



DAVID A SHEFFER  
Principal

2405 Park Drive, Suite 203  
Harrisburg, PA 17110  
717.763.9890 • Tel

dsheffer@ausconsultants.com

Secretary, Pennsylvania Public Utility Commission  
400 North Street, 2<sup>nd</sup> Floor  
Harrisburg, PA 17120

Dear Secretary:

Please find enclosed an amended version of Attachment "A" and Attachment "B" of the Renew Registration for AUS Consultants as a Utility Valuation Expert at Docket No. A-2016-2566251.

*I, David Sheffer, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).*

Signature   
DAVID A. SHEFFER  
AUS Consultants

Title Principal

Date 1-22-19

Enclosure

Cc: Paul Zander [pzander@pa.gov](mailto:pzander@pa.gov) (w/encl.)

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Bureau of Technical Utility Services  
Water/Wastewater Division  
Data Request Set 1

Application of AUS Consultants, Inc. to Renew Registration as a Utility Valuation Expert  
at Docket No. A-20 16-2566251

Note: Please restate the data request prior to providing a response. In addition, provide the name and title of the person(s) providing the response and Jor information for each data request.

A-I. The Application's Attachment "B" contains a copy of a Wisconsin Professional Engineer License No. 15552-6 that expired July 31, 2018. Please amend the Application to include an unexpired copy of License No. 15552-6.

**RESPONSE:**

**In response to the Application's Attachment "B" containing a copy of a Wisconsin Professional Engineer License No. 15552-6, dated July 31, 2018, in lieu of the expiration of the Wisconsin Professional Engineer License No. 15552-6, this license has been removed from the Attachment "B" of the renewal application.**

**In lieu of the removal of the Wisconsin Professional Engineer License No. 15552-6 from Attachment "B", the resume of Mr. Jerry Weinert contained in Attachment "A" has been revised to exclude P.E. from his title and the removal of "Professional Engineer in the State of Wisconsin [E-155521 (registered since 1976)]" from his list of Professional Organizations.**

**Please find attached copies of a signed Page 5 of the UVE application, notarized Affidavit, revised Attachment "A" and Attachment "B" (date stamped 1-22-19) from both the Office of Consumer Advocate and the Office of Small Business Advocate.**

**PROVIDED BY: David A. Sheffer, Principal**

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**Application for Registration as a Utility Valuation Expert (UVE)**

APPENDIX A

**AFFIDAVIT**

[Commonwealth/State] of Pennsylvania \_\_\_\_\_ :

County of Dauphin \_\_\_\_\_ :

ss.

David Sheffer \_\_\_\_\_, Affiant, being duly sworn or affirmed according to law, deposes and says that:

Affiant is the Principal \_\_\_\_\_ (Office of Affiant) of AUS Consultants, Inc. \_\_\_\_\_ (Name of Applicant);

That Affiant is authorized to and does make this affidavit for said Applicant;

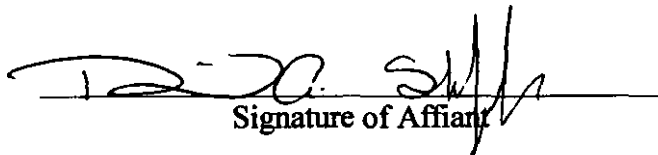
That AUS Consultants, Inc. \_\_\_\_\_, the Applicant herein, has the burden of producing information and supporting documentation demonstrating technical fitness to be registered as a Utility Valuation Expert pursuant to Section 1329. 66 Pa. C.S. § 1329.

That AUS Consultants, Inc. \_\_\_\_\_, the Applicant herein, acknowledges that it has answered the questions on the application correctly, truthfully and completely and has provided supporting documentation as required.

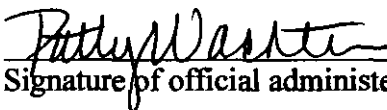
That AUS Consultants, Inc. \_\_\_\_\_, the Applicant herein, acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That AUS Consultants, Inc. \_\_\_\_\_, the Applicant herein, verifies that neither the UVE nor the UVE's firm, including affiliates, have a conflict of interest that would compromise, or have the appearance of compromising, the UVE's professional judgement and ability to perform the valuation in an unbiased manner.

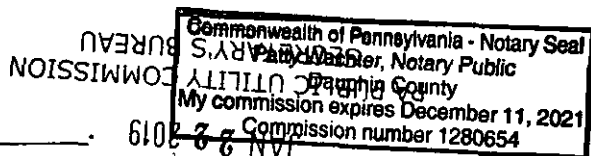
That the facts above set forth are true and correct to the best of Affiant's knowledge, information, and belief, and that Affiant expects said Applicant to be able to prove the same at hearing.

  
Signature of Affiant

Sworn and subscribed before me this 22 day of JAN, 2019.

  
Signature of official administering oath

My commission expires: 12/11/2021



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**Application for Registration as a Utility Valuation Expert (UVE)**

c. If Applicant is not domiciled in the Commonwealth of Pennsylvania and is registered as a "foreign" entity as identified above, please identify all other states where applicant is registered and name the appropriate state department(s):

See Attachment #5

**11. Technical Fitness**

Attach to this Application a copy of any certification(s) or similar documentation that would demonstrate the technical fitness of Applicant, such as professional licenses, technical certifications, and/or names of current or past clients with a description of dates and types of services provided by Applicant.

See Attachment "B"

**12. Falsification**

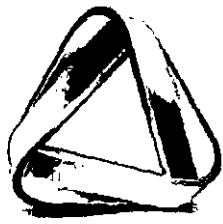
The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application, or if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

Signature of Principal Official: 

Official's Name & Title : David Sheffer - Principal  
(Please Print)

Date: 1-22-2019

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consultants

## Resumes

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AUS CONSULTANTSCurriculum Vitae (CV) of Jerome C. Weinert, CDP, ASA

AUS Consultants – Since 1987 to Present, Mr. Weinert has been with AUS Consultants responsible for valuations for utility and technical properties for sale, allocation of purchase price, property tax, and condemnation also intangible asset appraisals for various clients. Also Mr. Weinert performed utility industry capital recovery studies and service life determination. Currently, Mr. Weinert is a Principal and Director at AUS Consultants. Prior to joining AUS in 1987, Mr. Weinert was employed by American Appraisal Associates, Inc. for 16 years. He joined American Appraisal in 1973 as an Assistant Appraiser, advanced to Staff Appraiser in 1975, and to Senior Appraiser in 1977.

Mr. Weinert is a member of following professional organizations:

**American Society of Appraisers**

Accredited Senior Appraiser (ASA) in Machinery and Technical Specialties (previously Public Utilities) since 1978

**Society of Depreciation Professionals**

Founding Member (1987) and Treasurer (1993), Vice President (1994), President (1995) and a Certification and Re-certification Chairman (1992-2001) and a Certified Depreciation Professional (CDP) since 2001

During his professional career related to valuations and depreciation matters, Mr. Weinert has testified before various courts and state public service commissions on these subjects. Mr. Weinert has presented expert testimony on valuation matters related to ad valorem taxes and eminent domain issues. Mr. Weinert has presented expert testimony in the Massachusetts Superior Court, the Court of Common Pleas, Fayette County, Ohio, the New Hampshire Public Utilities Commission, the Twentieth Judicial Court (deposition only) in Charlotte County, Florida, the Nineteenth Judicial Circuit Court in St. Lucie County, Florida (deposition only). In regards to ad valorem taxation, Mr. Weinert has presented study results to the New York State Board of Equalization and Assessment pertaining to useful life and net salvage values for all types of utility property subject to the Board's mass appraisal model. He has appeared before appraisal board in Florida, the Twelfth Judicial Circuit Sarasota County, Florida, and Appellate Tax Board in Massachusetts; and tax courts in the states of Arizona, Montana and Utah.

Mr. Weinert has appeared before regulatory bodies in Alaska, Arkansas, Illinois, Indiana, Iowa, Missouri, Nevada, Nebraska, North Carolina, Ohio, Oregon, and South Carolina in support of rate-base valuation determination and capital recovery. He has presented testimony on depreciation matters before the Canadian Radio-Television and Telecommunications Commission (CRTC) and the United States Federal Energy Regulatory Commission (FERC). He has submitted study results to the State Commissions of Alabama, Alaska, Arkansas, Idaho, Illinois, Indiana, Iowa, Kentucky, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, North Carolina, Oregon, Pennsylvania, South Carolina, Washington, and Wisconsin, and the Federal Communications Commission.

**EDUCATION**

**Marquette University**

Master of Business Administration (1988)

**Milwaukee School of Engineering**

Bachelor of Science in Mechanical Engineering (1972)

AUS CONSULTANTSCurriculum Vitae (CV) of David G. Weiler

Valuation Services Group of AUS Consultants - Mr. Weiler, Vice President, joined the Valuation Services Group in 1984. Since that time, he has concentrated on all aspects of modern financial valuation. He has provided service to industrial, financial and utility clients in many diverse assignments.

Mr. Weiler has concentrated in the valuation of business enterprises and closely-held common stock. The business enterprise assignments include valuation opinions for possible sale, merger and condemnation. Common stock valuation assignments relate to estate tax matters, Employee Stock Ownership Plans, fairness opinions and sales of minority interest holdings.

The identification and valuation of intangible property in purchase price allocations for Federal Income Tax purposes, for collateral consideration in commercial lending, for liquidation purposes and to measure equity contributions to joint ventures are also a part of Mr. Weiler's experience. Some intangible property valued include patents, trademarks, core deposits, copyrights, proprietary know-how, software, license agreements and customer bases. He has also performed statistical studies on the economic remaining life of this intangible property.

Managing Director of RoyaltySource7 Intellectual Property Database. Mr. Weiler established the RoyaltySource Intellectual Property Database in June 1997. Since that time he has continuously investigated and developed the searchable database of technology and trademark sale and licensing transactions. The database is accessed worldwide by major accounting firms, law firms, and consultants in evaluating licensing and sale transactions involving intellectual property.

Utility Services Group of AUS Consultants. Mr. Weiler performed extensive financial analyses relating to industrial and public utility companies utilizing reference material, Standard & Poor's Compustat Services and other financial data bases and software. He was responsible for cost of capital studies as well as related testimony in public utility rate making proceedings for utilities providing water, natural gas, electric and telephone service.

PUBLICATIONS

ABI Newsletter - A publication of the American Bankruptcy Institute, April 1992. Article on "Bankruptcy and Intangible Property".

SPEECHES

Internal Revenue Service, Continuing Education Program, Washington D.C., June 1992. Subject: Valuation of Intellectual Property.

EDUCATION

Drexel University - Philadelphia, Pennsylvania  
Bachelor of Science Degree in Finance, 1978

AUS CONSULTANTS

Curriculum Vitae (CV) of David A. Sheffer

EXPERIENCE

As a Principal Mr. Sheffer has over 35 years of utility consulting experience. Mr. Sheffer has performed numerous revenue requirement studies, original cost studies, valuation studies and replacement cost new less studies and depreciation studies. His responsibilities include coordinating the collection, review, and formulation of the data utilized in depreciation studies, property inventories/inspections, rate filings, custom indexes, regulatory reports, replacement cost and depreciated replacement cost studies for regulated utilities. In addition, he has extensive capabilities and knowledge related to utility accounting, development of measures of value, property inventories, custom indexes and rate base element in electric, gas, telecommunication, water and wastewater industries.

EDUCATION

Harrisburg Area Community College; Associate of Arts Degree in Accounting

Eastern Utility Rate Seminar

Depreciation Programs, Inc. Seminars on Capital Recovery; Programs I and II

Appraisal of Communication, Energy & Transportation Properties for Ad Valorem Taxation,  
Wichita State University, Wichita, Kansas

PROFESSIONAL AFFILIATIONS

American Gas Association

Society of Depreciation Professionals

National Association of Water Companies

Pennsylvania Municipal Authorities Association



aUS  
consultants

**Certifications, Professional Licenses, clients,  
etc.**

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The American Society of Appraisers

Attests that

**Jerome C. Weinert**

**Accredited Member**

has successfully participated in the  
**Society's mandatory Reaccreditation Program**

and has complied with its continuing education requirements, as set forth in the organization's Constitution, Bylaws and Administrative Rules. Therefore, formal reaccreditation has been granted by the International Board of Governors and will remain valid through

**January 06, 2022**



*James R. Hest*  
Chief Executive Officer

*[Signature]*  
Sr. Manager of Accreditation  
and Reaccreditation Services

Attachment "B"



- Home
- About Us
- Membership
- Conference & Training
- Certification
- Sponsorship
- Resources

Member Features  
**Jerome C. Weinert CDP**

Profile Pages + More



Feeds Wall Bio

Last updated: 8 minutes ago  
**Jerome C. Weinert CDP**  
 Professional  
 weinertj@auswest.net

Professional Information [ Edit ]

AUS Consultants 414-529-5755 (Phone)  
 Principal & Director  
 8555 West Forest Home  
 Avenue  
 Suite 201  
 Greenfield  
 Wisconsin  
 53228 United States  
 [ Map ]

Certification Date: 1/1/2013

Certification Date: 1/1/2019  
 Renewal:

CDP Certificate Number: 122

Personal Information [ Edit ]

Additional Information [ Edit ]

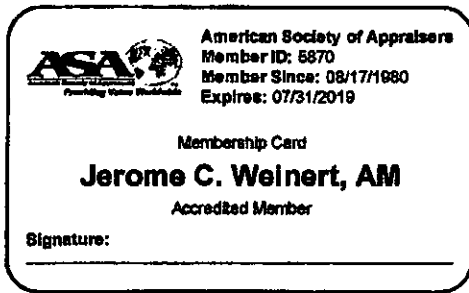
### My Profile

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- Manage Profile
- Create Resumé/CV
- Groups
- Networks
- Files & Links
- Favorites
- Messages
- Connections
- Membership Info
- Refer a Friend

#### Groups

Industry  
 Electric, Gas, Railroad,  
 Telephone, Water

Attachment "B"





DAVID A SHEFFER  
Principal

2405 Park Drive Suite 203  
Harrisburg PA 17110  
717 753 5852 • Tel

dsheffer@ausconsultants.com

Secretary, Pennsylvania Public Utility Commission  
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Title Principal

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CONSUMER ADVOCATE

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**Application for Registration as a Utility Valuation Expert (UVE)**

APPENDIX A

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: ss.

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JAN 22 2019  
Office of Small Business Advocate

[Signature]  
Signature of Affiant **RECEIVED**

JAN 22 2019

OFFICE OF  
CONSUMER ADVOCATE

Sworn and subscribed before me this 22 day of JAN, 2019.

[Signature]  
Signature of official administering oath

My commission expires: 12/11/2021

Commonwealth of Pennsylvania - Notary Seal  
Patty Wachter, Notary Public  
Dauphin County  
My commission expires December 11, 2021  
Commission number 1280654

**Application for Registration as a Utility Valuation Expert (UVE)**

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Signature of Principal Official: 

Official's Name & Title : David Sheffer - Principal  
(Please Print)

Date: 1-22-2019

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