information in a cheerful, upbeat, and **natural** manner.
  - A confident person is a person who is certain and looks forward to helping.
  - Be sure to express your certainty about the information you're giving, while maintaining an upbeat attitude on the phone.

### **Things to Remember:**

- Don't rely on forced or scripted language when you are connecting with a person on the phone.
- Make sure your customer knows they are talking to a **REAL** person, who is both confident in their ability to assist the customer, as well as genuinely glad for the opportunity to be of assistance.
- In the event a customer asks to speak with a team lead or supervisor, let them know that you are capable of handling their concern but will certainly escalate the call if you are unable to satisfactorily resolve their concern.

# Customer Care Communication

## The 4 C's of Call Center Communication



**In Customer Care we are required to effectively communicate with customers.**

While what we communicate (topics and content) can vary, the way in which we release and collect information from a customer must follow some simple guidelines to ensure effective communications with our customers. When talking with a customer, it is imperative that we conduct ourselves in a way that allows us to be easily understood.

Let's discuss the **4 C's of Communication**, and how they can improve our day-to-day interactions with Customers and Co-Workers alike.

### C # 1: Comprehension

Within the first few moments of a call or conversation, it's likely you will get a feeling of the comprehension level of the person you are assisting.

Listening to the information provided to you by a customer, and the manner in which the information is relayed will be key in helping you decipher a customer's communication style.



Once you know what style of communication your customer prefers, you'll be better able to prepared to communicate with them.

Some Customer Communication Styles are listed below:

- **Assertive** – This person will possess a medium pitch, speed, and volume in their conversation. They are looking to achieve goals without hurting anyone else, and are protective of their own rights, while respectful of another person's.

This individual will ask questions directly, make choices, and take responsibility for those choices.

Example Comment:

*"Can you please explain to me why I was billed for a medium plan when I signed up for a small plan?"*

- **Aggressive** – This individual is focused on winning. They may feel as though their needs are more important than the needs of others. The customer who uses this communication style may have a loud tone of voice, and may use language that is demanding or abrasive. They can escalate easily to using language that is belligerent or explosive.

Example Comment:

*"I have been ripped off over the years with high rates – I hope you guys aren't going to rip me off too!"*

- **Passive-Aggressive** – Customers who use this style of communication often use language that is sarcastic and can be interpreted as patronizing. This person will often sulk in their current state and complain without clearly indicating that they looking or resolution of an issue.

Example Comment:

*"I hope your company isn't going to charge me whatever you want?"*

- **Submissive** – When dealing with a person who uses this communication style, you will find that they are apologetic, avoiding confrontation, and have a tendency to blame others for events.

Example Comment:

*"You see no one ever explained to me that this is how it works, and my wife signed us up for this."*

- **Manipulative** – This individual may be trying to influence or control the outcome of a conversation to their own advantage. They will ask indirectly for something, trying to make others feel sorry for them.

*"I didn't have time to enroll with you guys, so I ended up on a high renewal rate. I wish your website was easier to find."*

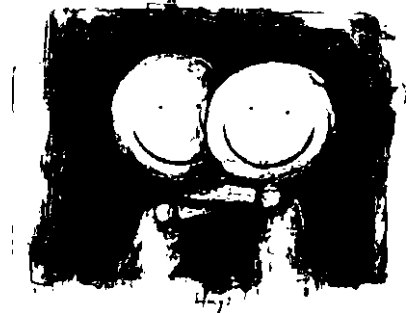
Once we have an understanding of how the individual we are attempting to service communicates, we'll be better able to extract from them the rest of the information what we need to understand in order to assist them.

**PLEASE NOTE:** Just because a Customer uses a specific style of communication, does not mean that the Customer Care Representative should use a similar style. We should always aim to be **Assertive** in our communications with the customer—being completely direct and clear, in a respectful manner.

## **C # 2: Care**

We should always take into consideration the way in which we are conveying sounds to our caller, and seek to express information in such a way that the customer feels we are empathetic and genuinely interested in their issue or question.

The best way we can express this type of consideration for their concern is by using some of the skills listed below:



- **Active Listening** – Do not interrupt or speak over a Customer. If you hear a Customer trying to speak, stop speaking, and allow them communicate their thought. Additionally, we should never allow any dead air throughout a conversation, as this gives the customer the idea that you are not listening and thereby not interested in the information they are trying to give to you.
- **Acknowledgement** – If a Customer gives you a piece of information, acknowledge it, but do not rush the communication in the hopes they'll get to more "important" information. Remember, when it comes to a Customer, everything they have to say is important!

## **C # 3: Context**

Context is defined as the set of circumstances or facts that surround a particular event. Setting a Context for information applies to what we ask for from a customer.

**Context**  
Matters

For Example:

- If I am asking a Customer for a copy of their bill, setting context might include letting them know that in order to assist them with resolving their issue, I require a copy of their bill.

Example:

*“Because we are unable to read your uploaded bill, would you be willing to email me a copy of the bill so I may adjust the usage figures on your account which will resolve the matter.”*

- If I am asking a Customer with only a couple of months of usage for their home’s square footage and heating source, I should prepare them by letting them know WHY I am asking for these details.

*“I see that your uploaded bill reflects only Jan through March usage. To evaluate and enroll you on the correct plan, I need to estimate your annual usage. I am able to do that if I know the size of your home and type of heat used.”*

The goal is to avoid the question “**Why**” from a Customer. If I provide you with pertinent details up front, it is less likely that you will wonder why I need specific information from you.

## **C # 4: Conciseness**

To be concise is to express a wealth of information in a brief form; releasing information with clarity and crispness.

Clear & Concise



The normal tendency for us as human beings is to tune out things that we don’t find interesting or don’t feel is applicable to us. In Customer Care we have the benefit of knowing and practicing skills that can help us pay attention, but our customers are not always in the same boat. If we ramble or “beat around the bush” when providing information, customers will not really pay attention, and you will lose your opportunity to delight and retain customers.

What can we do to make sure we have a customer’s attention? Be Concise!

Below are some things we can do when evaluating information we are ready to release in order to ensure it is concise.

- Use key “**Grabber**” words that will gain the attention of the customer, such as:
  - “I have good news for you!”
  - “This is what I am able to do for you now”
  - “We have a great opportunity for you to receive automatic savings!”



- “I have a plan offering that is 4 cents below your utility, and the plan also includes a \$50 gift card reward!”

The key is to get your customer’s attention then release important details. Don’t spend time explaining a plan details to a customer who is NOT paying attention. Use your **“Grabber”** comments, then wait for a response from a customer before laying out specifics.

- **Use your voice to capture your audience.**
  - If you don’t sound excited about auto-switching, your customer will not be interested in it either.
  - Changing the pitch/tone of your voice will draw attention to what you are saying, and can also be an effective **“Grabber”** technique.

What we’re looking to do is to not only get, but **KEEP** your customer’s full attention. You may need to change not only **WHAT** you say, but **HOW** you say it in order to maintain the undivided attention of your caller.

- **Avoid using “Company Jargon” or language referring to internal processes.**
  - Don’t waste time giving your customer details that don’t apply to them directly. Your customer doesn’t need to know that we submit an enrollment via a spreadsheet that gets processed by the supplier. These details are sometime confusing for the customer.

**The bottom line is this:**

- **A Confused Customer is an Unhappy Customer!** And an unhappy customer often turns into either an **Angry Customer**, or worse still—an **EX-Customer!** Don’t run the risk of confusing a customer!
- **Stick to the facts!**
  - Don’t intermingle your own opinions or feelings about a certain product or offering to a customer. Stick to approved talking points, and if you’re unsure or need assistance in highlighting the features/benefits of a product – **ASK FOR HELP.**
- **Be Clear!**
  - Say what you mean and mean what you say! Before responding to a Customer, ask yourself two questions:

***“Does this information matter to my customer?”***

***“Should this information matter to my customer?”***

If the answer is YES to either question, be clear with the customer, letting them know exactly what is necessary in a way that is CLEAR and CONCISE!

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SECRETARY'S BUREAU

**Exhibit 8e**  
**Officer Resumes**

Utiliz LLC Exhibit B-3(1)

**Cami Boehme**

23 Cardinal Road | Weston, CT 06883 | 203-349-5103 | cami@boehmemanagement.com

---

**PROFILE:**

Strategic executive and entrepreneur with ability to lead cross-functional teams to implement business initiatives. A blend of strategic and tactical, expertise includes an ability to guide teams with a balance of creative management and operational process improvement to efficiently execute across a variety of disciplines.

**PROFESSIONAL EXPERIENCE:**

**Path One Group, LLC (Utiliz, LLC), Norwalk, CT** Jan 2018 – Present

*Founder & Chief Executive Officer*

Created a company and business model to transform the deregulated retail energy market by offering products that significantly simplify and add value to the energy switching and renewal process.

**Viridian International Management, LLC, Norwalk, CT** Jul 2016 – Dec 2017

*Chief Operating Officer*

Responsible for all aspects of the company operations including sales force management, marketing, operations, product development & expansion, project delivery, partner and relationship management, strategic planning, communications and leadership development.

**Crius Energy, LLC, Norwalk, CT** Sep 2010 – Jul 2016

*Chief Strategy Officer*

Responsible for P&L for one of the company's largest brands/channels, coordination of all general management for each business unit including forecasting, planning, staffing, sales management, marketing, process improvement, product implementation and overall execution of the business.

**Utah State University, Huntsman School of Business** Mar 2010 – Sep 2010

*Assoc. Director of Marketing*

Led research initiative and strategic plan for development of a multi-disciplinary curriculum development focused on merging design thinking, business functional expertise and communication strategy into a unique training program.

**Advent Creative** Sep 2009 – Sep 2010

*PARTNER, BRAND DIRECTOR*

Implemented new systems for job tracking, account services and internal operations, including profitability measures, new business development procedures and production standards.

**Digital Slant**

*FOUNDER, PRESIDENT AND BRAND DIRECTOR*

Aug 1998 – Sept 2009

Managed a staff of design and marketing professionals to fulfill branding, marketing, design, advertising, media placement and other services for a variety of clients.

**EDUCATION**

**Bachelor of Science, May 2000**

Journalism and Communications

Utah State University, Logan, Utah

**Master of Business Administration, May 2010**

Huntsman School of Business

Utah State University, Logan, Utah

## Utiliz LLC Exhibit B-3(2)

# Kevin T. Manley

---

CONTACT INFORMATION	20 Clayton Place Ridgefield, CT 06877 cell: (203) 501-5006	kevin.manley@gmail.com linkedin.com/in/kevinmanley github.com/kmanley
PROFILE	Full stack developer. Hands-on software architect. Excellent communicator. Effective as individual contributor or team leader.	
PROFESSIONAL EXPERIENCE	<b>Utiliz (myutiliz.com)</b> , Ridgefield, CT <i>Founder &amp; CTO</i> Built all company technology: consumer site, admin site, simulation & workflow engine, web scrapers, supplier & payment integration. Go/RethinkDB/HTML/jQuery/AWS (S3, SES)/Stripe. <b>Bank of America Merrill Lynch</b> , New York, NY <i>Director</i> Built trading & marking applications for multi-billion dollar mortgage trading desk. Managed a global team. Python/NoSQL/grid/async/GUI. <b>Bridgewater Associates</b> , Westport, CT <i>Software Architect</i> Built backoffice app framework for world's largest hedge fund. Brought modern ideas to an aging software stack, improving performance & reliability. Trained and mentored team. Python/C#/SQL Server/HTML/jQuery/REST. <b>Independent Consultant</b> , London, UK Specialized in scaling hedge fund and bank EOD batch processing using grid computing. Python/C/C++/SWIG/SQL Server/HTML/Javascript/Sungard Front Arena/grid/async. · <b>Standard Bank</b> Built next generation credit risk engine, result was 50% less code and 5x faster. · <b>Capula Global</b> Leveraged unused capacity on 70 desktop machines to speed up EOD batch 4x. · <b>Conduit Capital</b> Built a fast, distributed Monte Carlo pricing framework for exotic derivatives. · <b>Peloton Partners</b> Sped up daily P&L reporting 14x from 7h to 30m. · <b>Citi</b> Implemented cost-based distributed FX option pricing on Platform Symphony grid. · <b>Barclays Capital</b> Key developer on Core Risk Engine. Embedded Python for easier testing. <b>Arts Alliance Media Ltd.</b> , London, UK <i>Architect &amp; Lead Software Developer</i> Built Newman Online, an advanced SaaS cinema management system currently running hundreds of screens across Europe. Python/SQL Server/XML/HTML/electronic payments/hardware integration. <b>Software Developer</b> , Tucson, AZ & Seattle, WA Multiple C/C++ roles from mobile games to Internet ad servers. Details on request. <b>US Air Force</b> , Davis-Monthan Air Force Base, Tucson, AZ <i>Officer</i> Transformed the bio-engineering field with novel software. Led a team in Operation Desert Storm.	<b>Apr 2016 – Present</b> <b>Jun 2012 – Dec 2015</b> <b>Aug 2010 – Jun 2012</b> <b>Jul 2006 – Aug 2010</b> <b>Oct 2002 – Jun 2006</b> <b>Sep 1992 – Oct 2002</b> <b>Sep 1988 – Sep 1992</b>
PROGRAMMING SKILLS	Python, Go (golang), HTML, CSS, JS/jQuery, C/C++, SQL, NoSQL, concurrent/async/event-driven programming, grid computing, SOA, microservices, OOP, XML, JSON, HTTP, REST, TCP/IP, requirements gathering, large scale software design, TDD, Agile, version control (git, svn)	
EDUCATION	<b>University of Washington</b> , Seattle, WA <i>MS Computer Science (Honors)</i> <b>Worcester Polytechnic Institute</b> , Worcester, MA <i>BS Electrical Engineering (High Distinction)</i> , 4 Year US Air Force Scholarship.	<b>2001–2002</b> <b>1984–1988</b>
REFERENCES	Available on request.	

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**Exhibit 12**  
**Newspaper Publication Proofs**

### Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the \_\_\_\_\_ regular \_\_\_\_\_ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

**06 of August, 2018**

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

*K. Flaherty*  
PG Publishing Company

Sworn to and subscribed before me this day of:  
August 06, 2018

*Elizabeth R. Chmura*

Commonwealth of Pennsylvania - Notary Seal  
Elizabeth R. Chmura, Notary Public  
Allegheny County  
My commission expires February 8, 2022  
Commission number 1326781  
Member, Pennsylvania Association of Notaries

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SEP 17 2018  
PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**STATEMENT OF ADVERTISING COSTS**  
Path One Group  
40 Richards Avenue, 3rd Floor  
Norwalk Connecticut 06854

To PG Publishing Company

Total ----- \$410.75

### Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office  
2201 Sweeney Drive  
CLINTON, PA 15026  
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of  
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By *Samuel J. Arbutnot*  
Samuel J. Arbutnot

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

### COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA  
PUBLIC UTILITY  
COMMISSION  
NOTICE  
Application of Utiliz LLC For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Marketer/ Broker Engaged In The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.  
Utiliz LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Utiliz LLC proposes to sell electricity and related services in Citizens' Electric, Duquesne Light Company, Met-Ed, PECO, Penelec, Perrin Power, Pike, PPL, UGI Utilities, Westboro, and West Penn under the provisions of the new Electricity Generation Customer Choice and Competition Act.  
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Utiliz LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Utiliz LLC's attorney at the address listed below.  
By and through Counsel:  
Natara G. Feller, Esq.  
Utiliz LLC  
c/o Feller Law Group, PLLC  
159 20th Street, Ste. 1B  
Brooklyn, NY 11232  
(212)590-0145



Commonwealth of Pennsylvania,) ss  
County of Cumberland)

Crystal Rosensteel being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 08/02/2018

Principal Clerk of the Publisher

Sworn to and subscribed before me this 3rd day of August 2018

Commonwealth of Pennsylvania - Notary Seal  
Donna M. Maldonado, Notary Public  
Dauphin County  
My commission expires November 5, 2021  
Commission number 1252842  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

  
Notary Public

**PENNSYLVANIA PUBLIC UTILITY  
COMMISSION  
NOTICE**

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By and through Counsel:  
Nataro G. Feller, Esq.  
Utiliz LLC  
c/o Feller Law Group, PLLC  
159 28th Street, Ste. 1B  
Brooklyn, NY 11232  
(212)590-0145

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SEP 17 2018

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT  
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

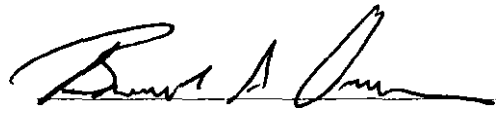
COUNTY OF LYCOMING

SS:

Bernard A. Oravec, Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

August 3, 2018

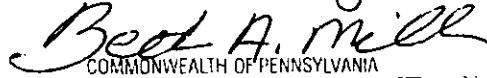
Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.



SUN-GAZETTE LLC

Sworn to and subscribed before me

The 3<sup>rd</sup> day of August 20 18



COMMONWEALTH OF PENNSYLVANIA

Notary Public

NOTARIAL SEAL  
BETH A MILLER  
Notary Public  
CITY OF WILLIAMSPORT, LYCOMING COUNTY  
My Commission Expires Apr 18, 2020

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates.....\$247.04

Probated same.....\$

Total.....\$247.04

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Bernard A. Oravec

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SEP 17 2018

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

PENNSYLVANIA  
PUBLIC UTILITY  
COMMISSION  
NOTICE

Application of Utiliz LLC For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Marketer/Broker Engaged In The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.

Utiliz LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Utiliz LLC proposes to sell electricity and related services in Citizens' Electric, Duquesne Light Company, Met-Ed, PECO, Penelec, Penn Power, Pike, PPL, UGI Utilities, Wellsboro, and West Penn under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Utiliz LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Utiliz LLC's attorney at the address listed below.

By and through Counsel:  
Natara G. Feller, Esq.  
Utiliz LLC  
c/o Feller Law Group,  
PLLC 159 20th Street,  
Ste.1B Brooklyn, NY  
11232 (212)590-0145



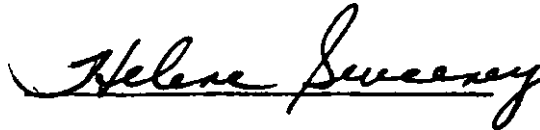
Proof of Publication in The Philadelphia Daily News  
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA  
COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

August 2, 2018

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

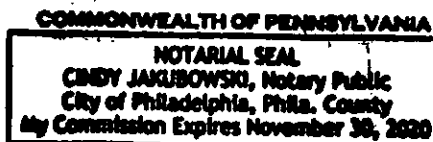


Sworn to and subscribed before me this 2<sup>nd</sup> day of August 2018.



Notary Public

My Commission Expires:



Copy of Notice of Publication

PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE

Application of Utiliz LLC For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Marketer/Broker Engaged In The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Utiliz LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Utiliz LLC's attorney at the address listed below.

By and through Counsel: Natara G. Feller, Esq.  
Utiliz LLC c/o  
Feller Law Group, PLLC  
150 20th Street, Ste.1B  
Brooklyn, NY 11232  
(212) 590-0145

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SEP 17 2018

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

PROOF OF PUBLICATION  
In  
THE ERIE TIMES-NEWS  
COMBINATION EDITION

Utiliz  
40 Richards Ave 3rd Floor  
Norwalk CT 06854

REFERENCE: 96641 327461  
PUC Notice

STATE OF PENNSYLVANIA)  
COUNTY OF ERIE ) SS:

Brenda L. Learn, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 08/02/18

TOTAL COST: \$476.00 AD SPACE: 0 Lines

FILED ON: 08/02/18

RECEIVED

SEP 17 2018

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE

*Application of Utiliz LLC For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Marketer/ Broker Engaged In The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.*

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Utiliz LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Utiliz LLC's attorney at the address listed below.

By and through Counsel: Natara G. Feller, Esq.  
Utiliz LLC  
c/o Feller Law Group, PLLC  
159 20th Street, Ste. 1B  
Brooklyn, NY 11232  
(212)590-0145

EP-327461

Sworn to and subscribed before me this 2nd day of August 2018

Affiant: Brenda L. Learn

NOTARY: Barbara J. Moore

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Barbara J. Moore, Notary Public  
City of Erie, Erie County  
My Commission Expires March 23, 2020  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**COMMONWEALTH OF PENNSYLVANIA } SS**  
*County of Cambria*

**PENNSYLVANIA  
 PUBLIC UTILITY COMMISSION  
 NOTICE**

Application of Utiliz LLC For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Marketer/Broker Engaged In The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.

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By and through Counsel: Natara G. Feller, Esq.  
 Utiliz LLC, c/o Feller Law Group,  
 PLLC 159 20th Street,  
 Ste. 1B Brooklyn, NY 11232  
 (212)590-0145

published  
 that the  
 of The  
 in the su  
 of said p

statements are true.

On this 10th day of August A.D. 2018, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Sales Manager / Major Accounts of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863.

County of Cambria, and Commonwealth of Pennsylvania and the matter published in said publication in the regular issues A, on August 1, 2018; and that the Affiant is not interested in that all of the allegations as to time, place and character

*Christine Marhefka*

**STATEMENT OF ADVERTISING COSTS**

Signed and sworn to before me on 10th day of August, 2018, by Christine Marhefka making the statement.

*Vivian Ohs*

0.00 Lines @ \$2.50 per line	0.00
6 Inches @ \$25.00 per inch	150.00
Notary Fee	5.00
Clerical Fee	2.50
<b>Total Cost</b>	<b>157.50</b>

Commonwealth of Pennsylvania - Notary Seal  
 Vivian Ohs, Notary Public  
 Cambria County  
 My commission expires December 6, 2020  
 Commission number 1123017  
 Member, Pennsylvania Association of Notaries

To The Tribune-Democrat, Johnstown, PA  
 For publishing the notice or publication  
 attached hereto on the above stated dates.

**PUBLISHER'S RECEIPT FOR ADVERTISING COSTS**

\_\_\_\_\_ for publisher of \_\_\_\_\_  
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid  
 and publication costs and certifies that the same has been duly paid.

\_\_\_\_\_  
 (Name of Newspaper)

By \_\_\_\_\_

**RECEIVED**

SEP 17 2018

PA PUBLIC UTILITY COMMISSION  
 SECRETARY'S BUREAU

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)  
Commonwealth of Pennsylvania, County of Lackawanna

PATH ONE GROUP  
KIM SILVERA  
40 RICHARDS AVE., 3RD FLOOR NORWALK CT 06854

Account # 630360  
Order # 82238243  
Ad Price: 251.15

RECEIVED

SEP 17 2018

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

LEGAL NOTICE PENNSYLVANIA

Ann Marie Fortese

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

08/11/2018

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true *Ann Marie Fortese*

Sworn and subscribed to before me  
this 13th day of August A.D., 2018

*Sharon Venturi*

(Notary Public)

Commonwealth of Pennsylvania - Notary Seal  
Sharon Venturi, Notary Public  
Lackawanna County  
My commission expires February 12, 2022  
Commission number 1254228  
Member, Pennsylvania Association of Notaries

LEGAL NOTICE

**PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE**  
Application of Utiliz LLC For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Marketer/Broker Engaged In The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.

Utiliz LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Utiliz LLC proposes to sell electricity and related services in Citizens' Electric, Duquesne Light Company, Met-Ed, PECO, Penelec, Penn Power, Pike, PPL, UGI Utilities, Wellboro, and West Penn under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Utiliz LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Utiliz LLC's attorney at the address listed below.

By and through Counsel:  
Natara G. Feller, Esq.  
Utiliz LLC  
c/o Feller Law Group, PLLC  
159 20th Street, Ste. 1B  
Brooklyn, NY 11232  
(212) 590-0145

ORIGIN ID:FBTA (212) 590-0145  
NATARA FELLER  
FELLER ENERGY LAW GROUP  
159 20TH ST.  
SUITE 1B  
BROOKLYN, NY 11232  
UNITED STATES US

SHIP DATE: 17SEP18  
ACTWGT: 0.30 LB  
CAD: 103874883/NET4040

BILL SENDER

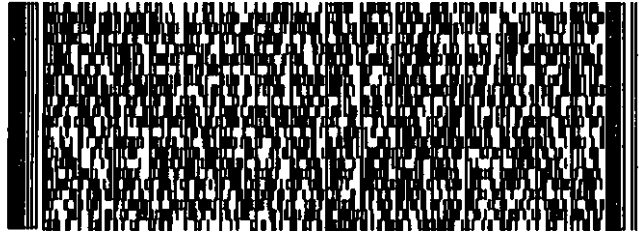
TO **ROSEMARY CHIAVETTA SECRETARY**  
**PENNSYLVANIA PUBLIC UTILITY COMMISS**  
**400 NORTH STREET**  
**KEYSTONE BUILDING**  
**HARRISBURG PA 17120**

552J1F78C0CA5

(717) 783-5242  
INV.  
PO

REF: UTILIZ PA ELEC APP

DEPT:



TUE - 18 SEP 3:00P

STANDARD OVERNIGHT

TRK# 7828 0954 4300  
0201

**EN MDTA**

17120  
PA-US MDT



**After printing this label:**

1. Use the 'Print' button on this page to print your label to your laser or inkjet printer.
2. Fold the printed page along the horizontal line.
3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

**Warning:** Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.  
Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on [fedex.com](http://fedex.com). FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$1,000, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedEx Service Guide.