

February 28, 2019

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
400 North Street
Harrisburg, PA 17120

RECEIVED
FEB 28 2019
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Docket No. A-2019-3007815
Utility Code: 1221853

Re: Verified Answer

Dear Pennsylvania Public Utilities Commission,

Please find enclosed our verified answer for Application page 9 as requested by the commission. Per your instructions, we have emailed an electronic version to Lee Yalcin at lyalcin@pa.gov. Lee is very helpful and has confirmed that our verified answer now meets the commission's specific requirement.

Of course, should anyone at the Commission or its staff have any questions or comments concerning the enclosed application, please do not hesitate to contact us

Contact Name: Nan Hu
Email: nhu@nusconsulting.com
Phone: (201) 391-4300

Sincerely,
National Utility Service, Inc.



RECEIVED

MAR 11 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

The Applicant was NOT involved in any criminal/civil proceedings. I, Richard Soultanian, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statement herein is made subject to penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not Applicable

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

The Applicant was NOT involved in any customer/regulatory/prosecutor actions. I, Richard Soultanian, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statement herein is made subject to penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not Applicable

6. PROOF OF SERVICE

***Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)***

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Hu, Nan

From: Yalcin, Lee <lyalcin@pa.gov>
Sent: Thursday, February 28, 2019 9:56 AM
To: Hu, Nan
Cc: Hofmann, Paul; Dugandzic, Paul
Subject: RE: [External] Docket No. A-2019-3007815: Verified page 9

You too-

Lee

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FEB 28 2019

From: Hu, Nan <NHu@nusconsulting.com>
Sent: Thursday, February 28, 2019 9:46 AM
To: Yalcin, Lee <lyalcin@pa.gov>
Cc: Hofmann, Paul <PHofmann@nusconsulting.com>; Dugandzic, Paul <pugandzic@nusconsulting.com>
Subject: RE: [External] Docket No. A-2019-3007815: Verified page 9

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Thank you so much, Lee! We will provide the updated application page either via e-file or mail today.

Wish you enjoy the coming weekend!

Thanks,
Nan

From: Yalcin, Lee [<mailto:lyalcin@pa.gov>]
Sent: Thursday, February 28, 2019 9:43 AM
To: Hu, Nan <NHu@nusconsulting.com>
Cc: Hofmann, Paul <PHofmann@nusconsulting.com>; Dugandzic, Paul <pugandzic@nusconsulting.com>
Subject: RE: [External] Docket No. A-2019-3007815: Verified page 9

Yes, perfect.

You may e-file or mail the updated application page along with the attachment titled "verified answer" from your previous email.

Regards,

Lee

Lee Yalcin
Pennsylvania Public Utility Commission
Bureau of Technical Utility Services
<http://www.puc.pa.gov/>
(717)787-6723

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 Please consider the environment before printing this e-mail.

From: Hu, Nan <NHu@nusconsulting.com>
Sent: Thursday, February 28, 2019 9:36 AM
To: Yalcin, Lee <lyalcin@pa.gov>
Cc: Hofmann, Paul <PHofmann@nusconsulting.com>; Dugandzic, Paul <pdugandzic@nusconsulting.com>
Subject: RE: [External] Docket No. A-2019-3007815: Verified page 9

Good morning Lee,

Thank you so much for the quick response! We appreciate your help and guidance. Following your instruction, we prepared another document that explicitly states that the applicant was NOT involved in any criminal/civil proceedings or customer/regulatory actions. Would you please let us know if the document meet PUC's requirement?

Sincerely,
Nan

From: Yalcin, Lee [mailto:lyalcin@pa.gov]
Sent: Thursday, February 28, 2019 7:56 AM
To: Hu, Nan <NHu@nusconsulting.com>
Cc: Hofmann, Paul <PHofmann@nusconsulting.com>; Dugandzic, Paul <pdugandzic@nusconsulting.com>
Subject: RE: [External] Docket No. A-2019-3007815: Verified page 9

Good morning Nan,

Thank you for contacting me, per the attached data request sent to the applicant on February 14, 2019, the verified page no. 9 is NOT acceptable, we did not ask for the response to be notarized, rather that applicant explicitly state that it was not involved in any **criminal/civil** proceedings under 5.a and **customer/regulatory** actions under 5.c.

If applicant has nothing to list under section 5.a and 5.c please explicitly state such,

Note: non-confidential documents may be submitted by using the Commission's e-filing option

Please let me know if you have any questions,

Lee

Lee Yalcin
Pennsylvania Public Utility Commission

Bureau of Technical Utility Services

<http://www.puc.pa.gov/>

(717)787-6723

Follow us on:



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From: Hu, Nan <NHu@nusconsulting.com>

Sent: Wednesday, February 27, 2019 5:10 PM

To: Yalcin, Lee <lyalcin@pa.gov>

Cc: Hofmann, Paul <PHofmann@nusconsulting.com>; Dugandzic, Paul <pdugandzic@nusconsulting.com>

Subject: [External] Docket No. A-2019-3007815: Verified page 9

ATTENTION: *This email message is from an external sender. Do not open links or attachments from unknown sources. To report suspicious email, forward the message as an attachment to CWOPA_SPAM@pa.gov.*

Dear Lee,

Hope this email finds you well. A letter from PA PUC was brought to my attention today. The letter is dated February 14 and asks our company – National Utility Service, Inc. to file an updated Application page 9 with the information requested (see attached). Due to the delayed communication, we want to ensure that the updated document gets to the commission as soon as possible. Therefore, we prepared two versions of the verified answer and attached them to this email. The verified page 9 document is likely to meet UPC's specific requirement. However, we prepared a standard version to be safe.

We will mail out the originals first thing in the morning. Please contact me directly if you have any question or concern.

We appreciate your time and help on our application!

Sincerely,
Nan

Nan Hu
International Projects & Legal Associate
NUS Consulting Group
One Maynard Drive
Park Ridge, New Jersey 07656
tel: +1.201.391.4300 (ext. 189)
e-mail: Nhu@nusconsulting.com

web: www.nusconsulting.com
Twitter: @nusconsulting

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Express



CMPC

To: CHIAVETA, R. PUC

Agency: PUC

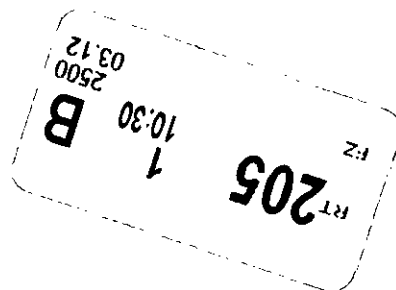
Floor:

External Carrier: FEDEX

3/12/2019 9:50:47 AM



708038252500



ORIGIN ID:UMMA (201) 391-4300
INVENTORY
NATIONAL UTILITY SERVICE, INC.
1 MAYNARD DRIVE

PARK RIDGE, NJ 078660712
UNITED STATES US

SHIP DATE: 11MAR19
ACTWGT: 0.80 LB MAN
CAD: 0393017/CAPE3211

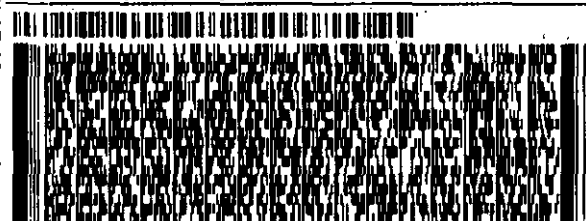
BILL SENDER

TO **ROSEMARY CHIAVETTA, SECRETARY
PENNSYLVANIA PUBLIC UTILITY COMM
400 NORTH STREET**

HARRISBURG PA 17120

REF: PA PUBLIC UTILITY COMM

DEPT: LEGAL ASSOCIATE



**FedEx
Express**



951C1/4603/104C

J181118660501uv

TRK# 7080 3825 2500
0201

**TUE - 12 MAR 10:30A
PRIORITY OVERNIGHT**

EN MDTA

**17120
PA-US MDT**

Part # 156148V-434 RTT2 APV EXP 12/15

