



January 9, 2019

VIA FEDERAL EXPRESS

Pennsylvania Public Utility Commission
400 North Street,
Harrisburg, PA 17120
Attn: Rosemary Chiavetta, Secretary

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JAN 09 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

RE: ENGIE Resources LLC ("ENGIE Resources") - EGS License No. A-110156

Request to Renew 5% Financial Security Reduction

Pursuant to Section 2809 of the Public Utility Code (Code), 66 Pa. C.S. §2809(c), and the regulations promulgated thereunder, and 52 Pa Code §54.40, all active EGSs in PA are required to maintain financial security at levels meant to ensure the financial responsibility of the EGS.

ENGIE Resources hereby submits a request to renew its 5% Financial Security Reduction pursuant to the conclusion and findings of the PA PUC's Tentative Opinion and Order adopted September 20, 2018 (the "Commission's Order"), as follows:

- 1) Name: **ENGIE Resources LLC**
Docket No.: **A-110156**
Utility Code: **1014**
- 2) Contact for Bond Renewal: **Marsha Griffin, Senior Paralegal**
1990 Post Oak Blvd., Suite 1900
Houston, TX 77056
(713) 636-1083
marsha.griffin@engie.com
- 3) Company Contact Info: **Customer Service: (888) 232-6206**
Email: custserv@engie.com
Sales: engiena-sales@engie.com
- 4) Current Bond Term: **04/19/2018 – 04/19/2019**
- 5) Certificate of Service: **See attached Certificate of Service (excluding CONFIDENTIAL materials) confirming ENGIE Resources' request has been served on the following:**

Office of Consumer Advocate
Office of Attorney General
Office of Small Business Advocate
Bureau of Investigation & Enforcement
PA Department of Revenue



**Duquesne Light Co.
Metropolitan-Edison Co.
PPL Electric Utilities Corp.
PECO Energy Co.
Pennsylvania Electric Co.
Pennsylvania Power Co.
West Penn Power Co.**

- 6) Tax Status Letter: **See Exhibit 1 attached hereto.**
- 7) PA DOR REV-423: **Please note that ENGIE Resources' specialty estimated tax payment is not due to be paid until March 15, 2019; therefore, the most current estimated tax payment available is attached hereto as CONFIDENTIAL Exhibit 2. Once ENGIE Resources' 2019 estimated specialty tax payment has been submitted and paid for 2019, ENGIE Resources will forward confirmation of same to the PA PUC.**
- 8) Gross Receipts: **See CONFIDENTIAL Q1-Q4 2018 Gross Receipts attached hereto as Exhibit 3.**
- 9) 5% Gross Receipt Calculation: **See CONFIDENTIAL Exhibit 4 attached hereto.**
- 10) Bond: **ENGIE Resources' Bond No. 09232821 dated 04/19/2018 is currently on file with the PA PUC. Upon approval by the PA PUC of ENGIE Resources' request for a 5% continued reduction, a further Continuation Certificate with an approved 5% reduction amount will be issued on or before the expiration date of the current Bond on file with the PA PUC.**
- 11) AEPS Compliance: **See Exhibit 5 attached hereto. PA AEPS confirmation of ENGIE Resources' full compliance with the requirements of the AEPS Act. This exhibit shows compliance for the most recent 12-month period, June 1-May 31, taken from the report of the Statewide Administrator of the PA AEPS AEC Program, stating the compliance obligation for the most recent energy year.**
- 12) Fees: **ENGIE Resources certifies that it is compliant with all annual and supplemental fees. See CONFIDENTIAL copies of annual and supplemental payments made attached hereto as Exhibit 6.**

If the PA PUC has any questions or require any additional information in connection with this filing, please contact me at (713) 636-1083 or via e-mail at marsha.griffin@engie.com.



Regards,

A handwritten signature in cursive script that reads "Marsha F. Griffin".

Marsha Griffin
Senior Paralegal
1990 Post Oak Blvd., Suite 1900
Houston, TX 77056
www.engieresources.com



ENGIE RESOURCES LLC
Request for 5% Reduction of Financial Security

Exhibit 1

Tax Status Letter

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Bureau of Compliance
P.O. Box 280231
Harrisburg, PA 17128-0231
717-787-3911 (Option 5)
www.revenue.pa.gov



Tuesday, January 08, 2019

Attention: Marsha Griffin

RE:

ENGIE Resources LLC

1990 Post Oak Blvd, Suite 1900

Houston, TX 77056

Federal EIN: 76-0685946
Social Security Number:
Business Partner Number: 1000209309

Dear Requester,

I am writing to confirm that the above-referenced tax accounts of ENGIE Resources LLC are current. All returns have been filed with payment as of Tuesday, January 08, 2019. This confirmation is not intended to represent a "Bulk Sale Clearance" under the provision of section 1403 of the fiscal code. This letter does confirm that the account above is in good standing.

Sincerely,

A handwritten signature in black ink, appearing to read 'Ernard S. Robinson, Jr.', written in a cursive style.

Ernard S. Robinson, Jr
PA Department of Revenue
Bureau of Compliance
Business License Clearance Division



ENGIE RESOURCES LLC
Request for 5% Reduction of Financial Security

Exhibit 5

Confirmation of AEPS Obligations Met

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



PENNSYLVANIA ALTERNATIVE ENERGY CREDIT PROGRAM

Via Email to: ENGIE Resources LLC (marsha.griffin@na.engie.com, marsha.griffin@gdfsuezna.com)

12 September, 2018

Dear Pennsylvania Electric Supplier:

This letter is to inform you that ENGIE Resources LLC has met the non-solar Tier I, solar Tier I and Tier II AEC retirement requirements for energy year 2018.

If you have any questions regarding this letter please contact InClime, the Pennsylvania AEPS Administrator, at customerservice@pennaeps.com or by phone at 877-333-0573.

Best,

Pennsylvania AEPS Administration Team



CERTIFICATE OF SERVICE

I hereby certify that on this 9th day of January 2019, I have served a copy of ENGIE Resources' Renewal Request for a 5% Reduction in Financial Security via overnight delivery upon the persons listed below in the manner indicated in accordance with the requirements of 52 Pa. Code Section 1.54 and the Commission's Order dated September 20, 2018:

Office of Consumer Advocate
555 Walnut Street
5th Floor Forum Place
Harrisburg, PA 17101-1923

Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219
Attn: Regulatory Affairs

Office of Attorney General
Office of Consumer Protection
14th Floor Strawberry Square
Harrisburg, PA 17120

PPL
Two North Ninth Street
Allentown, PA 18104
Attn: Kimberly Klock, Legal Department

Office of Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101


PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699
Attn: Manager Energy Acquisition

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
P.O. Box 3265
Harrisburg, PA 17105-3265

Met-Ed, Penelec & Penn Power
First Energy
2800 Pottsville Place
Reading, PA 19612
Attn: Legal Department

Pennsylvania Department of Revenue
Fourth & Walnut Streets
1st Floor, Strawberry Square
Harrisburg, PA 17120
Attn: Bureau of Compliance

West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689
Attn: Legal Department



Naveen Rabie, Attorney for ENGIE Resources LLC

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ORIGIN ID:HOUA (713) 636-1083
MARSHA GRIFFIN, SR PARALEGAL
ENGIE RESOURCES LLC
1990 POST OAK BLVD., SUITE 1900

HOUSTON, TX 77056
UNITED STATES US

SHIP DATE: 09JAN19
ACTWGT: 0.50 LB
CAD: 4476218/INET4040

BILL SENDER

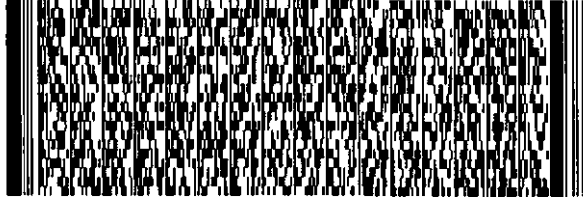
TO ROSEMARY CHIAVETTA, SECRETARY
PA PUBLIC UTILITIES COMMISSION
400 NORTH STREET

HARRISBURG PA 17120

(713) 636-1083
INV
PC

REF ENGIE RETAIL LEGAL
DEPT

552.02074 C/DCA5

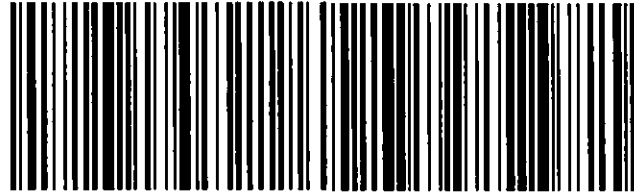


THU - 10 JAN 3:00P
STANDARD OVERNIGHT

TRK# 7741 5107 4897
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XH MDTA

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PA-US MDT



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