

PRUDENTIAL

Energy. Procurement. Intelligence.

Mark Mrusek
Prudential Energy Services Corp.
9225 Katy Freeway, Suite 204
Houston, TX 77024

November 29, 2018

Pennsylvania Public Utility Commission Secretary
400 North Street
Harrisburg, PA 17120

RE: PA PUC Data Request for EGS Application Docket No. A-2018-3006083

To Whom it May Concern,

Please see enclosed responses to the data request received from the Pennsylvania Public Utility Commission on 11/29/2018. Additional information includes:

- 1) Updated response to Section 5.C Customer/Regulatory/Prosecutory Actions
- 2) Additional bank statements as requested to demonstrate financial fitness. Four most recent, consecutive bank statements are included under separate sealed confidential envelope.

Please contact me should you require any additional information.

Best Regards,

Mark Mrusek
President, Prudential Energy Services
Office: 832-203-7139
Fax: 281-560-4584
Email: markm@prudentialcompany.com

SECTION 5.C
CUSTOMER/REGULATORY/PROSECUTORY ACTIONS

2018 DEC -7 AM 10:28

RECEIVED

Docket No. A-2018-3006083
Prudential Energy Services Corporation
Data Requests

1. Reference Application, Section 5.c, Customer/Regulatory/Prosecutory Actions – Applicant failed to list the cancellation of its prior EGS License (Docket No. A-2017-2585655) for financial security EGS regulation non-compliance. Please file an updated Application page with the requested information.
2. Reference Application, Section 7.b, Financial Fitness – Applicant failed to provide sufficient documentation to demonstrate financial fitness. Please provide financial fitness documentation that includes three recent consecutive months of bank statements.

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Prudential Energy Services Corporation has no proceedings to list.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

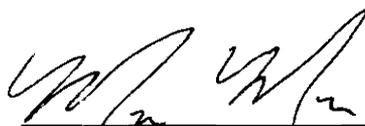
- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

Prudential Energy Services previous license under Docket No. A-2017-2585655 was cancelled due to PES failure to file the continuation certificate for our original bond, which was renewed on or before the bond expiration date of 1/9/2018.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

In order to address the above mentioned item, the updated bond included in this application is continuous in nature, and will remain active with the Pennsylvania PUC unless otherwise cancelled.

I, Mark Mrusek, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S § 4904 (relating to unsworn falsification to authorities.)

 11/29/18

Mark Mrusek, President
Prudential Energy Services

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STATE OF PENNSYLVANIA
DEPT. OF REVENUE

1

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9225 Katy Fwy, Suite 204
Houston TX 77024

C000

SHIP TO: Pennsylvania Public Utility
Commission Secretary
400 North St, Keystone Bldg
Harrisburg PA 17120-0001

