Skarlatos Zonarich LLQECEIVED

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17 South Second Street, 6th Floor Harrisburg, PA 17101-2039 717.233.1000 Voice 717.233.6740 Fax

www.skarlatoszonarich.com

James H. Cawley, Esquire jcawley@skarlatoszonarich.com

PA PUC SECRETARY'S BUREAU

December 21, 2018

Via Hand Delivery

Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission 400 North Street, 2nd Floor Harrisburg, PA 17120

Re:

Application of Hospital Energy, LLC for Approval to Offer, Render, Furnish or Supply Electricity or Electric Generation Services as a Broker/Marketer, Docket No. A-2018-3006502

Dear Secretary Chiavetta:

Enclosed for filing are copies of the licenses required by Application ¶ 8(a). For purposes of Application ¶ 3(a), Hospital Energy Services, LLC earlier this year withdrew registration of its Texas electricity aggregator license when the services it provided to its customer base there no longer required registration under Texas law and regulations. Therefore, the filing of the enclosed licenses completes Hospital Energy, LLC's application.

Electric and/or gas services licenses held by the following entities are enclosed:

Hospital Energy, LLC

Electric: District of Columbia, New Jersey, and Rhode Island Gas: District of Columbia, Massachusetts, and Rhode Island

Hospital Energy Services, LLC

Electric: Connecticut, Delaware, Illinois, Maine, Maryland, Massachusetts, New Hampshire,

Ohio

Gas: Maryland, New Hampshire, and Ohio

===

Please call me if you have any questions about these filings.

Enclosures

c: Certificate of Service; Paul T. Diskin, Director, Bureau of Technical Utility Services (for the attention of Lee Yalcin)

STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC UTILITY CONTROL TEN FRANKLIN SQUARE NEW BRITAIN, CT 06051

DOCKET NO. 03-04-03 APPLICATION OF HOSPITAL ENERGY SERVICES, LLC FOR AN ELECTRIC AGGREGATOR LICENSE

June 25, 2003

By the following Commissioners:

Jack R. Goldberg John W. Betkoski, III Linda J. Kelly

DECISION

2018 DEC 21 PM 12: 54 PA PUC SECRETARY'S DUREAU

DECISION

I. INTRODUCTION

A. SUMMARY

This Decision addresses the request of Hospital Energy Services, LLC for a license to operate as an electric aggregator in Connecticut. In this Decision, the Department of Public Utility Control grants Hospital Energy Services, LLC an Electric Aggregator License to serve commercial customers in Connecticut.

B. BACKGROUND OF THE PROCEEDING

By application filed April 4, 2003 (Application) pursuant to § 16-245 of the General Statutes of Connecticut (Conn. Gen. Stat.) and §§ 16-245-1 to 16-245-6, inclusive, of the Regulations of Connecticut State Agencies (Conn. Agencies Regs.), Hospital Energy Services, LLC (HES or Company) requests the Department of Public Utility Control's (Department) approval of a license to operate as an electric aggregator within Connecticut.

C. CONDUCT OF THE PROCEEDING

By Notice of Hearing dated April 30, 2003, a public hearing was scheduled in this matter for May 12, 2003, at the Department's offices, Ten Franklin Square, New Britain, Connecticut 06051. By Notice of Rescheduled Hearing dated May 8, 2003, the hearing was rescheduled to May 21, 2003. The hearing was open and closed.

D. PARTIES TO THE PROCEEDING

The Department recognized Hospital Energy Services, LLC, 50 Bethke Road, Killingworth, CT 06419, and the Office of Consumer Counsel (OCC), Ten Franklin Square, New Britain, CT 06051, as parties to the proceeding.

II. DEPARTMENT ANALYSIS

A. COMPANY STRUCTURE

HES was formed as a limited liability company on November 15, 1996 in New Haven, Connecticut. Application, p. 1 and Exhibit A-11. The Company was formed solely to provide energy consulting services to healthcare institutions. Exhibits A-10 and A-12. HES's two managing members are Mark Mininberg and Jack Reed. Both members work part-time for HES and have no other employees. <u>Id</u>., Response to Interrogatory EL-1.

B. Scope of Service, Managerial, Technical and Financial Capabilities

The Company proposes to serve healthcare institutions such as acute care hospitals and long term care facilities throughout Connecticut. Application, Exhibit B-3.

Docket No. 03-04-03 Page 2

HES intends to offer consulting services that include development of onsite generation and procurement of external electric supply. Application, Exhibit B-5. HES currently represents nine Connecticut acute care hospitals as an energy consultant. In 1997, HES negotiated a third-party supply agreement with The Connecticut Light & Power Company on behalf of Charlotte Hungerford, Danbury, Day Kimball, Manchester, Middlesex, Midstate, New Britain General, Stamford and Waterbury Hospitals for a term of five years. Application, Exhibit B-7; Response to Interrogatory CA-5. Another seven hospitals have expressed interest in working with HES. The Company will add new healthcare institutions as referred by its existing clients. Application, Exhibit B-5.

The Company receives compensation from participating customers that pay a fee of two percent on electricity procured by HES. There are no membership or other up front fees. Application, Exhibit B-5. HES does not buy or sell energy and therefore costs are limited to minimal out of pocket expenses to cover the cost of interval load data and running group meetings. Response to Interrogatory EL-4.

Based on the above, the Department finds that HES provides a satisfactory scope of service and has sufficiently demonstrated its managerial and technical capability to operate as an electrical aggregator as required under Conn. Gen. Stat. §16-245. Further, the Department finds the Company possesses adequate financial resources to provide the marketing of aggregating services.

C. CUSTOMER SERVICE

HES has provided an address and telephone number for customer service and complaints. Response to Interrogatory CA-4. HES has not been fined, sanctioned, or otherwise penalized for violation of any consumer protection law or regulation. Application, Section A-14. HES has attested that without a signed release from a customer, it would not release information to any person, including, but not limited to, information related to the quantity, time of use, type and destination of electric service, information contained in electric service bills and other data specific to the customer. Application, Affidavit No. 3.

The Department finds HES's customer service information to be satisfactory with one exception. When requested to provide a sample copy of the notice issued by aggregators to its customers under Conn. Agencies Regs. § 16-245-3(d), HES responded that it anticipated utilizing the contract executed between its aggregated customer and the electric supplier to satisfy this requirement. However, the regulation is specific in that the aggregator must provide this notice to its aggregated customer. Accordingly, the Department will order HES to provide a sample copy of the required customer information notice for review and approval. Response to Interrogatories CA-1, CA-2, CA-3, CA-5 and Application Exhibit B-6.

Based on the foregoing, the Department finds that HES's proposed customer service policies and procedures should ensure that high quality customer service is provided to its Connecticut customers.

Docket No. 03-04-03 Page 3

III. FINDINGS OF FACT

1. HES was formed as a limited liability company on November 15, 1996 in New Haven, Connecticut.

- 2. The Company proposes to serve healthcare institutions such as acute care hospitals and long term care facilities throughout Connecticut.
- 3. HES currently represents nine Connecticut acute care hospitals as an energy consultant.
- 4. The Company receives compensation from participating customers that pay a fee of two percent on electricity procured by HES.
- 5. HES has not been fined, sanctioned, or otherwise penalized for violation of any consumer protection law or regulation.
- 6. HES did not provide a copy of the required customer service notice.

III. CONCLUSION AND ORDERS

A. CONCLUSION

Based on the evidence presented, the Department concludes that the Company has adequate technical, financial and managerial capabilities, as required under Conn. Gen. Stat. § 16-245, to provide electric aggregating services to commercial customers in Connecticut, and that the Company's proposed electric aggregation services are in the public interest. Therefore, the Department hereby grants HES an electric aggregator license to serve commercial customers in Connecticut, subject to the following Order.

B. ORDER

1. No later than July 31, 2003 HES shall provide a sample copy of the notice to be issued to aggregated customers pursuant to Section 16-245-3(d) of the Regulations of Connecticut State Agencies.

DOCKET NO. 03-04-03 APPLICATION OF HOSPITAL ENERGY SERVICES, LLC FOR AN ELECTRIC AGGREGATOR LICENSE

This Decision is adopted by the following Commissioners:

Jack R. Goldberg

John W. Betkoski, III

Linda J. Kelly

CERTIFICATE OF SERVICE

The foregoing is a true and correct copy of the Decision issued by the Department of Public Utility Control, State of Connecticut, and was forwarded by Certified Mail to all parties of record in this proceeding on the date indicated.

July 27, 2003 Date

Louise E. Rickard
Acting Executive Secretary
Department of Public Utility Control



PUBLIC SERVICE COMMISSION

861 SILVER LAKE BOULEVARD CANNON BUILDING, SUITE 100 DOVER, DELAWARE 19904

TELEPHONE:

(302) 736-7500

FAX:

(302) 739-4849

August 8, 2012

Mr. Mark Mininberg Hospital Energy Services, LLC 110 Riverview Drive Guilford, CT 06437

IN THE MATTER OF THE APPLICATION OF HOSPITAL ENERGY SERVICES, INC. A CERTIFICATION TO PROVIDE ELECTRIC SUPPLY SERVICES WITHIN THE STATE OF DELAWARE (FILED JULY 19, 2012) -PSC DOCKET NO. 12-349

Dear Mr. Mininberg:

Enclosed are two (2) Certified Copies of Commission Order No. 8199 in the above-captioned matter, which are self-explanatory.

Very truly yours,

Alisa C. Bentley

Secretary

ACB/dn

Enclosures: 2

Certified Mail #000052216661

Julie Donoghue, Esq. (w/encl)

Malika Davis (w/encl) Michael Sheehy (w/encl)



The Public Service Commission

STATE OF DELAWARE)	
COUNTY OF KENT	}	5

I,	Alisa	a C.	Bentle	У		, Secretary
of the PUBLI	C SERVICE C	OMN	MISSION	OF DELAWARE	, do hereby	certify that
I have compar	red the attached	d Oro	ler with th	e original adopted	d by said Co	mmission,
and that it is a	true and corre	ect tra	anscript of	f Order No81	.99	,
Docket No	12-349			•		
				ereunto subscribe		
the seal of the	Commission	this_		day of _		A.D.,
20						



Clesa Canon Gently Secretary

Document No. 30-11-81-05-01

ORDER NO. 8199

ELECTRIC SUPPLIER CERTIFICATE

This 7th day of August 2012, the Commission determines and orders the following:

WHEREAS, on July 19, 2012, Hospital Energy Services, LLC("Hospital Energy" or the "Company") filed an application seeking certification as a retail electric supplier in order to broker the sale or purchase of Electric Supply Service¹ (the "Application"). See 26 Del. C. §§1001(3), 1001(14), and 1012. Under the Electric Utilities Restructuring Act of 1999 (the "Act"),² "electric suppliers," which include Brokers, are not "public utilities," and, accordingly, the resulting sales of electric supply service to retail customers are not subject to traditional forms of rate regulation. See 26 Del. C. §§102(2) and 202(f);³ and

WHEREAS, in its Application, the Company states that it is a Broker that will utilize appropriate energy procurement strategies to

Although a broker never takes "title" to the electric supply product, the Act deems a broker an "electric supplier." See 26 Del. C. §§1001(3) and (14)(c).

¹ Unless otherwise noted, capitalized terms shall have the meanings ascribed to them in 26 Del. C. §1001 and 26 Del. Admin. C. §3001.

² See 26 Del. C. Ch. 10.

³ Under the Act, a "broker" means a person or entity that acts as an agent or intermediary in the sale or purchase of, but that does not take title to, electricity for sale to retail electric customers. 26 Del. C. §1001(3).

meet the needs of large commercial customers in Delaware. Staff reports that Company's Application fulfills the all of the requirements for an Electric Supplier Certificate to act as a Broker forth in the Commission's Rules for Certification and Regulations of Electric Suppliers, 26 Del. Admin. C. §3001 (the "ES In particular, Staff reports that the Company appears to Rules"). possess the financial, operational, managerial, and technical capabilities to operate as a Broker of electric supply service. Therefore, Staff recommends that the Commission issue to Hospital Energy an Electric Supplier Certificate that authorizes it to broker sales and customer purchases of electric supply services in the State of Delaware, consistent with the scheme adopted by the Act and the ES Rules;

NOW, THEREFORE, IT IS HEREBY ORDERED BY THE AFFIRMATIVE VOTE OF NOT FEWER THAN THREE COMMISSIONERS:

- 1. That Hospital Energy Services, LLC (the "Company") is hereby granted, under 26 Del. C. §1012(a) and 26 Del. Admin. C. §3001, a certificate to do business and operate as an "Electric Supplier" (Broker) within the State of Delaware. Under this Electric Supplier Certificate, the Company is authorized to broker Electric Supply Service within this State in accordance with 26 Del. C. Ch. 10.
- 2. That the Company shall at all times provide its brokerage of Electric Supply Service in accordance with the requirements imposed by 26 Del. C. Ch. 10 and 26 Del. Admin. C. §3001 as presently adopted, or as may be hereafter amended or supplemented. The Company is hereby notified that a violation of any applicable order, statute,

regulation, rule, or other controlling authority may be enforced by the sanctions permitted by 26 Del. C. §§1012(a) and 1019 and 26 Del. Admin. C. §3001-10.2.

- 3. That the Company shall file, pursuant to the provisions of 26 Del. C. §1012(c)(2) and 26 Del. Admin. C. §§3001-3.9 and 3.10, such reports and pay such fees and assessments as may be required or imposed by 26 Del. C. §§114 and 115.
- 4. That the Company consents to the jurisdiction of the courts of the State of Delaware for all acts or omissions related to its offering of Electric Supply Services in this State.
- 5. That the Company is hereby placed on notice that the costs of the proceedings will be charged to it under the provisions of 26 $Del.\ C.\ \S114(b)(1)$.
- 6. That the Commission reserves the jurisdiction and authority to enter such further Orders in this matter as may be deemed necessary or proper.

BY ORDER OF THE COMMISSION:

V....

commissioner

deioner

PSC Docket No. 12-349 Order No. 8199 Cont'd

Canou Bentley

Commissioner

Commissioner

ATTEST:

PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA 1325 G STREET N.W., SUITE 800 WASHINGTON, D.C. 20005

<u>ORDER</u>

July 11, 2017

FORMAL CASE NO. GA 2017-03, IN THE MATTER OF THE APPLICATION OF HOSPITAL ENERGY, LLC D/B/A HOSPITAL ENERGY SERVICES, LLC AND HES ENERGY, LLC FOR A NATURAL GAS SUPPLIER LICENSE; and

FORMAL CASE NO. EA 2017-12, IN THE MATTER OF THE APPLICATION OF HOSPITAL ENERGY, LLC D/B/A HOSPITAL ENERGY SERVICES, LLC AND HES ENERGY, LLC FOR AN ELECTRICITY SUPPLIER LICENSE, Order No. 18834

I. <u>INTRODUCTION</u>

1. By this Order, the Public Service Commission of the District of Columbia ("Commission") grants licenses to Hospital Energy, LLC d/b/a Hospital Energy Services, LLC and HES Energy, LLC ("Hospital Energy" or "Applicant") to function as an electricity and natural gas supplier in the District of Columbia. The licenses for Hospital Energy, LLC d/b/a Hospital Energy Services, LLC and HES Energy, LLC are effective upon issuance of this Order.

II. <u>BACKGROUND</u>

2. On April 28, 2017, Hospital Energy filed its license applications.² Specifically, Hospital Energy indicates that it intends to operate as a broker of electricity pursuant to Section 34-1505 of the "Retail Electric Competition and Consumer Protection Act of 1999" ("Act")³ and as a broker of natural gas pursuant to the Commission's

D.C. Code § 34-1501(17) (2001) defines, in part, an electricity supplier as "a person, including an aggregator, broker, or marketer, who generates electricity; sells electricity; or purchases, brokers, arranges or markets electricity for sale to customers." D.C. Code § 34-1671.02(12) (2001) defines a natural gas supplier as "a person including an aggregator, broker, or marketer, who sell natural gas or purchases, brokers, arranges or, markets natural gas for sale to customers."

Formal Case No. EA 2017-12, In the Matter of the Application of Hospital Energy, LLC d/b/a Hospital Energy Services, LLC and HES Energy, LLC for an Electricity License ("Formal Case No. EA 2017-12"), Application of Hospital Energy, LLC d/b/a Hospital Energy Services, LLC and HES Energy, LLC filed April 28, 2017 and Formal Case No. GA 2017-03, In the Matter of the Application of Hospital Energy, LLC d/b/a Hospital Energy Services, LLC and HES Energy, LLC for a Natural Gas Supplier License ("Formal Case No. GA 2017-03"), Application of Hospital Energy, LLC d/b/a Hospital Energy Services, LLC and HES Energy, LLC filed April 28, 2017.

³ D.C. Code § 34-1505; see generally D.C. Code §§ 34-1501-1520.

licensing requirements as set forth in GT 96-3, Order Nos. 12709 and 12903.⁴ In both filings, the Applicant represents that it intends to serve commercial and industrial customers in the District of Columbia. Hospital Energy provided the following information in compliance with the Commission's licensing requirements:⁵

- a) Proof of technical and managerial competence;
- b) Affidavits certifying compliance with applicable Federal and District of Columbia environmental laws and regulations;
- c) Proof of financial integrity to include financial statements;
- d) Proof that Hospital Energy has registered with the Department of Consumer and Regulatory Affairs to do business in the District of Columbia;
- e) Affidavits certifying that Hospital Energy is subject to all applicable taxes;
- f) Affidavits certifying that Hospital Energy will comply with all the requirements of all orders and regulations of the Commission; and
- g) Applicant's website address: http://www.hospitalenergy.com.

Hospital Energy filed supplemental information on June 9, 2017.6

III. <u>DISCUSSION</u>

3. Our review of the information contained in Hospital Energy's applications, demonstrate that it has met all the prescribed licensing requirements and that the Applicant has the ability and the financial integrity to serve electricity and natural gas customers in the District of Columbia. The Commission also finds that granting Hospital Energy's applications will serve the public interest by fostering competition in the District's energy market. Accordingly, Hospital Energy's applications are approved.

GT 96-3, Order No. 12709, as revised by Order No. 12903, at Attachment C.

Formal Case No. 945, In the Matter of the Investigation into Electric Service Market Competition and Regulatory Practices, Order No. 11796 at Attachment C; and GT 96-3, Order No. 12709, as revised by Order No. 12903, at Attachment C.

Formal Case No. EA 2017-12 and GA 2017-03, Hospital Energy filed supplemental information on June 9, 2017.

THEREFORE, IT IS ORDERED THAT:

4. The applications of Hospital Energy, LLC d/b/a Hospital Energy Services, LLC and HES Energy, LLC for licenses to conduct business in the District of Columbia as a broker of electricity and natural gas are hereby **GRANTED**. The licenses Hospital Energy, LLC d/b/a Hospital Energy Services, LLC and HES Energy, LLC are effective upon issuance of this Order.

A TRUE COPY:

BY DIRECTION OF THE COMMISSION:

CHIEF CLERK:

BRINDA WESTBROOK-SEDGWICK

Junda Midhad . Spil

COMMISSION SECRETARY

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

Hospital Energy Services, LLC

Application for Licensure of Agents, Brokers and Consultants under Section 16-115C of the Public Utilities Act.

11-0197

ORDER

By the Commission:

I. PRELIMINARY MATTERS

On March 1, 2011, Hospital Energy Services, LLC ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454 ("Part 454"). The Administrative Law Judge requested additional information and clarification of certain matters contained in the Application. The Applicant filed a verified response providing the requested information. Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of Connecticut and licensed to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data.

III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant submitted an original surety bond in satisfaction of Section 454.80.

Applicant is required by Part 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment A. The response to the Administrative Law Judge includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachment A includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a).

Applicant is required by Part 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Part 454.70 with the information provided, including the information included in the response to the Administrative Law Judge. Attachment A includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a).

The Applicant indicates in its application that it is licensed as an agent, broker or consultant in Connecticut, Massachusetts, and Pennsylvania; and that it has not had any complaints filed against it for its provision of services in the gas or electric industry.

Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the application and attachments along with the supplementary information provided by Applicant regarding the technical and managerial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements.

The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that Hospital Energy Services, LLC is granted service authority to operate as an agent, broker or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) Hospital Energy Services, LLC, a business organized under the laws of Connecticut, seeks a certificate of service authority to operate as an agent, broker or consultant under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact:
- (4) Applicant has demonstrated that it possesses sufficient financial, managerial and technical resources and abilities to provide services as an agent, broker or consultant for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Applicant has complied with Section 16-115C of the Act and Part 454; and
- (6) Hospital Energy Services, LLC should be granted the certificate of service authority to operate as an agent, broker or consultant as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that Hospital Energy Services, LLC is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that Hospital Energy Services, LLC shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 III. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By order of the Commission this 4th day of May, 2011.

(SIGNED) DOUGLAS P. SCOTT

Chairman

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that Hospital Energy Services, LLC is granted service authority to operate as an agent, broker or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

STATE OF MAINE PUBLIC UTILITIES COMMISSION

Docket No. 2011-356

October 18, 2011

HOSPITAL ENERGY SERVICES, LLC Application for License to Operate as a Competitive Electricity Provider

ORDER GRANTING LICENSE

WELCH, Chairman; VAFIADES and LITTELL, Commissioners

I. SUMMARY

In this Order, we license Hospital Energy Services, LLC to operate as a competitive electricity provider furnishing aggregator/broker services to the large non-residential customer class throughout Maine pursuant to Chapter 305 of the Commission's Rules.

II. APPLICATION AND DECISION

On October 9, 2011, Hospital Energy Services, LLC (HES) applied to the Commission to operate in Maine as a competitive electricity provider pursuant to Chapter 305. HES proposes to provide aggregator/broker services to large non-residential customers throughout Maine. HES met all the Chapter 305 filing requirements. As a licensed competitive electricity provider, HES is required to comply with all applicable requirements and regulations, including all conditions of licensing, customer protection rules, and the filing of annual reports.

Pursuant to a Delegation Order dated April 23, 2008 in Docket No. 2008-185, PUBLIC UTILITIES COMMISSION, Delegation of Authority to License Competitive Electricity Providers, the Commission delegated to the Director of Technical Analysis the authority under 35-A M.R.S.A., § 3203 (1)(2) and Chapter 305, §2 to license competitive electricity providers. On September 12, 2009, in Docket No. 2009-260, statutory changes took effect that change the title of this position. P.L. 2009, ch. 122. The Director of Technical Analysis will be the Director of Electric and Gas Utility Industries. All existing delegation orders that delegate Commission authority to the Director of Electric and Gas Utility Industries.

Accordingly, it is

ORDERED

- 1. That Hospital Energy Services, LLC is hereby issued a license to operate as a competitive electricity provider pursuant to Chapter 305 of the Commission's Rules, to provide aggregator/broker services to the large non-residential customer class throughout Maine; and
- 2. That this license is effective on the date of this Order and valid until revoked or suspended by the Commission pursuant to section 3(A)(4) of Chapter 305, or abandoned by the licensee pursuant to sections 2(D)(10) and 2(D)(11) of Chapter 305 of the Commission's Rules.

Dated at Hallowell, Maine, this 18th day of October, 2011.

BY ORDER OF THE DIRECTOR OF ELECTRIC AND GAS UTILITY INDUSTRIES

Faith Huntington

DOUGLAS R. M. NAZARIAN CHAIRMAN

HAROLD D. WILLIAMS LAWRENCE BRENNER KELLY SPEAKES-BACKMAN W. KEVIN HUGHES STATE OF MARYLAND



PUBLIC SERVICE COMMISSION

#6, 11/16/11 AM; ML# 134642 License Reference No.: IR-2459

November 16, 2011

Mark Mininberg, President Hospital Energy Services, LLC 110 Riverview Drive Gilford, CT 06437

Dear Mr. Mininberg:

On October 6, 2011, Hospital Energy Services, LLC filed an Application for a license to supply natural gas or natural gas supply services in Maryland under COMAR 20.54. The Company proposes to provide natural gas supplier services in Maryland for commercial and industrial customers as described in the application.

After considering this matter at the November 16, 2011 Administrative Meeting, the Commission granted Hospital Energy Services, LLC a license to supply natural gas or natural gas supply services in Maryland in accordance with its Application (License Reference Number IR-2459). The license granted by the Commission under this Letter Order is limited solely to providing natural gas broker services to commercial and industrial customers.

Finally, Hospital Energy Services, LLC is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

David J. Collins
Executive Secretary

DJC/rmw

: Merwin Sands, Gas Division

DOUGLAS R. M. NAZARIAN CHAIRMAN

HAROLD D. WILLIAMS LAWRENCE BRENNER KELLY SPEAKES-BACKMAN W. KEVIN HUGHES STATE OF MARYLAND



PUBLIC SERVICE COMMISSION

#17, 10/26/11 AM; ML# 133646 License Reference No.: IR-2426

October 26, 2011

Mark Mininberg, President Hospital Energy Services, LLC 696 Coleman Road Middletown, CT 06457

Dear Mr. Mininberg:

On August 22, 2011 Hospital Energy Services, LLC filed an Application for a license to supply electricity or electric generation services in Maryland under COMAR 20.51. The Company proposes to provide electricity supplier services in Maryland for commercial and industrial customers as described in the application.

After considering this matter at the October 26, 2011 Administrative Meeting, the Commission granted Hospital Energy Services, LLC a license to supply electricity or electric generation services in Maryland in accordance with its Application (License Reference Number IR-2426). The license granted by the Commission under this Letter Order is limited solely to electricity broker services to commercial and industrial customers.

Finally, Hospital Energy Services, LLC is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

David J. Collins

Executive Secretary

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DJC/gid

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Phil VanderHeyden, Electricity Division

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAUL STREET • BALTIMORE, MARYLAND 21202-6806

410-767-8000

Toll Free: 1-800-492-0474

FAX: 410-333-6495

MDRS: 1-800-735-2258 (TTY/Voice)

Website: www.psc.state.md.us/psc/



THE COMMONWEALTH OF MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES

DEVAL L. PATRICK GOVERNOR

TIMOTHY P. MURRAY LIEUTENANT GOVERNOR

RICHARD K. SULLIVAN, JR. SECRETARY OF ENERGY AND ENVIRONMENTAL AFFAIRS ONE SOUTH STATION BOSTON, MA 02110 (617) 305-3500 ANN G. BERWICK CHAIR

JOLETTE A. WESTBROOK
COMMISSIONER

DAVID W. CASH COMMISSIONER

October 19, 2011

Mark Mininberg, President Hospital Energy Services, LLC 696 Coleman Road Middletown, CT 06457

The Department of Public Utilities ("Department") has reviewed your application for renewal of your Electricity Broker License, EB-052, and is pleased to inform you that your application for renewal has been approved.

As a condition of maintaining this license, you must file updated information within 30 days of any material or organic change in the information required by 220 C.M.R. § 11.05(2), and you must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 C.M.R. §§ 11.00, 12.00 et seq. If you decide to request renewal of your license next year, please submit renewal application no later than November 1, 2012.

Mark D. Marini, Secretary



THE COMMONWEALTH OF MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER GOVERNOR

KARYN E. POLITO LIEUTENANT GOVERNOR

MATTHEW A. BEATON SECRETARY OF ENERGY AND ENVIRONMENTAL AFFAIRS ONE SOUTH STATION BOSTON, MA 02110 (617) 305-3500 ANGELA M. O'CONNOR CHAIRMAN

ROBERT E. HAYDEN COMMISSIONER

CECILE M. FRASER
COMMISSIONER

August 4, 2017

Mark Mininberg, President Hospital Energy, LLC 110 Riverview Drive Guilford, CT 06437

Mr. Mininberg,

The Department of Public Utilities has reviewed Hospital Energy, LLC's application for a Gas Retail Agent license to serve commercial and industrial customers in the Commonwealth of Massachusetts. I am pleased to inform you that the application has been approved. Hospital Energy's license number is RA-186.

As a condition of maintaining its license, Hospital Energy, LLC must file updated information within 30 days of any material change in the information required by 220 C.M.R. § 14.04(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations thereunder, including 220 C.M.R. §§ 12.00, 14.00 et seq. If you decide to request renewal of Hospital Energy's license next year, please submit a renewal application at least 30 days prior to the expiration of this license.

Sincerely,

Mark D. Marini, Secretary

FAX: (617) 345-9101 www.mass.gov/dpu

THE STATE OF NEW HAMPSHIRE

CHAIRMAN Martin P. Honigberg

COMMISSIONERS Robert R. Scott Kathryn M. Bailey

EXECUTIVE DIRECTOR Debra A. Howland



PUBLIC UTILITIES COMMISSION 21 S. Fruit Street, Suite 10 Concord, N.H. 03301-2429

April 19, 2016

TDD Access: Relay NH 1-800-735-2964

Tei. (603) 271-2431

FAX (603) 271-3878

Website: www.puc.nh.gov

Mark Mininberg, President Hospital Energy Services, LLC 110 Riverview Drive Guilford, CT 06437

Re: DM 16-440 Hospital Energy Services, LLC

Application to Renew Registration as a Provider of Electric Aggregation Service

Dear Mr. Mininberg:

On April 14, 2016, Hospital Energy Services, LLC submitted an application with the Commission to renew its registration as a provider of electric aggregation service. Staff reviewed the application and determined that it is complete.

The Commission approves your application effective as of June 23, 2016. The registration is for a term of five years and expires at the close of business on June 23, 2021. Pursuant to N.H. Code Admin. Rules Puc 2003.05(a), you must submit your next renewal application at least 60 days prior to the expiration of the registration approved here, on or before April 24, 2021.

Please be aware that registered providers of electric aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 2000 – Competitive Electric Power Supplier and Aggregator Rules. These rules are available at: http://www.puc.nh.gov/Regulatory/Rules/PUC2000.pdf. Please also be aware that the Commission has opened Docket DRM 13-151 to consider revisions to these rules. All non-confidential filings in Docket DRM 13-151 can be found at http://www.puc.nh.gov/Regulatory/Docketbk/2013/13-151.html. The revised rules may contain different requirements for maintaining registration as a provider of electric aggregation service that might affect your registration.

Please note that, effective as of July 1, 2014, each registered electric load aggregator is subject to an annual assessment of \$2,000, unless it demonstrates that its gross revenue in New Hampshire during the preceding fiscal year (from July 1 through June 30) was less than \$10,000, pursuant to RSA 363-A:2, III and 5.

Sincerely.

Debra A. Howland Executive Director

mlak

cc: Service List/Docket File

THE STATE OF NEW HAMPSHIRE

CHAIRMAN Martin P. Honigberg

COMMISSIONERS Robert R. Scott Kathryn M. Bailey

EXECUTIVE DIRECTOR Debra A. Howland



PUBLIC UTILITIES COMMISSION 21 S. Fruit Street, Suite 10

21 S. Fruit Street, Suite 10 Concord, N.H. 03301-2429

TDD Access: Relay NH 1-800-735-2964

Tel. (603) 271-2431

FAX (603) 271-3878

Website: www.puc.nh.gov

August 17, 2016

Mark Mininberg, President Hospital Energy Services, LLC 110 Riverview Drive Guilford, CT 06437

Re: DM 16-734, Hospital Energy Services, LLC

Renewal of Registration to Provide Natural Gas Aggregation Service

Dear Mr. Mininberg:

On July 11, 2016 Hospital Energy Services, LLC (Hospital Energy) filed an application to renew its registration as a provider of natural gas aggregation service. Staff reviewed the application and determined that it is complete.

The Commission approves your application effective as of September 15, 2016. The registration is for a term of 5 years and expires at the end of business on September 14, 2021. Pursuant to N.H. Code Admin. Rules Puc 3003.02(a), you must submit your next renewal application at least 60 days prior to the expiration of the registration approved here, on or before July 17, 2021.

Please be aware that registered providers of natural gas aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 3000-Competitive Natural Gas Supplier and Aggregator Rules. These rules are available at: http://www.puc.state.nh.us/Regulatory/Rules/PUC3000.pdf.

Please note that, effective as of July 1, 2014, each registered natural gas aggregator is subject to an annual assessment of \$2,000, unless it demonstrates that its gross revenue in New Hampshire during the preceding fiscal year (from July 1 through June 30) was less than \$10,000, pursuant to RSA 363-A:2, III and 5.

Sincerely.

Debra A. Howland

Executive Director

cc: Service List/Docket File



Phil Murphy Governor

Sheila Oliver Lt. Governor

STATE OF NEW JERSEY

Board of Public Utilities
44 South Clinton Avenue, 3rd Floor, Suite 314
Post Office Box 350
Trenton, New Jersey 08625-0350
www.nj.gov/bpu/

Aida Camacho-Welch Secretary of the Board (609) 292-1599

October 29, 2018

Mark Mininberg
President
Hospital Energy, LLC
99 Hanover Street #204
Portsmouth, NH 03801

Re: Energy Agent Renewal Registration

Dkt. Nos. EE18050571L

Dear Mr. Mininberg:

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its October 29, 2018 Agenda meeting, the New Jersey Board of Public Utilities renewed the REGISTRATION as an Energy Agent, to Hospital Energy, LLC. Your registration number is EA-0359.

The enclosed registration is effective October 29, 2018 and expires on October 28, 2019. This registration and the rights thereunder are <u>Non-Transferable</u>.

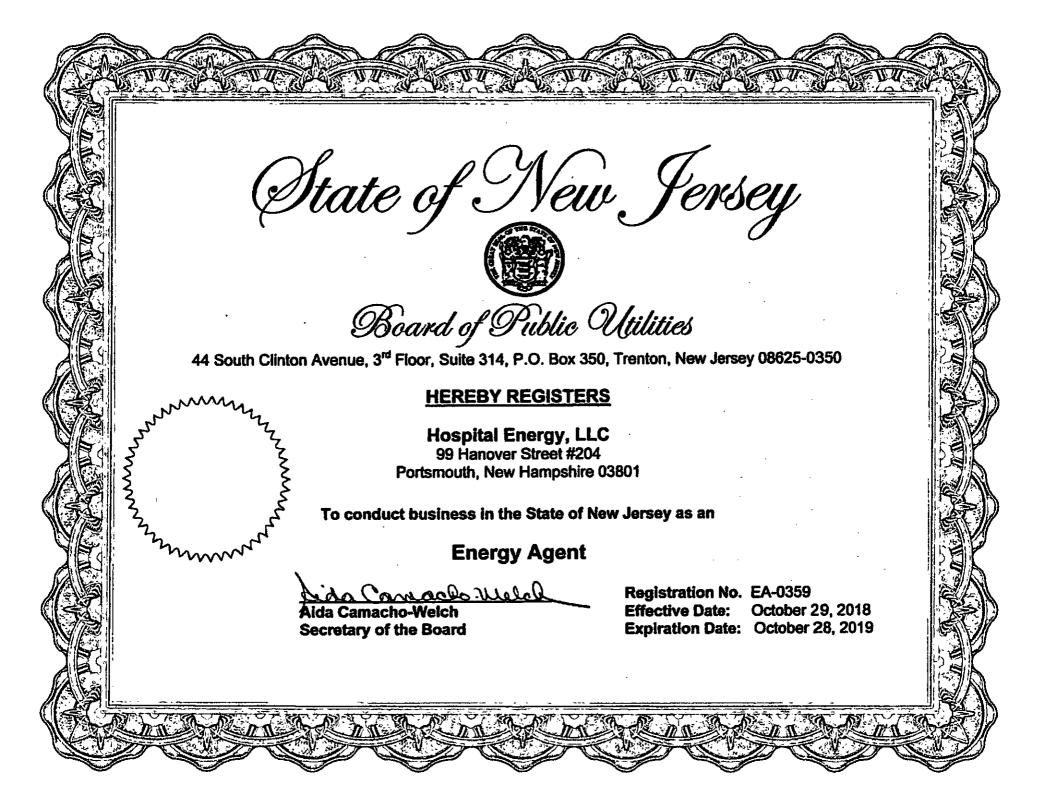
This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.

If you have any questions, please contact Valencia Hunt at (609) 292-0637.

Sincerely,

Aida Camacho-Welch Secretary of the Board

ACW/vch Encl.(s)





Commissioners M. Beth Trombold Thomas W. Johnson Lawrence K. Friedeman Daniel R. Conway

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL Certificate Number: 13-727E (3)

Issued Pursuant to Case Number(s):

13-1603-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to Hospital Energy Services, LLC whose office or principal place of business is located at 110 Riverview Drive, Guilford, CT 06437 to provide power broker services within the State of Ohio effective August 10, 2017.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

> This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: August 10, 2017

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary

Tanowa M. Troupe, Acting Secretary

Felecia D. Burdett, Acting Secretary

Certificate Expires: August 10, 2019

Studett

The Public Utilities Commission of Ohio Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate

Renewal

Issued pursuant to Case Number(s):

14-1823-GA-AGG

is

Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number: 14-396G(3)

Granted to:

Hospital Energy, LLC

Whose office or principal place of business is located at: 99 Hanover Street #204, Portsmouth, NH 03801

And is hereby certified to provide:

Retail Natural Gas Broker Services

within the state of Ohio, for a two-year period.

Certification Effective:

November 26, 2018 through November 26, 2020

The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio

Dated: November 28, 2018

By Order of

The Public Utilities Commission of Ohio

Barcy F. McNeal, Secretary

Tanowa M. Troupe, Acting Secretary Susan Patterson, Acting Secretary

Beverly Hoskinson, Acting Secretary



PUBLIC UTILITIES COMMISSION 89 Jefferson Boulevard Warwick, Rhode Island 02888 (401) 941-4500

May 15, 2017

Hospital Energy, LLC 110 Riverview Dr Guilford, CT 06437

Dear Sir or Madam,

Your Company registered with our office as a Natural Gas Marketer. Registered gas marketers are allowed to provide gas marketing services in the State of Rhode Island. The Commission's Gas Marketer Regulations requires registered gas marketers to provide an annual statement as to any changes to the information previously filed or a statement to the effect that there haven't been any changes. The Commission requires this information to ensure our records are up to date.

To keep your registration active, we ask that you submit, by June 30, 2017, a statement updating any information you previously filed with us or a statement that there haven't been any changes. In addition, if you wish to withdraw your registration we ask that you notify us in writing so we can close out your registration file.

Please submit the requested information to me electronically in PDF Format at luly.massaro@puc.ri.gov and copy John Bell on the e-mail at: john.bell@dpuc.ri.gov. Please include your docket number and Company name in the subject line. Your docket number is included in the listing of gas marketers on our website at: http://www.ripuc.org/utilityinfo/natgas.html.

If you have any questions regarding this matter, please feel free to contact John Bell at 401-780-2144 if you have any questions. Thank in you advance for your cooperation.

Very truly yours,

Luly Massaro

Commission Clerk

401-780-2107



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

DIVISION OF PUBLIC UTILITIES AND CARRIERS

Thomas F. Ahern ADMINISTRATOR 89 Jefferson Boulevard Warwick, R.I. 02888 OFFICE (401) 941-4500 x115 FAX (401) 941-9207 TDD (401) 941-4500

January 20, 2015

Mark Mininberg President Hospital Energy, LLC 110 Riverview Drive Guilford, CT 06437

Re: Hospital Energy, LLC's Application for Registration as a Non Regulated Power Producer pursuant to R.I.G.L. 39-1-27.1(c). Division Docket D-96-6 (J7)

Dear Mr. Mininberg:

The Division of Public Utilities has reviewed Hospital Energy, LLC's application for registration as a nonregulated power producer, pursuant to R.I.G.L. 39-1-27.1(c) and the Division's Rules Applicable to Nonregulated Power Producers. The application is complete as to the statutory requirements of 39-1-27.1(c), itemized in statute at (i) through (ix) and the Division's rules.

The Division accepts the registration application of Hospital Energy, LLC as a nonregulated power producer. In conformance with the law, please note that the registration must be updated within ten days of any change to the information included in your application.

Very truly yours,

cc: Luly Massaro, Commission Clerk John Bell, Utility Analyst

CERTIFICATE OF SERVICE

I certify that true and correct copies of the foregoing electric and natural gas services licenses have been served in paper form upon the following:

Paul T. Diskin, Director Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

SECRETARY'S BUREAU
NOBULTORY

SOIR DEC SI BMIS: 2th

RECEIVED

Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Vice President – Energy Supply Corning Natural Gas Holding Corp. 330 West William Street Corning, NY 14830

> Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

Office of General Counsel Attn: Kimberly A. Klock PPL Two North Ninth Street (GENTW3) Allentown, PA 18101-1179

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

> James H. Cawley December 21, 2018