



COMMONWEALTH OF PENNSYLVANIA
PENNSYLVANIA PUBLIC UTILITY COMMISSION
400 NORTH STREET, HARRISBURG, PA 17120

**Application Form for Parties Wishing to Offer, Render, Furnish, or Supply
Electricity or Electric Generation Services to the Public in the Commonwealth
of Pennsylvania**

Version Revised 03/08/18

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of **Utiliz LLC**, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) Broker/Marketer engaged in the business of supplying electricity services to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Utiliz LLC
40 Richards Ave 3rd Floor
Norwalk, CT 06854
Tel: (844) 707-5757
Fax: (888) 270-7655
www.myutiliz.com

RECEIVED

NOV 30 2018

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Kevin Manley
Utiliz LLC
175 Strafford Avenue Suite One PMB 112
Wayne, PA 19087
Tel: (844) 707-5757
Fax: (888) 270-7655
kevin@myutiliz.com

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Utiliz LLC
c/o Kim Silvera, Director of Client Relations

175 Strafford Avenue Suite One PMB 112
Wayne, PA 19087
Tel: (844) 707-5757
Fax: (888) 270-7655
kim@myutiliz.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Natara Feller, Esq.
Feller Law Group, PLLC
159 20th St Suite 1B
Brooklyn, NY 11232
(212) 590-0145
natarafeller@feller.law

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

CONTACT #1

Utiliz LLC
c/o Kim Silvera, Director of Client Relations
175 Strafford Avenue Suite One PMB 112
Wayne, PA 19087
Tel: (844) 707-5757
Fax: (888) 270-7655
kim@myutiliz.com

CONTACT #2

Utiliz LLC
c/o Ed Cuyatti, Manager, Client Services
175 Strafford Avenue Suite One PMB 112
Wayne, PA 19087
Tel: (844) 707-5757
Fax: (888) 270-7655
edward@myutiliz.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

- a. **FICTITIOUS NAME:** (Select appropriate statement and provide supporting documentation as listed.)

The Applicant will be using a fictitious name or doing business as ("d/b/a")
Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

Or

The Applicant will not be using a fictitious name.

- b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.
- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)**

Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
Please see Exhibit 2b (State Filings).
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
Please see Exhibit 2b (State Filings).
- Give name and address of officers.
Please see Exhibit 2b (List of Officers)

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Please see Exhibit 7b for corporate ownership information. Applicant does not have any affiliates currently applying to do business in PA.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone

number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

N/A

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

- The Applicant is presently doing business in Pennsylvania as a
- municipal electric corporation
 - electric cooperative
 - local gas distribution company
 - provider of electric generation, transmission or distribution services
 - broker/marketer engaged in the business of supplying electricity services
 - Other; Identify the nature of service being rendered.

OR

X The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple):*

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- X Broker/Marketer engaged in the business of supplying electricity services**
X Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

A proprietary, automatic, web-based energy supply management service for residential, commercial and industrial.

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- | | |
|---|--|
| <input type="checkbox"/> Citizens' Electric | <input type="checkbox"/> Pike |
| <input type="checkbox"/> Duquesne Light | <input type="checkbox"/> PPL |
| <input type="checkbox"/> Met-Ed | <input type="checkbox"/> UGI Utilities |
| <input type="checkbox"/> PECO | <input type="checkbox"/> Wellsboro |

- Penelec
- Penn Power

West Penn

X Entire Commonwealth of PA

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

Upon application approval.

5. COMPLIANCE

a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

The Applicant has no proceedings to list.

b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

The Applicant has no actions or complaints to list.

d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

- a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

- b. **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company:
Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

West Penn:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

Met-Ed, Penelec, and Penn Power:

UGI:

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company:
Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company:
Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...
- Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
 - Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
 - For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

Please see updated Exhibit 7a (Bond)

CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at sjakab@pa.gov to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond, fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.

Please see Exhibit 7b (Organizational Structure).

- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)

N/A

- Applicant's accounting statements, including balance sheet and income statements for the past two years.

Please see CONFIDENTIAL Exhibit 7b (Applicant Financials), which will be filed under seal.

- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.

Please see CONFIDENTIAL Exhibit 7b (Credit Report), which will be filed under seal.

- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

N/A.

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Utiliz collects a monthly or annual subscription fee from our customers. Utiliz does not generally collect from suppliers, although it may collect a nominal amount from suppliers in jurisdictions where we cannot do utility EDI, in order to ensure it may access reliable usage information. For commercial accounts with usage over 250,000 kWh/year, Utiliz may also use embedded billing capped at 2.5 mills.

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Elina Aniston, VP Finance and Administration
175 Strafford Avenue Suite One PMB 112
Wayne, PA 19087
PH: (844) 606-9185 x 1006
FAX: (888) 270-7655
elina@pathonegroup.com

f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

Please see updated Attachment 7F.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

Please see updated Attachment 8a (Experience & Plans), which contains information related to Applicant's previous experience, state license information, staffing structure, and business plans for the Commonwealth.

CONFIDENTIAL

RECEIVED

NOV 30 2018

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Exhibit 7a
Bond

CONFIDENTIAL

RECEIVED

NOV 30 2018

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Exhibit 7f
Tax Certification
CONFIDENTIAL

RECEIVED

NOV 30 2018

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Exhibit 8a
Summary of Experience & Plans

Exhibit 8(A)
Summary of Experience & Plans

Utiliz has been serving customers since mid-2016, and currently has over 10 million kWh/yr under management (over 1,000 Residential Customer Equivalents). Utiliz LLC has executed thousands of enrollments on behalf of its clients, and saved its customers over \$339,486 compared to standard service since September 2016 (statistics updated daily on its webpage: <https://www.myutiliz.com/>).

As of **November 30, 2018**, Utiliz is currently licensed in the following states (***copies of licenses attached***)

- Connecticut (Electricity Aggregator) (Docket 16-10-04);
- Illinois (Agent, Broker, & Consultant) (Docket 18-1507);
- Maryland (Electric Broker & Aggregator) (Docket IR-4067);
- Massachusetts (Electric Broker) (License EB-459);
- New Jersey (Energy Agent & Energy Consultant) (Registrations EA-0426 & EC-0137);
- New Hampshire (Energy Broker) (Docket DM 17-092);
- Ohio (Electric Broker & Marketer) (Certificate 18-1328E);
- Rhode Island (Energy Aggregator) (Docket D-96-6 (19));
- Utiliz also does business in New York where there is no energy broker licensing requirement.

Utiliz has the necessary experience to fulfill the technical competency required to operate as an energy broker / aggregator in the state of Pennsylvania. The founder brings over 16 years' experience building technology solutions and working with highly efficient trading markets and high customer volume. The executive team brings over 18 years experience in the deregulated energy market. *Please also see the attached resumes for more detail.*

Utiliz employs an existing team of front and back office team members who are responsible for processing online customer enrollments, responding to customer inquiries via chat, e-mail and phone, facilitating customer renewals and liaising with retail suppliers. These team members bring 21 years of utility and retail energy supplier customer service experience. View over 50 5-star testimonials at <https://trustspot.io/store/Utiliz>

The Utiliz LLC service offering is solely management of retail suppliers on behalf of its customers, including:

- Identification of retail supplier and plan to best fit our customer
- Automatic enrollment of customers onto plans
- Querying customer usage history periodically
- Monitoring of market prices and plan availability to ensure clients remain on competitive plans
- Negotiating plan rates on behalf of our clients
- Re-enrolling a customer automatically when their current enrollment is about to end.
- Moving customers to standard service temporarily if we can't find them a better deal in the retail market
- Handling first line support for our customers
- Working closely with state regulators to help improve the deregulated energy markets in their states

We look forward to bringing the Utiliz service to Pennsylvania!

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

Utiliz LLC :
 :
Application for Licensure of : **18-1507**
Agents, Brokers, and Consultants :
under Section 16-115C of the :
Public Utilities Act. :

ORDER

By the Commission:

I. INTRODUCTION

On September 14, 2018, Utiliz LLC ("Applicant") filed a verified Application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker, or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454, "Licensure of Retail Electric Agents, Brokers and Consultants" ("Part 454"). Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois. Since all of the information to grant the requested relief was contained in the Application, the Hearing was waived.

II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of Connecticut and authorized to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data. The Applicant has also attested that no complaints have been filed against it for its provision of services in the electric or gas industry in the jurisdictions where it provides or is seeking to provide services.

III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Applicant is required by Section 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Section 454.60 through Exhibits 9 and 10 to the Application. Exhibits 9 and 10 to the Application contain a corporate organizational chart and identify the occupational background information of the persons being used to meet the requirements of Section 454.60(a). Exhibits 9 and 10 contain occupational background information on the person or persons being used to meet the requirements of Section 454.60(a).

Applicant is required by Section 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Section 454.70 with the information provided in Exhibit 9 and 10. Exhibit 9 and 10 contains occupational background information on the person or persons being used to meet the requirements of Section 454.70(a).

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the Application and attachments provided by Applicant regarding the technical, managerial, and financial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements. The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that Utiliz LLC is granted service authority to operate as an agent, broker, or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) Utiliz LLC, a business organized under the laws of Connecticut and authorized to transact business in Illinois, seeks a certificate of service authority to operate as an ABC under Section 16-115C of the Act;

- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Utiliz LLC has demonstrated that it possesses sufficient financial, managerial, and technical resources and abilities to provide services as an ABC for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Utiliz LLC has complied with Section 16-115C of the Act and Part 454; and
- (6) Utiliz LLC should be granted a certificate of service authority to operate as an ABC as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that Utiliz LLC is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker, or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that Utiliz LLC shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that pursuant to Section 10-113(a) of the Public Utilities Act and 83 Ill. Adm. Code 200.880, any application for rehearing shall be filed within 30 days after service of the Order on the party.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 Ill. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By Order of the Commission this 25th day of October, 2018.

(SIGNED) BRIEN SHEAHAN

Chairman

JASON M. STANEK
CHAIRMAN

MICHAEL T. RICHARD
ANTHONY O'DONNELL
ODOGWU OBI LINTON
MINDY L. HERMAN



PUBLIC SERVICE COMMISSION

#4, 8/8/18 AM; ML#s 220442 and 221407
License Reference No.: IR-4067

August 8, 2018

Kim Silvera, Director Client Relations
Utiliz LLC
839 Bestgate Road, Suite 400 PMB#31
Annapolis, MD 21401

Dear Ms. Silvera:

On May 15, 2018, Utiliz LLC ("Company") filed an Application for a License to Supply Electricity or Electric Generation Services in Maryland under COMAR 20.51. The Company proposes to provide electricity supplier services in Maryland for residential, commercial and industrial customers as described in the application. Additional information was filed on August 2, 2018.

After considering this matter at the August 8, 2018 Administrative Meeting, the Commission granted the Company a license to supply electricity or electric generation services in Maryland (License Reference Number IR-4067). The license granted by the Commission under this Letter Order is limited solely to electricity supplier broker and aggregator services for all customer classes and service territories applied for and recommended by Staff. Additionally, the Company is directed to provide marketing and training materials specific to its Maryland operations to the Commission Staff and Office of People's Counsel 30 days prior to utilization of the materials in Maryland.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

/s/ Terry J. Romine

Terry J. Romine
Executive Secretary

TJR/st

CHAIRMAN
Martin P. Honigberg

COMMISSIONER
Kathryn M. Bailey

EXECUTIVE DIRECTOR
Debra A. Howland

STATE OF NEW HAMPSHIRE



PUBLIC UTILITIES COMMISSION
21 S. Fruit St., Suite 10
Concord, N.H. 03301-2429

TDD Access: Relay NH
1-800-735-2964

Tel. (603) 271-2431

FAX No. 271-3878

Website:
www.puc.nh.gov

August 4, 2017

Thomas Place, Founder and CEO
Utiliz LLC
P.O. Box 661
Ridgefield, CT 06877

Re: DM 17-092 Utiliz LLC
Application to Register as Provider of Electric Aggregation Service

Dear Mr. House:

On June 5, 2017, Utiliz LLC submitted an application with the Commission to register as a provider of electric aggregation service. Staff reviewed the application and determined that it is complete.

The Commission approves your application effective as of August 3, 2017. The registration is for a term of two years and expires at the end of business on August 3, 2019. Pursuant to N.H. Code Admin. Rules Puc 2003.05(a), you must submit a renewal application at least 60 days prior to the expiration of the registration approved here, on or before June 4, 2019.

Please be aware that registered providers of electric aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 2000 – Competitive Electric Power Supplier and Aggregator Rules. These rules are available at: <http://www.puc.nh.gov/Regulatory/Rules/PUC2000.pdf>. Please also be aware that the Commission has opened Docket DRM 16-853 to consider revisions to these rules. All non-confidential filings in Docket DRM 16-853 can be found at <http://www.puc.nh.gov/Regulatory/Docket/bk/2016/16-853.html>. The revised rules may contain different requirements for maintaining registration as a provider of electric aggregation service that might affect your registration.

Please note that, effective as of July 1, 2014, each registered electric load aggregator is subject to an annual assessment of \$2,000, unless it demonstrates that its gross revenue in New Hampshire during the preceding fiscal year (from July 1 through June 30) was less than \$10,000, pursuant to RSA 363-A:2, III and 5.

Sincerely,

A handwritten signature in dark ink, appearing to read "Debra A. Howland".

Debra A. Howland
Executive Director

cc: Service List/Docket File



THE COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER
GOVERNOR

KARYN E. POLITO
LIEUTENANT GOVERNOR

MATTHEW A. BEATON
SECRETARY OF ENERGY
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION
BOSTON, MA 02110
(617) 305-3500

ANGELA M. O'CONNOR
CHAIRMAN

ROBERT E. HAYDEN
COMMISSIONER

CECILE M. FRASER
COMMISSIONER

September 20, 2018

Kim Silvera, Director, Client Services
Utiliz, LLC
40 Richards Avenue, 3rd Floor
Norwalk, Ct 06854

Re: Electricity Broker Application

Dear Ms. Silvera,

The Department of Public Utilities ("Department") has reviewed Utiliz, LLC's application for an Electricity Broker license in the Commonwealth of Massachusetts and is pleased to inform you that the Department has approved your application. Please find enclosed a copy of your approved application. Your license number is EB-459.

As a condition of maintaining this license, Utiliz, LLC must file updated information within 30 days of any material or organic change in the information required by 220 C.M.R. § 11.05(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 C.M.R. §§ 11.00, 12.00 et seq. If you decide to request renewal of your license next year, please submit your renewal application no later than September 1, 2019.



Sincerely,


Mark D. Marini, Secretary



The Commonwealth of Massachusetts

DEPARTMENT OF PUBLIC UTILITIES

ONE SOUTH STATION, 5TH FLOOR

BOSTON, MA 02110

617-305-3500

Electricity Broker License Application for New Applicants Pursuant to 220 C.M.R. § 11.00 et seq.

REQUIRES ANNUAL FEE and ANNUAL RENEWAL

Please submit an original, one copy, and an electronic copy on a CD-ROM or USB key to the address above along with a \$100.00 annual fee. Include all required attachments (e.g. training certificate) in the initial application that you submit to the Department.

I. GENERAL BUSINESS INFORMATION

1. Legal Name of Applicant: Utiliz LLC

Doing business as (D/B/A): N/A.

2. Business Address:

40 Richards Ave 3rd Flr, Norwalk CT 06854

3. If a corporation, association, or partnership:

(a) Organized under the laws of which state: Connecticut

(b) Date of organization: April 27, 2016

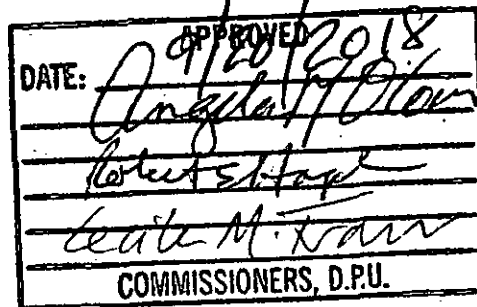
(c) Attach a copy of the articles of incorporation, association, partnership agreement or other document regarding legal organization. See Exhibit 3c.

(d) Attach a copy of the by-laws. N/A.

4. Name, Title, and Business Address of all Officers and Directors, Partners, or other similar Officials:

Cami Boehme, Chief Executive Officer 40 Richards Ave 3rd Flr, Norwalk, CT 06854

Kevin Manley, Chief Technology Officer 40 Richards Ave 3rd Flr, Norwalk, CT 06854



SERVICE LIST - EMAIL ADDRESSES- DOCKET RELATED

Pursuant to N.H. Admin Rule Puc 203.11 (a) (1): Serve an electronic copy on each person identified on the service list.

Executive.Director@puc.nh.gov
amanda.noonan@puc.nh.gov
david.goyette@puc.nh.gov
david.wiesner@puc.nh.gov
leszek.stachow@puc.nh.gov
margaret.raymond@puc.nh.gov
ocalitigation@oca.nh.gov
tom.frantz@puc.nh.gov
tom@myutiliz.com

Docket #: 17-092-1 Printed: August 04, 2017

FILING INSTRUCTIONS:

- a) Pursuant to N.H. Admin Rule Puc 203.02 (a), with the exception of Discovery, file 7 copies, as well as an electronic copy, of all documents including cover letter with:**
- DEBRA A HOWLAND
EXEC DIRECTOR
NHPUC
21 S. FRUIT ST, SUITE 10
CONCORD NH 03301-2429**
- b) Serve an electronic copy with each person identified on the Commission's service list and with the Office of Consumer Advocate.**
- c) Serve a written copy on each person on the service list not able to receive electronic mail.**



Chris Christie
Governor

Kim Guadagno
Lt. Governor

STATE OF NEW JERSEY
Board of Public Utilities
44 South Clinton Avenue, 3rd Floor, Suite 314
Post Office Box 350
Trenton, New Jersey 08625-0350
www.nj.gov/bpu/

Irene Kim Asbury
Secretary to the Board
(609) 292-1599

October 20, 2017

Kevin T. Manley
Founder
Utiliz LLC
20 Clayton Pl
Ridgefield, CT 06877

Re: **Energy Agent and Energy Consultant Initial Registrations**
Docket Nos. EE17030287L & GE17030288L

Dear Mr. Manley:

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its October 20, 2017 Agenda meeting, the New Jersey Board of Public Utilities voted to issue **REGISTRATIONS** as an Energy Agent and Energy Consultant to Utiliz LLC. Your registration numbers are EA-0426 and EC-0137.

The enclosed registrations are effective October 20, 2017 and expire on October 19, 2018. These registrations and the rights thereunder are **Non-Transferable**.

This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.

If you have any questions, please contact Dante Cusi at (609) 292-1356.

Sincerely,

A handwritten signature in black ink that reads "Irene Kim Asbury".

Irene Kim Asbury
Secretary of the Board

IKA/dec
Encl. (s)

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 086

HEREBY REGISTERS

Utiliz LLC

331 Newman Springs Road
Building 1, 4th Floor, Suite 143
Red Bank, New Jersey 07701

To conduct business in the State of New Jersey as an

Energy Agent

Irene Kim Asbury

Irene Kim Asbury
Secretary of the Board

Registration No. EA
Effective Date: Oct
Expiration Date: Oct

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 086

HEREBY REGISTERS

Utiliz LLC

331 Newman Springs Road
Building 1, 4th Floor, Suite 143
Red Bank, New Jersey 07701

To conduct business in the State of New Jersey as an

Energy Consultant



Irene Kim Asbury
Irene Kim Asbury
Secretary of the Board

Registration No. EC-
Effective Date: Oct
Expiration Date: Oct



Public Utilities Commission

Asim Z. Haque, Chairman



Commissioners
M. Beth Trombold
Thomas W. Johnson
Lawrence K. Friedeman
Daniel R. Conway

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

Certificate Number:

18-1328E (1)

Issued Pursuant to Case Number(s):

18-1274-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, **Utiliz LLC** whose office or principal place of business is located at **40 Richards Ave, 3rd Floor, Norwalk, CT 06854** to provide aggregation and power broker services within the State of Ohio effective **September 13, 2018**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: September 14, 2018

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Susan Patterson, Acting Secretary
Beverly Hoskinson, Acting Secretary

Certificate Expires: September 13, 2020

This is to certify that the images appearing are accurate and complete reproduction of a case file document delivered in the regular course of business
Technician CIB Date Processed 9/17/18

CRES AUTOMATIC CASE ACTION FORM

Date: **9-14-18**

Case Number: **18-1274-EL-AGG**

Company Name and Company Name d/b/a: **Utiliz LLC**

Company Address: **40 Richards Ave 3rd Floor**

Company City/State/Zip: **Norwalk CT 06854**

Regulatory Contact: **Kim Silvera**

Phone: **844-707-5757**

Email: **kim@myutiliz.com**

Address: **4031 Colonel Glenn Highway**

City/State/Zip: **Beavercreek OH 45431**

Renewal

Action Needed:

Issue Certificate Number to: **Utiliz LLC**

Effective Date of Certificate: **9-13-18** Certificate Expires: **9-13-20**

Renew Certificate Number from: _____ to: _____

Effective Date of Certificate: _____ Certificate Expires: _____

Certified To Provide the Following Services:

Retail Generation

Aggregation

Power Marketer

Power Broker

Governmental Aggregation

Revise Certificate Number: _____ to (check all applicable):

Reflect name change from: _____ to _____

Reflect address change from: _____ to _____

Correct Administrative Error:

Reflect Change of Ownership to: _____

Cancel Certificate Number.

Protect Un-redacted copies until:

Close Case File, Case Withdrawn at Applicant's Request

Close Case File

CASE NUMBER: 18-1274-EL-AGG
CASE DESCRIPTION: UTILIZ LLC
DATE OF SERVICE: 9/14/2018
DOCUMENT SIGNED ON: 9/14/18

Sign Here: _____ *Sandy*

	APPLICANT	ATTORNEY
PARTY OF RECORD		
Utiliz LLC	NONE	
40 Richards Ave 3rd Flr		
Norwalk, CT 06854		
Phone: 8447075757		



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

DIVISION OF PUBLIC UTILITIES & CARRIERS
89 Jefferson Boulevard
Warwick, Rhode Island 02888
(401) 941-4500

October 19, 2018

Kim Silvera
Director Client Relations
Utiliz LLC
10 Dorrance St, Suite 700
Providence, RI 02903

Re: Utiliz LLC's Application for Registration as a Non Regulated Power Producer pursuant to R.I.G.L. 39-1-27.1(c). Division Docket D-96-6 (19)

Dear Ms. Silvera:

The Division of Public Utilities has reviewed Utiliz LLC's application for registration as a nonregulated power producer, pursuant to R.I.G.L. 39-1-27.1(c) and the Division's Rules Applicable to Nonregulated Power Producers. The application is complete as to the statutory requirements of 39-1-27.1(c), itemized in statute at (i) through (ix) and the Division's rules.

The Division accepts the registration application of Utiliz LLC as a nonregulated power producer. In conformance with the law, please note that the registration must be updated within ten days of any change to the information included in your application. In addition, a \$100 Annual Fee is due by December 31st of each year in order to renew your registration for the following calendar year.

Very truly yours,

John Bell
Chief Accountant

cc: Luly Massaro, Commission Clerk.

VERIFICATION

I, Lena Golze Desmond, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

RECEIVED

NOV 30 2018

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



Lena Golze Desmond, Esq.
Feller Law Group, PLLC
159 20th St, Suite 1B
Brooklyn, NY 11232
(212) 590-0145
lenadesmond@feller.law
Counsel for Utiliz LLC

Date: December 4, 2018

ORIGIN ID:FBTA (212) 590-0145
NATARA FELLER
FELLER ENERGY LAW GROUP
159 20TH ST.
SUITE 1B
BROOKLYN, NY 11232
UNITED STATES US

SHIP DATE: 30NOV18
ACTWGT: 0.50 LB
CAD: 103674883/NET4040

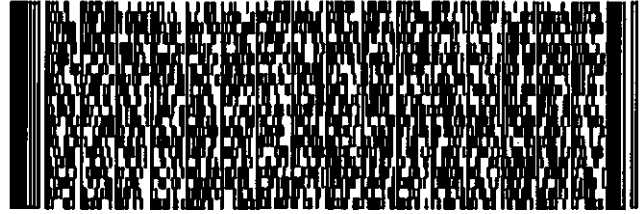
BILL SENDER

TO **SECRETARY**
PENNSYLVANIA PUBLIC UTILITY COMMISS
400 NORTH STREET

552.02E4AFDC46

HARRISBURG PA 17120

(717) 783-5242 REF: UTILZ PA UPDATE
INV: DEPT:

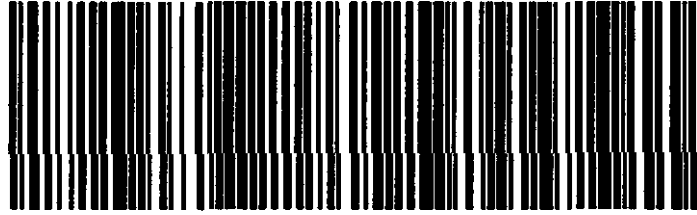


MON - 03 DEC 3:00P
STANDARD OVERNIGHT

TRK# 7738 5189 0590
0201

SH MDTA

17120
PA-US MDT



After printing this label:

1. Use the 'Print' button on this page to print your label to your laser or inkjet printer.
2. Fold the printed page along the horizontal line.
3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

Warning: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$1,000, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedEx Service Guide.