



December 13, 2018

Commonwealth of Pennsylvania
Pennsylvania Public Utility Commission
Attn: Office of the Secretary
400 North Street, Keystone Building
Harrisburg, PA 17105-3265

RECEIVED

DEC 14 2018

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Re: South Bay Energy Corp. EGS Application

Dear Secretary Chiavetta:

South Bay Energy Corp. ("South Bay") hereby submits its Application to become an Electric Generation Supplier (EGS), which includes the following:

- One application with original signatures and attachments, and one copy;
- Proof of Service of Process;
- Notarized Proof of Newspaper Publications;
- \$250,000 bond;
- \$350 filing fee

In addition, enclosed under separate cover are confidential documents in support of its EGS Application in accordance with Pa. Code Section 54.32. South Bay requests that its responses and corresponding attachments to the following be treated as confidential:

- Attachment 7b Financial Records, Statements and Ratings
- Attachment 7c Supplier Funding

This information is treated as confidential by South Bay, and public disclosure of such information could be potentially harmful to applicant's position as competitive supplier of electricity. South Bay further requests that its responses to any subsequent requests for additional information or clarification which staff might make with regard to these same requests also be permitted to be filed under seal.

Please direct any questions or concerns about the information enclosed to the undersigned.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read 'Brittany Hirson'.

Brittany Hirson
Operations Manager
631-724-9010 x 100

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of South Bay Energy Corp. for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) Supplier of Electricity to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (*including any fictitious name or d/b/a*), primary address, web address, and telephone number of Applicant:

South Bay Energy Corp.
700 Veterans Memorial Highway, #210
Hauppauge, NY 11788
www.southbayenergy.com/
(631) 724-9010

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Registered Agent's Name: Basanta Thapa
Address: 731 Evans Street, Bethlehem, PA 08015
Phone: 631-724-9010
Fax: 631-724-9011

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Brittany Hirson
Operations Manager – South Bay Energy Corp.
700 Veterans Memorial Highway, #210
Hauppauge, NY 11788
Phone: (631) 724-9010
Email: Brittany@southbayenergy.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Attorney's Name: Michael Gruin
Address: 117 North Second Street, 16th Floor, Harrisburg, PA 17101
Phone: (717) 255 - 7365
Fax: (610) 988 - 0852
Email: mag@stevenslee.com

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- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Brittany Hirson
Operations Manager – South Bay Energy Corp.
700 Veterans Memorial Highway, #210
Hauppauge, NY 11788
Phone: (631) 724-9010
Email: Brittany@southbayenergy.com

Greg Blume
President – South Bay Energy Corp.
700 Veterans Memorial Highway, #210
Hauppauge, NY 11788
Phone: (631) 724-9010
Email: greg@southbayenergy.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

OR

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

OR

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

N/A

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

N/A

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- broker/marketer engaged in the business of supplying electricity services
- Other; Identify the nature of service being rendered.

or

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
 - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

South Bay Energy Corp. intends to become an electricity supplier for the electric distribution companies throughout the Commonwealth of PA to supply electricity to their residential and commercial customers.

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- | | |
|---|--|
| <input type="checkbox"/> Citizens' Electric | <input type="checkbox"/> Pike |
| <input type="checkbox"/> Duquesne Light | <input type="checkbox"/> PPL |
| <input type="checkbox"/> Met-Ed | <input type="checkbox"/> UGI Utilities |
| <input type="checkbox"/> PECO | <input type="checkbox"/> Wellsboro |

- Penelec
- Penn Power

West Penn

Entire Commonwealth of PA

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

Monday, February 25, 2019

5. COMPLIANCE

a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

N/A

b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

No actions have been taken against South Bay Energy Corp.

d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

Please see Appendix C

- a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

- b. **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company:
Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

West Penn:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

Met-Ed, Penelec, and Penn Power:

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company:

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company:

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...
- Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
 - Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
 - For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at sjakab@pa.gov to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond, fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

~~N/A~~ see attachment 7C

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

N/A

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Brittany Hirson
Operations Manager – South Bay Energy Corp.
700 Veterans Memorial Highway, #210
Hauppauge, NY 11788
Phone: (631) 724-9010
Email: Brittany@southbayenergy.com

f. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

Please see attachment 8 A and 10A.

b. **PROPOSED MARKETING METHOD** (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

South Bay will complete a TPV for every/all door to door sales for the purpose of quality control and compliance.

d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

South Bay Energy Corp. will ensure that all employees, associates and subcontractors conduct themselves in a fair, ethical and friendly manner while performing all duties relating to sales and marketing operations. We believe the combination of competitive pricing and friendly service will provide for the best experience possible for all parties involved.

Should any new or updated regulations be released by the Pennsylvania Public Utilities Commission, employees will be notified and given instructions on how to alter their daily operations accordingly.

- e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

See attachment 8. E.

- f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number ER14-494000
- Not applicable

9. DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

See Appendix J

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

See attachment 10. A.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

AGREED

c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201–54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx. Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

d. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

AGREED

- e. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

- f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

AGREED

- g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

- h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.

AGREED

- k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

11. AFFIDAVITS

- a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

Please see Appendix A

- b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

Please see Appendix B

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

See attachment 12.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices K and L)

13. SIGNATURE

Applicant: South Bay Energy Corp
 By: Stephen Mellis
 Title: President
 Signature:



14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: SOUTH BAY ENERGY CORP.

Applicant's Use	<input checked="" type="checkbox"/>	Signature	
	<input checked="" type="checkbox"/>	Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)	
	<input checked="" type="checkbox"/>	Application Affidavit	
	<input checked="" type="checkbox"/>	Operations Affidavit	
	<input checked="" type="checkbox"/>	Proof of Publication	
	<input checked="" type="checkbox"/>	Bond, Letter of Credit, or Parental/Affiliate Guarantee	
	<input checked="" type="checkbox"/>	Tax Certification Statement	
	<input checked="" type="checkbox"/>	Commonwealth Department of State Verification	
	<input checked="" type="checkbox"/>	Certificate of Service	
		PUC Secretary's Bureau Use	

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of NEW YORK :

ss.

County of Suffolk :

Stephen Mellis, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of South Bay Energy Corp. (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein _____ has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein _____ has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein _____ acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein _____ acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.



Signature of Affiant

Sworn and subscribed before me this 12th day of December, 20 18



Signature of official administering oath

My commission expires _____
PATRICIA FLEETHAM
Notary Public, State of New York
No. 01FL6011534
Qualified in Suffolk County
Commission Expires February 24, 2019

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

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OPERATIONS AFFIDAVIT

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

[Commonwealth/State] of New York :

ss.

County of Suffolk :

Stephen Mellis, Affiant, being duly [sworn/affirmed] according to law,
deposes and says that:

[He/she is the President (Office of Affiant) of South Bay Energy Corp.
(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That South Bay Energy Corp., the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That South Bay Energy Corp., the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That South Bay Energy Corp., the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That South Bay Energy Corp., the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

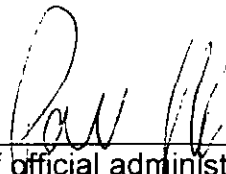
That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 12th day of December, 2018.



Signature of official administering oath

My commission expires _____

PATRICIA FLEETHAM
Notary Public, State of New York
No. 01FL6011534
Qualified in Suffolk County
Commission Expires February 24, 2019

Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Example CERTIFICATE OF SERVICE

On this the 27 day of November 2018, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179
UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

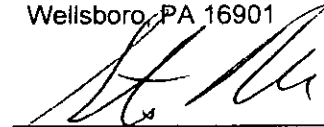
Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

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SECRETARY'S BUREAU


Stephen Mellis, President, South Bay Energy Corp.


South Bay Energy Corp. Pennsylvania Power Application Attachments

Attachment 2. B. Business Entity and Department of State Filings

PA business license:

Entry# : 6659356
Date Filed : 01/25/2018
Robert Torres
Acting Secretary of the Commonwealth

PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

Documents will be returned to the name and address specified below.			Foreign Registration Statement DSCB 15-412 (rev. 2/2017)
Name Greg Blume			
Address 700 Veterans Memorial Hwy #210,			 412
Hauppauge	NY	11788	
City	State	Zip Code	

Read all instructions prior to completing. This form may be submitted online at <http://www.corporations.pa.gov>.

Fee: \$250.00 I qualify for a veterans reserve/limited small business fee exemption (see instructions)

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. § 412 (relating to foreign registration statement), the undersigned foreign association hereby states that:

1. The type of association is (check only one):

- Business Corporation Limited Partnership Business Trust
 Nonprofit Corporation Limited Liability (General) Partnership Professional Association
 Limited Liability Company Limited Liability Limited Partnership

2. The full and proper name of the foreign association as registered in its jurisdiction of formation is:

South Bay Energy Corp

2A. If the name in 2 does not contain a required designator or if the name in 2 is not available for use in the Commonwealth, the alternate name under which the association is registering in this Commonwealth is:

3. The jurisdiction of formation: NY

4. The street and mailing address of the association's principal office:

700 Veterans Memorial Hwy, #210, Hauppauge NY 11788
 Number and street City State Zip

4A. The street and mailing address of the office, if any, required to be maintained by the law of the association's jurisdiction of formation in that jurisdiction:

Number and street City State Zip

PENN File: January 25, 2018

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Articles of Incorporation:

N. Y. S. DEPARTMENT OF STATE
DIVISION OF CORPORATIONS AND STATE RECORDS

ALBANY, NY 12231-0001

FILING RECEIPT

ENTITY NAME: SOUTH BAY ENERGY CORP.

DOCUMENT TYPE: INCORPORATION (DOM. BUSINESS)

COUNTY: NASS

FILED:04/06/2011 DURATION:PERPETUAL CASH#:110406000893 FILM #:110406000831

FILER:

EXIST DATE

LIPSKY BRESKY & LOWE LLP
585 STEWART AVE STE 440

04/06/2011

GARDEN CITY, NY 11530

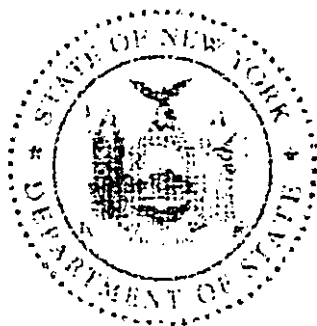
ADDRESS FOR PROCESS:

C/O LIPSKY BRESKY & LOWE, LLP
ATTN:MICHAEL LOWE, ESQ.
GARDEN CITY, NY 11530

585 STEWART AVENUE, SUITE 440

REGISTERED AGENT:

STOCK: 200 NPV



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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

SERVICE COMPANY: DELANEY CORPORATE SERVICES LTD. - 30 SERVICE CODE: 30 *

Fees 195.00

FILING 125.00
TAX 10.00
CERT 0.00
COPIES 10.00
HANDLING 50.00

PAYMENTS 195.00

CASH 0.00
CHECK 0.00
CHARGE 0.00
DRAWDOWN 195.00
OPAL 0.00
REFUND 0.00

DOS-1025 (04/2007)

STATE OF NEW YORK

DEPARTMENT OF STATE

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.



WITNESS my hand and official seal of
the Department of State, at the City of
Albany, on April 7, 2011.

A handwritten signature in black ink, appearing to read "Daniel E. Shapiro".

Daniel E. Shapiro
First Deputy Secretary of State

110406000 831

CERTIFICATE OF INCORPORATION

OF

SOUTH BAY ENERGY CORP.

UNDER SECTION 402 OF THE BUSINESS CORPORATION LAW
OF THE STATE OF NEW YORK

The undersigned, being the age of eighteen years or over, for the purpose of forming a corporation pursuant to Section 402 of the Business Corporation Law of the State of New York does hereby certify:

FIRST: The name of the corporation (hereinafter referred to as the

"Corporation") shall be SOUTH BAY ENERGY CORP.

SECOND: The purposes for which the Corporation is to engage in

any lawful act or activity for which a corporation may be organized under the Business Corporation Law, provided that the Corporation is not formed to engage in any act or activity which requires the consent or approval of any state official, department, board, agency or other body, without such consent or approval first being obtained.

THIRD: The office of the Corporation shall be located in the County of

Nassau, State of New York.

FOURTH: The aggregated number of shares which the Corporation

shall have authority to issue is two hundred shares each without par value.

FIFTH: The Secretary of State is designated as the agent of the

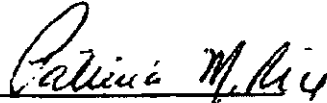
Corporation upon whom process against the Corporation may be served. The post office address to which the Secretary of State shall mail a copy of any process against the Corporation served upon him is: c/o Lipsky Bresky & Lowe, LLP, 585 Stewart Avenue, Suite 440, Garden City, New York 11530, Attn: Michael Lowe, Esq.

110406000831

SIXTH: No director of the Corporation shall be personally liable to the Corporation or its shareholders for damages for any breach of duty in such capacity, provided that nothing contained in this Article shall eliminate or limit the liability of any director if a judgment or final adjudication adverse to him establishes that his act or omissions were in bad faith or involved intentional misconduct or a knowing violation of law to which he was not legally entitled or that his acts violated Section 719 of the New York Business Corporation Law.

SEVENTH: The corporation shall have the right to indemnify any and all directors and officers to the fullest extent of the New York Business Corporation Law.

IN WITNESS WHEREOF, the undersigned has signed this Certificate and does hereby affirm the statements contained therein as true under the penalty of perjury this 4th Day April 2011.



Patricia M. Rice, Incorporator
c/o Delaney Corporate Services, Ltd.
99 Washington Avenue, Suite 805A
Albany, NY 12210

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2011 APR -6 PM 1:01

CERTIFICATE OF INCORPORATION

OF

SOUTH BAY ENERGY CORP.

UNDER SECTION 402 OF THE BUSINESS CORPORATION LAW
OF THE STATE OF NEW YORK

1 CC

STATE OF NEW YORK
DEPARTMENT OF STATE

FILED APR 06 2011

TAXES 1500

BY: KB

NASS

DRAWDOWN
DELANEY-30

LIPSKY BRESKY & LOWE, LLP
585 STEWART AVENUE, SUITE 440
GARDEN CITY, NEW YORK 11530

2011 APR -6 PM 2:01

FILED

893

RESOLUTIONS ADOPTED BY INCORPORATOR

OF

SOUTH BAY ENERGY CORP.

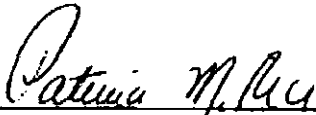
The undersigned, being the sole Incorporator of the corporation hereby adopts the following resolutions:

(1) **RESOLVED**, that a copy of the evidence of the Articles of Incorporation of the Corporation, together with the original receipt showing payment of the statutory organization tax and filing fee, be inserted in the Minute Book of the Corporation.

(2) **RESOLVED**, that the form of First By-Laws submitted to the meeting be, and the same hereby are, adopted as and for the By-Laws of the Corporation, and that a copy thereof be placed in the Minute Book of the Corporation, directly following the Certificate of Incorporation.

(3) **RESOLVED**, that the following persons be, and they hereby are, elected as Directors of the Corporation, to serve until the first annual meeting of shareholders, and until their successors are elected and qualify:

Dated: April 6, 2011



Patricia M. Rice, Incorporator

NYS Department of State

Division of Corporations

Entity Information

The information contained in this database is current through April 7, 2011.

Selected Entity Name: SOUTH BAY ENERGY CORP.

Selected Entity Status Information

Current Entity Name: SOUTH BAY ENERGY CORP.

Initial DOS Filing Date: APRIL 06, 2011

County: NASSAU

Jurisdiction: NEW YORK

Entity Type: DOMESTIC BUSINESS CORPORATION

Current Entity Status: ACTIVE

Selected Entity Address Information

DOS Process (Address to which DOS will mail process if accepted on behalf of the entity)

C/O LIPSKY BRESKY & LOWE, LLP

ATTN:MICHAEL LOWE, ESQ.

585 STEWART AVENUE, SUITE 440

GARDEN CITY, NEW YORK, 11530

Registered Agent

NONE

This office does not record information regarding the names and addresses of officers, shareholders or directors of nonprofessional corporations except the chief executive officer, if provided, which would be listed above. Professional corporations must include the name(s) and address(es) of the initial officers, directors, and shareholders in the initial certificate of incorporation, however this information is not recorded and only available by viewing the certificate.

***Stock Information**

# of Shares	Type of Stock	\$ Value per Share
200	No Par Value	

*Stock information is applicable to domestic business corporations.

Name History

Filing Date	Name Type	Entity Name
APR 06, 2011	Actual	SOUTH BAY ENERGY CORP.

A **Fictitious** name must be used when the **Actual** name of a foreign entity is unavailable for use in New York State. The entity must use the fictitious name when conducting its activities or business in New York State.

NOTE: New York State does not issue organizational identification numbers.

[Search Results](#)

[New Search](#)

[Services/Programs](#) | [Privacy Policy](#) | [Accessibility Policy](#) | [Disclaimer](#) : [Return to DOS Homepage](#) | [Contact Us](#)

Names and addresses of officers:

Brittany Hirson
Operations Manager
700 Veterans Memorial Highway, #210
Hauppauge, NY 1178
Phone: (631) 724-9010
Email: brittany@southbayenergy.com

Stephen Mellis
President
700 Veterans Memorial Highway, #210
Hauppauge, NY 1178
Phone: (631) 724-9010
Email: steve@southbayenergy.com

Greg Blume
President
700 Veterans Memorial Highway, #210
Hauppauge, NY 1178
Phone: (631) 724-9010
Email: greg@southbayenergy.com

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Licenses in other states:

Illinois: Docket Number 17-0299

Ohio:



Commissioners
M. Beth Townsend
Thomas W. Johnston
Lawrence K. Friedman
Daniel R. Conway

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

Certificate Number:

17-1240E (1)

Issued Pursuant to Case Number(s):

17-1327-EL-CRS

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, **SOUTH BAY ENERGY CORP** whose office or principal place of business is located at **700 Veterans Memorial Highway #210, Hauppauge, NY 11788** to provide power marketer services within the State of Ohio effective **June 25, 2017**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: July 7, 2017

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Felecia D. Burdett, Acting Secretary

Certificate Expires: June 25, 2019

180 East Broad Street
Columbus, Ohio 43215-3793

614-466-3316
www.PUCO.ohio.gov

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

State of New Jersey



Board of Public Utilities

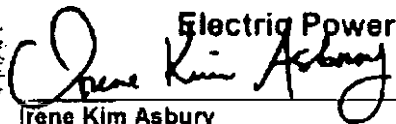
44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

HEREBY LICENSES

South Bay Energy Corp.
15 Ames Blvd.
Hamburg, New Jersey 07419

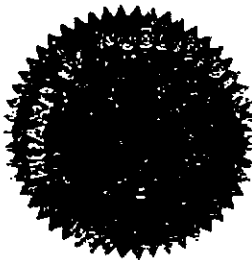
To conduct business in the State of New Jersey as an

Electric Power Supplier


Irene Kim Asbury

Secretary of the Board

License No. ESL-0205
Effective Date: June 30, 2017
Expiration Date: June 29, 2018



State of New Jersey



Board of Public Utilities

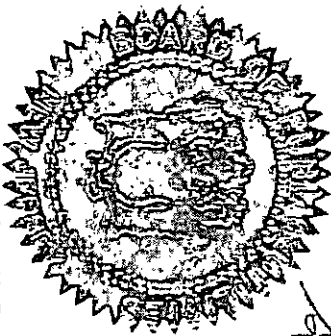
44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350


HEREBY LICENSES

South Bay Energy Corp.
15 Ames Blvd
Hamburg, New Jersey 07419

To conduct business in the State of New Jersey as an

Electric Power Supplier




Aida Camacho-Welch
Secretary of the Board

License No. ESL-0225
Effective Date: August 29, 2018
Expiration Date: August 28, 2019

New York:

STATE OF NEW YORK DEPARTMENT OF PUBLIC SERVICE
THREE EMPIRE STATE PLAZA, ALBANY, NY 12223-1350

Internet Address: <http://www.dps.state.ny.us>

PUBLIC SERVICE COMMISSION

GARRY A. BROWN
Chairman
PATRICIA L. ACAMPORA
JAMES L. LAROCCA
GREGG C. SAYRE
DIANE X. BURMAN
Commissioners



PETER MCGOWAN
General Counsel

JEFFREY C. COHEN
Acting Secretary

July 18, 2013

Mr. Stephen Mellis
South Bay Energy Corp.
700 Veterans Memorial Highway
Suite 210
Hauppauge, New York 11788

RE: South Bay Energy Corp. adding electric - ESCO Code SOBA

Dear Mr. Mellis:

Thank you for submitting the recent updates to your ESCO Retail Access Application Package. This letter acknowledges your company's decision to include offering electric commodity service to residential and non-residential customers in New York State. ~~All updated documentation recently submitted has been reviewed by Staff and remains in compliance with the New York State ESCO eligibility requirements that are implemented by codes and regulations found in the Uniform Business Practices and other applicable regulations or Commission Orders. Therefore, Staff acknowledges that South Bay Energy Corp. has been deemed eligible to serve residential and non-residential electric and natural gas customers in New York State~~

Please be advised that you should check with utilities to determine if they have additional approval requirements by contacting those companies in whose service territories you intend to serve or are now serving customers

As always, to maintain your ESCO eligibility, you must notify Staff of any substantive legal, financial or business related changes to your company as they occur. If your business plan changes and you decide to move into other utility service territories, you must immediately submit the appropriate documentation for Staff review and determination of how it affects your current eligibility.

Please contact me if you have any further questions or concerns at 518-486-2432 or Christine.Bosy@dps.ny.gov.

Sincerely,

A handwritten signature in cursive script that reads "Christine A. Bosy".

Christine A. Bosy
Retail Access Section
Office of Consumer Policy

Attachment 8.E. Officers

STEPHEN MELLIS

15 Jeanette Drive
Massapequa, NY 11758
(516) 541-9190

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

South Bay Energy Corp.

700 Veterans Memorial Highway
Hauppauge, NY 11788
631-724-9010
4-6-11 to Present
50% Owner of this New York Sub-S Corp.
Partner = Greg Blume = 50% owner

Greg Blume and I incorporated SBEC on 4-6-11. SBEC operates as an Energy Service Company (ESCO). We are approved by the NY State Public Service Commission to sell natural gas. Our first day of operations will be January 23, 2012.

Metropolitan Energy Solutions Corp.

700 Veterans Memorial Highway
Hauppauge, NY 11788
631-724-9010
1-14-09 to Present
50% Owner of this New York Sub-S Corp.
Partner = Greg Blume = 50% owner

Greg Blume and I incorporated MESC on 1-14-09. MESC operated as a general agent for High Rise Energy llc., which is a NY State ESCO. Our agreement with High Rise ended in January, 2009. We collect a residual income on the accounts we generated for High Rise Energy.

Long Island Home Mortgage Corp.

700 Veterans Memorial Highway
Hauppauge, NY 11788
631-724-9010
7-1-02 to 7-31-09
50% Owner of this New York Sub-S Corp.
Partner = Greg Blume = 50% owner 74 Cirrus Rd Holbrook, NY 11741 631-472-2498

Greg Blume and I incorporated LIHM on 11-13-2001. LIHM operated as a NY State mortgage brokerage. We started this company from the ground up and we both worked together on site as owner/operators. We solicited and originated residential mortgages.

Guaranty Residential Lending (No longer in business)

1393 Veterans Memorial Highway
Hauppauge, NY 11788
12-99 to 6-30-2002 Left here to open up Long Island Home Mortgage
Senior Loan Officer
Immediate Supervisor: Mark Koehler - No longer there.

STEPHEN MELLIS

15 Jeanette Drive
Massapequa, NY 11758
(516) 541-9190

Island Federal Mortgage (No longer in business)

11 Oval Drive - Suite #174
Islandia, NY 11722
4-99 to 12-99 left here for higher pay.
Loan Officer
Immediate Supervisor: Steven Baritz

Worked here for a short time while I was waiting for Old Kent (later acquired by Guaranty Residential) to open their office. My duties were solely to originate mortgage applications.

UC Lending (I believe now doing business as Aegis)

82-17 153 Avenue - Suite 208
Howard Beach, NY 11414 (this office location closed)
4-97 to 4-99 left here for higher pay
Loan Officer
Supervisor: Normand Harnois Jr. - Phone # 800-201-4794 or 973-726-0747

This was my first position as a commissioned sales person.

Avco Financial Services (No longer in business)

229 Jericho Turnpike
New Hyde Park, NY 11040
7-92 to 4-97 left here for higher pay
Branch Manager
Immediate Supervisor: Michael Dikdan - Phone # None

I started out as an account rep. and worked my way up to Branch Manager.

Hofstra University

Full time grad student
9-91 to 5-92
Graduated with MBA with a major in Banking and Finance.

First Card Services, Inc. (now First USA)

60 Charles Lindbergh Blvd
Uniondale, NY 11553
4-89 to 8-91 Left here to go to grad school full time.
Senior Collector
Immediate Supervisor: Barbara Slomin - Phone #888-469-5431

This was my first real job out of college. Here I worked as a credit card collector for a large bank.

Previous to First Card Services I was looking for work while doing various odd jobs after graduating from Hofstra University with my BBA (5-88).

GREG STEPHEN BLUME

74 Cirrus Road
Holbrook, NY 11741
(631) 472-2498

South Bay Energy Corp.

700 Veterans Memorial Highway
Hauppauge, NY 11788
631-724-9010
4-6-11 to Present
50% Owner of this New York Sub-S Corp.
Partner = Stephen Mellis = 50% owner

Stephen Mellis and I incorporated SBEC on 4-6-11. SBEC operates as an Energy Service Company (ESCO). We are approved by the NY State Public Service Commission to sell natural gas. Our first day of operations will be January 23, 2012.

Metropolitan Energy Solutions Corp.

700 Veterans Memorial Highway
Hauppauge, NY 11788
631-724-9010
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50% Owner of this New York Sub-S Corp.
Partner = Stephen Mellis = 50% owner

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Long Island Home Mortgage Corp.

700 Veterans Memorial Highway
Hauppauge, NY 11788
631-724-9010
7-1-02 to 7-31-09
50% Owner of this New York Sub-S Corp.
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Guaranty Residential Lending (Formerly Old Kent Mortgage)

1393 Veterans Memorial Highway
Hauppauge, NY 11788
9-99 to 6-30-2002 Left here to open up Long Island Home Mortgage
Senior Loan Officer
Immediate Supervisor: Mark Koehler - No longer there.

GREG STEPHEN BLUME

74 Cirrus Road
Holbrook, NY 11741
(631) 472-2498

Eden Mortgage Corp.

100 Broadhollow Road
Farmingdale, NY 11735
6-99 to 9-99 left here for higher pay.
Loan Officer
Immediate Supervisor: Paul Eden - Phone # 631-753-2655

Worked here for a short time while waiting for Old Kent (Guaranty Residential Lending) to open their office. My duties were to originate mortgage applications. This was a small office where we originated and helped process our own files.

UC Lending (I believe now doing business as Aegis)

1377 Motor Parkway
Hauppauge, NY 11788
8-96 to 6-99 left here for higher pay
Loan Officer
Supervisor: Normand Harnois Jr. - Phone # 800-201-4794 or 973-726-0747

I worked here for almost 3 years as a loan officer. This was my first position as a commissioned sales person. UC Lending was a subprime lender, which mostly did refinances. This company generated business by using telemarketing leads, which were assigned to loan officers. I took applications over the phone and in person, went over disclosures and had them signed and then delivered to the processors. Worked closely with processors, appraisers, attorneys and title companies to ensure a smooth loan approval and closing process. Helped process my own files.

TransAmerica

149 S. Hill, H-350
Los Angeles, CA 90015
5-95 to 7-96 left here for higher pay
Branch Manager
Immediate Supervisor: Jeffrey Siegel - 631-382-7310

I started my mortgage and credit career here. I started out as an account rep. and worked my way up to Branch Manager. At this finance company, I handled the whole loan process from soliciting the customer, processing, closing, servicing and even handling collections and foreclosures. This is the company where I learned the entire mortgage process. I learned how to process the entire loan, from collecting paystubs and W2s to figure out income to clearing an entire title report. I also solicited customers for small personal loans, as well as, offered local stores financing for their customers through our sales finance programs. As branch manager, it was also my duties to recruit, hire and train new personnel.

GABRIEL PHILLIPS

gabe@gprenew.com

212-255-8050 office

Recent Experience

GP Energy Management Managing Director

**New York NY
Jan 2010-Present**

- Managed the daily forecasting, bidding, and scheduling for over 20 ARES with over 500 MW load portfolios in NYISO, ISO-NE, and PJM.
- Created and executed financial hedging strategies for ARES customers with a variety of counterparties
- Procured RECs to satisfy ARES customer RPS needs

Sempra Energy Trading

Stamford CT

Term Power Trader/Assistant Trader

Sep 2007– May 2010

- Traded a portfolio of short and long term financial and physical power and natural gas positions spanning four ISOs - NYISO, ISO-NE, PJM, and Ontario IMO.
- Priced deals to service customer flow business with an average duration of less than 2 years.
- Managed basis risk to 75 different locations using over-the-counter basis markets and Financial Transmission rights (FTR) markets to hedge exposure.
- Utilized the virtual market to diversify risk between the day ahead and real time as well as take incremental risk.
- Managed financial tolling deals for two NY power plants totaling 600 MW modeled as options
- Utilized the virtual market to diversify risk between the day ahead and real time as well as take incremental risk.
- Built various stack and transmission models for day ahead and term trading

Real Time Power Trader

Sep 2006-Sep 2007

- Traded day-ahead and hour-ahead financial and physical power in the WECC region
- Built and capitalized on customer relationships in taking advantage of arbitrage and spread opportunities.
- Took day-ahead transmission positions to be utilized in real time.
- Gained proficiency in creating NERC required tags to represent the flow of energy in real time.

Day-Ahead Power Scheduler

July 2006-Sep 2006

- Coordinated the flow of long term and day-ahead physical power transactions for the West Coast Power Provided the desk with market intelligence
- Learned to make NREC required tags to represent the flow of energy from generator to sink anywhere in the WECC region.

Collateral Analyst

Dec 2005-Jul 2006

- Processed and executed all collateral requests made by and on Sempra Energy Trading Corp, Sempra Energy Europe Ltd and Sempra Oil Trading Sarl, covering all counter parties worldwide.
- Managed basic liquidity needs by coordinating with CCO and Treasury department in the deployment of cash collateral and letters of credit.
- Reconciled the value of all types of transactions in all of SET's portfolios against the counter parties for the purpose of increasing the coverage of our credit exposure during a margin call dispute resolution. This process must be accomplished in a timely fashion without divulging sensitive information.

Business Analyst

Sep 2005-Dec 2005

- Translated elements of the trading business from settlements and trade entry to P&L calculation

Education

Johns Hopkins University, School of Engineering
Bachelor of Science. Engineering Mechanics

**Baltimore, MD
2005**

JONATHAN SPIVAK

jon@gprenew.com
212-255-8050 office

Recent Experience

GP Energy Management
Senior Director, Risk Management & Operations

New York NY
Apr 2011-Present

- Structure and negotiate unique power hedging transactions for clientele.
- Daily load forecasting, bidding and scheduling for over 20 competitive retail electric suppliers in PJM, ISO-NE, and NYISO with over 3,000 MWh of average hourly load and spanning over ten states, with a combined customer book of ~1,000,000 residential and commercial customers.
- Forecast and maintain physical and financial power hedges in the forward market.
- Create daily, weekly, and monthly settlements reports in order to analyze costs to minimize risks.

RBS Sempra Commodities

New York NY

Financial Risk Analyst

Nov 2009-Apr 2011

- Maintained firm-wide official profit and loss, generating integral and time-sensitive company reports pivotal to all of risk management
- Prepare and maintain excel based reports using various data sources such as pricing, P&L, positions, VaR, stress etc. under rapidly changing requirements
- Verified and corrected in-house trades within various trading platforms, implemented process to verify and track explanations on trades generating substantial revenue, submitted external price reporting of physical basis and fixed natural gas trading activities on regular schedules
- Provide daily profit and loss explanations for physical and financial natural gas revenue

Margin/Credit Analyst

Aug 2008–Nov 2009

- Responsible for all margin activities including daily calls to over fifty counterparties, confirm settlements, and manage letter of credit activities.
- Primary contact for dispute resolution including exposure, interest rate and contract discrepancies
- Identify forward pricing issues via Excel pivot table analyses
- Generate ideas to improve the efficiency and accuracy of the Credit Management System
- Communicate daily cash flow and letter of credit movements through intraday reports.

Education

Bucknell University
Bachelor of Arts, Major in Economics & Minor in Political Science

Lewisburg PA
2005

Dean's List

ALEXANDRE BALDASSANO

alex@gprenew.com

212-255-8050 office

EXPERIENCE

GP Energy Management

Senior Director, Regulatory & Renewable Energy Services

New York NY

Nov 2010-Present

- Analyze federal and regional renewable energy and environmental policy
- Create and market compliance and voluntary environmental credit products
- Expand renewable generation project pipeline
- Analyze state Renewable Portfolio Standard market fundamentals
- Develop spot and futures environmental credit trading strategies for managed accounts and proprietary portfolios

Natsource Asset Management LLC

New York NY

Contract Manager

Oct 2009-Oct 2010

- Manage all worldwide institutional relationships for \$500mm carbon commodity fund
- Compose monthly and quarterly investor reports for all hedge funds, managed accounts, and commodity funds
- Supervise ongoing contractual commitments between institutional investors, investment manager, and underlying investment

Operations, Sales, and Risk Associate

Apr 2008-Oct 2009

- Determined end of month beta exposures and asset allocation breakdowns for all portfolios
- Acted as a liaison between existing and potential investors, and the Director of Marketing and Sales
- Researched and grew marketing distribution channels for the Director of Marketing and Sales
- Analyzed and communicated daily carbon and FX beta exposure for largest fund directly to Senior Management
- Identified and rectified daily trade and cash reconciliations while acting as liaison between the trading desk and prime brokerage

Education

Fordham University Graduate School of Business

Master of Business Administration, Finance & Marketing concentration

New York NY

2013

Haverford College

Bachelor of Arts, Economics

Haverford PA

2003

Temple University

General Coursework

Rome, Italy

Aug 2001-Dec 2001

JAMES W. GALE

EXPERIENCE

GP ENERGY MANAGEMENT– New York, NY

Oct 2013 –

Present

Director Natural Gas

- Built a retail natural gas consulting and risk management business, integrating it into the existing power and REC model.
- Develop reporting to display open risk to clients in utilities and on pipelines in the US and Canada.
- Trade out of forward open risk positions and utilize assets provided to maximize retail positions in spot markets.
- Developed a customizable forward curve for every utility for retail serving clients.
- Lead the development of a physical and financial natural gas trading business.
- Set up new entities on utilities and pipelines working with credit, operations and legal to commence business.

ENERGY CONSULTANT - Singapore

May 2011- July

2013

- Established lasting relationships with traders, brokers, shipping operators, and others employed in a variety of aspects in the physical energy business covering LNG, oil, its products and other commodities.
- Attended World LNG Series: Asia Pacific Summit.

SEMPRA ENERGY TRADING CORPORATION - Stamford, CT

Oct 2000 - Mar

2011

AVP Natural Gas Pipe Options Trader

Oct 2007 – Mar

2011

- Managed delta, gamma, theta, and vega risk in book adjusting position based on market research and fundamentals.
- Active market maker, entering into fixed-price location options, basis options, Index Gas Daily options and fixed price Gas Daily options using *comprehensive knowledge of physical markets*.
- Developed option strategies for producers and end users to help them mitigate risk.

AVP Gas Scheduler/Short Term Trader – West Region

June 2004 – Oct

2007

- Primary cash trader optimizing transportation, storage assets and pipeline tolerances to maximize daily profits.
- Learned from senior traders to maximize storage facility injection and withdrawal opportunities against future markets.
- Scheduled every pipeline in the western U.S. and Canada, learning physical details to improve trading optimization
- Managed delivery requirements and tracked actual usage for dozens of end users, keeping them within pipeline tolerances.

Middle Office Analyst

Oct 2000 – June

2004

- Verified domestic natural gas forward curves reflected current market value.
- Engaged traders and clerks to understand all deals and positions in books.
- Communicated with credit and risk management about any concerns.
- Reconciled all exchange-traded futures and options using multiple clearing houses, NYMEX floor brokers, and traders for SET companies world wide verifying position limits approaching expiry.

EDUCATION

FAIRFIELD UNIVERSITY - Fairfield, CT August 1999
Bachelor of Science in Mathematics, Minor in Physics

Awarded Fairfield University Presidential Scholarship
Member of Fairfield University Honors Program
Four Year Division I Varsity Baseball Team

Attachment 10. A. PJM Load Serving Entity Requirement

Dear Brittany,

As you requested, I am writing to confirm that according to our records, South Bay Energy Corp. is a PJM Member as of this date. South Bay Energy Corp. is current on its billing and settlement obligations and has satisfied the minimum credit and/or collateral requirements to participate in the PJM Markets.

Sincerely,
Bridgid Cummings
Assistant Credit Manager
PJM Interconnection

RECEIVED

DEC 14 2018

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PJM Interconnection, L.L.C.
Third Revised Rate Schedule FERC No. 24

Second Revised Sheet No. 171
Superseding Original Sheet No. 171

SCHEDULE 4

STANDARD FORM OF AGREEMENT TO BECOME A MEMBER OF THE LLC

Any entity which wishes to become a Member of the LLC shall, pursuant to Section 11.6 of this Agreement, tender to the President an application, upon the acceptance of which it shall execute a supplement to this Agreement in the following form:

Additional Member Agreement

1. This Additional Member Agreement (the "Supplemental Agreement"), dated as of 3/7/17, is entered into among South Bay Energy Corp. and the President of the LLC acting on behalf of its Members.
2. South Bay Energy Corp. has demonstrated that it meets all of the qualifications required of a Member to the Operating Agreement. If expansion of the PJM Region is required to integrate South Bay Energy Corp.'s facilities, a copy of Attachment J from the PJM Tariff marked to show changes in the PJM Region boundaries is attached hereto. South Bay Energy Corp. agrees to pay for all required metering, telemetering and hardware and software appropriate for it to become a member.
3. South Bay Energy Corp. agrees to be bound by and accepts all the terms of the Operating Agreement as of the above date.
4. South Bay Energy Corp. hereby gives notice that the name and address of its initial representative to the Members Committee under the Operating Agreement shall be:
Stephen Mellis
700 Veterans Memorial Hwy
#210
Hauppauge, NY 11798
5. The President of the LLC is authorized under the Operating Agreement to execute this Supplemental Agreement on behalf of the Members.
6. The Operating Agreement is hereby amended to include South Bay Energy Corp. as a Member of the LLC thereto, effective as of March 7, 2017, the date the President of the LLC countersigned this Agreement.

IN WITNESS WHEREOF, South Bay Energy Corp. and the Members of the LLC have caused this Supplemental Agreement to be executed by their duly authorized representatives.

Members of the LLC

By: Megan McQuerty on behalf of Andy Ott
Name: Megan McQuerty
Title: President

By: MB
Name: Greg Blume
Title: President

Attachment 12

COMMONWEALTH OF PENNSYLVANIA }
County of Cambria } SS

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Applications Of South Bay Energy Corp. For Approval To Offer, Render, or Furnish Services As A Supplier Engaged In The Business Of Supplying Natural Gas Supply Services And Electricity Supply or Electric Generation Services To The Public In The Commonwealth Of Pennsylvania.

South Bay Energy Corp. will be filing an application with the Pennsylvania Public Utility Commission (PUC) for a license to provide natural gas supply services as a supplier of natural gas. South Bay Energy Corp. will also be filing an application with the PUC for a license to supply electricity or electric generation services as a generator and supplier of electric power. South Bay Energy Corp. proposes to sell electricity, natural gas, and related services in the service territories of Columbia Gas of PA, Peoples Natural Gas Company, Peoples TWP, LLC, Peoples Natural Gas - Equitable Division, UGI Utilities, PECCO, Philadelphia Gas Works, PPL, Duquesne Light Co., Metropolitan Edison, Penelec, Penn Power, West Penn Power, Pike County Light & Power Co., Citizens Electric Company, and Wellsboro Electric Company under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of South Bay Energy Corp. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to South Bay Energy Corp. attorney at the address listed below.

published in
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of The John
in the subje
of said publ

f Cambria, and Commonwealth of Pennsylvania and
atter published in said publication in the regular issues
n March 22, 2018; and that the Affiant is not interest
it all of the allegations as to time, place and character

Christine Marhefka

By and through Counsel:
Michael A. Gruin
STEVENS & LEE

17 N. 2nd Street, 10th Fl., Harrisburg, PA 17101
Phone: (717) 255-7365 Fax: (610) 988-0852
mag@stevenslee.com

Signed and
26th day of
by Christine Marhefka making the statement

STATEMENT OF ADVERTISING COSTS

Lines @ \$2.50 per line	0.00
8 Inches @ \$25.00 per inch	200.00
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	207.50

Vivian Ohs

Commonwealth of Pennsylvania - Notary Seal
Vivian Ohs, Notary Public
Cambria County
My commission expires December 6, 2020
Commission number 1123017
Member, Pennsylvania Association of Notaries

To The Tribune-Democrat, Johnstown, PA
For publishing the notice or publication
attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

By _____

RECEIVED

DEC 14 2018

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss D. Rullo, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

22 of March, 2018

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement are true, place and character of publication are true.

D. Rullo
PG Publishing Company
Sworn to and subscribed before me this day of:
March 22, 2018
Elizabeth R. Chmura

Commonwealth of Pennsylvania - Notary Seal
Elizabeth R. Chmura, Notary Public
Allegheny County
My commission expires February 8, 2022
Commission number 1326781
Member, Pennsylvania Association of Notaries

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Applications Of South Bay Energy Corp. For Approval To Offer, Render, or Furnish Services As A Supplier Engaged In The Business Of Supplying Natural Gas Supply Services And Electricity Generation Services To The Public In The Commonwealth Of Pennsylvania.
South Bay Energy Corp. will be filing an application with the Pennsylvania Public Utility Commission (PUC) for a license to provide natural gas supply services as a supplier of natural gas. South Bay Energy Corp. will also be filing an application with the PUC for a license to supply electricity or electric generation services as a generator and supplier of electric power. South Bay Energy Corp. proposes to sell electricity, natural gas, and related services in in the service territories of Columbia Gas of PA, Peoples Natural Gas Company, Peoples TWP, LLC, Peoples Natural Gas - Equitable Division, UGI Utilities, PECO, Philadelphia Gas Works, PPL, Duquesne Light Co., Metropolitan Edison, Penelec, Penn Power, West Penn Power, Pike County Light & Power Co., Citizens Electric Company, and Wellsboro Electric Company under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of South Bay Energy Corp. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to South Bay Energy Corp. attorney at the address listed below.
By and through Counsel: Michael A. Gurn, STEVENS & LEE, 17 N. 2nd Street, 16th Fl., Harrisburg, PA 17101, Phone: (717) 255-7365, Fax: (610) 988-0852 mag@stevenslee.com

STATEMENT OF ADVERTISING COSTS

South Bay Energy
700 VETERANS HWY STE 210
Attn: Brittany Hirson
Hauppauge NY 11788-2985

To PG Publishing Company

Total ----- \$596.75

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of Pittsburgh Post-Gazette, a Newspaper of General Circulation

By Samuel J. Arbuckle

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

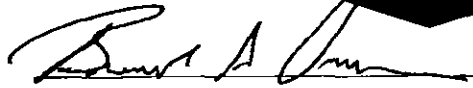
COUNTY OF LYCOMING

SS:

Bernard A. Oravec, Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

March 23, 2018

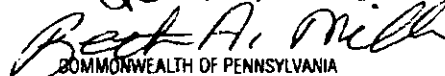
Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the foregoing statement of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.



SUN-GAZETTE LLC

Sworn to and subscribed before me

The 23rd day of March 2018


BETH A. MILLER
COMMONWEALTH OF PENNSYLVANIA

Notary Public

NOTARIAL SEAL
BETH A MILLER
Notary Public
CITY OF WILLIAMSPORT, LYCOMING COUNTY
My Commission Expires Apr 18, 2020

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates.....\$ 299.84

Probated same.....\$

Total.....\$ 299.84

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Bernard A. Oravec

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications Of South Bay Energy Corp. For Approval To Offer, Render, or Furnish Services As A Supplier Engaged In The Business Of Supplying Natural Gas Supply Services And Electricity Supply or Electric Generation Services To The Public In The Commonwealth Of Pennsylvania.

South Bay Energy Corp. will be filing an application with the Pennsylvania Public Utility Commission (PUC) for a license to provide natural gas supply services as a supplier of natural gas. South Bay Energy Corp. will also be filing an application with the PUC for a license to supply electricity or electric generation services as a generator and supplier of electric power. South Bay Energy Corp. proposes to sell electricity, natural gas, and related services in the service territories of Columbia Gas of PA, Peoples Natural Gas Company, Peoples TWP, LLC, Peoples Natural Gas - Equitable Div-

sion, UGI Utilities, PECO, Philadelphia Gas Works, PPL, Duquesne Light Co., Metropolitan Edison, Penelac, Penn Power, West Penn Power, Pike County Light & Power Co., Citizens Electric Company and Wellsboro Electric Company under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of South Bay Energy Corp. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to South Bay Energy Corp. attorney at the address listed below.

By and through Counsel:
Michael A. Grun
STEVENS & LEE
17 N. 2nd Street, 16th Fl.
Harrisburg, PA 17101
Phone: (717) 255-7365
Fax: (610) 888-0852
mag@stevenslee.com

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

SOUTH BAY ENERGY
BRITTANY HIRSON
700 VETERANS HWY STE 210 HAUPPAUGE NY 11788

Account # 628216
Order # 82191135
Ad Price: 319.40

LEGAL NOTICE PENNSYLVANIA

Ann Marie Fortese

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

03/22/2018

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true *Ann Marie Fortese*

Sworn and subscribed to before me
this 22nd day of March A.D., 2018

Sharon Venturi
(Notary Public)

Commonwealth of Pennsylvania - Notary Seal
Sharon Venturi, Notary Public
Lackawanna County
My commission expires February 12, 2022
Commission number 1254228
Member, Pennsylvania Association of Notaries

LEGAL NOTICE
PENNSYLVANIA PUBLIC UTILITIES COMMISSION NOTICE
South Bay Energy Corp. has filed an application for Approval To Offer, Render, or Furnish Services As A Supplier Engaged In The Business Of Supplying Natural Gas Supply Services And Electricity Supply or Electric Generation Services To The Public In The Commonwealth Of Pennsylvania. South Bay Energy Corp. will be filing an application with the Pennsylvania Public Utilities Commission (PUC) for a Natural Gas Supply Approval and an application for electric supply approval. South Bay Energy Corp. will also be filing an application with the PUC for a license to supply electricity or electric generation services as a generator and supplier of electric power. South Bay Energy Corp. proposes to sell electricity, natural gas, and related services in the service territories of Columbia Gas of PA, Peoples Natural Gas Company, Peoples TWP, LLC, Peoples Natural Gas Equitable Division, UGI Utilities, PECO, Philadelphia Gas Works, PPL, Duquesne Light Co., Metropolitan Edison, Penelec, Penn Power, West Penn Power, Pike County Light & Power Co., Citizens Electric Company, and Wellsboro Electric Company under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of South Bay Energy Corp. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to South Bay Energy Corp. attorney at the address listed below.
By and through Counsel:
Michael A. Grun
STEVENS & LEE
17 N. 2nd Street, 16th Fl.
Harrisburg, PA 17101
Phone: (717) 266-7365
Fax: (810) 888-0852
mag@stevenslee.com

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

South Bay Energy
700 Veterans Hwy Suite 210
Hauppauge NY 11788

REFERENCE: 95589 307674
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:
Jennifer L. Trott, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 03/22/18

TOTAL COST: \$677.00 AD SPACE: 0 Lines

FILED ON: 03/22/18

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Applications Of South Bay Energy Corp. For Approval To Over-Render, or Furnish Services As A Supplier, Engaged In The Business Of Supplying Natural Gas Supply Services And Electricity Supply or Electric Generation Services To The Public Utility Customers Of Pennsylvania.

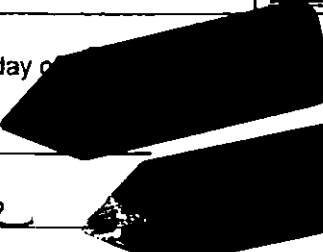
South Bay Energy Corp. will be filing an application with the Pennsylvania Public Utility Commission (PUC) to provide natural gas supply services as a supplier. South Bay Energy Corp. is also filing an application with the PUC for a license to supply electric power. South Bay Energy Corp. proposes to sell electric power to customers in the service territories of Columbia Gas of Pennsylvania, Peoples Terminals, LLC, Peoples Natural Gas - Erie District, UGI Utilities, PECO Energy Company, West Penn Power, West Penn Light & Combustion, West Penn Company, and West Penn Electric Company. This application is filed pursuant to the new Natural Gas Choice and Competition Act and the Electric Generation Choice and Competition Act.

The PUC may consider this application if a letter is directed to the technical or financial staffs of South Bay Energy Corp. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to South Bay Energy Corp. attorney at the address listed below.

By and through Counsel:
Michael A. Guin
STEVENS & LEE,
17 N. 2nd Street, 16th Fl.
Harrisburg, PA 17101
Phone: (717) 655-7365
Fax: (810) 858-0852
mag@stevenslee.com

Sworn to and subscribed before me this 22nd day of

Affiant: Jennifer L. Trott
NOTARY: Barbara J. Moore



COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Barbara J. Moore, Notary Public
City of Erie, Erie County
Commission Expires March 23, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

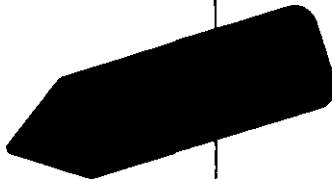
Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

March 22, 2018

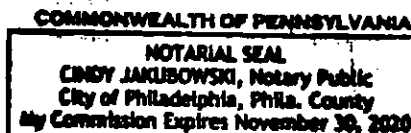
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.


Helene Sweeney

Sworn to and subscribed before me this 22nd day of
March, 2018.


Cindy Jakubowski
Notary Public

My Commission Expires:



Copy of Notice of Publication

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Applications of South Bay Energy Corp. For Approval To Offer, Render, or Furnish Services As A Supplier Engaged In The Business Of Supplying Natural Gas Supply Services And Electricity Supply or Electric Generation Services To The Public In The Commonwealth Of Pennsylvania. South Bay Energy Corp. will be filing an application with the Pennsylvania Public Utility Commission (PUC) for a license to provide natural gas supply services as a supplier of natural gas. South Bay Energy Corp. will also be filing an application with the PUC for a license to supply electricity or electric generation services as a generator and supplier of electric power. South Bay Energy Corp. proposes to sell electricity, natural gas, and related services in the service territories of Columbus Gas of PA, Peoples Natural Gas Company, Peoples TWP, LLC, Peoples Natural Gas - Equitable Division, UGI Utilities, PECO, Philadelphia Gas Works, PPL, Duquesne Light Co., Metropolitan Edison, Penelec, Penn Power, West Penn Power, Pike County Light & Power Co., Citizens Electric Company, and Walleboro Electric Company under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of South Bay Energy Corp. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to South Bay Energy Corp. attorney at the address listed below.

By and through Counsel:
Michael A. Grin
STEVENS & LEE
17 N. 2nd Street, 16th Fl.
Harrisburg, PA 17101
Phone: (717) 255-7355
Fax: (610) 568-0662
maw@stevens.com



The Patriot News

LEGAL AFFIDAVIT

AD#: 0008571310

Commonwealth of Pennsylvania,) ss
County of Cumberland)

Victoria Soto being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper and printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 03/22/2018

Victoria Soto

Principal Clerk of the Publisher

Sworn to and subscribed before me this 23th day of March 2018

Donna M. Maldonado
Notary Public

Commonwealth of Pennsylvania - Notary Seal:
Donna M. Maldonado, Notary Public
Dauphin County
My commission expires November 5, 2021
Commission number 1252842
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

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By and through Counsel:
Michael A. Gruhn
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The Patriot News

INVOICE

INV#: 0008571310

SOUTH BAY ENERGY
700 VETERANS HWY STE 210
HAUPPAUGE, NY 11788

Sales Rep: Marianna Aldridge
Account Number: 1000838346
INV#: 0008571310

Remit Payment to:
PA Media Group
Dept 77571
P.O. Box 77000
Detroit, MI 48277-0571

Page 1 of 2

Date	Position	Description	P.O. Number	Ad Size	Costs
03/22/2018	Misc Legal Notice PA	PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Applications Of		1 x 56 L	
				Affidavit Notary Fee - 03/22/2018	\$5.00
				Basic Ad Charge - 03/22/2018	\$262.42
				Total	\$267.42

FOR QUESTIONS CONCERNING THIS AFFIDAVIT, PLEASE CALL 717-255-8119