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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

March 12, 2019

**VIA UPS**

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
400 North Street  
Harrisburg, PA 17120

RE: **Ambit Northeast, LLC - Annual Compliance Filing – Renewal of 5% Financial Security Reduction**

Dear Secretary Chiavetta:

Pursuant to Pennsylvania Public Utility Commission's ("Commission") Final Order entered in Docket No. M-2013-2393141 and Secretarial Letter issued on April 8, 2016, Ambit Northeast, LLC ("Ambit") respectfully requests to renew its required level of financial security at the reduced level of 5%.

Ambit has enclosed the following documents for filing with the Commission in support of this annual compliance filing:

- **Ambit's gross revenues for the sale of electricity to retail customers in Pennsylvania for the most recent four quarters, attached hereto as Attachment A (CONFIDENTIAL);**
- **Proof of gross receipts taxes that Ambit has prepaid towards its estimated revenues for the 2018 calendar year, attached hereto as Attachment B (CONFIDENTIAL);**
- **AEPS compliance data, attached hereto as Attachment C (CONFIDENTIAL);**
- **A Tax Letter of Good Standing from the Department of Revenue, attached hereto as Attachment D (CONFIDENTIAL); and**
- **Irrevocable Standby Letter of Credit Amendment (New Expiry Date 05/22/20) (CONFIDENTIAL).**

The enclosed documents contain proprietary information of a confidential nature that should not be disclosed publicly. This proprietary information contains sensitive commercial and financial information that, if disclosed to Ambit's competitors, would cause Ambit substantial harm. Therefore, Ambit respectfully requests that the Commission grant confidential treatment of the enclosed attachments. Accordingly, this letter petition includes one version marked public with confidential information expunged and one version marked confidential with un-expunged information.

Ambit has enclosed a Verification attesting to the truthfulness of facts set forth in this annual compliance filing and a Certificate of Service evidencing service of copies of this annual compliance filing to the pertinent parties.



**AMBITENERGY**

1801 NORTH LAMAR STREET  
SUITE 600  
DALLAS, TEXAS 75202

Thank you for your attention to this matter. Please contact me if you have any question at (469) 375-2110 or [sguerra@ambitenergy.com](mailto:sguerra@ambitenergy.com).

Respectfully Submitted,

Sophia Guerra

Corporate Counsel for Ambit Northeast, LLC

Enclosures

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**ATTACHMENT A**

**Gross Revenues for Most Recent Four Quarters**

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**ATTACHMENT B**

**Prepaid Gross Receipts Taxes for Calendar Year 2018**

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ATTACHMENT C  
AEPS Compliance Data

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ATTACHMENT D  
Tax Letter of Good Standing

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ATTACHMENT E

Irrevocable Standby Letter of Credit Amendment

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**VERIFICATION**

I, Sophia Guerra, state that I am Corporate Counsel, Legal and Regulatory Compliance with Ambit Northeast, LLC. I hereby verify that I am authorized to make this Verification for Ambit Northeast, LLC, and that the facts set forth above are true and correct to the best of my knowledge, information, and belief, and that I expect to be able to prove the same at a hearing on this matter. I understand that the statements herein are made subject to penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

Dated: March 11, 2019

Signed: Sophia Guerra  
Sophia Guerra

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**CERTIFICATE OF SERVICE**

On this the 12th day of March 2019, I certify that a true and correct copy of the foregoing Letter Petition for 5% Reduction in Security Requirements for Ambit Northeast, LLC and all NON-CONFIDENTIAL attachments have been served as a hardcopy upon the following via first class mail in accordance with the requirements of 52 Pa. C.S. § 1.54:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

Vice President – Energy Supply  
Corning Natural Gas Holding Corporation  
330 West William Street  
Corning, NY 14830

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

Office of General Counsel  
Attn: Kimberly A. Klock  
PPL  
Two North Ninth Street (GENTW3)  
Allentown, PA 18101-1179

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, Pa 19612-2677

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901

*Sophia Guerra*

**Sophia Guerra**  
**Corporate Counsel for Ambit Northeast, LLC**

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2. **Fold the printed label at the solid line below.** Place the label in a UPS Shipping Pouch. If you do not have a pouch, affix the folded label using clear plastic shipping tape over the entire label.

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DALLAS, TX 75201

UPS Access Point™  
THE UPS STORE  
1408 N RIVERFRONT BLVD  
DALLAS, TX 75207

UPS Access Point™  
THE UPS STORE  
2633 MCKINNEY AVE  
DALLAS, TX 75204

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	<b>PA 171 9-20</b> 		
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