

Karen Tomaine

Attorney at Law

March 21, 2019

PA Public Utility Commission,
Rosemary Chiavetta,
Secretary
400 North Street
Keystone Building
Harrisburg, PA 17120

RECEIVED

MAR 21 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Re: Application of Albireo Energy, LLC

Dear Secretary Chieavetta:

Enclosed please find the application of Albireo Energy to serve as a broker and aggregator of electric, along with the requisite money order, affidavits, CD Rom, sealed financial documents marked "Confidential" and other required documentation.

Questions can be directed to the undersigned via email at karen.tomaine@gmail.com or at the number listed above, or to rmiklosvary@albireoenergy.com who can be reached at (917) 420-0903.

I would like to note how helpful Mr. McCracken was throughout this process. As a former government employee, I know that people are quick to complain and slow to compliment, so I wanted to bring that to your attention.

Thank you for your consideration.

Respectfully,


Karen Tomaine, Esq.

330 Montage Mountain Road
Moosic, Pennsylvania 18507
(570) 510-6834

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Albireo energy, LLC, for approval to offer, render, furnish, or supply electricity or electric generation services as a broker/marketer to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Albireo Energy, LLC
3 Ethel Road
Edison, NJ 08817
(732) 512-9100
www.albireoenergy.com

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Albireo Energy, LLC
ATTN: Scott Stiner, General Manager
625 Main Street
Avoca, PA 18641
Ph: (570) 414-0880
Fx: (570) 414-0884

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Albireo Energy, LLC
Rudi Miklosvary - VP Compliance
625 Main St.
Avoca, PA 18641
rmiklosvary@albireoenergy.com
Ph: (570) 414-0880
Fx: (570) 414-0884
Cell: (917) 420-0903

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Karen Tomaine
330 Montage Mountain Road
Moosic, PA 18641
karen.tomaine@gmail.com
Ph: (570) 510-6834
Fx: (570) 291-5977

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MAR 21 2019

PA PUBLIC UTILITY COMMISSION

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Sara Zelinski - Sales Support Manager
625 Main Street
Avoca, Pa 18641
szelinski@alebireoenergy.com
Toll Free: 877-237-9936,
Ph: (570) 414-0880
Fx: (570) 414-0884

Debbie Crifasi - Client Service Administrator
625 Main Street
Avoca, Pa 18641
dcrifasi@albireoenergy.com
Toll Free: 877-237-9936,
Ph: (570) 414-0880
Fx: (570) 414-0884

2. **BUSINESS ENTITY FILINGS AND REGISTRATION**

- a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

or

The Applicant will not be using a fictitious name.

- b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)

foreign limited liability partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- X foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above. **Attached as 2(b).**
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation. **Michigan**
- Give name and address of officers.

Jason Richards, President and COO, 3 Ethel Road, Edison, NJ 08817

Phil Rogers, CEO, 3 Ethel Road, Edison, NJ 08817

James Denning, CFO, 3 Ethel Road, Edison, NJ 08817

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Applicant has the following affiliates, none of which are jurisdictional public utilities:

ALBIREO HOLDINGS, INC.
ENERGY OPTIONS, LLC
ELECTRONIC CONTROL SYSTEMS, LLC
SKY TECHNOLOGIES, INC.
GxP AUTOMATION, LLC
ADVANCED POWER CONTROL, INC.
ENERGY SYSTEMS TECHNOLOGIES, INC

Applicant does not have any affiliates that are currently applying to do business in Pennsylvania.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Applicant does not have any predecessors that have done business.

4. OPERATIONS

- a. **APPLICANT'S PRESENT OPERATIONS:** (select and complete the appropriate statement)

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

- The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- broker/marketer engaged in the business of supplying electricity services
- Other; Identify the nature of service being rendered.

or

- The Applicant is not presently doing business in Pennsylvania.

Applicant is not presently doing business in PA as Albireo Energy; however, Applicant has merged with Taylor Consulting and Contracting, LLC, which is doing business in PA as an electric broker/marketer. Once Albireo is licensed by the Commission as a Broker/Marketer, Taylor will file an application to voluntarily surrender its license.

- b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a (may check multiple):

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
 - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

- c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Applicant will broker and market electricity to commercial, industrial and government entities. Applicant will work with numerous suppliers to obtain competitive bids, either through directly placing with vetted suppliers or through an online bidding platform, EnergyAuctions, Applicant will also offer complimentary access to UtilityModule, an online software platform for tracking, budgeting and managing utilities. An upgraded version of UtilityModule will be available for an additional fee.

- d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- Citizens' Electric
- Duquesne Light
- Met-Ed
- PECO
- Penelec
- Penn Power

- Pike
- PPL
- UGI Utilities
- Wellsboro
- West Penn

X Entire Commonwealth of PA

- e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)**
- Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
- Large Commercial Customers - (Over 25 kW)**
- Industrial Customers**
- Governmental Customers**
- All of above (Except Mixed Meter)
- Other (Describe):

- f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

Applicant will actively market upon grant of its license.

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Applicant has not been a defendant in a criminal or civil proceeding within the last five years.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

No formal actions have been taken within or outside of the Commonwealth.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

6. PROOF OF SERVICE

Applicant will provide a certificate of service which will be attached to this application as marked as "#6".

- a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

EDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows. ***Applicant will provide a certificate of service which will be attached to this application as marked as "#6".***

Pike County Light & Power Company:
Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

West Penn:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

Met-Ed, Penelec, and Penn Power:

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company:

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company:

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

Attached

7. FINANCIAL FITNESS

a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
- For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

Applicant will broker and market electricity to commercial, industrial and government entities. Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers.

CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at sjakab@pa.gov to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond, fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

Provided in an envelope marked "Confidential" are audited copies of financial statements for 2016 & 2017, non-audited statements for 2018, insurance coverage carried by applicant and organizational structure..

c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

N/A

d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Applicant will be paid directly by suppliers. The fee will be clearly set forth in all customers' contracts.

e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

James Denning, CFO
3 Ethel Road
Edison NJ 08817
jdenning@albiroenergy.com
PH (732) 243-4875
FX (732) 512-1500

f. **TAXATION:** Complete the **TAX CERTIFICATION STATEMENT** attached as Appendix I to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

Attached as 7(f).

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. EXPERIENCE, PLAN, STRUCTURE: such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

Applicant has merged with Taylor Consulting and Contracting, LLC, which has been doing business in PA as an electric broker/marketer since 2004. The management and executive team at Taylor are all remaining with the business and will continue to operate under Albireo Energy, LLC. Resumes are provided and marked as #8. Taylor is licensed in PA, MA, NJ, NH, CT, MD, OH, IL, TX, MN, DEL, RI.

Albireo Energy provide building automation and integration solutions and energy services to commercial and institutional buildings nationally. Their solutions and services help building owners and managers improve efficiency and reduce operational costs while improving comfort for tenants. Through its operating divisions, Albireo Energy has a history of providing exceptional solutions to mission critical facilities such as data centers, labs, military installations, and hospitals.

b. PROPOSED MARKETING METHOD (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Applicant has merged with Taylor Consulting and Contracting, LLC, which has been doing business in PA as an electric broker/marketer since 2004. The management and executive team at Taylor are all remaining with the business and will be responsible for oversight of all marketing, sales and training for both employees of Albireo Energy, LLC and any subcontractors. Scott Stiner, founding member of Taylor is remaining with Albireo Energy LLC. Scott has over 20 year's experience operating energy brokerage services in deregulated markets and will continue to lead Albireo Energy's electricity brokerage/marketing activities.

- e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience. **Attached. As 7(e).**

- f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- Not applicable

9. DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license

ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

AGREED

c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be downloaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx. Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

d. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

AGREED

e. ANNUAL FEES: The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

f. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

- f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

AGREED

- g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

- h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.

AGREED

- k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

11. AFFIDAVITS

Must be notarized before filing.

- a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

Attached as Appendix A.

- b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

Attached as Appendix B.

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices K and L)

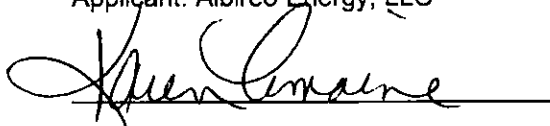
13. SIGNATURE

RECEIVED

MAR 21 2019

PA PUBLIC UTILITIES COMMISSION
SECRETARY'S OFFICE

Applicant: Albireo Energy, LLC



By: Karen Tomaine, Esq.
Title: Counsel for Albireo Energy

APPLICATION AFFIDAVIT

STATE OF DELAWARE

COUNTY OF NEW CASTLE

ss

Jason Richards, Affiant, being duly sworn according to law, deposes and says that:

That he is the President and COO of Albireo Energy, LLC, Applicant herein;

That he is authorized to and does make this affidavit for said Applicant;

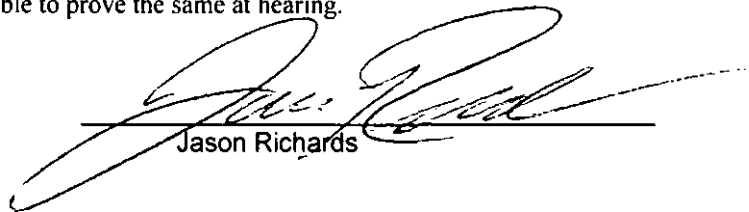
That the Applicant herein Albireo Energy, LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Albireo Energy, LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Albireo Energy, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein, Albireo Energy, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his knowledge, information, and belief, and that he expects said Applicant to be able to prove the same at hearing.


Jason Richards

Sworn and subscribed before me this 14th day of February, 2019.


Signature of official administering oath

My commission expires 12/14/19

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SECRETARY'S BUREAU



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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Appendix B

OPERATIONS AFFIDAVIT

Commonwealth of Pennsylvania :

County of Lackawanna : ss.

Rudi Miklosvary, Affiant, being duly sworn according to law, deposes and says that:

He is the VP – Compliance at Albireo Energy, LLC,

That he is authorized to and does make this affidavit for said Applicant;

That Albireo Energy, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Albireo Energy, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Albireo Energy, LLC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

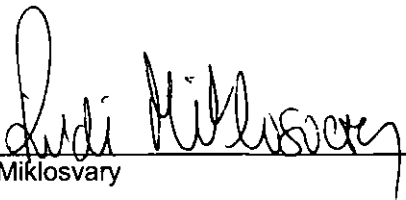
As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That Albireo Energy, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his knowledge, information, and belief.



Rudi Miklosvary

Sworn and subscribed before me this 14 day of March, 2019.



Signature of official administering oath

My commission expires August 7, 2020.

RECORDED


MAR 21 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S OFFICE

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Kip A. Conforti, Notary Public
Clarks Summit Boro, Lackawanna County
My Commission Expires Aug. 7, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

Entity# : 6422025
Date Filed : 06/22/2016
Pedro A. Cortés
Secretary of the Commonwealth

PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

Document will be returned to the name and address entered below.			Foreign Registration Statement DSCB: 15-412 (7/1/2015) 		
Name 660 Woodward Avenue					
2290 First National Building,					
Address					
Detroit	MI	48226			
City	State	Zip Code			

Read all instructions prior to completing. This form may be submitted online at <https://www.corporations.pa.gov/>.

Fee: \$250

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. § 412 (relating to foreign registration statement), the undersigned foreign association hereby states that:

1. The type of association is (check only one):

- | | | |
|---|--|---|
| <input type="checkbox"/> Business Corporation | <input type="checkbox"/> Limited Partnership | <input type="checkbox"/> Business Trust |
| <input type="checkbox"/> Nonprofit Corporation | <input type="checkbox"/> Limited Liability (General) Partnership | <input type="checkbox"/> Professional Association |
| <input checked="" type="checkbox"/> Limited Liability Company | <input type="checkbox"/> Limited Liability Limited Partnership | |

2. The full and proper name of the foreign association as registered in its jurisdiction of formation is:

Albireo Energy, LLC

2A. If the name in 2 does not contain a required designator or if the name in 2 is not available for use in the Commonwealth, the alternate name under which the association is registering in this Commonwealth is:

A resolution of the governors adopting the name in 2A for use in registering to do business in this Commonwealth must be attached.

3. The jurisdiction of formation: MI

4. The street and mailing address of the association's principal office.

3 Ethel Road, Suite 300, Edison NJ 08817

Number and street City State Zip

4A. The street and mailing address of the office, if any, required to be maintained by the law of the association's jurisdiction of formation in that jurisdiction:

601 Abbot Road, East Lansing MI 48823

Number and street City State Zip

PENN File: June 22, 2016

2(b)

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

MAR 21 2019

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COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS
401 NORTH STREET, ROOM 206
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.PA.GOV

Zacny, Marie T.
660 Woodward Avenue 2290 First National Building
Detroit MI 48226

Albireo Energy, LLC

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WE WOULD LIKE TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEBSITE AT www.dos.pa.gov/BusinessCharities OR YOU MAY CONTACT US BY TELEPHONE AT (717)787-1057. INFORMATION REGARDING BUSINESS AND UCC FILINGS CAN BE FOUND ON OUR SEARCHABLE DATABASE AT www.corporations.pa.gov/Search/CorpSearch .

ENTITY NUMBER : 6422025

5. The (a) address of the association's registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

Complete part (a) OR (b) - not both:

(a) _____
 Number and street City State Zip County

OR

(b) c/o: CORPORATION SERVICE COMPANY DAUPHIN
 Name of Commercial Registered Office Provider County

6. Check one of the following:

- The association may not have series.
- The association may have one or more series.

7. Effective date of registration of foreign association (check, and if appropriate complete, one of the following):

- The Foreign Registration Statement shall be effective upon filing in the Department of State.
- The Foreign Registration Statement shall be effective on: _____ at _____
 Date (MM/DD/YYYY) Hour (if any)

8. To be completed by Limited Liability Companies only. Check, and if appropriate complete, one of the following:

- The association is a limited liability company which is not organized to render any of the below professional service(s).
- The association is a restricted professional limited liability company organized to render one or more of the following professional service(s): (If this box is checked, one or more of the fields below must be checked.)

___ Chiropractic ___ Dentistry ___ Law ___ Medicine and surgery
 ___ Optometry ___ Osteopathic medicine and surgery ___ Podiatric medicine ___ Public accounting
 ___ Psychology ___ Veterinary medicine

IN TESTIMONY WHEREOF, the undersigned association has caused this Foreign Registration Statement to be signed by a duly authorized representative thereof this 22nd day of June, 2016

 Albireo Energy, LLC
 Name of Association
 Marie T. Zacny
 Signature
 Authorized Person
 Title

2(b)

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS
401 NORTH STREET, ROOM 206
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.PA.GOV

Zacny, Marie T.
660 Woodward Avenue 2290 First National Building
Detroit MI 48226

Albireo Energy, LLC

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WE WOULD LIKE TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEBSITE AT www.dos.pa.gov/BusinessCharities OR YOU MAY CONTACT US BY TELEPHONE AT (717)787-1057. INFORMATION REGARDING BUSINESS AND UCC FILINGS CAN BE FOUND ON OUR SEARCHABLE DATABASE AT www.corporations.pa.gov/Search/CorpSearch.

ENTITY NUMBER : 6422025

FILED
PA PUB
SI
MISSION
U

2 (b)

Michigan Department of Licensing and Regulatory Affairs

Filing Endorsement

This is to Certify that the ARTICLES OF ORGANIZATION (DOMESTIC L.L.C.)

for

ALBIREO ENERGY, LLC

ID NUMBER: E2214W

received by facsimile transmission on May 14, 2013 is hereby endorsed

Filed on May 15, 2013 by the Administrator.

The document is effective on the date filed, unless a subsequent effective date within 90 days after received date is stated in the document.

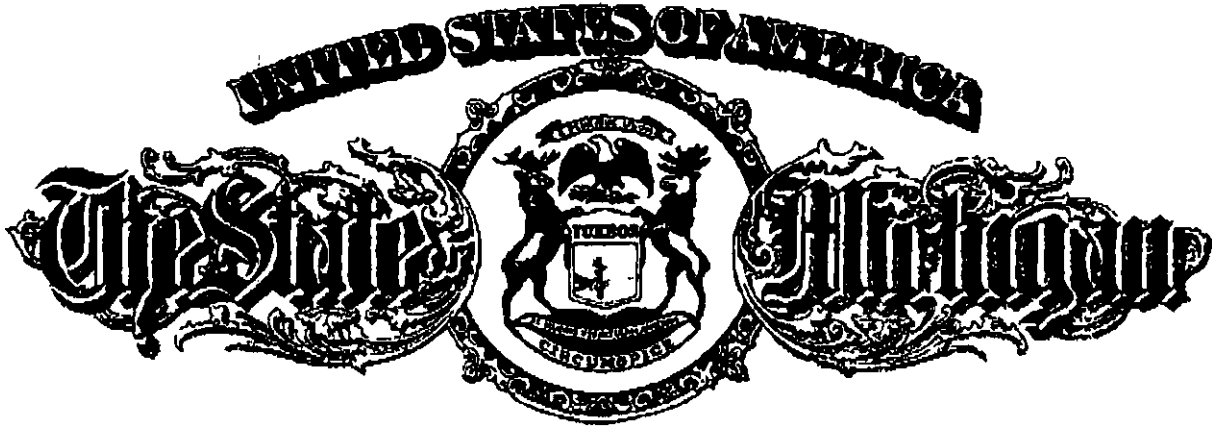
FILED
MAY 15 2013
CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU



In testimony whereof, I have hereunto set my hand and affixed the Seal of the Department, in the City of Lansing, this 15TH day of May, 2013.

A handwritten signature in black ink, appearing to read "A. Schefke".

**Alan J. Schefke, Director
Corporations, Securities & Commercial Licensing Bureau**



Department of Licensing and Regulatory Affairs
Lansing, Michigan

This is to Certify That

ALBIREO ENERGY, LLC

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

was validly organized on May 15, 2013 as a Limited Liability Company. Said Limited Liability Company is validly in existence under the laws of this state and has satisfied its annual filing obligations.

This certificate is issued pursuant to the provisions of 1993 PA 23, as amended, to attest to the fact that the company is in good standing in Michigan as of this date.

This certificate is in due form, made by me as the proper officer, and is entitled to have full faith and credit given it in every court and office within the United States.



Sent by Facsimile Transmission
1331593

In testimony whereof, I have hereunto set my hand,
in the City of Lansing, this 11th day of August, 2015

Alan J. Schefke, Director
Corporations, Securities & Commercial Licensing Bureau

2(2)

Scott Alan Stiner
28 Kipling Drive
Moosic, Pennsylvania 18507
(570)430-9942 home
(570) 414-0880 office

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Education

Mount Saint Mary's College, Emmitsburg, Maryland.
Masters Degree, Business Administration, 1995, GPA: 3.8 of 4.0.

Temple University, Philadelphia, Pennsylvania.
Bachelor of Science in Architecture, 1991, GPA 3.1 of 4.0.

Work Experience

Chief Operating Officer and General Manager, Taylor Consulting and Contracting, LLC.
April 2007 through present. Responsibilities include creation, design and updating of UtilityModule database and customer portals for utility management and EnergyAuctions, reverse auction platform. Run energy auctions, perform energy consulting, brokering and aggregation services, manage large accounts. Recruit personnel, supervise and train sales staff.

Senior Partner, Northeast Energy Partners, LLC, November 1999 through September 2008.
Vice President of Mid-Atlantic region; responsible for sales, administration and operations of region that accounted for 75% of company revenues and profits for purchase of electricity and natural gas. Grew region through strategic alliances, consulting and brokerage agreements, as well as direct hiring. Primary day-to-day activities included direct sales, sales training and administrative oversight for booking contracts.

Regional Manager, VP Energy, April 1998 through November 1999. Responsibilities included sales and administrative oversight for Eastern Pennsylvania natural gas purchases. Grew region from no accounts or marketing presence to second largest division within the organization.

Regional Manager, FPL Energy Services, March 1997 through April 1998. Responsibilities included direct sales, hiring and coordination of all business functions within corporate office. Initiated the natural gas sales functions in Northeastern United States, including marketing releases, sales and administrative coordination with operations departments.

Memberships and Trade Show participation

- National Apartment Association
- Greater Boston Real Estate Board
- New Jersey Apartment Association
- International Restaurant, Hotel and Motel Association

*William Edward Riordan
12 Appaloosa Circle
Hopkinton, MA 01748
(508) 353-9636*

Work Experience:

Taylor Consulting and Contracting, LLC. President, February 2015-present; Vice President, New England, August 2009 - February 2015. Responsibilities include management and supervision of the individual operating regions of Taylor for deregulated sales and Energy Auctions services; new business development; overseeing day to day operations; hiring/managing of sales force and sales support staff.

Supreme Energy LLC, Managing Member, January 1997 - June 29

Responsibilities included the development and implementation of new business plan to enter deregulated energy markets in Massachusetts and throughout New England. Oversaw day-to-day operations of entire business unit including negotiating contracts with third-party suppliers for natural gas and electricity. Participated in the hiring and managing of sales force and support personnel. Instrumental in the marketing and sales of natural gas and electricity for consumer segments including residential housing, small and large commercial properties, institutional buyers, and private and public consumer groups.

Supreme Fuel Company, Sales Manager

June 1993 - June 2009

Responsibilities included the development of a sales strategy that would bring a small south shore family-owned retail home oil delivery company into the commercial/ industrial marketplace. Oversaw the expansion into new geographic markets and expanded into new product lines such as gasoline, diesel fuel and industrial grade #4 and #6 oil. Clients included small and large commercial building owners, residential apartment owners, high-rise condominiums, colleges and universities.

Petroleum Heat and Power, Commercial Fuels Sales Manager, New England, Sept 1985 - June 1993

Handled various functions from direct sales to the commercial marketplace in Boston to overseeing commercial sales throughout New England. Oversaw commercial sales included coordinating efforts between multiple branches in New Hampshire, Massachusetts and Connecticut.

Education:

Northeastern University, Boston, MA June 1993, Master in Business Administration.

Major course of study included finance, marketing and related business courses. Earned a GPA of 3.6 out of 4.0. Elected to Gamma Sigma Phi, fraternity for academic excellence.

Northeastern University, Bachelor of Science in Business with Concentration in Marketing, June 1987.

Membership / Affiliations:

Rental Housing Association - Boston, MA

Condominium Association Inc. - MA

Institute of Real Estate Managers - Boston, MA

Building Owners and Managers Association - Boston, MA

Continuing Education:

Energy Markets Futures and Option Trading

JON F. HARTNETT
16 Rocky Brook Rd
Dover MA, 02030

Tel: 617.429.9924

E-mail jonfhartnett@outlook.com

LinkedIn: <http://www.linkedin.com/pub/jon-hartnett/6/690/322>

Profile

Energy industry professional with deep understanding of nationally deregulated commodities markets for wholesale, retail, and renewable transactions. Experience includes leadership and collaboration training through two transitions and three acquisitions, direct and indirect sales campaigns, proven independent work ethic in creating and fulfilling entrepreneurial growth strategies.

Mercatus, Boston, Massachusetts 2016 – Present

Sales Director North America

- Enterprise Software platform for renewable development and infrastructure technologies
- Focused on growing national pipeline and enterprise accounts for Series B funded start up

EnerNOC, Boston, Massachusetts 2007 – 2015

Awards: Leadership Excellence Award winner 2008, Megawatt award for top sales person 2014, 2015 Presidents Club: 2013 -2015

Enterprise Sales Manager June 2013 – December 2015

- Responsible for national growth and sales related to the development of 30 Enterprise accounts with \$150 million plus in energy spend
- Commercial Real Estate National Team Lead, responsible for strategic direction of product, marketing, and execution across Enterprise team.
- Over three-year period Enterprise team grew from 4 people to 35 sales people.
- Manage account planning structure for on-boarding key accounts

Regional Sales Manager June 2012 – June 2013

- Responsible for national growth and sales objectives related to procurement and advisory sales - managing subsection of Efficiency Smart sales team.
- Recruited and hired national sales team of ten fte's
- Coordinated sales training, quarterly business reviews and company sales metrics

Sr. Business Development Manager September 2007 – June 2012

- Liaison for integration of two supply consulting companies acquired post EnerNOC IPO
- Provided due diligence and target acquisition recommendations to executive team
- Generated over \$18M dollars of total contracted margin in commercial and retail sales
- Managed and developed portfolio deliverables for strategic wholesale purchases including VAR analysis, hedging strategy, structured products, and portfolio risk analysis

- Developed valuation reporting for companies in the negotiating of commodities contracts in termination or transfer of contracts upon purchase or sale of assets or companies
- Managed business development associates and account managers with P&L responsibility providing sales objectives, training, and mentorship

Market Direct Energy, Stamford, Connecticut 2005 - 2007

National accounts director, responsible for opening a Boston office, regional P&L, and achieving overall sustained business growth

- Grew sales 60% contributing sales from \$1.5 million to \$3.7 million
- Expanded supplier and channel partner relationship nationally, actively negotiated contractual terms and conditions
- Structured annual customer hedges, templates and deliverables for over 100 mW portfolio annually
- Direct responsibility and training for two of the company's' ten employees

Constellation NewEnergy, Boston, Massachusetts 2000 - 2005

Director of Indirect Sales for national consultants and energy brokers, managing team development associates, sales administrative staff.

- Produced total sales over 300 mW's and \$10M gross margin
- Managed pricing desk for retail sales team of 8 conducting all NEPOOL transactions
- Provided Due Diligence reports and critical interface during acquisition of New Energy acquisition by CEG from AES

United States Congress, Hon. John Joseph Moakley, Washington, DC

Congressional Internship designed to assist the Congressman in researching bills, managing inbound constituent calls. Provided assistance in obtaining Congressional signatures for proposed legislation and internal communications with fellow Congressman

EDUCATION

Massachusetts Institute of Technology	2008
Greater Boston Executive Program	
Harvard University Extension School	
Post-graduate courses in: Corporate finance, Financial statement analysis, Real estate finance, Business analysis & valuation	2000- 2002
Gettysburg College, Gettysburg Pennsylvania	1999
Bachelor of Arts in Management and Finance	
Lorenzo De'Medici Institute, Florence, Italy	1998
University of Adelaide, Adelaide Australia	1997

Board Memberships, Associations, Interests

Strong Women Strong Girls	2008 - 2012
SquashBusters	2009 - Present
National Council for Avon Old Farms	2007 - 2013
Real Estate Finance Association, Boston	2005 - 2008

University Club of Boston Board of Directors	2001 - 2005
Shapiro Negotiation Training	2014
Jeff Hoffman Sales Training	2013
Sales Impact Training	2004
Decker Management Training	2003
USGA Member and Quimet Scholarship	

RUDOLPH P. MIKLOSVARY

209 Kuyper Drive | Nyack, NY 10960 | T: 917.420.0903 | rudi.miklosvary@gmail.com

ENTERPRISE SOFTWARE EXECUTIVE

Trusted Advisor | Change Agent | Product Development | Superior Leadership Competencies

Highly analytical global business executive with expert proficiency in enterprise software and process efficiency designed to reduce operating expenses and drive incremental revenue. Comprehensive knowledge of energy efficiency standards, energy supply and demand management, DER, compliance and voluntary reporting requirements for global energy & sustainability reporting across Asia Pacific, the Americas and EMEA markets. Experienced at building close client relationships, influencing product development to deliver customer success and leading high-performing teams across global markets. Expert ability to motivate and lead diverse groups; highly adaptable in unfamiliar environments. Transacted business across the Middle East, Europe, Asia, and the United States, is well regarded for strategic thinking and ability to generate consensus when implementing key decisions with stakeholders.

- | | |
|--------------------------------------|--|
| ✓ Energy Efficiency & Sustainability | ✓ Team Collaboration & Leadership Skills |
| ✓ Corporate Planning & Analysis | ✓ Interpersonal Communication |
| ✓ High-Profile Client Presentations | ✓ Creative Thinker & Problem Solver |
| ✓ Technology & Product Development | ✓ Solution Architect |

PROFESSIONAL EXPERIENCE

Taylor Consulting & Contracting, LLC. New York, NY
VP - Operations (2017 - Current)

Leading operations and driving product development to deliver growth and client value by empowering businesses, large and small, to unlock savings in utility spend, data management and meeting compliance obligations.

- Partner with UM Tech to drive product development of the energy suite including Utility Module, Mobile Applications, Reverse Auction Platform (SAP) and CRM
- Established Account Management group to drive customer interaction and success metrics. Day to day management of Customer Service, Supplier Relations, Accounting & Finance divisions
- Lead the development of Solar & Renewable GTM strategy focused on Net Metering and Community Solar
- Implemented full service Bill Pay solution to complement Taylor's AP suite
- Streamlined Benchmarking services and Energy Star integration to serve 250+ clients compliance obligations

EnerNOC Inc. New York, NY
Manager - Solutions Engineering (2014 - 2017)

Lead Enterprise SE team focused on EnerNOC's cloud-based energy intelligence software (EIS) which allows enterprise customers to manage their energy costs and improve productivity by optimizing how they buy energy, how much they use, and when they use it through powerful analytics, decision-making tools, reports, and dashboards.

- Partner with Strategic Accounts Team during the sales process to explain capabilities, determine solutions and prove value to close business with new and existing customers across EnerNOC's Procurement, Demand Response and Software businesses
- Identify and recommend solutions and address technical and implementation challenges across direct and partner channels
- Closed largest Software deal in EnerNOC's history and played key role expanding business into new sectors
- Develop scope of work, proof of concepts, proposals, and RFP content for the sale of EIS solutions
- Lead cross functional teams to develop new applications that solve complex customer problems, expand use cases and validate business case through M&V

Envizi Inc. New York, NY
Vice President - Solutions North America (2009 - 2014)

Solution architect focused on driving enterprise value through measurement and reporting of energy and environmental performance for corporations & governments across the globe. Envizi's cloud-based software enables the reporting and management of energy, carbon, social and environmental indicators whose clients include; Microsoft, NetApp, Autodesk, Georgia Pacific, Qantas, Johnson & Johnson and more.

RUDOLPH P. MIKLOSVARY

209 Kuyper Drive | Nyack, NY 10960 | T: 917.420.0903 | rudi.miklosvary@gmail.com

- Established a market presence in New York to support the global expansion of a software startup based in Sydney. A member of the executive team driving strategy, product development and implementation
- Headed US solutions through direct and reseller channel partners to grow new market penetration across industry verticals (technology, real estate, manufacturing, transportation, hospitality & energy)
- Established strategic partnership channels at federal and state levels to collaborate on software development solutions that drive value to multiple stakeholders
- Building strategic relationships with partners, stakeholders and agencies while delivering innovative solutions to meet client's data management and reporting needs, best demo ability in the business
- Integrated with the technology team for ongoing product development and enhancement to drive client and market value with innovative solutions for automating data capture and scenario modeling

EP&T PTY LTD (AUSTRALIA), Sydney, Australia

National Operations Manager / VP International Business Development (2007-2009)

Head of international business development and resource planning for environmental engineering company specializing in the delivery of energy efficient solutions to F1000 corporations in Asia Pacific and the Middle East. Responsible for coordinating operations across all functional areas, with direct reports from multiple business units.

- Led international business expansion in the Middle East, establishing strategic partners and relationships in emerging markets located in the United Arab Emirates (UAE), Qatar, and Saudi Arabia. Tendered and won \$21M dollar contracts to expand new client base and sales volume while delivering sustainable cost reduction strategies to existing client base
- Customized and implemented SAP as a comprehensive enterprise resource planning (ERP) initiative across all business units to streamline operations and reduce costs. Efforts integrated lagging business units and improved knowledge flow to encourage cost management and establish optimal resource allocation
- Secured in excess of \$20M in government funding to support energy efficient retrofits for commercial and retail buildings. Established strategic relationships in financial services to provide capital for equipment financing of energy efficient upgrades

AUSTRALIAN TRADE COMMISSION (AUSTRADE), Sydney, Australia

Senior Export Advisor & Market Development Specialist - USA (2004-2007)

Provided specialized consulting services to clients within the energy efficiency, infrastructure, and manufacturing industries looking to penetrate the US market. Advised domestic exporters on effective distribution strategies as part of the Australian Government Agency responsible for promoting international trade. Partnered with companies to produce strategic plans designed to build sustainable success abroad.

- Managed \$15M budget to advocate the Australia-United States Free Trade Agreement (AUSFTA) through domestic awareness programs, trade missions and marketing collateral. Drew qualified clients able to support entry to the U.S. and trade advisors together to expand regional offices across the United States and stimulate new trading activity

ASC, New York, NY & Steamboat Springs, CO

Vice President & General Manager (1995-2004)

Founding member of a startup responsible for developing strategic relationships that grew a luxury goods trading firm to distribute and market their products across the United States. Solidified bonds with media agencies, suppliers, and potential partners to consolidate brand position and new sales in multiple segments. Managed the sales team delivering revenue of \$7M+ and oversaw distribution network across Asia, US and UK

EDUCATION & MEMBERSHIPS

AUSTRALIAN GRADUATE SCHOOL OF MANAGMENET (AGSM) - **Executive MBA**, Sydney, Australia

DANIELS SCHOOL OF BUSINESS - **Strategic Planning** (Executive Education), Denver, CO

LA TROBE UNIVERSITY - **Bachelor of Economics**, Melbourne, Australia

Major: International Finance and Environmental Economics

Certified Energy manager (CEM) LEED - AP, EPA Energy Star Partner, ASHRAE, AEEE, BOMA, NAIOP.

High-energy strategy and change leader, extensive financial and commercial experience in and around the energy industry, including working with Exxon Mobil, British Gas, Direct Energy and Hess.

Frequently works with customers, partners, policy makers and industry regulators, with notable recent appearances at the Ohio Public Utility Commission's 'Power Forward' industry discussion, PSE&G's 'Energize Long Island' efforts, IHS Markit's 'CERAWeek', and 'Microgrid Knowledge 2018'.

Overview

- Strategy and Change Management
- Sales and Business Development Leadership
- Program and Project Management
- Billion-Dollar Contract Negotiations
- Mergers and Acquisitions (M&A)
- Procurement including electric and natural gas
- Wholesale Markets, complex retail products
- Energy Services including Demand Response
- Demand-Side Projects including CHP, Storage
- Renewables – on- and off-site including Solar

Experience

TAYLOR CONTRACTING AND CONSULTING LLC – *US top 10 Energy Management firm, 32,000 accounts.* **2018 – Present**

Chief Revenue Officer (2018 – Present) Somerset County, NJ

Executive role leading various functions with the primary focus on helping organizations get the most from their energy spend. Leading partnerships, acquisitions, new products and demand-based offerings and sales enablement as well as the strategic development of national and international sales channels. Passionate about:

- Strategic design, analysis and structuring of energy procurement programs for optimum performance.
- Working directly with customers and partners to execute on renewable strategies – supply, offsite and onsite.
- Creating custom demand, efficiency and supply strategies for larger users and complex needs.
- Working cross-functionally to ensure simple processes, fast delivery and great savings for mass markets.

CENTRICA PLC – *\$36B British multinational utility and energy services group; \$15B+ in North America.*

2009 – 2018

Global 500 Energy & Energy Services, various roles including:

Vice President, Sales and Commercial – Centrica Business Solutions (2016 – Present) Iselin, NJ

Tapped for expertise in leading through complex change and generating strong sales results in B2B space. Built and leads a team of 50+ in the delivery of disruptive distributed energy projects and solutions, as part of an expansive North America business development initiative. Oversees complex B2B solution selling that includes origination, development and channel management. Goal of \$1B revenues by 2021.

- Exceeded 2017 sales targets and closing pipeline goals. Recruited and integrated 30+ professionals.
- Created new commercial desks, modeling capability and innovative development structures for energy projects. Forged new channel partner approach expected to deliver 40% of revenues.

Head of Sales Effectiveness – Direct Energy Business (2014 – 2016) Iselin, NJ

Sought for this role as a result of success with Hess Energy Marketing. Oversaw portfolio of key strategic sales areas for this \$10B division: digital platform, government and bid, sales training, operations and analytics – all critical in achieving integration and growth goals.

- Led development of a B2B digital channel that in two years grew to 40,000 closed deals generating \$1B+ in term contract revenues yearly. Program oversight included partner training and acquisition.
- Centralized complex, nonstandard government and bid business with \$500M annual order intake; met or exceeded targets every year. Stood up Inside Sales function to drive lead qualification.
- Introduced a sales analytical capability with new tools and technologies, and a new sales training program and sales approach that fostered solutions selling and technology-enabled business development.
- Implemented process improvements that positively impacted cost and productivity goals.

Director of Strategy | Strategic Change – Direct Energy Business (2011 – 2014) Pittsburgh, PA

Selected to this role for expertise in strategy and change management. Headed up strategy for this division that serves more than 250,000 business customers (including ~68% of the Fortune 100) that experienced rapid revenue growth from \$4.4B in 2011 to \$13.7B in 2014.

- Orchestrated the formalization and implementation of a divisional strategy that drove organic and inorganic growth, including strategic partnerships and acquisitions, go-to-market and sales methodologies
- Developed a strategic process for change initiatives and M&A screening.
- Rounded out the portfolio by unifying the division with upstream assets and a major acquisition from Hess Corporation (Hess Energy Marketing). Drove deal closing, transition and integration.
- Divested iconic Hess New York City heating business with exceptional financial return.

Finance and Management Intelligence (MI) Leader – British Gas (2009 – 2011) Middlesex, UK

Rapidly promoted in energy services businesses with 9,000+ technicians and \$2.8B in revenues. Finance process reengineering, cash-to-credit offshoring, insights to C-suite and Investor Relations. Directly led teams of 100+ people.

- Transformed critical revenue recognition accounting policy, significantly improved financial performance.
- Overhauled KPI tracking and reporting, linked to business goals with accuracy and timely production.
- Reduced quarterly losses/stock values in field by nearly 10%, equating to millions in annual savings.

GRANT THORNTON UK, LLP**2007 – 2009**

Europe's largest M&A advisor and the world's fifth largest accountancy and advisory network.

Lead Advisory, M&A, Corporate Finance Executive, London, UK

Clinched this dedicated merger, acquisition and advisory role, as a result of prominent industry awards.

ROFFE SWAYNE CHARTERED ACCOUNTANTS**2004 – 2007**

Leading UK-based, boutique accounting and advisory firm, industry top 75.

Chartered Accountant, M&A Advisory, Surrey, UK

Progressed through finance, accounting, due diligence, investment and supervisory roles.

EXXON MOBIL CORPORATION**2002 – 2003**

Multibillion dollar, global energy giant ranked as the world's 10th largest company by revenue.

Financial Analyst, Surrey, UK

Won a seat on this highly competitive national program for one-year structured undergrad employment. Earned the Rolls Royce prize for best Industrial Student Placement at University of Plymouth.

Education and Credentials

The University of Plymouth, UK (Global top 2%, CWUR World University Rankings 2017)

BA (Hons), Business Studies, 2004

Graduated #1 in class, earned numerous distinctions and awards for academic excellence.

The Institute of Chartered Accountants in England and Wales (ICAEW), 2004 – Present
Qualified Chartered Accountant (FCA). Business Finance Professional (BFP) designation
Fellowship of royally chartered ICAEW

Won four notable prizes during three-year post graduate qualification; achieved by less than 1%.

The Association of Chartered Accountants in the United States (ACAUS), 2017 – Present

Professional Distinctions

Worked with the University of Pittsburgh and other prominent US universities, as part of MBA outreach and program support efforts, including case study judging and class presentations.

With the University of Oxford (Saïd Business School) and external consultants, provided research and insight to four Centrica VPs for their exec-level GM Program.

With the University of Oxford (Saïd Business School), undertaking executive education in pursuit of the FinTech certification (completion date: November 2018)

**Phil Rogers
Chief Executive Officer
Albireo Energy, LLC
3 Ethel Road
Edison, New Jersey
(732) 512-9100**

RECEIVED
MAR 21 2019
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

As CEO of Albireo Energy, Phil Rogers has 35 years of experience in facilities management, engineering, energy, construction and real estate. Prior to Albireo, Phil was CEO of Jensen Hughes, the largest global re & safety consulting engineering company, Executive Vice President of Sodexo North America Solution Center, CEO of Linc Facility Services and President of Consolidated Engineering Services.

Phil has hired nearly 10,000 employees during this time. Throughout his career, Phil has been a pioneer in the Facilities Management and Engineering Services industries. He has worked with many of the largest corporations including: IBM, DuPont, AT&T, Delta, Applied Materials, Nortel, and Bank of America in creating value for their companies.

RECEIVED

MAR 21 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Jason Richards
President and Chief Operating Officer
Albireo Energy, LLC
3 Ethel Road
Edison, New Jersey
(732) 243-4888

As President and Chief Operating Officer (COO) with more than 28 years of business operations experience, Jason is responsible for the P&L in both revenue growth and operational improvements across the organization. He provides management to Albireo's division leaders to maximize operational efficiency, and define growth strategies and implementation plans.

Prior to joining Albireo, Jason served as North American Vice President of Energy and Construction Services for Sodexo, a Fortune Global 500 company providing services in 80 countries globally. Prior to joining Sodexo, Jason held various general management roles with PPL Corporation, Siemens and Honeywell.

RECEIVED

MAR 21 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

James Denning
Chief Financial Officer
Albireo Energy, LLC
3 Ethel Road
Edison, New Jersey
(732) 243-4875

As Chief Financial Officer with more than 30 years serving in Senior Financial positions in the Construction and Service related fields, James Denning is responsible for all financial functions, processes, systems and controls of Albireo Energy and all subsidiaries. Prior to joining Albireo Energy, James was the CFO at Worth and Co., a full-service mechanical contractor in the Mid-Atlantic region. He was accountable for the overall financial stability and growth of company and played a key role in strategic decisions.

Prior to serving as CFO at Worth and Co., James served as Chief Financial Officer at Greenstar Services Corporation. James is a certified public accountant, a Columbia Business School Ambassador, and holds an Executive MBA.

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

RECEIVED

MAR 21 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Karen Tomaine
330 Montage Mountain Road
Moosic PA 18507

REFERENCE: 97736 348644
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Jennifer L. Trott, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 01/18/19

TOTAL COST: \$677.00 AD SPACE: 0 Lines

FILED ON: 01/18/19

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Applications of **Albireo Energy, LLC** For Approval To Offer, Render, or Furnish Services as a **Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.**

Albireo Energy, LLC ("Albireo") will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Albireo will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Albireo proposes to broker/market electricity, natural gas, and related services in Citizens Electric, Duquesne Light Company, PPL Electric Utilities, UGI Utilities Inc., Wellsboro Electric, FirstEnergy-Metropolitan Edison Co, Pennsylvania Electric, Penn Power, JCP&L, WPP & Potomac Edison, PECO, Columbia Gas, National Fuel Gas, Peoples Equitable, People's Natural Gas, People's Gas Co, Philadelphia Gas Works, Valley Energy, UGI Utilities-Gas Division, under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Albireo may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Albireo's attorney at the address listed below.

By and through Counsel:
Karen Tomaine, Esq.
330 Montage Mountain Rd.
Moosic, PA 18507
Ph: (570) 510-6834
Fx: (570) 291-5977

EP348044

Sworn to and subscribed before me this 18th day of January 2019

Affiant: Jennifer L Trott

NOTARY: Barbara J Moore

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Barbara J. Moore, Notary Public
City of Erie, Erie County
My Commission Expires March 23, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

MAR 21 2019

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT

SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Robert O. Rolley, Jr., Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

January 18, 2019

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of Albireo Energy, LLC For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

tric Utilities, UGI Utilities Inc., Wellsboro Electric, FirstEnergy-Metropolitan Edison Co, Pennsylvania Electric, Penn Power, JCP&L, WPP & Potomac Edison, PECO, Columbia Gas, National Fuel Gas, Peoples Equitable, People's Natural Gas, People's Gas Co, Philadelphia Gas Works, Valley Energy, UGI Utilities-Gas Division, under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Albireo may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Albireo's attorney at the address listed below.

By and through Counsel: Karen Tomaine, Esq. 330 Montage Mountain Rd. Moosic, PA 18507 Ph: (570) 510-6834 Fx: (570) 291-5977

Albireo Energy, LLC ("Albireo") will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Albireo will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Albireo proposes to broker/market electricity, natural gas, and related services in Citizens Electric, Duquesne Light Company, PPL Elec-

[Signature] SUN-GAZETTE LLC

Sworn to and subscribed before me

The 18th day of January 20 19

[Signature] BETH A. MILLER

CO. IMONWEALTH OF PENNSYLVANIA Notary Public

NOTARIAL SEAL BETH A MILLER Notary Public CITY OF WILLIAMSPORT, LYCOMING COUNTY My Commission Expires Apr 18, 2020

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.: For publishing the notice attached Hereto on the above state dates.....\$ 311.12 Probated same.....\$ Total.....\$ 311.12

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Robert O. Rolley, Jr.

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

RUDI MIKLOSVARY
209 KUYTER DR
NYACK NY 10960

RECEIVED

MAR 21 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Account # 632471
Order # 82300063
Ad Price: 314.85

LEGAL NOTICE PENNSYLVANIA

Ann Marie Fortese

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

01/18/2019

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Ann Marie Fortese

Sworn and subscribed to before me
this 18th day of January A.D., 2019

Sharon Venturi

(Notary Public)

Commonwealth of Pennsylvania - Notary Seal
Sharon Venturi, Notary Public
Lackawanna County
My commission expires February 12, 2022
Commission number 1254228
Member, Pennsylvania Association of Notaries

LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Applications of Albireo Energy, LLC For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Albireo Energy, LLC ("Albireo") will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Albireo will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Albireo proposes to broker/market electricity, natural gas, and related services in Citizens Electric, Duquesne Light Company, PPL Electric Utilities, UGI Utilities Inc., Wellsboro Electric, FirstEnergy-Metropolitan Edison Co, Pennsylvania Electric, Penn Power, JCP&L, WPP & Potomac Edison, PECO, Columbia Gas, National Fuel Gas, People's Equitable, People's Natural Gas, People's Gas Co, Philadelphia Gas Works, Valley Energy, UGI Utilities-Gas Division, under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Albireo may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Albireo's attorney at the address listed below.

By and through Counsel:
Karen Tomaine, Esq.
330 Montage Mountain Rd.
Moosic, PA 18507
Phone: (570) 510-6834
Fax: (570) 291-5977

MAR 21 2019

COMMONWEALTH OF PENNSYLVANIA }
County of Cambria } SS

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PENNSYLVANIA PUBLIC UTILITY COMMISSION

NOTICE

Applications of Albireo Energy, LLC For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania. Albireo Energy, LLC ("Albireo") will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Albireo will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Albireo proposes to broker/market electricity, natural gas, and related services in Citizens Electric, Duquesne Light Company, PPL Electric Utilities, UGI Utilities Inc., Wellsboro Electric, FirstEnergy-Metropolitan Edison Co, Pennsylvania Electric, Penn Power, JCP&L, WPP & Potomac Edison, PECO, Columbia Gas, National Fuel Gas, Peoples Equitable, People's Natural Gas, People's Gas Co, Philadelphia Gas Works, Valley Energy, UGI Utilities-Gas Division, under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Albireo may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Albireo's attorney at the address listed below.

published that the a of The Jol interested character

On this 18th day of January A.D. 2019, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Sales Manager / Major Accounts of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863, of Cambria, and Commonwealth of Pennsylvania and matter published in said publication in the regular issues on January 17, 2019; and that the Affiant is not signing and that all of the allegations as to time, place and

Christine Marhefka
STATEMENT OF ADVERTISING COSTS

By and through Counsel:
Karen Tomaine, Esq.
330 Montage Mountain Rd. Moosic, PA 18507
Ph: (570) 510-6834 Fx: (570) 291-5977

Signed and sworn to before me on
18th day of January, 2019,
by Christine Marhefka making the statement.

Vivian Ohs

0.00 Lines @ \$2.50 per line	0.00
7 Inches @ \$25.00 per inch	175.00
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	182.50

Commonwealth of Pennsylvania - Notary Seal
Vivian Ohs, Notary Public
Cambria County
My commission expires December 6, 2020
Commission number 1123017
Member, Pennsylvania Association of Notaries

To The Tribune-Democrat, Johnstown, PA
For publishing the notice or publication
attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

By _____

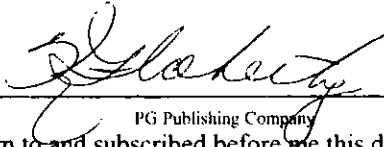
Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular _____ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

21 of January, 2019

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.


PG Publishing Company

Sworn to and subscribed before me this day of:
January 21, 2019



Commonwealth of Pennsylvania - Notary Seal
Elizabeth R. Chmura, Notary Public
Allegheny County
My commission expires February 8, 2022
Commission number 1326781
Member, Pennsylvania Association of Notaries

STATEMENT OF ADVERTISING COSTS

Albireo Energy
3 Ethel Road, Edison
Edison New Jersey 08817

To PG Publishing Company

Total ----- \$542.50

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By _____

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Applications of Albireo Energy, LLC For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth of Pennsylvania. Albireo Energy, LLC ("Albireo") will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Albireo will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Albireo proposes to broker/market electricity, natural gas, and related services in Citizens Electric, Duquesne Light Company, PPL Electric Utilities, UGI Utilities Inc., Weisboro Electric, FirstEnergy-Metropolitan Edison Co, Pennsylvania Electric, Penn Power, JCP&L, WPP & Potomac Edison, PECCO, Columbia Gas, National Fuel Gas, Peoples Equitable, Peoples Natural Gas, Peoples Gas Co, Philadelphia Gas Works, Valley Energy, UGI Utilities Gas Division, under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Albireo may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Albireo's attorney at the address listed below. By and through Counsel: Karen Tomaine, Esq 330 Montage Mountain Rd. Moosic, PA 18507 Ph: (570) 510-6834 Fx: (570) 291-5977

MAR 21 2019
PA PUBLIC UTILITY COMMISSION
SECRETARY'S OFFICE

Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Copy of Notice of Publication

PENNSYLVANIA
PUBLIC UTILITY COMMISSION

Applications of Albireo Energy, LLC For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.

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By and through Counsel:
Karen Tomalino, Esq.
330 Montage Mountain Rd.
Moosic, PA 18607
Ph: (570) 610-6834
Fx: (570) 291-5977

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

January 25, 2019

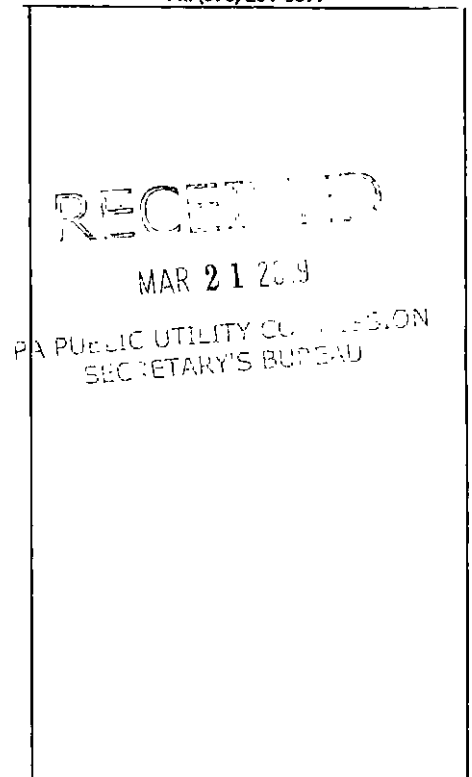
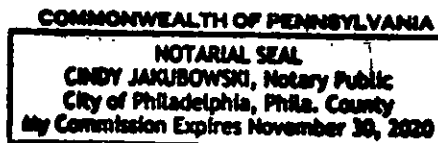
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Helene Sweeney

Sworn to and subscribed before me this 25th day of
January, 2019.

Cindy Jakubowski
Notary Public

My Commission Expires:





AD#: 0008990907

Commonwealth of Pennsylvania,) ss
County of Cumberland)

Victoria Soto being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 01/24/2019

Principal Clerk of the Publisher

Sworn to and subscribed before me this 25th day of January 2019

Notary Public

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Crystal B. Rosensteel, Notary Public
Susquehanna Twp., Dauphin County
My Commission Expires June 27, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

**Applications of Albireo Energy, LLC
For Approval To Offer, Render, or
Furnish Services as a Marketer/Broker
Engaged In The Business Of Supplying
Natural Gas Supply Services and
Electricity Supply or Electric
Generation Services, To The Public In
The Commonwealth Of Pennsylvania.**

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Albireo may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Albireo's attorney at the address listed below.

By and through Counsel:
Karen Tomaine, Esq.
330 Montage Mountain Rd.
Moosic, PA 18507
Ph: (570) 510-6834
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Appendix C

CERTIFICATE OF SERVICE

On this the ^{21st}~~13th~~ day of March 2019, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

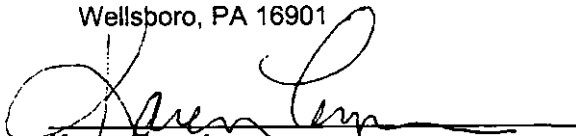
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179
UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901


Karen Tomaine
Attorney for Applicant
Albireo Energy, LLC

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Keystone Bldg
Harrisburg, PA
17120

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