

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of **Resource Energy Solutions, LLC** _____, d/b/a _____ (not applicable) _____, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) as specified in item #4b below to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Resource Energy Solutions, LLC
 4 High Ridge Park, Suite 202
 Stamford, CT 06905
 (203) 883-9310

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- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

C T Corporation System
 600 N 2nd Street, Suite 401
 Harrisburg, PA 17101
 (717) 234-6004

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Richard Plutzer, CFO
 4 High Ridge Park, Suite 202
 Stamford, CT 06905
 Phone: (201) 937-4210
 Fax: (203) 325-0425

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Richard Plutzer, CFO
 4 High Ridge Park, Suite 202
 Stamford, CT 06905
 Phone: (201) 937-4210
 Fax: (203) 325-0425

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Scott Reinstein, Chief Executive Officer and Member
4 High Ridge Park, Suite 202
Stamford, CT 06905
Phone: (818) 577-5251
Fax: (203) 325-0425
sreinstein@resglobal.com

Richard Plutzer, Secretary, Treasurer and Chief Financial Officer and Member
4 High Ridge Park, Suite 202
Stamford, CT 06905
Phone: (201) 937-4210
Fax: (203)325-0425
rplutzer@resglobal.com

2. **BUSINESS ENTITY FILINGS AND REGISTRATION**

- a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

OR

X The Applicant will not be using a fictitious name.

- b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**
(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

OR

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)

foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- X foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above. Please see the attachment.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation. Please see the attachment.
- Give name and address of officers. Please see the attachment.

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Please see the attachment.

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

- Please see the attachment.

4. OPERATIONS

a. APPLICANT'S PRESENT OPERATIONS: *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- broker/marketer engaged in the business of supplying electricity services
- Other; Identify the nature of service being rendered.

or

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a (*may check multiple*):

- Generator of electricity
- Supplier of electricity
- X Aggregator engaged in the business of supplying electricity
- X Broker/Marketer engaged in the business of supplying electricity services
- XX Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

- Please see the attachment.

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- | | |
|---|--|
| <input type="checkbox"/> Citizens' Electric | <input type="checkbox"/> Pike |
| <input type="checkbox"/> Duquesne Light | <input type="checkbox"/> PPL |
| <input type="checkbox"/> Met-Ed | <input type="checkbox"/> UGI Utilities |
| <input type="checkbox"/> PECO | <input type="checkbox"/> Wellsboro |
| <input type="checkbox"/> Penelec | <input type="checkbox"/> West Penn |
| <input type="checkbox"/> Penn Power | |
| | X <input type="checkbox"/> Entire Commonwealth of PA |

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Residential and Small Commercial as Mixed Meter ONLY (**CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE**)
- X Large Commercial Customers - (Over 25 kW)
- X Industrial Customers

- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

- Please see the attachment.

5. COMPLIANCE

a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

- Please see the attachment.

b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

- Please see the attachment.

c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a**

Pennsylvania PUC EGS or NGS license previously cancelled by the Commission. If the Applicant has no actions or complaints to list, explicitly state such.

- Please see the attachment.

d. SUMMARY: If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not applicable.

6. PROOF OF SERVICE

***Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)***

a. STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

- b. **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company:
Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

West Penn:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

Met-Ed, Penelec, and Penn Power:

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company:

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company:

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
- For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

Please see the attached letter regarding bond amount reduction.

CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at sjakab@pa.gov to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond, fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two-year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

Please see the attachment.

c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

Not applicable.

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Resource Energy Solutions, LLC will collect fees from customers or supply company.

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Richard Plutzer, CFO
4 High Ridge Park, Suite 202
Stamford, CT 06905
Phone: (201) 937-4210
Fax: (203) 325-0425

f. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. EXPERIENCE, PLAN, STRUCTURE: such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

b. PROPOSED MARKETING METHOD (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Staff attorney to review all marketing methods.

- e. **OFFICERS:** Identify Applicant's chief officers and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Please see the attached for officer biographies.

- f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- X Not applicable

9. DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

Not applicable.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

AGREED

c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201–54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be downloaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx. Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

X AGREED

e. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

X ACKNOWLEDGED

f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

X AGREED

g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

X AGREED

h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

X AGREED

i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

X AGREED

j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.

 AGREED

k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

X PAYMENT ENCLOSED

11. AFFIDAVITS

Must be notarized before filing.

- a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

- b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices K and L)

13. SIGNATURE

Applicant: 

By: Richard Plutzer
 Title: Secretary and Treasurer

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 SECRETARY'S BUREAU

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: Resource Energy Solutions, LLC

	Signature	
	Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)	
	Application Affidavit	
	Operations Affidavit	
	Proof of Publication	
	Bond, Letter of Credit, or Parental/Affiliate Guarantee	
	Tax Certification Statement	
	Commonwealth Department of State Verification	
	Certificate of Service	

Applicant's Use

PUC Secretary's Bureau Use

Appendix A

APPLICATION AFFIDAVIT

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[State] of Connecticut :

FEB 14 2019

ss. Stamford

County of Fairfield :

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Richard Plutzer, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He is the Secretary and Treasurer (Office of Affiant) of **Resource Energy Solutions, LLC** (Name of Applicant);]

[That he is authorized to and does make this affidavit for said Applicant;]

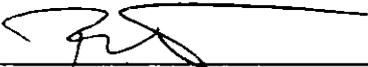
That the Applicant herein **Resource Energy Solutions, LLC** has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein **Resource Energy Solutions, LLC** has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein **Resource Energy Solutions, LLC** acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein **Resource Energy Solutions, LLC** acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.



Signature of Affiant

Sworn and subscribed before me this 8th day of February, 2019.



Signature of official administering oath

My commission expires 10/31/2023.

KELLY M. STEPHENS
NOTARY PUBLIC
MY COMMISSION EXPIRES OCT. 31, 2023

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OPERATIONS AFFIDAVIT

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

State of Connecticut :

:ss. Stamford

County of Fairfield :

Richard Plutzer, Affiant, being duly [sworn/affirmed] according to law,
deposes and says that:

[He is the **Secretary and Treasurer** (Office of Affiant) of **Resource Energy Solutions, LLC**
(Name of Applicant);]

[That he/~~she~~ is authorized to and does make this affidavit for said Applicant;]

That **Resource Energy Solutions, LLC**, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That **Resource Energy Solutions, LLC**, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That **Resource Energy Solutions, LLC**, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That **Resource Energy Solutions, LLC**, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 8th day of February, 2019.



Signature of official administering oath

My commission expires 10/31/2023.

KELLY M. STEPHENS
NOTARY PUBLIC
MY COMMISSION EXPIRES OCT. 31, 2023



Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905
(203) 883-9310

RECEIVED

FEB 14 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

February 8, 2019

Commonwealth of Pennsylvania
Pennsylvania Public Utility Commission
400 North Street
Harrisburg, PA 17120

Re: Resource Energy Solutions, LLC ("RESOL"): Application to become an EGS: Bond Requirement

RESOL, a Delaware limited liability company, is making application to obtain a license as an Electric Generation Supplier (Broker/Aggregator) with respect to electricity in the state of Pennsylvania. To that end and in compliance with the requirements of the Application Item 7a. Bonding, RESOL herewith submits its request for a modification of the \$250,000 requirement in the form of a reduction to the bond to the amount of \$10,000. RESOL confirms that it will not take title to electricity at any time and will not pay electricity bills on behalf of its customer. In addition, RESOL plans to conduct business with and offer its services to well-established, large commercial and industrial entities and major retail chains, all of which are well versed in operations and the use and cost of utilities. RESOL also plans to provide broker and/or aggregator services possibly to the municipal market also including schools, governmental agencies and buildings and other related installations.

RESOL emphasizes that is not a supplier of and will never take title to electricity or any other utility. RESOL will serve strictly as an intermediary and advisor between electric supply companies and end users; the customer of RESOL for the purpose of brokerage, procurement and aggregator services.

Should you have any questions, please contact the undersigned at (201) 937-4210 or by e-mail to rplutzer@resglobal.com. Your attention to this matter is greatly appreciated.

Sincerely,

A handwritten signature in black ink, appearing to be 'R. Plutzer', written over a horizontal line.

Richard Plutzer
CFO

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

Resource Energy
4 High Ridge Park #202
Stamford CT 06905

REFERENCE: 78676 342056
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Brenda L. Learn, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 11/17/18

TOTAL COST: \$543.00 AD SPACE: 0 Lines

FILED ON: 11/17/18

RECEIVED

FEB 14 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Application of **Resource Energy Solutions, LLC**
For Approval To Offer, Render, Furnish Services As
A Marketer/Broker And An Aggregator Engaged In
The Business Of Supplying Electricity To The Public In
The Commonwealth Of Pennsylvania.

Resource Energy Solutions, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply services as (1) a broker/marketer engaged in the business of supplying electricity, and (2) an aggregator engaged in the business of supplying electricity. **Resource Energy Solutions, LLC** proposes to sell electricity-related services throughout the entire Commonwealth of Pennsylvania under the provision of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Resource Energy Solutions, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Resource Energy Solutions, LLC's** attorney Richard Plutzer at the address listed below.

By and through Counsel: Richard Plutzer
Resource Energy Solutions, LLC

4 High Ridge Park, Suite 202, Stamford, CT 06905
Phone: (201) 937-4210 • Fax: (203) 324-0425

EP-342056

Sworn to and subscribed before me this 09th day of November 2018

Affiant: Brenda L. Learn

NOTARY: Barbara J. Moore

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Barbara J. Moore, Notary Public
City of Erie, Erie County
My Commission Expires March 23, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

TIMES PUBLISHING COMPANY

TIMES SQUARE
205 W. 12th STREET
ERIE, PENNSYLVANIA 16534-0001
TEL: (814) 870-1600 FAX: (814) 870-1814
INVOICE

BILLING PERIOD
11/17/2018 - 11/17/2018
TOTAL AMOUNT DUE UNAPPLIED AMOUNT
\$0.00

ADVERTISER/CLIENT NAME
Resource Energy
TERMS OF PAYMENT
DUE UPON RECEIPT

PAGE #BILLING DATE INV #
1 11/17/2018 19762
BILLED ACCT #
78676

BILLED ACCOUNT NAME AND ADDRESS
Resource Energy
4 High Ridge Park #202
Stamford, CT 06905

REMITTANCE ADDRESS
TIMES PUBLISHING COMPANY
P.O. BOX 6137
ERIE, PA 16512-6137

Erie Times News | GOERIE

PLEASE DETACH AND RETURN UPPER PORTION WITH YOUR REMITTANCE

DATE	PO Number	AD#	DESCRIPTION	SIZE	RATE	AMOUNT	NET
11/14/2018		342056	Legal cr card VISA payment				-543.00
11/17/2018		342056	LEGAL / PUC Notice Ordered By: Aimee Harrison	2 x 4.00	67.00	543.00	543.00

**PLEASE PAY THIS
AMOUNT ==>**

\$0.00

INVOICE NUMBER	ADVERTISER INFORMATION		
	BILLING PERIOD	BILLED ACCOUNT NUMBER	ADVERTISER/CLIENT NAME
19762	11/17/2018 - 11/17/2018	78676	Resource Energy

TO ENSURE PROPER CREDIT TO YOUR ACCOUNT:

1. Allow 3 days for mail payments.
2. Make check or money order payable to **TIMES PUBLISHING COMPANY**
DO NOT MAIL CASH
3. Make sure your name and account number appear on your check or money order.
4. Mail your payment and the stub above in the pre-addressed envelope provided.

Classifieds Work!

www.goerie.com/classifieds

Call: (814) 456-7021

Classified Email: classify@timesnews.com

Legals Email: legals@timesnews.com

Questions about your bill?

(814) 870-1617

To place a classified ad

(814) 456-7021

For home newspaper delivery

(814) 453-4691



AD#: 0008910018

Commonwealth of Pennsylvania,) ss
County of Cumberland)

Donna Maldonado being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 11/27/2018

Donna Maldonado
Principal Clerk of the Publisher

Sworn to and subscribed before me this 28th day of November 2018

Crystal B. Rosensteel
Notary Public

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Crystal B. Rosensteel, Notary Public
Susquehanna Twp., Dauphin County
My Commission Expires June 27, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

NOTICE

Application of Resource Energy Solutions, LLC For Approval To Offer, Render, Furnish Services As A Marketer/Broker And An Aggregator Engaged In The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.

Resource Energy Solutions, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply services as (1) a broker/marketer engaged in the business of supplying electricity, and (2) an aggregator engaged in the business of supplying electricity. Resource Energy Solutions, LLC proposes to sell electricity-related services throughout the entire Commonwealth of Pennsylvania under the provision of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Resource Energy Solutions, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Resource Energy Solutions, LLC's attorney Richard Plutzer at the address listed below.

By and through Counsel:
Richard Plutzer

Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905
Phone: (201) 937-4210
Fax: (203) 324-0425

RECEIVED

FEB 14 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PA Media Group
2020 Technology Parkway
Mechanicsburg, PA 17050



The Patriot News

INVOICE

INV#: 0008910018

RESOURCE ENERGY SOLUTIONS LLC
4 HIGH RIDGE PK STE 202
STAMFORD, CT 06905

0

Sales Rep: Jennifer Rogers
Account Number: 1000869410
INV#: 0008910018

Remit Payment to:
PA Media Group
Dept 77571
P.O. Box 77000
Detroit, MI 48277-0571

Page 1 of 2

Date	Position	Description	P.O. Number	Ad Size	Costs
11/27/2018	Misc Legal Notice PA	NOTICE Application of Resource Energy Solutions, LLC For Approval To		1 x 42 L	
				Affidavit Notary Fee - 11/27/2018	\$5.00
				Basic Ad Charge - 11/27/2018	\$198.44
				Total	\$203.44

FOR QUESTIONS CONCERNING THIS AFFIDAVIT, PLEASE CALL 717-255-8119

Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

November 29, 2018

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

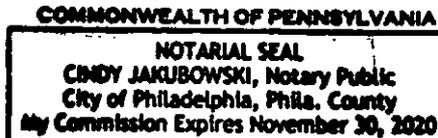


Sworn to and subscribed before me this 29th day of
November 2018.



Notary Public

My Commission Expires:



Copy of Notice of Publication

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Application of Resource Energy Solutions, LLC For Approval To Offer, Render, Furnish Services As A Marketer/Broker And An Aggregator Engaged In The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.

Resource Energy Solutions, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply services as (1) a broker/marketer engaged in the business of supplying electricity, and (2) an aggregator engaged in the business of supplying electricity. Resource Energy Solutions, LLC proposes to sell electricity-related services throughout the entire Commonwealth of Pennsylvania under the provision of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Resource Energy Solutions, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Resource Energy Solutions, LLC's attorney Richard Plutzer at the address listed below.

By and through Counsel: Richard Plutzer
Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905
Phone: (201) 937-4210
Fax: (203) 324-0426

RECEIVED

FEB 14 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Payment Receipt

Tuesday, November 27, 2018

Transaction Type: Payment

Order Number: 0003378454

Payment Method: Credit Card

Bad Debt: -

Credit Card Number: *****5096

Credit Card Expire Date: 2/28/2021

Payment Amount: 1,112.16

Reference Number: 08051G

Effective Date: 11/27/2018

Category: Classified

Credit to Transaction Number: P1116103

Invoice Text:

Invoice Notes:

Customer Type: Transient

Customer Category:

Customer Status: Active

Customer Group: No Customer Group

Customer Trade:

Account Number: 600271662

Phone Number: 2037385901

Company / Individual: **Company**

Customer Name: Resource Energy Solutions, LLC

Customer Address: 4 High Ridge Park, Suite 202

Stamford

CT

06905

USA

Check Number:

Routing Number:

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

23 of November, 2018

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

K. Flaherty
PG Publishing Company

Sworn to and subscribed before me this day of:
November 23, 2018

Elizabeth R. Chmura

Commonwealth of Pennsylvania - Notary Seal
Elizabeth R. Chmura, Notary Public
Allegheny County
My commission expires February 8, 2022
Commission number 1326781

Member, Pennsylvania Association of Notaries

RECEIVED

FEB 14 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

STATEMENT OF ADVERTISING COSTS

Resource Energy Solutions, LLC
4 High Ridge Park
Suite 202
Stamford Connecticut 06905

To PG Publishing Company

Total ----- \$418.50

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By _____

Richard J. Arbutnot
Richard J. Arbutnot

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA
PUBLIC UTILITY
COMMISSION NOTICE
Application of Resource Energy Solutions, LLC For Approval To Offer, Render, Furnish Services As A Marketer/Broker And An Aggregator Engaged In The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.

Resource Energy Solutions, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply services as (1) a broker/marketer engaged in the business of supplying electricity, and (2) an aggregator engaged in the business of supplying electricity. Resource Energy Solutions, LLC proposes to sell electricity-related services throughout the entire Commonwealth of Pennsylvania under the provision of the new Electricity Generation Customer Choice and Competition Act.

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By and through Counsel:
Richard Plutzer
Resource Energy Solutions, LLC

4 High Ridge Park, Suite 202
Stamford, CT 06905
Phone: (201) 937-4210
Fax: (203) 324-0425

Pittsburgh Post-Gazette

Payment Receipt

Receipt No.	11402	Receipt Date	11/20/2018	Receipt Amount
Receipt From	Resource Energy Solutions, LLC			\$ 418.50
Payment Type	Credit card			
Check No.				
Check Date		Collector	Pam Reed	
Details				

Cindy Jakubowski
Legal Advertising Department – Daily News
P.O. Box 8263 – Suite 300
Philadelphia, PA 19107
215-854-5834
cjakubowski@phillynews.com

Invoice for Legal Advertising

PLEASE NOTE ALL LEGAL NOTICES ARE PREPAID

Philadelphia Media Network dba Phila Daily News

AD NO. 3378454

COST: \$1,112.16 to appear 1x in Philadelphia Daily News

RE: PUC Notice – Resource Energy Solutions, LLC

Make checks payable to: Philadelphia Daily News or, mail to: (regular mail) Philadelphia Daily News Legal Advertising Department, Attn: Cindy Jakubowski, P.O. Box 8263, Philadelphia, PA 19101.

Federal Express address: Philadelphia Daily News, 801 Market Street, Philadelphia, PA 19107, Attention: Cindy Jakubowski, Legal Advertising, (215) 854-5834.

Or call with credit card number.

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Application of Resource Energy Solutions, LLC For Approval To Offer, Render, Furnish Services As A Marketer/Broker And An Aggregator Engaged In The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.

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By and through Counsel: Richard Plutzer
Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905
Phone: (203) 937-4210
Fax: (203) 324-0425

RECEIVED

FEB 14 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA }
County of Cambria } SS

**PENNSYLVANIA
 PUBLIC UTILITY COMMISSION
 NOTICE**

Application of **Resource Energy Solutions, LLC** For Approval To Offer, Render, Furnish Services As A Marketer/Broker And An Aggregator Engaged in The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.

Resource Energy Solutions, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply services as (1) a broker/marketer engaged in the business of supplying electricity, and (2) an aggregator engaged in the business of supplying electricity. **Resource Energy Solutions, LLC** proposes to sell electricity-related services throughout the entire Commonwealth of Pennsylvania under the provision of the new Electricity Generation Customer Choice and Competition Act.

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By and through Counsel: Richard Plutzer
Resource Energy Solutions, LLC
 4 High Ridge Park, Suite 202
 Stamford, CT 06905
 Phone: (201) 937-4210
 Fax: (203) 324-0425

*publish
 that the
 of The
 interest
 charact*

*nty of Cambria, and Commonwealth of Pennsylvania and
 we matter published in said publication in the regular issues
 A, on November 15, 2018; and that the Affiant is not
 ertising and that all of the allegations as to time, place and*

Mary Anne Rizzo

STATEMENT OF ADVERTISING COSTS

Signed and sworn to before me on
 16th day of November, 2018.
 by Mary Anne Rizzo making the statement.

Vivian Ohs

0.00 Lines @	\$2.50 per line	0.00
6.5 Inches @	\$25.00 per inch	162.50
Notary Fee		5.00
Clerical Fee		2.50
Total Cost		170.00

Commonwealth of Pennsylvania - Notary Seal
 Vivian Ohs, Notary Public
 Cambria County
 My commission expires December 6, 2020
 Commission number 1123017
 Member, Pennsylvania Association of Notaries

To The Tribune-Democrat, Johnstown, PA
 For publishing the notice or publication
 attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
 and publication costs and certifies that the same has been duly paid.

 (Name of Newspaper)

By _____

RECEIVED

FEB 14 2019

PA PUBLIC UTILITY COMMISSION
 SECRETARY'S BUREAU

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

RESOURCE ENERGY SOLUTIONS, LLC
AIMEE HARRISON
4 HIGH RIDGE PARK, STE 202 STAMFORD CT 06905

Account # 631914
Order # 82276573
Ad Price: 251.15

LEGAL NOTICE PENNSYLVANIA

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

11/14/2018

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Miss Burke

Sworn and subscribed to before me
this 14th day of November A.D., 2018

Sharon Venturi
(Notary Public)

Commonwealth of Pennsylvania - Notary Seal
Sharon Venturi, Notary Public
Lackawanna County
My commission expires February 12, 2022
Commission number 1254228
Member, Pennsylvania Association of Notaries

RECEIVED

FEB 14 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Application of **Resource Energy Solutions, LLC** For Approval To Offer, Render, Furnish Services As A Marketer/Broker And An Aggregator Engaged In The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Resource Energy Solutions, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Resource Energy Solutions, LLC's** attorney Richard Plutzer at the address listed below. -

By and through Counsel:
Richard Plutzer
Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905
Phone: (201) 937-4210
Fax: (203) 324-0425

**PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929**

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Bernard A. Oravec, Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

November 16, 2018

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

**PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
NOTICE**

Application of Resource Energy Solutions, LLC For Approval To Offer, Render, Furnish Services As A Marketer/Broker And An Aggregator Engaged in The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.

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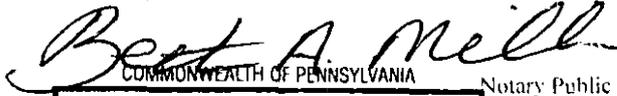
By and through Counsel:
Richard Plutzer
Resource Energy
Solutions, LLC
4 High Ridge Park,
Suite 202
Stamford, CT 06905
Phone: (201) 937-4210
Fax: (203) 324-0425



SUN-GAZETTE LLC

Sworn to and subscribed before me

The 19th day of November 20 18


COMMONWEALTH OF PENNSYLVANIA Notary Public

NOTARIAL SEAL
BETH A MILLER
Notary Public
CITY OF WILLIAMSPORT, LYCOMING COUNTY
My Commission Expires Apr 18, 2020

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates.....\$ 245.60

Probated same.....\$

Total.....\$ 245.60

RECEIVED

FEB 14 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Bernard A. Oravec

Delaware

Page 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "RESOURCE ENERGY SOLUTIONS, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE NINETEENTH DAY OF JULY, A.D. 2018.

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FEB 14 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



4938939 8300

SR# 20185697339

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature in black ink, appearing to read "JBULLOCK", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed in a small font.

Authentication: 203094739

Date: 07-19-18



State of Delaware

SECRETARY OF STATE
DIVISION OF CORPORATIONS
P.O. BOX 898
DOVER, DELAWARE 19903

8192426

07-19-2018

RESOURCE ENERGY SOLUTIONS, LLC
4 HIGH RIDGE PARK,
SUITE 202
STAMFORD, CT 06905

ATTN: A. HARRISON

DESCRIPTION	AMOUNT
4938939 - RESOURCE ENERGY SOLUTIONS, LLC Entity Status - Short Form	
Certification Fee	\$50.00
TOTAL CHARGES	\$50.00
TOTAL PAYMENTS	\$50.00
BALANCE	\$0.00

Delaware
The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CHANGE OF REGISTERED AGENT OF "RESOURCE ENERGY SOLUTIONS, LLC", FILED IN THIS OFFICE ON THE EIGHTH DAY OF JUNE, A.D. 2017, AT 6:04 O'CLOCK P.M.

RECEIVED

FEB 14 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU




Jeffrey W. Bullock, Secretary of State

4938939 8100
SR# 20186270683

Authentication: 203316447
Date: 08-27-18

You may verify this certificate online at corp.delaware.gov/authver.shtml

4. . . .

**STATE OF DELAWARE
CERTIFICATE OF AMENDMENT CHANGING ONLY THE
REGISTERED OFFICE OR REGISTERED AGENT OF A
LIMITED LIABILITY COMPANY**

The limited liability company organized and existing under the Limited Liability Company Act of the State of Delaware, hereby certifies as follows:

1. The name of the limited liability company is _____
Resource Energy Solutions, LLC

2. The Registered Office of the limited liability company in the State of Delaware is changed to Corporation Trust Center, 1209 Orange Street
_____ (street), in the City of Wilmington,
Zip Code 19801. The name of the Registered Agent at such address upon whom process against this limited liability company may be served is _____
THE CORPORATION TRUST COMPANY

By: /s/ Jennifer Kurz
Authorized Person

Name: Jennifer Kurz
Print or Type

Delaware

Page 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF FORMATION OF "RESOURCE ENERGY SOLUTIONS, LLC", FILED IN THIS OFFICE ON THE TENTH DAY OF FEBRUARY, A.D. 2011, AT 2:50 O`CLOCK P.M.




Jeffrey W. Bullock, Secretary of State

4938939 8100
SR# 20186270683

Authentication: 203316448
Date: 08-27-18

You may verify this certificate online at corp.delaware.gov/authver.shtml

CERTIFICATE OF FORMATION

OF

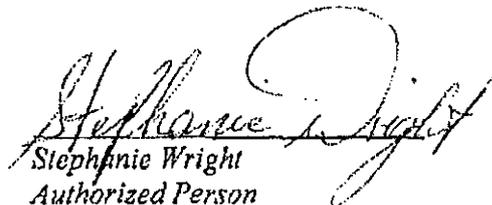
RESOURCE ENERGY SOLUTIONS, LLC

A LIMITED LIABILITY COMPANY

Pursuant to Section 18-201:

- FIRST: The name of the limited liability company is:
RESOURCE ENERGY SOLUTIONS, LLC
- SECOND: Its registered office in the State of Delaware is to be located at: 1220 N. Market Street, Suite 806 Wilmington, DE 19801 and its registered agent at such address is: BlumbergExcelsior Corporate Services, INC.
- THIRD: The duration of the limited liability company is perpetual.

IN WITNESS WHEREOF, the undersigned, being the individual forming the limited liability company, has executed, signed and acknowledged this Certificate of Formation this 10th day of February, 2011.


Stephanie Wright
Authorized Person

ITEM 2b.

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS
401 NORTH STREET, ROOM 206
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.PA.GOV

Richard Breen, Esquire c/o Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
STAMFORD CT 06905

RECEIVED

FEB 14 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Resource Energy Solutions, LLC

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WE WOULD LIKE TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEBSITE AT www.dos.pa.gov/BusinessCharities OR YOU MAY CONTACT US BY TELEPHONE AT (717)787-1057. INFORMATION REGARDING BUSINESS AND UCC FILINGS CAN BE FOUND ON OUR SEARCHABLE DATABASE AT www.corporations.pa.gov/Search/CorpSearch.

ENTITY NUMBER : 6695785

**PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS**

Return document by mail to:
Richard Breen, Esquire c/o Resource Energy Solutions, LLC
Name
4 High Ridge Park, Suite 202
Address
Stamford CT 06905
City State Zip Code
 Return document by email to: _____

Foreign Registration Statement
DSCB:15-412
(rev. 2/2017)



TML180409MC1906

Read all instructions prior to completing. This form may be s

Fee: \$250 I qualify for a veteran/reservist-owned small business fee exemption (see instructions)

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. § 412 (relating to foreign registration statement), the undersigned foreign association hereby states that:

1. The type of association is (check only one):

- Business Corporation
- Nonprofit Corporation
- Limited Liability Company
- Limited Partnership
- Limited Liability (General) Partnership
- Limited Liability Limited Partnership
- Business Trust
- Professional Association

2. The full and proper name of the foreign association as registered in its jurisdiction of formation is:

Resource Energy Solutions, LLC

2A. If the name in 2 does not contain a required designator or if the name in 2 is not available for use in the Commonwealth, the alternate name under which the association is registering in this Commonwealth is:

3. The jurisdiction of formation is: Delaware

4. The street and mailing address of the association's principal office.

4 High Ridge Park, Suite 202 Stamford CT 06905
Number and street City State Zip

4A. The street and mailing address of the office, if any, required to be maintained by the law of the association's jurisdiction of formation in that jurisdiction:

Number and street City State Zip

PA DEPT. OF STATE

MAR 12 2018

PA DEPT. OF STATE

APR 02 2018

ITEM 7a.



Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905
(203) 883-9310

February 8, 2019

Commonwealth of Pennsylvania
Pennsylvania Public Utility Commission
400 North Street
Harrisburg, PA 17120

Re: Resource Energy Solutions, LLC ("RESOL"): Application to become an EGS: Bond Requirement

RESOL, a Delaware limited liability company, is making application to obtain a license as an Electric Generation Supplier (Broker/Aggregator) with respect to electricity in the state of Pennsylvania. To that end and in compliance with the requirements of the Application Item 7a. Bonding, RESOL herewith submits its request for a modification of the \$250,000 requirement in the form of a reduction to the bond to the amount of \$10,000. RESOL confirms that it will not take title to electricity at any time and will not pay electricity bills on behalf of its customer. In addition, RESOL plans to conduct business with and offer its services to well-established, large commercial and industrial entities and major retail chains, all of which are well versed in operations and the use and cost of utilities. RESOL also plans to provide broker and/or aggregator services possibly to the municipal market also including schools, governmental agencies and buildings and other related installations.

RESOL emphasizes that is not a supplier of and will never take title to electricity or any other utility. RESOL will serve strictly as an intermediary and advisor between electric supply companies and end users; the customer of RESOL for the purpose of brokerage, procurement and aggregator services.

Should you have any questions, please contact the undersigned at (201) 937-4210 or by e-mail to rplutzer@resglobal.com. Your attention to this matter is greatly appreciated.

Sincerely,

A handwritten signature in black ink, appearing to be 'R. Plutzer', written over a horizontal line.

Richard Plutzer
CFO

RESOURCE ENERGY SOLUTIONS, LLC

**ATTACHMENT TO APPLICATION FORM FOR PARTIES WISHING TO OFFER, RENDER, FURNISH OR SUPPLY
ELECTRICITY OF ELECTRIC GENERATION SERVICES TO THE PUBLIC IN THE COMMONWEALTH OF
PENNSYLVANIA**

ITEM 2b.

Proof of compliance with Department of State filing requirements is attached.

Applicant is incorporated under the laws of the state of Delaware. A copy of Applicant's charter documentation is attached.

Members/officers of the applicant, limited liability company:

Steven Schluskel, President and Member
4 High Ridge Park, Suite 202
Stamford, CT 06905

Scott Reinstein, Chief Executive Officer and Member
4 High Ridge Park, Suite 202
Stamford, CT 06905

Richard Plutzer, Secretary, Treasurer and Member
4 High Ridge Park, Suite 202
Stamford, CT 06905

ITEM 3a.

AFFILIATE CURRENTLY DOING BUSINESS

The following affiliate is currently doing business, is not a jurisdictional public utility and is not currently applying to do business in Pennsylvania as it holds a license as Broker/Marketer in the state:

Resource Energy Systems, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905

RESOURCE ENERGY SOLUTIONS, LLC

ATTACHMENT TO APPLICATION FORM FOR PARTIES WISHING TO OFFER, RENDER, FURNISH OR SUPPLY
ELECTRICITY OF ELECTRIC GENERATION SERVICES TO THE PUBLIC IN THE COMMONWEALTH OF
PENNSYLVANIA

-continued-

ITEM 3b.

PREDECESSORS

Applicant has no predecessors and has not operated within the preceding five years.

ITEM 4a.

APPLICANT'S PRESENT OPERATIONS

Applicant intends to commence operations as a Broker/Marketer – an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy – upon approval of its application.

ITEM 4c.

Applicant proposes to act as a broker/marketer of electricity services.

ITEM 4f.

Applicant proposes to actively market within the Commonwealth upon approval of its application.

ITEM 5a.

Neither Applicant, an affiliate, a predecessor of an affiliate or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

ITEM 5c.

Applicant has no actions or complaints to list.

RESOURCE ENERGY SOLUTIONS, LLC

ATTACHMENT TO APPLICATION FORM FOR PARTIES WISHING TO OFFER, RENDER, FURNISH OR SUPPLY ELECTRICITY OF ELECTRIC GENERATION SERVICES TO THE PUBLIC IN THE COMMONWEALTH OF PENNSYLVANIA

-continued-

ITEM 7a.

Bonding. Please see the letter to the Commission attached.

ITEM 7b.

FINANCIAL RECORDS, STATEMENTS, RATINGS

Per the advice of Mr. Stephen Jakab, Applicant attaches three consecutive months of bank statements from the chief financial officer of RESOL, attached.

ITEM 7d.

As a broker/marketer, Applicant will collect fees via CUSTOMERS OR SUPPLY COMPANY.

ITEM 7e.

Applicant's accounting records custodian is:

Richard Plutzer, Secretary, Treasurer and Member
4 High Ridge Park, Suite 202
Stamford, CT 06905
Email: rplutzer@resglobal.com
Phone: (203) 883-9310
Cell: (201) 937-4210
Fax: (203) 325-0425

ITEM 8A.

EXPERIENCE, PLAN STRUCTURE

Applicant's previous experience in the electricity industry:

Statement of technical and managerial competency:

RESOURCE ENERGY SOLUTIONS, LLC

ATTACHMENT TO APPLICATION FORM FOR PARTIES WISHING TO OFFER, RENDER, FURNISH OR SUPPLY
ELECTRICITY OF ELECTRIC GENERATION SERVICES TO THE PUBLIC IN THE COMMONWEALTH OF
PENNSYLVANIA

-continued-

Resource Energy Solutions (RESOL) intends to offer real estate companies the opportunity to buy electricity using RESOL's services where RESOL would serve as broker on behalf of third-party energy suppliers in Maryland. Such real estate companies have properties located throughout the country in as many as 25 different states.

The company's management team of Steven Schlusel, Scott Reinstein and Richard Plutzer together have a combined 100 years of experience in the operations of commercial real estate including decades in energy-related matters while employed in senior positions at commercial real estate and energy companies. Their duties in such roles in the commercial real estate industry included buying energy for a wide portfolio of properties totaling more than 180,000,000 kilowatt hours of electricity annually. In addition, their responsibilities included buying natural gas for dozens of properties across the nation.

Steven Schlusel, President of the company, has extensive knowledge of commercial real estate and retail space having spent 25 years providing services in such areas. He has intricate knowledge of commercial real estate operations and the role energy costs play in such operations. He has advised large retail chains on industry leading energy management solutions in many parts of the country, and he is one of the leading proponents for the deployment of solar energy systems and fuel cell technology in commercial real estate in order to reduce and control energy costs and minimize damage to the environment.

Steven is the founder and former president of International Environmental Management, Inc. (IEM) which provides waste and recycling management services across the nation to over 500 regional shopping centers and their more than 45,000 tenants. Steven founded IEM in 1992 and guided IEM through the sale of the company in 2006 to Oakleaf Global Holdings, Inc. (OGHI), a portfolio company of the charterhouse Group, a private equity firm. He served on the Board of Directors of OGHI during a period of rapid growth for OGHI in its sales and profitability as well as a period during which OGHI introduced new services and products for the waste management industry.

Steven continued to serve on the Board of OGHI until its sale in 2007 to an affiliate of New Mountain Capital for \$655,000,000. At the time of the sale, OGHI had consolidated revenues of approximately \$600,000,000 per year. IEM's operating results comprised a substantial portion of OGHI's overall earnings.

Prior to starting OEM, Steven was a commercial real estate broker with Edward S. Gordon Co. Steven has an undergraduate degree in Management and an MBA in Finance, both from New York University.

Scott Reinstein, Chief Executive Officer of the company, was formerly Vice President National Operations in charge of energy for all 40,000,000 square feet of commercial space owned by the Westfield Group

RESOURCE ENERGY SOLUTIONS, LLC

ATTACHMENT TO APPLICATION FORM FOR PARTIES WISHING TO OFFER, RENDER, FURNISH OR SUPPLY
ELECTRICITY OF ELECTRIC GENERATION SERVICES TO THE PUBLIC IN THE COMMONWEALTH OF
PENNSYLVANIA

-continued-

where he worked for nearly two decades. In his role, he bought energy nationally for years and was responsible for development and implementation of a company-wide energy procurement and management plan that was extremely successful in controlling costs for Westfield Group and directed all components of a shopping center expansion project most notably a \$500,000,000 expansion in Los Angeles which was completed under budget and ahead of schedule. His responsibilities included entitlement, planning budgeting and full oversight of project management, construction, leasing, design and marketing. Scott has lectured on energy procurement and management in the real estate industry and is one of the leading experts in the nation based on his achievements at Westfield Group and in energy procurement for the shopping center and retail industries. Customers of the company will have Scott's ability to do the same for them as he did for Westfield Group: help them to design and implement energy plans that will save money on procurement and usage.

Scott is a U.S. Navy veteran who served nearly four years with the armed services.

Richard Plutzer, Chief Financial Officer of the company, is a CPA and attorney. He handles all legal, financial and tax aspects of the operations of the company. He interacts also with state and local governmental agencies on regulatory matters impacting the company's development activities. He is the founder and past-Chairman of the Energy Committee of the Commerce and Industry Association of New Jersey (CIANJ), and he has lectured numerous times on energy management and procurement issues and subjects including the impact of the Energy Master Plan proposed by then-Governor John Corzine on renewable energy and the cost of electricity in that state. Richard has authored articles for Commerce magazine, the Publication of CIANJ, and GoveSt.com on the use of solar energy in commercial real estate. He has published articles on both demand response and renewable energy and together with Scott Reinstein has worked on articles regarding the design and development of long-term strategic energy procurement for commercial real estate owners. Richard has approximately 25 years of involvement with the commercial real estate industry in various levels of operations.

Richard served previously as Chief Financial Officer and General Counsel of IEM. As one of the former shareholders of IEM, he teamed with Steven Schlusel in the negotiations for the sale of IEM in 2006 and was responsible for all aspects of the tax, legal and financial due diligence process leading up to the sale. As Chief Financial Officer, Richard reorganized and leveraged the resources of the Finance Department to significantly increase the profitability and value of the company. He was also responsive for all financial and tax reporting for the company including reporting and tax compliance as required for the 45 states in which IEM conducted its operations. As General counsel he was responsible for virtually all

RESOURCE ENERGY SOLUTIONS, LLC

ATTACHMENT TO APPLICATION FORM FOR PARTIES WISHING TO OFFER, RENDER, FURNISH OR SUPPLY ELECTRICITY OF ELECTRIC GENERATION SERVICES TO THE PUBLIC IN THE COMMONWEALTH OF PENNSYLVANIA

-continued-

contracts to which IEM was a party and was involved in extensive contract negotiations and corporate governance matters. His background includes roles serving as an attorney and certified public account at Ernest & Young and other leading firms.

Richard graduated from Washington University in St. Louis and Boston College Law School.

Summary and proof of licenses as a supplier of electric service in other states or jurisdictions:

District of Columbia	Electric	Case No. EA2018-17-E-7
Illinois	Electric	18-1479
Maine	Electric	Docket Number 2018-00277
Maryland	Electric	No. IR-4155
New Hampshire	Electric	DM 18-130
New Jersey	Electric & Gas	EA-0525
Oregon	Electric	Order 18-342
Rhode Island	Electric	Docket D-96-6(H9)

Staffing structure and numbers as well as employee training commitments:

Applicant has not yet hired employees but plans to do so upon receipt of this and additional licenses.

Business plans for operations within the Commonwealth:

Applicant intends to offer brokerage and aggregation to its commercial customers throughout the state.

Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth:

Applicant does not hold membership if the groups or councils described.

Any other information appropriate to ensure the technical capabilities of the Applicant.

Applicant's three principals have many years of experience in brokerage and aggregation of services to customers. Through its affiliate, Resource Energy Systems, LLC, Pennsylvania electric license A-2011-2224748, business has been increased steadily and successfully to both the company and its customers.

RESOURCE ENERGY SOLUTIONS, LLC

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ELECTRICITY OF ELECTRIC GENERATION SERVICES TO THE PUBLIC IN THE COMMONWEALTH OF
PENNSYLVANIA

-continued-

ITEM 8e.

Officer Biographies:

Steven Schlüssel, President of the company, has extensive knowledge of commercial real estate and retail space having spent 25 years providing services in such areas. He has intricate knowledge of commercial real estate operations and the role energy costs play in such operations. He has advised large retail chains on industry leading energy management solutions in many parts of the country, and he is one of the leading proponents for the deployment of solar energy systems and fuel cell technology in commercial real estate in order to reduce and control energy costs and minimize damage to the environment.

Steven is the founder and former president of International Environmental Management, Inc. (IEM) which provides waste and recycling management services across the nation to over 500 regional shopping centers and their more than 45,000 tenants. Steven founded IEM in 1992 and guided IEM through the sale of the company in 2006 to Oakleaf Global Holdings, Inc. (OGHI), a portfolio company of the charterhouse Group, a private equity firm. He served on the Board of Directors of OGHI during a period of rapid growth for OGHI in its sales and profitability as well as a period during which OGHI introduced new services and products for the waste management industry.

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RESOURCE ENERGY SOLUTIONS, LLC

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PENNSYLVANIA

-continued-

construction, leasing, design and marketing. Scott has lectured on energy procurement and management in the real estate industry and is one of the leading experts in the nation based on his achievements at Westfield Group and in energy procurement for the shopping center and retail industries. Customers of the company will have Scott's ability to do the same for them as he did for Westfield Group: help them to design and implement energy plans that will save money on procurement and usage.

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Richard served previously as Chief Financial Officer and General Counsel of IEM. As one of the former shareholders of IEM, he teamed with Steven Schlusel in the negotiations for the sale of IEM in 2006 and was responsible for all aspects of the tax, legal and financial due diligence process leading up to the sale. As Chief Financial Officer, Richard reorganized and leveraged the resources of the Finance Department to significantly increase the profitability and value of the company. He was also responsible for all financial and tax reporting for the company including reporting and tax compliance as required for the 45 states in which IEM conducted its operations. As General counsel he was responsible for virtually all contracts to which IEM was a party and was involved in extensive contract negotiations and corporate governance matters. His background includes roles serving as an attorney and certified public account at Ernest & Young and other leading firms.

Richard graduated from Washington University in St. Louis and Boston College Law School.

RESOURCE ENERGY SOLUTIONS, LLC

ATTACHMENT TO APPLICATION FORM FOR PARTIES WISHING TO OFFER, RENDER, FURNISH OR SUPPLY
ELECTRICITY OF ELECTRIC GENERATION SERVICES TO THE PUBLIC IN THE COMMONWEALTH OF
PENNSYLVANIA

-continued-

ITEM 8f.

FERC filing

Not applicable.

ITEM 9.

Not applicable.

ITEM 7b.

Aimee Harrison

From: Jakab, Stephen <sjakab@pa.gov>
Sent: Wednesday, October 3, 2018 6:28 AM
To: Aimee Harrison
Subject: RE: EGS License Application

Aimee,

You may provide 3 consecutive months of bank statement from the chief officer of RESOL.

Thank you for your cooperation.

Stephen Jakab | Financial Analyst
Pennsylvania Public Utility Commission
Bureau of Technical Utility Services
End of line...

From: Aimee Harrison <aharrison@resglobal.com>
Sent: Tuesday, October 02, 2018 4:35 PM
To: Jakab, Stephen <sjakab@pa.gov>
Subject: EGS License Application

Mr. Jakab,

Resource Energy Solutions, LLC ("RESOL") is in midst of preparing its application to obtain an EGS License as a broker/marketer which will not take title to electricity. In connection with its application, it is requested that some direction be given with respect to meeting the requirements of item 7b., Financial Records, Statements, and Ratings, as follows:

RESOL has no employees and is a start up which intends to commence business in the state of Pennsylvania following its receipt of an approved license application. It has no parent company and is not publicly held. It holds no credit rating because it has not incurred any debt. While it has approximately one year of financials to offer in the form of a balance sheet, it cannot produce two years of bank account statements, tax returns or other information that might otherwise demonstrate its financial fitness that would meet with the approval of the PUC.

As the application is quite comprehensive, we wonder whether the PUC may deem it advisable for RESOL to delay making application until it can meet fully all of the requirements of the application process. Please let us have your thoughts on this matter.

Your assistance is appreciated, and we look forward to hearing from you.

Aimee Harrison
Resource Energy Solutions, LLC
Regulatory
(203) 738-5901

This email and any files transmitted with it are confidential and intended solely for the use of the individual or entity to whom they are addressed. If you have received this email in error, please notify the sender. This message contains confidential information and is intended only for the individual named. If you are not the named addressee you should

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PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA
 1325 G STREET N.W., SUITE 800
 WASHINGTON, D.C. 20005

RECEIVED

FEB 14 2019

ORDER

PA PUBLIC UTILITY COMMISSION
 SECRETARY'S BUREAU
 February 1, 2019

**FORMAL CASE NO. EA 2018-17, IN THE MATTER OF THE APPLICATION
 OF RESOURCE ENERGY SOLUTIONS, LLC FOR AN ELECTRICITY
 SUPPLIER LICENSE, Order No. 19825**

I. INTRODUCTION

1. By this Order, the Public Service Commission of the District of Columbia (“Commission”) grants a license to Resource Energy Solutions, LLC (“Resource Energy Solutions” or “Applicant”) to function as an electricity supplier in the District of Columbia.¹ The license for Resource Energy Solutions, LLC is effective upon issuance of this Order.

II. BACKGROUND

2. On September 10, 2018, Resource Energy Solutions filed its license application.² Specifically, Resource Energy Solutions intends to operate as a broker/aggregator of electricity pursuant to § 4601 in Chapter 46 (Electricity Suppliers) of Title 15 of the District of Columbia Municipal Regulations.³ The Applicant represents that it intends to serve commercial and industrial customers in the District of Columbia. Resource Energy Solutions filed supplemental information on November 1 and 2, 2018 and January 30, 2019.⁴

¹ D.C. Official Code § 34-1501(17) (2001) defines, in part, an electricity supplier as “a person, including an aggregator, broker, or marketer, who generates electricity; sells electricity; or purchases, brokers, arranges or markets electricity for sale to customers.”

² *Formal Case No. EA 2018-17, In the Matter of the Application of Resource Energy Solutions, LLC for an Electricity License (“Formal Case No. EA 2018-17”),* Application of Resource Energy Solutions, LLC filed, September 10, 2018.

³ See 65 DCR 9970-9989 (September 28, 2018).

⁴ *Formal Case No. EA 2018-17,* Resource Energy Solutions, LLC filed supplemental information on November 1 and 2, 2018 and January 30, 2019.

III. DISCUSSION

3. Our review of the information contained in Resource Energy Solutions' application and supplemental filings demonstrates that it has met all the prescribed licensing requirements and that the Applicant has the ability and the financial integrity to serve electricity customers in the District of Columbia. The Commission also finds that granting Resource Energy Solutions' application will serve the public interest by fostering competition in the District's energy market. Accordingly, Resource Energy Solutions' application is approved.

THEREFORE, IT IS ORDERED THAT:

4. The application of Resource Energy Solutions, LLC for a license to conduct business in the District of Columbia as an electricity supplier is hereby **GRANTED**. The license for Resource Energy Solutions, LLC is effective upon issuance of this Order.

A TRUE COPY:**BY DIRECTION OF THE COMMISSION:****CHIEF CLERK:****BRINDA WESTBROOK-SEDGWICK
COMMISSION SECRETARY**

Aimee Harrison

From: Frazier, Tiffany (PSC) <tfrazier@psc.dc.gov>
Sent: Friday, February 1, 2019 3:45 PM
To: rplutzer@resglobal.com
Cc: Aimee Harrison
Subject: RE: Order - EA 2018-17 Resource Energy Solutions, LLC - Application for Electricity Supplier License
Attachments: EA2018-17 - Order No. 19825 (Resource Energy Solutions, LLC).pdf

Good afternoon Mr. Plutzer,

Please see attached an order approving the application of Resource Energy Solutions, LLC to provide electricity services in the District. Also please be aware, pursuant to the Commission's rules issued on September 28, 2018, Resource Energy Solutions, LLC (Regulatory Contact or Compliance Rep.) is required to take and complete the Commission's on-line Supplier Education Workshop ninety days from the award of your license. Thereafter, you are required to complete the workshop annually on or around the anniversary date of initial participation.

To participate in the workshop and test, visit <https://depsec.org/Retail-Choice/How-to-Become/Electric.-Natural-Gas-Telecom.aspx>. An e-Docket registration is required to take the test and complete the certification requirement. If you do not have an e-Docket registration, contact the Office of the Commission Secretary at psc-commissionsecretary@dc.gov. Lastly, a licensee is subject to review every five (5) years after the date on which the license was issued or was last reviewed. If you have any questions, I am available.



Tiffany A. Frazier

Regulatory Affairs Specialist
Office of the General Counsel
tfrazier@psc.dc.gov
1325 G Street, N.W., Suite 800
Washington, D.C. 20005

t 202-626-0568 f 202-393-6769

Your Energy. Your Voice.



CONFIDENTIALITY NOTICE: The information contained in this electronic message and any attachments to this message are intended for the exclusive use of the addressee(s) because the message (and any attachments) may contain confidential or privileged information. If you are not the intended recipient, please notify the sender immediately and destroy all copies of this message and any attachments.



ILLINOIS COMMERCE COMMISSION

November 2, 2018

Resource Energy Solutions, LLC

Application for Licensure of Agents, Brokers
and Consultants under Section 16-115C of
the Public Utilities Act.

18-1479

SERVED ELECTRONICALLY

NOTICE OF SERVICE

TO ALL PARTIES OF INTEREST:

I hereby certify, as Chief Clerk of the Illinois Commerce Commission, that on November 2, 2018, a copy of the attached Order of the Illinois Commerce Commission dated November 1, 2018 was served electronically on all parties on the service list.

Sincerely,

A handwritten signature in cursive script that reads "Elizabeth A. Rolando".

Elizabeth A. Rolando
Chief Clerk

EAR:sc

Service List

Shea Felde
ALJ's Assistant
Office of Retail Market Development
Illinois Commerce Commission
160 N. LaSalle, Ste. C-800
Chicago, IL 60601 *
shea.felde@illinois.gov

Theresa Orozco
Administrative Law Judge
Illinois Commerce Commission
160 N. LaSalle, Ste. C-800
Chicago, IL 60601 *
theresa.orozco@illinois.gov

Richard Breen
Esquire
Resource Energy Solutions, LLC
4 High Ridge Park, Ste. 202
Stamford, CT 06905 *
rbreen@resglobal.com

Richard Plutzer
Chief Financial Officer
Resource Energy Solutions, LLC
4 High Ridge Park, Ste. 202
Stamford, CT 06905 *
rplutzer@resglobal.com

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

Resource Energy Solutions, LLC :
: **18-1479**
Application for Licensure of :
Agents, Brokers, and Consultants :
under Section 16-115C of the :
Public Utilities Act. :

ORDER

By the Commission:

I. INTRODUCTION

On September 4, 2018, Resource Energy Solutions, LLC ("Applicant") filed a verified Application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker, or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454, "Licensure of Retail Electric Agents, Brokers and Consultants" ("Part 454"). Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois. Upon review of the Application, Staff of the Commission requested additional information and clarification of certain matters. On October 9, 2018, Applicant filed an Amended Application. Since all of the information to grant the requested relief was contained in the Application and Amended Application, the Hearing was waived.

II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of Delaware and authorized to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data. The Applicant has also attested that

no complaints have been filed against it for its provision of services in the electric or gas industry in the jurisdictions where it provides or is seeking to provide services.

III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Applicant is required by Section 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Section 454.60 through Attachment B to the Application. Attachment A to the Application contains a corporate organizational chart and identifies the occupational background information of the persons being used to meet the requirements of Section 454.60(a). Attachment B contains occupational background information on the person or persons being used to meet the requirements of Section 454.60(a).

Applicant is required by Section 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Section 454.70 with the information provided in Attachment B. Attachment A contains occupational background information on the person or persons being used to meet the requirements of Section 454.70(a).

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the Application and attachments along with the supplementary information provided by Applicant regarding the technical, managerial, and financial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements. The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that Resource Energy Solutions, LLC is granted service authority to operate as an agent, broker, or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) Resource Energy Solutions, LLC, a business organized under the laws of Delaware and authorized to transact business in Illinois, seeks a certificate of service authority to operate as an ABC under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Resource Energy Solutions, LLC has demonstrated that it possesses sufficient financial, managerial, and technical resources and abilities to provide services as an ABC for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Resource Energy Solutions, LLC has complied with Section 16-115C of the Act and Part 454; and
- (6) Resource Energy Solutions, LLC should be granted a certificate of service authority to operate as an ABC as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that Resource Energy Solutions, LLC is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker, or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that Resource Energy Solutions, LLC shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that pursuant to Section 10-113(a) of the Public Utilities Act and 83 Ill. Adm. Code 200.880, any application for rehearing shall be filed within 30 days after service of the Order on the party.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 Ill. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By Order of the Commission this 1st day of November, 2018.

(SIGNED) BRIEN SHEAHAN

Chairman

STATE OF MAINE
PUBLIC UTILITIES COMMISSION

Docket No. 2018-00277

October 18, 2018

RESOURCE ENERGY SOLUTIONS,
LLC
Application for License to Operate
As A Competitive Electricity Provider

ORDER GRANTING LICENSE

VANNOY, Chairman; WILLIAMSON and DAVIS, Commissioners

I. SUMMARY

Through this Order, Resource Energy Solutions, LLC (RES or the Company) is issued a license to operate as a competitive electricity provider furnishing aggregator/broker services to the non-residential customer classes throughout Maine's service territories pursuant to Chapter 305 of the Commission's Rules.

II. APPLICATION AND DECISION

On September 14, 2018, RES applied to the Commission to operate in Maine as a competitive electricity provider pursuant to Chapter 305. The Company proposes to provide aggregator/broker service to the non-residential customer classes throughout Maine's service territories and has met all the Chapter 305 filing requirements.

As a licensed competitive electricity provider, RES is required to comply with all applicable requirements and regulations, including all conditions of licensing, assignments, customer protection rules, and the filing of annual reports. To the extent that a licensed competitive electricity provider uses third-parties to assist in obtaining customers during the course of its business, Chapter 305 § 4(C) of the Commission's rules places the responsibility on the competitive electricity provider for violations of the provisions of this section by representatives or agents acting on the competitive electricity provider's behalf. Chapter 305 § 2(C)(3) also requires a competitive electricity provider to use reasonable efforts to avoid conducting business with any entity acting as a competitive electricity provider in Maine without a license from the Commission. Therefore, if the Company uses a third party to market its services, it is responsible to ensure that the third party has met any licensing requirements of the Commission.

Pursuant to a Delegation Order dated April 23, 2008 in Docket No. 2008-185, *PUBLIC UTILITIES COMMISSION, Delegation of Authority to License Competitive Electricity Providers*, the Commission delegated to the Director of Technical Analysis the authority under 35-A M.R.S. §§ 3203 (1) & (2) and Chapter 305, §2 to license competitive electricity providers. The Commission no longer has a Director of Technical Analysis and all existing delegation orders that delegate Commission authority to the Director of Technical Analysis is now delegated to the Director of Electric and Gas Utility Industries.

Accordingly, it is

ORDERED

1. That Resource Energy Solutions, LLC is hereby issued a license to operate as a competitive electricity provider pursuant to Chapter 305 of the Commission's Rules, to provide aggregator/broker services to the non-residential customer classes throughout Maine's service territories pursuant to Chapter 305 of the Commission's Rules; and
2. That this license is effective on the date of this Order and valid until revoked or suspended by the Commission pursuant to section 3(A)(4) of Chapter 305, or abandoned by the licensee pursuant to sections 2(D)(10) and 2(D)(11) of Chapter 305 of the Commission's Rules.

Dated at Hallowell, Maine, this Eighteenth day of October, 2018.

BY ORDER OF THE DIRECTOR OF ELECTRIC AND GAS UTILITY INDUSTRIES



Faith Huntington

NOTICE OF RIGHTS TO REVIEW OR APPEAL

5 M.R.S. § 9061 requires the Public Utilities Commission to give each party to an adjudicatory proceeding written notice of the party's rights to review or appeal of its decision made at the conclusion of the adjudicatory proceeding. The methods of review or appeal of PUC decisions at the conclusion of an adjudicatory proceeding are as follows:

1. Reconsideration of the Commission's Order may be requested under Section 1004 of the Commission's Rules of Practice and Procedure (65-407 C.M.R.110) within 20 days of the date of the Order by filing a petition with the Commission stating the grounds upon which reconsideration is sought. Any petition not granted within 20 days from the date of filing is denied.
2. Appeal of a final decision of the Commission may be taken to the Law Court by filing, within 21 days of the date of the Order, a Notice of Appeal with the Administrative Director of the Commission, pursuant to 35-A M.R.S. § 1320(1)-(4) and the Maine Rules of Appellate Procedure.
3. Additional court review of constitutional issues or issues involving the justness or reasonableness of rates may be had by the filing of an appeal with the Law Court, pursuant to 35-A M.R.S. § 1320(5).

Note: The attachment of this Notice to a document does not indicate the Commission's view that the particular document may be subject to review or appeal. Similarly, the failure of the Commission to attach a copy of this Notice to a document does not indicate the Commission's view that the document is not subject to review or appeal.

Aimee Harrison

From: MPUC-CMSnotification@maine.gov
Sent: Thursday, October 18, 2018 1:39 PM
To: Aimee Harrison
Subject: 2018-00277 - CMS File in Existing Case by Staff User

This email confirms that a filing for case number 2018-00277 has been generated and is available in the case file.

Case Number: 2018-00277

Utility/Industry TYPE: Electric

Utility/Industry SUBTYPE: CEPs

Case Type: REQUEST FOR APPROVAL

Case Subtype: LICENSE TO OPERATE AS A COMPETITIVE ELECTRICITY PROVIDER

Case Title: REQUEST FOR APPROVAL FOR LICENSE TO OPERATE AS A COMPETITIVE ELECTRICITY PROVIDER PERTAINING TO RESOURCE ENERGY SOLUTIONS, LLC.

Pertaining to Utility/Company: RESOURCE ENERGY SOLUTIONS, LLC

Filing Item Number: 2

Filing Party: Commission, MPUC

Description of Filing: Order Granting License

Filed by: Commission, MPUC

Date Filed: 10/18/2018

Document(s) Filed:

Document Type	Document Subtype	File Name	Security
Order	Order	2018-00277 Resource Energy Solutions LLC Order Granting License.pdf	Public

JASON M. STANEK
CHAIRMAN



MICHAEL T. RICHARD
ANTHONY J. O'DONNELL
ODOGWU OBI LINTON
MINDY L. HERMAN

PUBLIC SERVICE COMMISSION

#2, 1/23/19 AM; ML#s 222491 and 222910
License Reference No.: IR-4155

January 23, 2019

Richard Plutzer, CFO
Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905

Dear Mr. Plutzer:

On October 15, 2018, Resource Energy Solutions, LLC ("Company") filed an Application for a License to Supply Electricity or Electric Generation Services in Maryland under COMAR 20.51. The Company proposes to provide electricity supplier services in Maryland for commercial and industrial customers as described in the application. Additional information was filed on November 15, 2018.

After considering this matter at the January 23, 2019 Administrative Meeting, the Commission granted the Company a license to supply electricity or electric generation services in Maryland (License Reference Number IR-4155). The license granted by the Commission under this Letter Order is limited solely to electricity supplier broker and aggregator services for the customer classes and service territories applied for and recommended by Staff. Additionally, the Company is directed to provide marketing and training materials specific to its Maryland operations to the Commission Staff 30 days prior to utilization of the materials in Maryland.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

A handwritten signature in black ink, appearing to read "T. Romine".

Terry J. Romine
Executive Secretary

TJR/st

THE STATE OF NEW HAMPSHIRE

CHAIRMAN
Martin P. Honigberg

COMMISSIONERS
Kathryn M. Bailey
Michael S. Giaino

EXECUTIVE DIRECTOR
Debra A. Howland



PUBLIC UTILITIES COMMISSION
21 S. Fruit Street, Suite 10
Concord, N.H. 03301-2429

TDD Access: Relay NH
1-800-735-2964

Tel. (603) 271-2431

FAX (603) 271-3878

Website:
www.puc.nh.gov

August 23, 2018

Richard Plutzer, CFO, Secretary, and Treasurer
Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905

Re: DM 18-130 Resource Energy Solutions, LLC
Application to Register as Provider of Electric Aggregation Service

Dear Mr. Plutzer:

On August 20, 2018, Resource Energy Solutions, LLC (Resource Energy) submitted an application with the Commission to register as a provider of electric aggregation service. Staff reviewed the application and determined that it is complete.

Commission Staff filed a memorandum on August 23, 2018 recommending approval of Resource Energy's registration application for a two-year term beginning on the date of the Executive Director's approval letter, pursuant to N.H. Code Admin. Rules Puc 2003.05(g)(1).

Your application for registration is approved effective as of August 23, 2018, under Puc 2003.05(g)(1). The registration is for a term of two years and expires at the close of business on August 23, 2020. Pursuant to Puc 2003.06(a), you must submit your renewal application at least 60 days prior to the expiration of the approved registration term, on or before June 25, 2020.

Please be aware that registered providers of electric aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 2000 – Competitive Electric Power Supplier and Aggregator Rules. These rules are available at:
<http://www.puc.nh.gov/Regulatory/Rules/PUC2000.pdf>.

Please note that, effective as of July 1, 2014, each registered electric load aggregator is subject to an annual assessment of \$2,000, unless it demonstrates that its gross revenue in New Hampshire during the preceding fiscal year (from July 1 through June 30) was less than \$10,000, pursuant to RSA 363-A:2, III and 5.

Sincerely,

A handwritten signature in black ink that reads "Debra A. Howland".

Debra A. Howland
Executive Director

cc: Service List/Docket File



Phil Murphy
Governor

Sheila Oliver
Lt. Governor

STATE OF NEW JERSEY
Board of Public Utilities
44 South Clinton Avenue, 3rd Floor, Suite 314
Post Office Box 350
Trenton, New Jersey 08625-0350
www.nj.gov/bpu/

Aida Camacho-Welch
Secretary of the Board
(609) 292-1599

October 29, 2018

Richard Plutzer
Chief Financial Officer and Member
Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, Connecticut 06905

Re: **Energy Agent and Private Aggregator Initial Registrations**
Dkt. Nos. EE18080923L GE18080924L

Dear Mr. Plutzer:

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its October 29, 2018 Agenda meeting, the New Jersey Board of Public Utilities voted to issue the **REGISTRATIONS** as an Energy Agent and Private Aggregator to Resource Energy Solutions, LLC. The company's registration numbers are EA-0525 and PA-0222

The enclosed registrations are effective October 29, 2018 and will expire on October 28, 2019. These registrations and the rights thereunder are **Non-Transferable**.

This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.

If you have any questions, please contact Jehvson Espiritu at (609) 292-0744.

Sincerely,


Aida Camacho-Welch
Secretary of the Board

ACW/joe
Encl.(s)

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

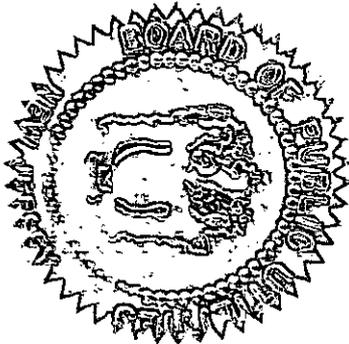
HEREBY REGISTERS

Resource Energy Solutions, LLC

4 High Ridge Park, Suite 202
Stamford, Connecticut 06905

To conduct business in the State of New Jersey as an

Energy Agent




Aida Camacho-Welch
Secretary of the Board

Registration No. EA-0525
Effective Date: October 29, 2018
Expiration Date: October 28, 2019

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

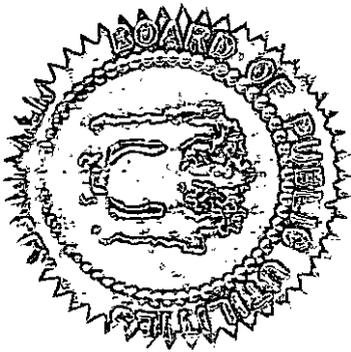
HEREBY REGISTERS

Resource Energy Solutions, LLC

4 High Ridge Park, Suite 202
Stamford, Connecticut 06905

To conduct business in the State of New Jersey as a

Private Aggregator





Aida Camacho-Welch
Secretary of the Board

Registration No.	PA-0222
Effective Date:	October 29, 2018
Expiration Date:	October 28, 2019



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

DIVISION OF PUBLIC UTILITIES & CARRIERS
89 Jefferson Boulevard
Warwick, Rhode Island 02888
(401) 941-4500

October 19, 2018

Richard Plutzer
Chief Financial Officer
Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905

Re: Resource Energy Solutions LLC's Application for Registration as a Non Regulated Power Producer pursuant to R.I.G.L. 39-1-27.1(c). Division Docket D-96-6 (H9)

Dear Mr. Plutzer:

The Division of Public Utilities has reviewed Resource Energy Solutions, LLC's application for registration as a nonregulated power producer, pursuant to R.I.G.L. 39-1-27.1(c) and the Division's Rules Applicable to Nonregulated Power Producers. The application is complete as to the statutory requirements of 39-1-27.1(c), itemized in statute at (i) through (ix) and the Division's rules.

The Division accepts the registration application of Resources Energy Solutions LLC as a nonregulated power producer. In conformance with the law, please note that the registration must be updated within ten days of any change to the information included in your application. In addition, a \$100 Annual Fee is due by December 31st of each year in order to renew your registration for the following calendar year.

Very truly yours,

John Bell
Assistant Chief Accountant

cc: Luly Massaro, Commission Clerk

ORDER NO. **18 342**

ENTERED SEP 18 2018

**BEFORE THE PUBLIC UTILITY COMMISSION
OF OREGON**

EA 33

In the Matter of

Resource Energy Solutions, LLC

Application for authority to register as an
Electricity Service Aggregator in Oregon.

DISPOSITION: APPLICATION GRANTED

NOTE: By issuing this order, the Commission makes no endorsement or certification regarding Applicant's rates or service.

INTRODUCTION

On August 21, 2018, Resource Energy Solutions, LLC (Applicant) filed this application with the Commission pursuant to OAR 860-038-0380. Applicant seeks authority to register as an electricity service aggregator (EA or aggregator) in Oregon.

On August 27, 2018, the Commission served notice of the application by electronic mail to the Commission's Electric and EA application electronic mail lists. The Commission did not receive any protests.

Based on the application and the Commission's records, the Commission makes the following:

FINDINGS OF FACT

The Applicant, Resource Energy Solutions, LLC is a Delaware for profit Limited Liability Company that is certified to transact business in Oregon. Applicant intends to combine retail electricity consumers in the service territory of an electric company into a buying group for the purchase of electricity and related services. As an aggregator, Applicant will act as an intermediary between retail electricity consumers and an Electricity Service Supplier (ESS). The contract to purchase and sell electricity services,

however, will remain between the retail electricity consumers and the ESS. Applicant is not seeking certification as an ESS under OAR 860-038-0400 and ORS 757.649.

OPINION

Applicable Law

Applications to be registered as an electricity service aggregator are filed pursuant to OAR 860-038-0380. OAR 860-038-0380(1) provides that:

For purposes of ensuring compliance with Commission standards for consumer protection, an aggregator must be registered by the Commission to combine retail electricity consumers in the service territory of an electric company into a buying group for the purchase of electricity and related services.

To be registered as an EA, Applicant has agreed, in its application, to the following requirements of OAR 860-038-0380(5) - (9):

(5) (a) Furnish to consumers a toll-free number or local number that is staffed during normal business hours to enable a consumer to resolve complaints or billing disputes and a statement of the aggregator's terms and conditions that detail the consumer's rights and responsibilities;

(b) Comply with all applicable state and federal laws, rules, and Commission orders applicable to aggregators; and

(c) Adequately respond to Commission information requests applicable to aggregators and related to the provisions of this rule within 10 business days.

(6) An aggregator must take all reasonable steps, including corrective actions, to ensure that persons or agents hired by the aggregator, including but not limited to officers, directors, agents, employees, representatives, successors, and assigns adhere at all times to the terms of all state and federal laws, rules, and Commission orders applicable to aggregators.

(7) Annually, 30 days prior to expiration, a registered aggregator must notify the Commission that it will not be renewing its registration or it must renew its registration by submitting an application for renewal that includes an update of information specified in section (4) of this rule. The aggregator must state that it continues to attest that it will meet the requirements of section (5) of this rule. The authorized representative of the aggregator must state that all information provided is true and correct and sign the renewal application. The renewal is granted for a period of one year from the expiration date of the prior registration.

(8) No aggregator may make material misrepresentations in consumer solicitations, agreements, or in the administration of consumer contracts. Aggregators may not engage in dishonesty, fraud, or deceit that benefits the aggregator or disadvantages consumers.

(9) An aggregator must promptly report to the Commission any circumstances or events that materially alter information provided to the Commission in the registration process.

CONCLUSIONS

Applicant has met the requirements to be registered as an electricity service aggregator. The application should be granted.

ORDER

IT IS ORDERED that:

1. The application of Resource Energy Solutions, LLC, to be registered as an electricity service aggregator, is granted.
2. Applicant may provide authorized services in Oregon as an electricity service aggregator for a period of one year from the date of this order.
3. Applicant shall comply with the conditions set forth in this order.

Made, entered, and effective SEP 18 2018



Jason Eisdorfer
Jason Eisdorfer
Director
Utility Program

A party may request rehearing or reconsideration of this order under ORS 756.561. A request for rehearing or reconsideration must be filed with the Commission within 60 days of the date of service of this order. The request must comply with the requirements in OAR 860-001-0720. A copy of the request must also be served on each party to the proceedings as provided in OAR 860-001-0180(2). A party may appeal this order by filing a petition for review with the Court of Appeals in compliance with ORS 183.480 through 183.484.

Aimee Harrison

From: Richard Plutzer <rplutzer@resglobal.com>
Sent: Tuesday, September 25, 2018 5:40 PM
To: Aimee Harrison
Subject: FW: Docket Number EA 33--Notice of Filing--Oregon PUC

fyi

From: MENZA Candice <candice.menza@state.or.us>
Sent: Friday, September 21, 2018 12:21 PM
To: Richard Plutzer <rplutzer@resglobal.com>
Cc: ROGERS Amber <amber.rogers@state.or.us>; BATCHELOR Bradford <bradford.batchelor@state.or.us>; COOKINGHAM Elaine <elaine.cookingham@state.or.us>; KRYS-YORK Heather <heather.krys-york@state.or.us>; JONES Jason W <Jason.W.JONES@state.or.us>; YOUNG Kandi <kandi.young@state.or.us>; BROWN Mark <mark.brown@state.or.us>; WARNER Melanie <melanie.warner@state.or.us>; ROSSOW Paul <paul.rossow@state.or.us>
Subject: Docket Number EA 33--Notice of Filing--Oregon PUC

Description: Order No. 18-342, signed by Jason Eisdorfer, Utility Program Director; DISPOSITION: APPLICATION GRANTED. Copies served on 9/21/18.

Docket Name: RESOURCE ENERGY SOLUTIONS, LLC
Utility Company: RESOURCE ENERGY SOLUTIONS, LLC -- ELEC, ESA
Type of Activity: ORDER, signed on 9/18/2018.
To view this document, please click on the below link:

<http://apps.puc.state.or.us/edockets/orders.asp?ordernumber=18-342>

You are receiving this email notice as part of the Commission's electronic filing (eFiling) project. All parties on the Commission's service list will receive email notices of all documents filed in this docket. The Commission will also provide electronic service of all related rulings, notices, and orders via email. If you are unable to view documents electronically and therefore need to receive hard copies, please send a statement of need to:

Public Utility Commission
Administrative Hearings Division
PO Box 1088
Salem, OR 97308-1088

For more information about eFiling, please visit the eFiling page on the eDockets website at <http://www.puc.state.or.us>.

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PUBLIC UTILITY COMMISSION OF OREGON
ATTN: AGGREGATOR REGISTRATION
P.O. BOX 2153
SALEM, OR 97308-2153
(503) 378-6634

INITIAL APPLICATION FOR REGISTRATION AS AN ELECTRICITY SERVICE AGGREGATOR IN OREGON

NOTE: The content and processing of this form is based on Oregon Administrative Rule 860-038-0380. All information that is submitted with this form is considered public record, except as provided for in Oregon Administrative Rule 860-001-0080.

INSTRUCTIONS: The original application and one copy is to be completed and returned to the Public Utility Commission of Oregon along with a \$50.00 check or money order made payable to the "Public Utility Commission of Oregon." COMPLETE ALL APPLICABLE PARTS. ELECTRONIC SUBMISSION IS NOT ACCEPTED.

1.A. Exact Legal Name of Applicant

RESOURCE ENERGY SOLUTIONS, LLC

1.B. Applicant's Assumed Business Name(s) – e.g., d.b.a., a.k.a. (if applicable) Must be registered with the OR Corporation Division

1.C. If the Applicant is a corporation, enter the name of the jurisdiction of incorporation below. Applicant must provide as an attachment, a validated copy of the State of Oregon, Corporation Division's "Application for Authority to Transact Business—Business/Professional." Include in the attachment a copy of the "Certificate of Existence." (if applicable)

1.D. Business Address

4 HIGH RIDGE PARK
SUITE 202
STAMFORD, CT 06905

1.E. Phone Number

203-738-5901 Ext.

1.F. Fax Number

203-325-0425

2.A. Name and Address of Person to be Contacted for Regulatory Information

RICHARD PLUTZER
4 HIGH RIDGE PARK
SUITE 202
STAMFORD, CT 06905

2.B. Phone Number

203-883-9310 Ext.

2.C. Fax Number

203-325-0425

2.E. Email Address (if available)

rplutzer@resglobal.com

2.D. Toll-free or Local Number Provided for Customers

855-300-4113

3.A. Name and Address of Person to be Contacted for Further Information Regarding This Application
 RICHARD PLUTZER
 4 HIGH RIDGE PARK
 SUITE 202
 STAMFORD, CT 06905

3.B. Phone Number
 203-883-9310 Ext.

3.C. Fax Number
 203-325-0425

3.D. Email Address (if available)
 rplutzer@resglobal.com

4. To be registered as an aggregator, every applicant must attest to the following statements. To confirm the Applicant's agreement to meet this requirement, place a check in the box preceding each statement.

- 4.A. The Applicant will furnish to consumers a toll-free number or local number that is staffed during normal business hours to enable a consumer to resolve complaints or billing disputes and a statement of the aggregator's terms and conditions that detail the customer's rights and responsibilities;
- 4.B. The Applicant will comply with all state and federal laws, rules, and Commission orders applicable to aggregators.
- 4.C. The Applicant will adequately respond to Commission information requests applicable to aggregators and related to the provisions of OAR 860-038-0380, within 10 business days.

5. The Applicant must comply with the following requirements. To confirm the Applicant's ability to comply, place a check in the box preceding each statement.

- 5.A. The Applicant must take all reasonable steps, including corrective actions, to ensure that persons or agents hired by the aggregator, including but not limited to officers, directors, agents, employees, representatives, successors, and assigns adhere at all times to the terms of all state and federal laws, rules, and Commission orders applicable to aggregators.
- 5.B. Annually, 30 days prior to expiration, the Applicant must notify the Commission that it will not be renewing its registration or it must renew its registration by submitting an aggregator registration renewal form.
- 5.C. The Applicant must not make material misrepresentations in consumer solicitations, agreements, or in the administration of consumer contracts. The Applicant must not engage in dishonesty, fraud, or deceit that benefits the aggregator or disadvantages consumers.
- 5.D. The Applicant must promptly report to the Commission any circumstances or events that materially alter information provided to the Commission in the registration process.

By signature below, I declare that all information on this application is true and correct.

Signature of Person Authorized to Represent Applicant 		Title CEO	
Printed Signature Richard Plutzer		Date 8/2/18	
Address 4 High Ridge Park			
City Stamford CT 06905	State CT	Zip Code 06905	

CERTIFICATE OF SERVICE

On this the 8th day of Feb 2019, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

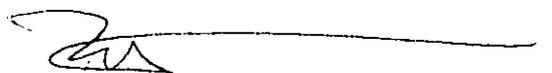
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179
UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837


Richard Plutzer, Secretary & Treasurer

RECEIVED

FEB 14 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

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RECEIVED

FEB 14 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

West Penn:

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Duquesne Light:

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Met-Ed, Penelec, and Penn Power:

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

Citizens' Electric Company:

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Pike County Light & Power Company:

Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

PECO:

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

PPL:

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Attn: Kimberly A. Klock
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Wellsboro Electric Company:

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901



Richard Plutzer, Secretary and Treasurer

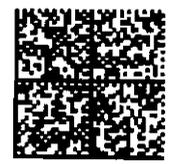
CERTIFIED MAIL



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Resource Energy Solutions, LLC
Legal Department
4 High Ridge Park, Suite 202
Stamford, CT 06905

CPU



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Pennsylvania Public Utility Commission
- Secretary
400 North Street, Keystone Building
Harrisburg, PA 17120