



400 Madison Avenue New York, NY 10017  
tel 212 779 7000 fax 212 779 3061

October 30, 2018

**Docket No. A-2014-2416214**

Rosemary Chiavetta  
Secretary  
Pennsylvania Public Utility Commission  
400 North Street  
Harrisburg, PA 17120

RE: License Bond or Other Financial Security Renewal Notice

Ms. Chiavetta:

In regards to Docket No. A-2014-2416214, SmartEnergy requests a reduction of financial security to 5% of the most recent 12 months of gross receipts. In support of this request please find enclosed:

1. \$350 filing fee (previously mailed)
2. Proof of service of the petition on the statutory advocates and affected EDCs
3. Tax Letter of Good Standing from the Department of Revenue
4. Gross Receipts report for the most recent four quarters, October 2017 through September 2018 totalling \$14,023,035.97

Accordingly, we request an adjustment in our security to \$701,151.80

I, Dan Kern, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C. S. 4904 (relating to unsworn falsification to authorities).

If you have any questions or concerns, please do not hesitate to reach out to me.

Regards,

A handwritten signature in black ink, appearing to read "Dan Kern".

Dan Kern  
Chief Executive Officer

RECEIVED  
OCT 30 2018  
PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Bureau of Compliance  
P O. Box 280946  
Harrisburg, PA 17128-0946  
[www.revenue.pa.gov](http://www.revenue.pa.gov)



Friday, October 19, 2018

Attention. Lloyd Spencer

RE.

SMARTENERGY HOLDINGS, LLC  
575 LEXINGTON AVE FL 4  
NEW YORK, NY 10022-6146

Federal EIN. \_\_\_\_\_  
Social Security Number: \_\_\_\_\_  
Business Partner Number. 1000751671

Dear Requester,

I am writing to confirm that the above-referenced tax accounts of SMARTENERGY HOLDINGS, LLC are current. All returns have been filed with payment as of Friday, October 19, 2018. This confirmation is not intended to represent a "Bulk Sale Clearance" under the provision of section 1403 of the fiscal code. This letter does confirm that the account above is in good standing.

Sincerely,

PA Department of Revenue,  
Bureau of Compliance,  
Business License Clearance Division



400 Madison Avenue New York, NY 10017  
tel 212 779 7000 fax 212 779 3061

August 22, 2018

PA Department of Revenue  
Bureau of Compliance  
Corporate Clearance and Collection Section  
Stephanie Folkes, Supervisor  
P.O. Box 280947  
Harrisburg, PA 17128-0947

By Fax to: (717) 783-8265

Re: Tax Letter of Good Standing with Pennsylvania Department of Revenue for  
SmartEnergy Holdings, LLC

Ms. Folkes,

SmartEnergy is requesting a letter of good standing with the Pennsylvania Department  
of Revenue.

The purpose of this letter is to provide to the Pennsylvania Public Utilities Commission  
[PUC] which is holding a bond issued by SmartEnergy. A letter of good standing will  
help reduce the bond amount held by the PUC.

We authorize you to research the following tax accounts:

Federal Identification Number: \_\_\_\_\_

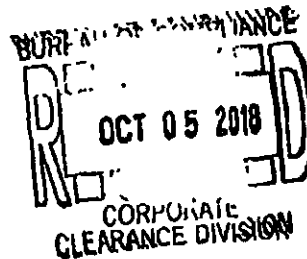
Pennsylvania Sales Tax Account: \_\_\_\_\_

Name of Entity:	SmartEnergy Holdings, LLC
Address of Entity:	400 Madison Avenue, Suite 9A, New York NY 10017
Fax Number:	212-779-3061
Contact Name:	Lloyd Spencer
Contact Title:	COO
Contact Phone:	212-779-7000

Thank you,

*Lloyd Spencer*

Lloyd Spencer  
COO





## Sales Volume by State and Utility

(Last Updated: 10/19/2018 8:21:40 AM)

State  
PA

Utility  
All

Date Range  
Oct 2017 to Sep 2018

### Last Year Sales Volume

	KWh	Revenue
Nov 2017	10,651,815	993,893.07
Dec 2017	13,510,013	1,269,647.00
Jan 2018	20,639,797	1,855,756.31
Feb 2018	14,063,506	1,342,208.75
Mar 2018	14,066,883	1,390,179.59
Apr 2018	12,452,818	1,264,226.87
May 2018	11,487,764	1,044,827.18
Jun 2018	12,232,819	1,077,020.07
Jul 2018	15,671,744	1,291,136.67
Aug 2018	16,329,121	1,348,105.78
Sep 2018	13,730,458	1,146,034.68
<b>Grand Total</b>	<b>154,836,738</b>	<b>14,023,035.97</b>

### Active Customers

Active Customers 20,687

ORIGIN ID: JRBA (212) 778-7000  
DANIEL KERN

575 LEXINGTON AVE.  
4TH FLOOR  
NEW YORK, NY 10022  
UNITED STATES US

SHIP DATE: 30OCT18  
ACTWGT: 1.00 LB  
CAD: 104659066/NET4040

BILL SENDER

TO ROSEMARY CHIAVETTA  
PA PUBLIC UTILITY COMMISSION  
400 NORTH ST.

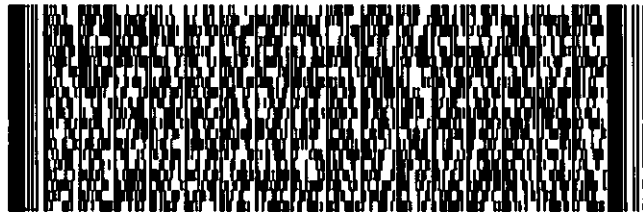
HARRISBURG PA 17120

(717) 772-7777  
INV.  
PO:

REF:

DEPT:

552.11/68E7/DCA5

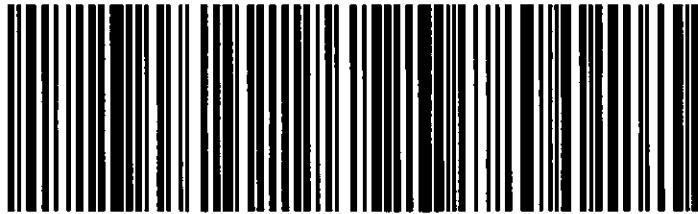


WED - 31 OCT 3:00P  
STANDARD OVERNIGHT

TRK# 7736 0388 4612  
0201

EN MDTA

17120  
PA-US MDT



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