

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of CSD Energy Advisors, LLC, d/b/a CSD Energy Advisors, LLC, for approval to offer, render, furnish, or supply natural gas supply services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

CSD Energy Advisors, LLC
2407 Oaks Forks Drive
Kingwood Texas 77339
WWW.CSDENERGY.COM
(281) 415-0071

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

C T Corporation System
600 North 2nd Street
Suite 401
Harrisburg, Pennsylvania 17101
Phone: 855-316-8944

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Jonathon Sowyrda, Managing Partner
Address: 2407 Oaks Forks Dr., Kingwood, TX 77339
Phone: (281) 415-0071, FAX: (281) 415-1983
Email: JBS@CSDENERGY.COM

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Applicant is not using and attorney

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSs.

Katie King, Analyst
Address: 2407 Oaks Forks Dr., Kingwood, TX 77339
Phone: (832) 260-4906, FAX: (281) 415-1983
Email: KAK@CSDENERGY.COM

Jonathon Sowyrda, Managing Partner
Address: 2407 Oaks Forks Dr., Kingwood TX 77339
Phone: (281) 415-0071 Fax: (281) 415-1983
Email: JBS@CSDENERGY.COM

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State Pursuant to 54 Pa. C.S. §311.

Or

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

Or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

See exhibit A

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

The application does not have any affiliates.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

The application does not have any predecessors.

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of an natural gas distribution company
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

- The Applicant is presently doing business in Pennsylvania as a
- natural gas interstate pipeline
 - municipality providing service outside its municipal limits
 - local gas distribution company
 - retail supplier of natural gas services in the Commonwealth
 - a natural gas producer
 - a broker/marketer engaged in the business of supplying natural gas services
 - Other. (Identify the nature of service being rendered) [Electric broker](#)

or

- The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- Supplier or Aggregator of natural gas services
- Municipal supplier of natural gas services
- Cooperative supplier of natural gas services
- Broker/Marketer engaged in the business of supplying natural gas services
 - Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the natural gas supply services which the Applicant proposes to offer.

Retail natural gas procurement and consultation for industrial and commercial end-users.

d. **PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- | | |
|--|---|
| <input type="checkbox"/> Columbia | <input type="checkbox"/> Peoples Gas Company |
| <input type="checkbox"/> National Fuel Gas | <input type="checkbox"/> Philadelphia Gas Works |
| <input type="checkbox"/> PECO | <input type="checkbox"/> UGI Utilities – Gas Division |
| <input type="checkbox"/> Peoples Natural Gas Company | <input type="checkbox"/> Valley Energy |
| <input type="checkbox"/> Peoples Natural Gas – Equitable Div | <input checked="" type="checkbox"/> All of the above |

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (Less than 6,000 Mcf annually)
- Residential and Small Commercial as Mixed Meter **ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)**
- Large Commercial Customers - (6,000 Mcf or more annually)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

Upon licensure

5. **COMPLIANCE**

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years. *None*

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission. If the Applicant has no actions or complaints to list, explicitly state such.

N/A

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

6. **PROOF OF SERVICE**

*Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)*

Exhibit B

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

- b.) **NGDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

<p>Columbia Gas of PA, Inc. Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: mcaddell@nisource.com</p>	
<p>Peoples Natural Gas Company LLC – Equitable Division Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>National Fuel Gas Distribution Corp. Joanne E. Maciok 6363 Main Street Williamsville, NY 14221 PH: 716.857.7670 FAX: 716.857.7479 e-mail: maciokj@natfuel.com</p>
<p>Peoples Natural Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com</p>
<p>Peoples Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>Philadelphia Gas Works Nicholas LaPergola 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.684.6278 email: pgwchoicesupply@pgworks.com</p>
<p>Valley Energy Inc. Ed Rogers 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: erogers@ctenterprises.org</p>	<p>UGI Utilities, Inc. – Gas Division David Lahoff 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com</p>

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require. The contact information is located in Section 6.b.

N/A no title being taken. Waiver letters to be submitted.

- b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following: [See attachment](#)

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

See Exhibit C

- c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

[N/A-Applicant is a broker.](#)

- d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

[Our organization will be collection our fees from the retail supplier of choice by our customers in Pennsylvania on a monthly basis - as the customer pays their bill to the Supplier, we will in turn be paid by the Supplier.](#)

- e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Jonathon Sowyrda, Managing Partner
2407 Oaks Forks Dr., Kingwood TX 77339
281-415-0071
JBS@CSDENERGY.COM

- f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

See attachment - Exhibit D

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the natural gas industry.
- Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

See attachment Exhibit E

- b. **PROPOSED MARKETING METHOD** (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
- External NGS – Applicant will contract with a **PUC LICENSED NGS**
- Affiliate – Applicant will use a **NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant**
- External Third-Party – Applicant will contract with a **NON-NGS third party nontraditional marketer and/or non-selling marketer**
- Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
 No

If yes, will the Applicant be using verification procedures?

- Yes
 No

If yes, describe the Applicant's verification procedures.

d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

CSD marketing will be to customers via word of mouth and current customer referrals that should ensure all ethical standards are upheld.

e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Jonathon Sowyrda, Managing Partner 2407 Oaks Forks Dr. Kingwood TX 77339

Andrew Barth, Partner 3333 Allen Parkway Unit 904 Houston TX 77019

See exhibit F for resumes

9. DISCLOSURE STATEMENT:

(Not applicable for an applicant applying for a license exclusively as a broker/marketer.)

DISCLOSURE STATEMENTS: If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

- Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable- applicant is a broker

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.

AGREED

- b. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission:

- Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30th following the end of the calendar year per 52 Pa. Code § 62.110.

AGREED

- c. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.

AGREED

- d. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling natural gas in the Commonwealth of PA, and a supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

- e. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.

AGREED

- f. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

- g. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.

AGREED

- h. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

- i. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

11. AFFIDAVITS

(All affidavits must be notarized before filing.)

- a.) **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

See attached Exhibit G

- b.) **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

See attached Exhibit G

12. NEWSPAPER PUBLICATIONS See exhibit H

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.


The chart below dictates which newspapers are necessary for each NGDC. For example, an applicant that wants to operate in Peoples – Equitable would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Columbia Gas	X	X		X		X	X
National Fuel Gas	X			X			
PECO			X				
Peoples - Equitable	X			X			
Peoples Natural Gas	X			X			X
Peoples Gas Company				X			
Philadelphia Gas Works			X				
UGI Utilities – Gas Div.	X	X	X	X	X	X	X
Valley Energy					X	X	
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices F and G)

13. SIGNATURE

Applicant: 
 By: Jonathon Sowyrda
 Title: Managing Partner

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

Applicant: CSD Energy Advisors, LLC

X	Signature	
X	Filing Fee (ONLY CERTIFIED CHECK OR MONEY ORDER)	
X	Application Affidavit	
X	Operations Affidavit	
X	Proof of Publication	
X	Tax Certification Statement	
X	Commonwealth Department of State Verification	
X	Certificate of Service	

Applicant's Use

PUC Secretary's Bureau Use

Exhibit A

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE

07/17/2018

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

CSD Energy Advisors, LLC

I, Robert Torres, Acting Secretary of the Commonwealth of Pennsylvania, do hereby certify that the foregoing and annexed is a true and correct copy of

Creation Filing filed on Jun 1, 2018 - Pages (2)

which appear of record in this department.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written

Robert Torres

Acting Secretary of the Commonwealth

Certification Number: TSC180717131212-1

Verify this certificate online at <http://www.corporations.pa.gov/orders/verify>

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE

07/17/2018

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

CSD Energy Advisors, LLC

is duly registered to do business under the laws of the Commonwealth of Pennsylvania and remains a registered Foreign Limited Liability Company so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT this Certificate of Registration shall not imply that all fees, taxes and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set
my hand and caused the Seal of the Secretary's
Office to be affixed, the day and year above written


Robert Lanes

Acting Secretary of the Commonwealth

Certification Number: TSC180717131213-1

Verify this certificate online at <http://www.corporations.pa.gov/orders/verify>

**PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS**

<input checked="" type="checkbox"/> Return document by mail to: <u>Michelle Dontje on behalf of LicenseLogix, LLC</u> Name <u>140 Grand St., Suite 300</u> Address <u>White Plains, NY 10601</u> City State Zip Code	Foreign Registration Statement DSCB:15-412 (rev. 2/2017)  TML180604MC1836
<input checked="" type="checkbox"/> Return document by email to: <u>documents@incorp.com</u>	

Read all instructions prior to completing. This form may be st

Fee: \$250 I qualify for a veteran/reservist-owned small business fee exemption (see instructions)

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. § 412 (relating to foreign registration statement), the undersigned foreign association hereby states that:

1. The type of association is (check only one):

- | | | |
|---|--|---|
| <input type="checkbox"/> Business Corporation | <input type="checkbox"/> Limited Partnership | <input type="checkbox"/> Business Trust |
| <input type="checkbox"/> Nonprofit Corporation | <input type="checkbox"/> Limited Liability (General) Partnership | <input type="checkbox"/> Professional Association |
| <input checked="" type="checkbox"/> Limited Liability Company | <input type="checkbox"/> Limited Liability Limited Partnership | |

2. The full and proper name of the foreign association as registered in its jurisdiction of formation is:

CSD Energy Advisors, LLC

2A. If the name in 2 does not contain a required designator or if the name in 2 is not available for use in the Commonwealth, the alternate name under which the association is registering in this Commonwealth is:

3. The jurisdiction of formation is: Texas

4. The street and mailing address of the association's principal office.

2407 Oaks Forks Dr Kingwood TX 77339
Number and street City State Zip

4A. The street and mailing address of the office, if any, required to be maintained by the law of the association's jurisdiction of formation in that jurisdiction:

Number and street City State Zip

5. The (a) address of the association's proposed registered office in this Commonwealth or (b) name of its Commercial Registered Office Provider and the county of venue is:

Complete part (a) OR (b) - not both:

(a) _____
Number and street City OR State Zip County

(b) c/o: InCorp Services, Inc. Dauphin
Name of Commercial Registered Office Provider County

6. Check one of the following:

- The association may not have series.
- The association may have one or more series.

7. Effective date of registration of foreign association (check, and if appropriate complete, one of the following):

- The Foreign Registration Statement shall be effective upon filing in the Department of State.
- The Foreign Registration Statement shall be effective on: _____ at _____
Date (MM/DD/YYYY) Hour (if any)

8. To be completed by Limited Liability Companies only. Check, and if appropriate complete, one of the following:

The association is a limited liability company which is not organized to render any of the below professional service(s).

The association is a restricted professional limited liability company organized to render one or more of the following professional service(s): (If this box is checked, one or more of the fields below must be checked.)

- | | | | |
|---------------------------------------|---|---|---|
| <input type="checkbox"/> Chiropractic | <input type="checkbox"/> Dentistry | <input type="checkbox"/> Law | <input type="checkbox"/> Medicine and surgery |
| <input type="checkbox"/> Optometry | <input type="checkbox"/> Osteopathic medicine and surgery | <input type="checkbox"/> Podiatric medicine | <input type="checkbox"/> Public accounting |
| <input type="checkbox"/> Psychology | <input type="checkbox"/> Veterinary medicine | | |

IN TESTIMONY WHEREOF, the undersigned association has caused this Foreign Registration Statement to be signed by a duly authorized representative thereof this 15th day of May, 20 18.

CSD Energy Advisors, LLC
Name of Association

[Signature]
Signature

Managing Partner
Title

Corporations Section
P.O.Box 13697
Austin, Texas 78711-3697



Nandita Berry
Secretary of State

Office of the Secretary of State

February 12, 2014

Capitol Services Inc
P O Box 1831
Austin, TX 78767 USA

RE: CSD Energy Advisors, LLC
File Number: 801931888

It has been our pleasure to file the certificate of formation and issue the enclosed certificate of filing evidencing the existence of the newly created domestic limited liability company (llc).

Unless exempted, the entity formed is subject to state tax laws, including franchise tax laws. Shortly, the Comptroller of Public Accounts will be contacting the entity at its registered office for information that will assist the Comptroller in setting up the franchise tax account for the entity. Information about franchise tax, and contact information for the Comptroller's office, is available on their web site at <http://window.state.tx.us/taxinfo/franchise/index.html>.

The entity formed does not file annual reports with the Secretary of State. Documents will be filed with the Secretary of State if the entity needs to amend one of the provisions in its certificate of formation. It is important for the entity to continuously maintain a registered agent and office in Texas. Failure to maintain an agent or office or file a change to the information in Texas may result in the involuntary termination of the entity.

If we can be of further service at any time, please let us know.

Sincerely,

Corporations Section
Business & Public Filings Division
(512) 463-5555

Enclosure

Phone: (512) 463-5555
Prepared by: Rosa Arrellano

Come visit us on the Internet at <http://www.sos.state.tx.us/>
Fax: (512) 463-5709
TID: 10285

Dial: 7-1-1 for Relay Services
Document: 528962130002



Office of the Secretary of State

**CERTIFICATE OF FILING
OF**

**CSD Energy Advisors, LLC
File Number: 801931888**

The undersigned, as Secretary of State of Texas, hereby certifies that a Certificate of Formation for the above named Domestic Limited Liability Company (LLC) has been received in this office and has been found to conform to the applicable provisions of law.

ACCORDINGLY, the undersigned, as Secretary of State, and by virtue of the authority vested in the secretary by law, hereby issues this certificate evidencing filing effective on the date shown below.

The issuance of this certificate does not authorize the use of a name in this state in violation of the rights of another under the federal Trademark Act of 1946, the Texas trademark law, the Assumed Business or Professional Name Act, or the common law.

Dated: 02/11/2014

Effective: 02/11/2014



NANDITA BERRY

Nandita Berry
Secretary of State

FILED
In the Office of the
Secretary of State of Texas

FEB 11 2014

Corporations Section

**CERTIFICATE OF FORMATION
OF
CSD ENERGY ADVISORS, LLC**

The undersigned, acting as the sole organizer of a limited liability company under the Texas Business Organization Code (the "code"), does hereby adopt the following Certificate of Formation for CSD ENERGY ADVISORS, LLC (the "company"):

ARTICLE I

The name of the Company is CSD Energy Advisors, LLC.

ARTICLE II

The purpose for which the Company is formed is for the transaction of any or all lawful business for which limited liability companies may be organized under the Code.

ARTICLE III

The name of the initial registered agent of the Company in the State of Texas is Robert W. James, and the address of the registered office of such initial registered agent is 406 Kari Court, Houston, Texas 77024.

ARTICLE IV

The Company is to be managed by one or more managers. The initial number of managers shall be one (1). The number of managers constituting managers of the Company shall be set forth in the operating agreement of the Company. The name and address of the person who is to serve as the initial manager until the first meeting of the members, or until his successor is elected and qualified, is as follows:

NAME

ADDRESS

Robert W. James

406 Kari Court
Houston, TX 77024

ARTICLE V

No member shall have a preemptive right to acquire any membership interests or securities of any class that may at any time be issued, sold, or offered for sale by the Company.

ARTICLE VI

The right of members to cumulative voting in the election of managers is expressly prohibited.

ARTICLE VII

The company shall indemnify members, managers and officers of the Company for whom indemnification is permitted by the Code or to the fullest extent permitted by law. The Company may indemnify employees, agents or other persons for whom indemnification is permitted by the Code to the fullest extent permitted by law.

ARTICLE VIII

To the fullest extent permitted by law, managers or officers and former managers or officers of the Company shall not be liable to the Company or its members for monetary damages for an act or omission in the manager's or officer's capacity as a manager or officer, respectively. No amendment of this Article VIII shall adversely affect any right or protection of a manager or officer that exists at the time of such amendment, modification or repeal.

ARTICLE IX

Any action which may be taken at any meeting of members, or which is required by law or by the Certificate of Formation or regulations of the Company to be taken at any meeting of members, may be taken without a meeting, without prior notice and without a vote, if a consent or consents in writing, setting forth the action so taken, shall be signed by the holder or holders of membership interests having not less than the minimum number of votes that would be necessary to take such action at a meeting at which the holders of all membership interests entitled to vote on the action were present and voted. Prompt notice of the taking of any action by the members without a meeting by less than unanimous written consent shall be given to those members who did not consent in writing to the action.

ARTICLE X

The name and address of the organizer is as follows:

NAME

ADDRESS

Robert W. James

406 Kari Court
Houston, Texas 77024

The undersigned, the organizer of this Company, has signed this Certificate of Formation on this 10th day of February, 2013.



Robert W. James, Organizer

CSD ENERGY CAPITAL, LLC
406 Kari Court
Houston, Texas 77024

February 11, 2014

To Whom It May Concern:

The undersigned, the sole manager of CSD Energy Capital, LLC, hereby agrees and consents to the use of the name, CSD Energy Advisors, LLC, for an entity to be formed by the same sole manager.

If there are any questions, please feel free to contact me.

Sincerely,



Robert W. James
Managing Member
CSD Energy Capital, LLC
(713) 203-1641 (c)

Officer List

Jonathon (JB) Sowyrda –Managing Partner- 2407 Oaks Forks Dr. Kingwood TX 77339

Andrew Barth – Partner – 3333 Allen Parkway Unit 904 Houston TX 77019

Exhibit B

Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

CERTIFICATE OF SERVICE

On this the 20 day of March 2019, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

<p>Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120</p>	<p>Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120</p>
<p>Office of the Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101</p>	<p>Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946</p>
<p>Columbia Gas of PA, Inc. Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: mcaddell@nisource.com</p>	<p>Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120</p>
<p>Peoples Natural Gas Company LLC – Equitable Division Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>National Fuel Gas Distribution Corp. Joanne E. Maciok 6363 Main Street Williamsville, NY 14221 PH: 716.857.7670 FAX: 716.857.7479 e-mail: maciokj@natfuel.com</p>
<p>Peoples Natural Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com</p>
<p>Peoples Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>Philadelphia Gas Works Nicholas LaPergola 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.684.6278 email: pgwchoicesupply@pgworks.com</p>

Valley Energy Inc.

Ed Rogers
523 South Keystone Avenue
Sayre, PA 18840-0340
PH: 570.888-9664
FAX: 570.888.6199
email: erogers@ctenterprises.org

UGI Utilities, Inc. – Gas Division

David Lahoff
2525 N. 12th Street, Suite 360
Reading, PA 19612-2677
PH: 610.796.3520
Email: dlahoff@ugi.com



Jonathon Sowydra, Managing Partner,
CSD Energy Advisors, LLC

Exhibit C

Exhibit D

Confidential

Submitted Directly to PA PUC

Exhibit E

Andrew S. Barth

3333 Allen Parkway, #904
Houston, TX 77019
(832) 754-4883
ASB@CSDenergy.com

Experience: **Managing Partner**

CSD Energy Advisors, LLC

Houston, TX

October 2018 – Present

- Co-Founder of CSD Energy Advisors as an independent National Consulting firm
- Responsible for development of fully integrated energy solutions
- Developed client based procurement strategy for CSD
- Client implementation of risk management and renewable initiatives
- Supplier liaison between CSD Energy Advisors and Retail Energy Suppliers

EVP - Sales

Incite Energy, LLC

Houston, TX

August 2015 – Present

- Transitioned mid-market sales team from national to regional office format
- Identified and engaged downstream sales channels
- Developed and managed channel partner sales initiatives and programs across all US territories
- Implemented diversified understanding of the sales, business development, operations, and training aspects of the business
- Managed supplier relationships as liaison between Incite Energy and Retail Energy Supplier

Vice President, Business Development

World Energy Solutions, Inc.

Dallas, TX

June 2012 – July 2014

- Responsible for the creation and management of the Mid and Mass Market segment nationally
- Developed, hired and trained a salesforce of 10 people as part of national sales team roll out
- Oversaw the management, execution, and reporting across sales, business development and operations
- Identified desirable markets for Mid-Market growth
- Engaged Third Party organizations and negotiate channel partner agreements for Mass Market Sales
- Achieved 111% of 2013 individual sales goals
- Sales team achieved 139% of 2012 goals
- Achieved 107% of 2012 individual sales goal
- 2012 & 2013 President's Club Award Winner

Director of Sales – National Markets

*World Energy Solutions, Inc. (via acquisition of GSE Consulting, LP – November 2011)
Dallas, TX January 2011 – June 2012*

- Responsible for the management of the Dallas and Fort Worth offices, as well as satellite employees
- Managed the day to day operations of twenty two team members across five states
- Developed criteria for sales goals and responsible for employee evaluations
- Interviewed, hired, and trained new employees
- Interfaced with Suppliers on a high level to resolve potential conflicts
- Evaluated and negotiated broker agreements from suppliers
- Maintained role as consultant and surpassed 2011 Sales Goal in June, achieved 165% of individual goal
- Implemented a third party small commercial sales program to drive revenue outside of ERCOT
- Facilitated the execution of supply agreements in all deregulated states

Senior Energy Consultant

*GSE Consulting, LP formerly known as Gulf States Energy Inc.
Houston, TX May 2005 – December 2010*

- Developed and maintained local, regional, and national customer accounts
- Identified and evaluated new market opportunities
- Utilized experience monitoring and interpreting natural gas and wholesale power markets
- Recommend, obtain, and manage the execution of electricity and natural gas supply agreements
- Managed and supported over 200 accounts on a day-to-day basis
- Surpassed 2010 sales goal in September
- Received 2010 Outstanding Achievement in Sales Award
- Responsible for ordering office supplies and negotiated copier, phone, and internet contracts for office

Account Executive

*Access Energy, LLC
Houston, TX June 2004 – May 2005*

- Created downstream Opportunities and managed 4 to 5 people at any one time
- Acquired and maintained relationships with REP's to receive pricing and close deals
- Generated Prospects through cold and warm leads
- Responsible for generating invoices

Education **University of Missouri** **Columbia, Missouri**
May 2004

Organizations **The Energy Professionals Association (TEPA)**
President – 2017 - Present
Vice President – 2014 - 2016
Membership Chairman – 2012 – 2014

The Houston Astros Foundation – 2017 - Present

JONATHON BRENT “JB” SOWYRDA

2407 Oaks Forks Dr.
Kingwood, TX 77339
(281) 415-0071
jsowyrda@reliant.com

EDUCATION:

Trinity University, San Antonio, TX

Bachelor of Science in Business Administration, December 2006

Concentration in Finance/Marketing

EXPERIENCE:

MANAGING PARTNER, CSD ENERGY ADVISORS, LLC,

HOUSTON, TX

APRIL 2014 – PRESENT

- Utilize market knowledge and help clients reduce costs and improve their energy management strategy.
- Provide data driven market fundamentals and technical information to clarify and support decision making process
- Review clients existing product and performance against actual consumption and product simulation structures
- Communicate usage information and performance of structures to ensure continued strategy alignment
- Utilize network of top-tier retail electricity and gas providers to negotiate the most favorable terms
- Provide proxy data and analysis on new construction and provide operating budget projections
- Create interactive products that are innovative and designed for purposes based on client’s risk and reward profiles
- Leverage existing and wholesale and retail counterparties to ensure long-term energy needs are met and tailored to our clients
- Review and integrate physical and financial renewables including: wind, solar, battery and geothermal projects
- Model and implement existing client assets in power markets through sanctioned and voluntary programs

EXECUTIVE VICE PRESIDENT OF OPERATIONS, INCITE ENERGY LLC,

HOUSTON, TX

MARCH 2015-OCTOBER 2017

- Implement a team of Operational and Customer Support specialists to effectively serve the needs of Incite’s Energy customers
- Work with Incite Sales personnel on presenting non-commodity opportunities for current and prospective customers, including Demand Response, Energy Efficiency Programs, Solar and Combined Heat and Power projects
- Identify and recommend available Products and Structures specific for customers usage profiles and risk tolerances
- Oversee a team implementing Utility Bill Audits for Regulated and Deregulated Supply, including Retail Suppliers and Utilities complete with rate and tariff analysis
- Negotiate contracts on behalf of customers and Supplier
- Negotiate long-term PPAs with Solar and Distributed Generation customers
- Implement Green Energy Programs aligning Customers desired carbon footprint and Corporate Strategy with products and implementation to meet their future needs

ACCOUNT EXECUTIVE, RELIANT ENERGY, AN NRG ENERGY COMPANY,

HOUSTON, TX

JANUARY 2010 – APRIL 2014

- Deliver effective performance of sales functions for own and team's customer portfolio: develop, manage and deepen broker relationships, understand needs of brokers and customers to align sales strategy to meet these needs, proactively uncover commercial opportunities and high-grade known commercial opportunities, maximize commercial return

- Keep Senior Management informed of activities and progress toward established sales goals & objectives
- Keep Management informed on competitive positions and action, as well provide feedback on firm's industry standing
- Establishes effective reporting and communication with designated sales team to ensure that they are appropriately informed of management expectations, policies, procedures and programs
- Motivates designated sales team personnel, ensuring optimal performance
- Provides leadership to designated sales team through effective objective setting, delegation, and communication based on direction from senior management
- Implemented Reliant's Daily Matrix Pricing for Small and Mid-Market customers resulting in an increase of indirect sales in that area over 300% (2010).

RETAIL STRUCTURING ANALYST, RELIANT ENERGY INCORPORATED, *HOUSTON, TX*
JANUARY 2008 – DECEMBER 2009

- A member of a team of structuring personnel that supports commercial activity for both the Wholesale and Retail business segments
- Assist in developing structuring proposals that may involve multiple commodities
- Perform valuations of power purchases, sales and swaps
- Develop and enhance customized models for deal valuation purposes
- Support various commercial and corporate groups with ad-hoc analysis
- Prepare data for structuring analyses (e.g. query, transform, clean, etc.)
- Responsible for a quarterly accounting log for retail customers maintaining the revenue deferred for each quarter
- Work closely with and support: Wholesale Power Commercial Operations, ERCOT Supply, Retail Marketing, Retail Pricing, Wholesale Power Origination, Risk Control, Corporate Finance, Credit, Wholesale and Retail Strategy, and other support groups

ENERGY PRICING ANALYST, RELIANT ENERGY INCORPORATED, *HOUSTON, TX*
FEBRUARY 2007 – JANUARY 2008

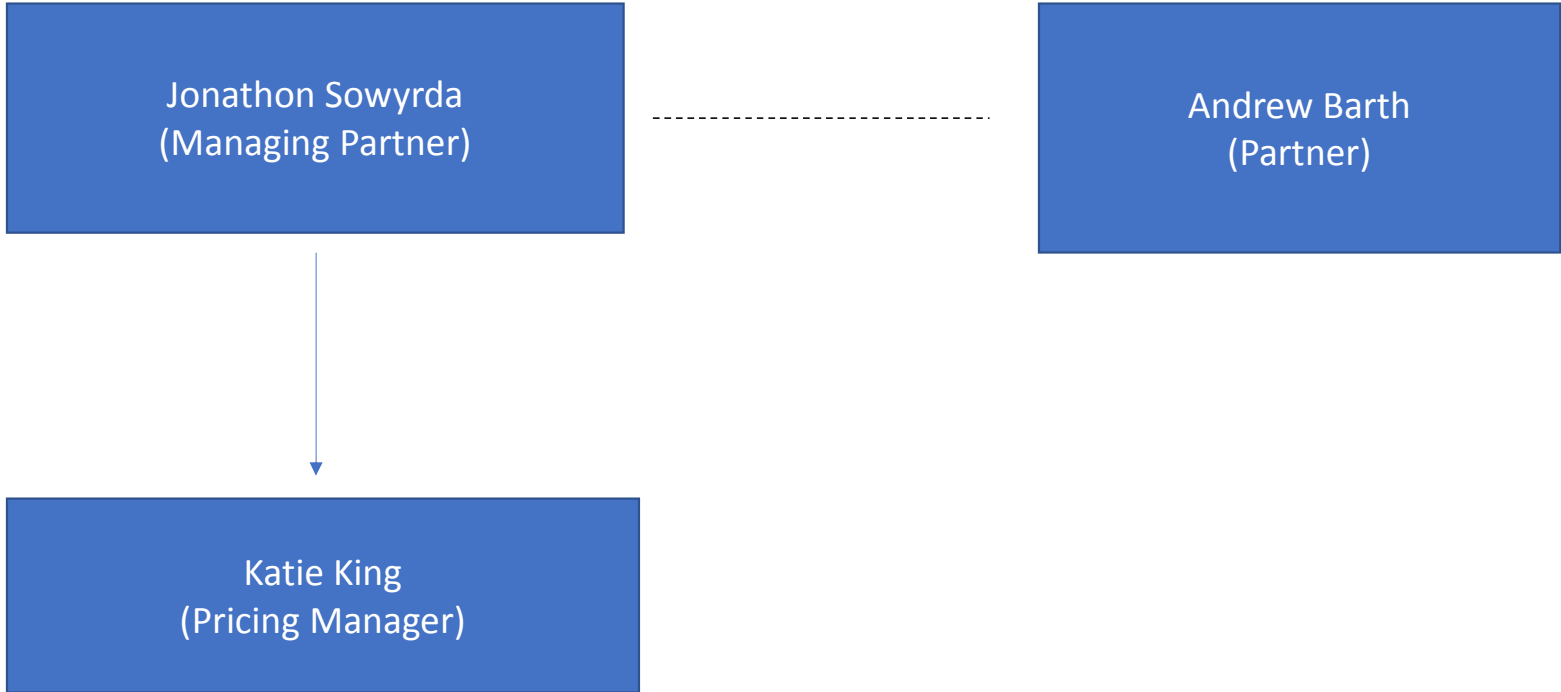
- Responsible for generating accurate and timely cost and price (different risk structures) information to support Reliant Energy's retail sales and marketing personnel
- Manage pricing for residential, mid commercial and industrial customers in ERCOT and PJM regional loads
- Verify all relevant pricing requirements in accordance with Retail Risk Control Policies

PORTFOLIO ANALYST (intern), ANDOVER WEALTH MANAGEMENT, *Boston, MA*
Summer 2006

Jonathon Sowynda
(Managing Partner)

Andrew Barth
(Partner)

Katie King
(Pricing Manager)



CSD energy will continue to pursue business leverage 40+ years combined experience to serve businesses in the landscape of energy deregulation. We will rely on industry referrals.

	<u>State</u>	<u>Status</u>	
	<u>Texas</u>	APPROVED	
Electric	<u>Ohio</u> 18-1298E(1)	APPROVED	x
Electric	<u>Pennsylvania</u> A-2018-2640827	Approved	
Electric Gas	<u>Maryland</u> IR-4053 IR-4054	APPROVED	
Electric Gas	<u>Washington D.C.</u> EA 2018-06 GA 2018-08	APPROVED	x x
Electric Gas	<u>Massachusetts</u> ER-446 RA-209	APPROVED	x
	<u>Deleware</u>	PENDING	
Electric Gas	<u>New Jersey</u> <u>EC-0166</u> <u>EA-0510</u>	Approved	x x x
Electric	<u>New Hampshire</u> <u>DM 18-164</u>	Approved	
Electric	<u>Maine</u> Docket #: 2018-00111	APPROVED for Electric	
Electric and Gas e-Docket #	<u>Illinois</u> <u>18-0927</u>	APPROVED	

License Logix
License Logix

jbs@csdenergy.com



**Public Utilities
Commission**

Asim Z. Haque, Chairman

Commissioners
M. Beth Trombold
Thomas W. Johnson
Lawrence K. Friedeman
Daniel R. Conway

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

Certificate Number:

18-1298E (1)

Issued Pursuant to Case Number(s):

18-0200-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, **CSD Energy Advisors, LLC** whose office or principal place of business is located at **2407 Oaks Forks Drive, Kingwood, TX 77339** to provide **power broker** services within the State of Ohio effective **March 04, 2018**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **March 8, 2018**

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Susan Patterson, Acting Secretary
Beverly Hoskinson, Acting Secretary

Certificate Expires: March 04, 2020

PENNSYLVANIA PUBLIC UTILITY COMMISSION

PUBLIC MEETING

**THURSDAY, OCTOBER 25, 2018 (JFC)
10:00 A.M.**

**MAIN HEARING ROOM NO. 1
SECOND FLOOR COMMONWEALTH KEYSTONE BUILDING**

The Commission will act on various matters at today's meeting, which is open to the public. However, since adequate forums have been made available for public participation in cases before the Commission, there will be no opportunity for the public to address the Commission.

The attached agenda is complete at the time of issuance, but other items may be added and some stricken without further notice.

The listing of an item on the agenda does not necessarily mean that the Commission will take final action on it at this meeting. When the Commission does take final action, its decision---except on routine, uncontested tariff filings---will be put in writing and served on the interested parties and made public at the time it is entered and served.

All public documents---such as orders, opinions, hearing transcripts, comments, initial and recommended decisions, and the like---relating to items on the agenda, may be examined in the Commission's File Room located in Room N-201, Second Floor, this Building.

The Commissioners seated on the bench are:

COMMISSIONER	VICE CHAIRMAN	CHAIRMAN	COMMISSIONER	COMMISSIONER
David W. Sweet	Andrew G. Place	Gladys M. Brown	Norman J. Kennard	John F. Coleman, Jr.

THE TAKING OF PHOTOGRAPHS IS PERMITTED PROVIDED FLASH IS NOT USED.

The Next Public Meeting is Tentatively Scheduled for Thursday, November 8, 2018 at 10:00 A.M.

Minutes of the Meeting of Thursday, September 20, 2018 (NK)

*****ANNOUNCEMENTS*****

THE NEXT PUBLIC MEETING OF THE PENNSYLVANIA PUBLIC UTILITY COMMISSION IS SCHEDULED FOR THURSDAY, NOVEMBER 8, 2018, AT 10:00 A.M. IN HEARING ROOM #1, SECOND FLOOR, COMMONWEALTH KEYSTONE BUILDING, 400 NORTH STREET. AN AGENDA FOR PUBLIC MEETING WILL BE MADE AVAILABLE TO ALL COMMISSIONERS AND INTERESTED PERSONS PRIOR TO THE PUBLIC MEETING.

THE COMMISSION WILL HOLD ITS NEXT EXECUTIVE SESSION ON WEDNESDAY, NOVEMBER 7, 2018, AT 1:00 PM IN THE JR. EXECUTIVE CHAMBERS, THIRD FLOOR, COMMONWEALTH KEYSTONE BUILDING.

THE COMMISSION'S AGENDA WILL BE LIMITED TO:

1. DISCUSSING PERSONNEL MATTERS.
2. CONSULTING WITH ITS LEGAL OR TECHNICAL STAFF REGARDING INFORMATION AND STRATEGY IN CONNECTION WITH CURRENT OR POTENTIAL LITIGATION.
3. DISCUSSING MATTERS RELATED TO THE INITIATION AND CONDUCT OF INVESTIGATIONS, AND QUASI-JUDICIAL DELIBERATIONS.
4. REVIEWING LEGALLY PRIVILEGED OR CONFIDENTIAL MATTERS.
5. DISCUSSING COLLECTIVE BARGAINING ISSUES.
6. CONSIDERING THE PURCHASE OR LEASE OF REAL ESTATE.

AN AGENDA FOR THE EXECUTIVE SESSION WILL BE DISTRIBUTED TO ALL COMMISSIONERS IN ADVANCE OF THIS SESSION. THIS NOTICE IS PROVIDED IN ACCORDANCE WITH ACT 84 OF 1986, KNOWN AS THE SUNSHINE ACT.

**MOTIONS AND STATEMENTS OF THE CHAIRMAN
AND COMMISSIONERS WILL BE AVAILABLE
ON THE COMMISSION'S WEBSITE AT
WWW.PUC.PA.GOV AFTER PUBLIC MEETING.**

FOR YOUR SAFETY, PLEASE TAKE NOTE OF THE EXITS, DOWN THE STAIRS ON EITHER SIDE OF THE HALLWAY OUTSIDE OF HEARING ROOM 1. IN A TRUE EMERGENCY, A PUC EMPLOYEE IN AN ORANGE VEST WILL BE THERE TO HELP THOSE WHO NEED ASSISTANCE. ONCE YOU MOVE DOWN THE STAIRS, PLEASE EXIT ONTO NORTH STREET. ALSO, FOR YOUR CONVENIENCE, RESTROOMS ARE LOCATED ON THE ATRIUM LEVEL NEAR THE CAFETERIA.

PENNSYLVANIA PUBLIC UTILITY COMMISSION

FINAL AGENDA

October 25, 2018

BUREAU OF AUDITS

<u>AGENDA NO.</u>	<u>DOCKET NO.</u>	<u>NAME AND SUBJECT</u>
3004556-AUD	D-2018-3004556	ANNUAL REPORT FOR FISCAL YEAR 2017-2018 – MANAGEMENT AUDITS/MANAGEMENT EFFICIENCY INVESTIGATIONS
Verbal Statement by Chairman Brown. Vote 5-0.		- Annual Report addressing the findings of all Management Audits and Management Efficiency Investigations, 66 PA C.S. 516 audits for fiscal year 2017-2018. RECOMMENDATION: That the Commission release the Annual Report to the public.

OFFICE OF COMPETITIVE MARKET OVERSIGHT

<u>AGENDA NO.</u>	<u>DOCKET NO.</u>	<u>NAME AND SUBJECT</u>
2381742-OCM	I-2013-2381742	INVESTIGATION OF PENNSYLVANIA'S RETAIL NATURAL GAS SUPPLY MARKET
Vote 5-0.		<p>- Recommendation to continue the five-day waiting period when a customer chooses a natural gas supplier (NGS) or returns to natural gas distribution company (NGDC) service and, therefore, to maintain the waiver of the Commission's regulations at 52 Pa. Code §§ 59.93(2) (relating to customer contacts with NGSs) and 59.94 (relating to time frames for switching), to the extent necessary. Specifically, OCMO recommends that the waivers of this Commission's regulations at 52 Pa. Code §§ 59.93(2) and 59.94 be extended for an additional three years to allow for the potential revision of these regulations.</p> <p>RECOMMENDATION:</p> <p>That the Commission adopt the proposed Tentative Order.</p>

OFFICE OF SPECIAL ASSISTANTS

AGENDA NO. **DOCKET NO.**
2520981-OSA **F-2015-2520981**

Motion by Commissioner Sweet.
Vote 5-0.

NAME AND SUBJECT

RICHARD ENSTROM VS PENNSYLVANIA ELECTRIC COMPANY

- Formal Complaint filed on 12/23/15, alleging, inter alia, that there were incorrect charges on his August 2015 and October 2015 Bills. On 1/22/16, Penelec filed an Answer and New Matter. A hearing was held on 4/28/16. In an Initial Decision issued 12/28/16, ALJ Watson sustained the Complaint, in part, and dismissed it, in part, and imposed a civil penalty on Penelec. Penelec filed Exceptions on 11/17/16. The Complainant filed Replies to Exceptions on 11/28/16.

RECOMMENDATION:

That the Commission adopt the proposed Opinion and Order that grants the Exceptions, in part, and denies them, in part, and directs a limited remand to the OALJ for such further proceedings as may be necessary.

2581006-OSA **C-2016-2581006**

Motion by Vice Chairman Place.
Vote 5-0.

MAYER KRAIN VS CHOICE ENERGY LLC

- Formal Complaint filed on 12/15/16, alleging that Choice Energy had been calling his home telephone daily for an extended period even after he requested that he be removed from their calling list. For relief, he requested that the telephone calls be stopped. An evidentiary hearing was held on 5/25/17. By Initial Decision issued on 12/26/17, ALJ Guhl sustained the Complaint, and assessed a penalty of \$1,000 on Choice Energy. Exceptions were filed by the Complainant and Choice Energy. Reply Exceptions were filed by the Complainant.

RECOMMENDATION:

That the Commission adopt the proposed Opinion and Order that denies the Complainant's Exceptions; grants, in part, and denies, in part, Choice Energy's Exceptions; and modifies the ALJ's Initial Decision.

2588064-OSA **F-2017-2588064**

Vote 5-0.

ARNE O FINBERG VS AQUA PENNSYLVANIA INC

- Formal Complaint filed on 2/6/17, alleging that Aqua was threatening to or had shut off his service, incorrect charges on his bill, and a reliability, safety, or quality problem with his service. Aqua filed an Answer and New Matter on 3/22/17. A telephonic hearing was conducted on 7/12/17. In an Initial Decision issued 10/2/17, ALJ Cheskis dismissed the Complaint. The Complainant filed Exceptions on 10/23/17. Aqua filed Replies to Exceptions on 11/16/17.

RECOMMENDATION:

That the Commission adopt the proposed Opinion and Order which denies the Exceptions and adopts the Initial Decision.

2637855-OSA P-2017-2637855
P-2017-2637857
et al.
P-2017-2637858
P-2017-2637866

**Motion by Commissioner Sweet.
Vote 5-0.**

METROPOLITAN EDISON COMPANY, PENNSYLVANIA
ELECTRIC COMPANY, PENNSYLVANIA POWER COMPANY,
AND WEST PENN POWER COMPANY

- On 12/11/2017, the companies filed a joint petition for the approval of default service and procurement programs covering the period from 6/1/19 through 5/31/23. On 9/4/18, the Commission entered an Opinion and Order modifying the Recommended Decision, granting in part and denying in part, the Exceptions of the OCA and the Retail Energy Supply Association (RESA). On 9/17/18, the OCA and the Coalition for Affordable Utility Services and Energy Efficiency in Pennsylvania (CAUSE-PA) each filed a Petition for Reconsideration. On 9/19/18, the companies filed a Petition for Reconsideration. On 9/20/18, the Commission entered an Opinion and Order granting the Petitions for Reconsideration of OCA and CAUSE-PA, pending further review of, and consideration on, the merits. RESA filed an Answer to the Petitions on 9/27/18. On 10/4/2018, the Commission granted the Petition for Reconsideration of the Companies pending further review of, and consideration on, the merits.

RECOMMENDATION:

That the Commission adopt the proposed Opinion and Order.

2641683-OSA C-2018-2641683

Vote 5-0.

PUC - INVESTIGATION AND ENFORCEMENT VS CITYCAR
SERVICES OF NJ LLC T/A TOC CHAUFFEURED
TRANSPORTATION

- Complaint filed on 1/8/18, alleging that the Respondent failed to pay its assessment amount for Fiscal Year 2016-2017. I&E requested that the Respondent be ordered to pay \$5,423 (consisting of the outstanding assessment balance of \$4,716 and a civil penalty of \$707) and that the Respondent's Certificates of Public Convenience be revoked if it failed to pay the assessment and civil penalty. The Respondent did not file an Answer to the Complaint. On 4/26/18, I&E filed a Motion for Default Judgment, requesting that the Commission direct the Respondent to pay the outstanding assessment and the civil penalty. No Answer to the Motion was filed.

RECOMMENDATION:

That the Commission adopt the proposed Opinion and Order, which grants the Motion for Default Judgment.

BUREAU OF TECHNICAL UTILITY SERVICES

<u>AGENDA NO.</u>	<u>DOCKET NO.</u>	<u>NAME AND SUBJECT</u>
2590179-TUS (PP from 10-04-2018) Vote 5-0.	A-2017-2590179	NETSPEED LLC - Application filed on 2/16/17, for approval to offer, render, furnish or supply telecommunications services to the public as a Competitive Access Provider throughout PA. No protests were filed and no hearings were held. RECOMMENDATION: That the Commission adopt the proposed Order.
2640827-TUS Vote 5-0.	M-2018-2640827	ELECTRIC GENERATION SUPPLIER (EGS) LICENSE CANCELLATIONS - The Commission's regulations at 52 Pa. Code §54.40(a) state that an EGS license will not be issued or remain in force until the licensee furnishes a bond or other security approved by the Commission. 52 Pa. Code §54.40(d) states that the maintenance of an EGS license is contingent on the licensee providing proof to the Commission that a bond or other approved security in the amount directed by the Commission has been obtained. As of 10/16/18, the companies listed in the Supplier Table of the Tentative Order are not in compliance with 52 Pa. Code §54.04(a) and (d). RECOMMENDATION: That the Commission adopt the proposed Tentative Order.
2643835-TUS Vote 5-0.	A-2018-2643835	AQUA PENNSYLVANIA INC - Application filed on 1/22/18, for approval to begin to offer, render, furnish and supply water service to the public in an additional portion of Rice Township, Luzerne County, PA. No protests were filed, and no hearings were held. RECOMMENDATION: That the Commission adopt the proposed Order.
2643837-TUS Vote 5-0.	A-2018-2643837	AQUA PENNSYLVANIA WASTEWATER INC - Application filed on 1/22/18, for approval to begin to offer, render, furnish and supply wastewater service to the public in an additional portion of Rice Township, Luzerne County, PA. RECOMMENDATION: That the Commission adopt the proposed Order.

3001503-TUS	R-2018-3001503	<p>VENANGO WATER COMPANY</p> <p>- Supplement No. 23 to Tariff Water - Pa. P.U.C. No. 3 filed on 7/26/18, for approval to propose a total annual increase in operating revenues of approximately \$23,207, or 17.99%, to become effective 11/15/18.</p> <p>RECOMMENDATION:</p> <p>That the Commission adopt the proposed Order.</p>
Vote 5-0.		
3001505-TUS	R-2018-3001505	<p>BLAINE E RHODES SEWER COMPANY</p> <p>- Supplement No. 12 To Sewer - Pa. P.U.C. No. 2 filed on 7/26/18, for approval to propose a total annual increase in operating revenues of approximately \$14,228, or 18.14%, to become effective 11/15/18.</p> <p>RECOMMENDATION:</p> <p>That the Commission adopt the proposed Order.</p>
Vote 5-0.		
3001506-TUS	R-2018-3001506	<p>SUGARCREEK WATER COMPANY</p> <p>- Supplement No. 10 To Water - Pa. P.U.C. No. 3 filed on 7/26/18, for approval to propose a total annual increase in operating revenues of approximately \$7,038, or 20.96%, to become effective 11/15/18.</p> <p>RECOMMENDATION:</p> <p>That the Commission adopt the proposed Order.</p>
Vote 5-0.		
3001507-TUS	R-2018-3001507	<p>WEST HICKORY WATER COMPANY</p> <p>- Supplement No. 8 To Water - Pa. P.U.C. No. 3 filed on 7/26/18, for approval to propose a total annual increase in operating revenues of approximately \$18,393, or 31.18%, to become effective 11/15/18.</p> <p>RECOMMENDATION:</p> <p>That the Commission adopt the proposed Order.</p>
Vote 5-0.		
3003638-TUS	A-2018-3003638	<p>ENERGY LINK I LLC</p> <p>- Application filed on 7/26/18, for approval for a license to offer, render, furnish or supply electricity or electric generation services as a broker/marketer to residential, small commercial, large commercial, industrial, and governmental customers in the electric distribution company service territories of Duquesne, PECO, and Penn Power in PA.</p> <p>RECOMMENDATION:</p> <p>That the Commission adopt the proposed Order.</p>
Vote 5-0.		

3004320-TUS	A-2018-3004320	TVC ALBANY INC D/B/A FIRSTLIGHT FIBER
Vote 5-0.		<p>- Application filed on 8/17/18, for approval for expanded authority to offer, render, furnish or supply telecommunications services to the public as a Competitive Local Exchange Carrier in the service territories of Frontier Communications Commonwealth Telephone Company; Frontier Communications of Pennsylvania, LLC; Frontier Communications of Breezewood, LLC; Frontier Communications of Canton, LLC.; Frontier Communications of Lakewood, LLC; and Frontier Communications of Oswayo River, LLC.</p> <p>RECOMMENDATION:</p> <p>That the Commission adopt the proposed Order.</p>
3004325-TUS	A-2018-3004325	BIG BANG ENERGY GROUP LLC
Vote 5-0.		<p>- Application filed on 9/4/18, for approval for a license to offer, render, furnish or supply electricity or electric generation services as a broker/marketer to residential, small commercial, large commercial, industrial, and governmental customers in all of the electric distribution company service territories throughout PA.</p> <p>RECOMMENDATION:</p> <p>That the Commission adopt the proposed Order.</p>
3004377-TUS	A-2018-3004377	CSD ENERGY ADVISORS LLC
Vote 5-0.		<p>- Application filed on 8/30/18, for approval for a license to offer, render, furnish or supply electricity or electric generation services as a broker/marketer to residential, small commercial, large commercial, industrial, and governmental customers in all of the electric distribution company service territories throughout PA.</p> <p>RECOMMENDATION:</p> <p>That the Commission adopt the proposed Order.</p>
3005004-TUS	S-2018-3005004	METROPOLITAN EDISON COMPANY
Vote 5-0.		<p>- Securities Certificate filed on 9/26/18, for approval of the proposed issuance and sale of debt securities and/or credit facilities in a principal amount not to exceed \$500 million. No protests were filed and no hearings were held.</p> <p>RECOMMENDATION:</p> <p>That the Commission register the securities certificate consistent with the proposed Order.</p>

3005325-TUS M-2018-3005325

Statement by Vice Chairman Place.

Statement by Commissioner Kennard.

Vote 3-2 with Vice Chairman Place and Commissioner Kennard Dissenting.

QUARTERLY EARNINGS REPORT OF JURISDICTIONAL UTILITIES

- Bureau of Technical Utility Services report to the Commission regarding quarterly earnings of Pennsylvania utilities for the quarter ended 6/30/18.

RECOMMENDATION:

That the Commission make the earnings report public.

LAW BUREAU

<u>AGENDA NO.</u>	<u>DOCKET NO.</u>	<u>NAME AND SUBJECT</u>
2422519-LAW Vote 5-0.	A-2014-2422519	BROKER ONLINE EXCHANGE LLC - Petition for Reconsideration filed 8/6/18, seeking (1) reconsideration of the Commission's Final Order entered on 6/12/18, cancelling its license to serve as a broker/marketer of electric generation supply service in the Commonwealth and (2) reinstatement of its license. Broker Online Exchange's license was cancelled due to its failure to maintain a valid bond with the Commission as required by the Public Utility Code, 66 Pa. C.S. § 2809(c)(1)(i), and Commission regulations, 52 Pa. Code § 54.40. RECOMMENDATION: The Commission adopt the proposed Order.
2613820-LAW Vote 5-0.	A-2017-2613820	GLOBAL CONNECTION INC OF AMERICA D/B/A STAND UP WIRELESS, GLOBAL CONNECTION HOLDINGS CORPORATION, AND ODIN MOBILE LLC - On 5/26/17, the parties filed their Joint Petition and captioned it as a Transfer of Control. On 8/15/18, the parties filed a Supplement Petition requesting to modify their caption as a Renewal of Eligible Telecommunications Carrier (ETC) Designation in light of the transfer. The FCC approved Global Connection's Joint Section 214 transfer application on 6/15/18. On 8/15/18, the FCC approved Global Connection's joint wireline and wireless compliance plan. RECOMMENDATION: That the Commission adopt the proposed Order.
2641242-LAW Vote 5-0.	M-2018-2641242	TAX CUTS AND JOBS ACT OF 2017 - On 12/22/17, the Tax Cuts and Jobs Act was signed into law. By Secretarial Letter issued 3/2/18, the Commission initiated an investigation into to effects of the Act on Pennsylvania telecommunications carriers for 2018 and future years. In May 2018, several rural incumbent local exchange carriers (RLECs) filed their respective annual Chapter 30 Price Stability Index/Service Price Index reports. Subsequently, the OCA filed formal complaints against each of these filings. In response to the Commission's 8/9/18 Secretarial Letter staying its investigation pending the parties' litigation regarding the RLECs' Chapter 30 plans' exogenous events provisions. On 8/24/18, the RLECs, along with other members of the Pennsylvania Telephone Association, petitioned the Commission to rescind the 8/9/18 Secretarial Letter and discontinue the stay. The OCA filed an Answer on 9/6/18. RECOMMENDATION: That the Commission adopt the proposed Order.

3004948-LAW L-2018-3004948

Statement by Vice Chairman Place.

Verbal Statement by

Commissioner Sweet.

Verbal Statement by

Commissioner Kennard.

Verbal Statement by Commissioner

Coleman.

Vote 4-1 with Vice Chairman Place

Dissenting.

**RULEMAKING REGARDING COMMISSION'S REGULATION
GOVERNING THE CONSUMER ADVISORY COUNCIL**

- Chapter 91 of the Commission's regulations, adopted 4/15/77, established the Consumer Advisory Council to advise the Commission upon matters relating to the protection of consumer interests. These regulations were last reviewed in 1994. Based upon further experience, the Commission is interested in exploring potential changes in order to improve its efficiency as an advisory body and to clarify its duties and role.

RECOMMENDATION:

That the Commission adopt the proposed Order.

OFFICE OF ADMINISTRATIVE LAW JUDGE

<u>AGENDA NO.</u>	<u>DOCKET NO.</u>	<u>NAME AND SUBJECT</u>
3001631-ALJ	R-2018-3001631 C-2018-3001820 C-2018-3001826	UGI CENTRAL PENN GAS INC - UGI CPG filed its 1307(f) Purchased Gas Cost Rates Filing on 6/1/18. OCA and OSBA filed complaints and CPGLUG filed a Petition to Intervene. A hearing was held on 7/30/18. The parties submitted a Stipulation in Settlement of Section 1307(f) Rate Investigation and statements in support of the Settlement on 8/10/18. OSBA and CPGLUG filed letters in which they stated they do not oppose the settlement. COMMISSION REVIEW: That the Commission adopt ALJs Haas and Calvelli's Recommended Decision approving the Settlement of Section 1307(f) Rate Investigation without modification.
<u>Statement by Vice Chairman Place.</u> <u>Vote 5-0.</u>		
3001632-ALJ	R-2018-3001632 C-2018-3001822 C-2018-3001827	UGI PENN NATURAL GAS INC - UGI PNG filed its 1307(f) Purchased Gas Cost Rates Filing on 6/1/18. OCA and OSBA filed complaints. A hearing was held on 7/30/18. The parties submitted a Stipulation in Settlement of Section 1307(f) Rate Investigation and statements in support of the Settlement on 8/10/18. OSBA filed documentation stating it does not oppose the settlement. COMMISSION REVIEW: That the Commission adopt ALJs Haas and Calvelli's Recommended Decision approving the Settlement of Section 1307(f) Rate Investigation without modification.
<u>Statement by Vice Chairman Place.</u> <u>Vote 5-0.</u>		
3001633-ALJ	R-2018-3001633 C-2018-3001806 C-2018-3001825	UGI UTILITIES INC – GAS DIVISION - UGI filed its 1307(f) Purchased Gas Cost Rates Filing on 6/1/18. OCA and OSBA filed complaints. On 6/12/18, UGIII filed a Petition to Intervene. A hearing was held on 7/30/18. The parties submitted a Stipulation in Settlement of Section 1307(f) Rate Investigation and statements in support of the Settlement on 8/10/18. OSBA and UGIII do not oppose the settlement. COMMISSION REVIEW: That the Commission adopt the ALJs. Haas and Calvelli's Recommended Decision approving the Settlement of Section 1307(f) Rate Investigation without modification.
<u>Statement by Vice Chairman Place.</u> <u>Vote 5-0.</u>		

3002437-ALJ

A-2018-3002437

PENNSYLVANIA-AMERICAN WATER COMPANY -
WASTEWATER

Vote 5-0.

- Application filed on 6/19/18, pursuant to Section 1102(a) of the PA Public Utility Code, 66 Pa. C.S. § 1102(a), and Section 1329 of the Code, 66 Pa. C.S. § 1329, requesting that the Commission (1) issue Certificates of Public Convenience to PAWC for the transfer to PAWC, by sale, of substantially all of the assets, properties and rights of Sadsbury Township related to Sadsbury's wastewater collection system and (2) set the fair market value of the acquisition for rate-base ratemaking purposes. A Joint Petition for Approval of Settlement of All Issues and Statements in Support were filed on 9/14/18.

COMMISSION REVIEW:

That the Commission adopt ALJ Heep's Recommended Decision approving the Settlement without modification.

3002839-ALJ

C-2018-3002839

RICHARD WENDELL VS DUQUESNE LIGHT COMPANY

Motion by Commissioner Sweet.
Vote 5-0.

- Complaint filed 6/5/18 alleging DLC submitted a proposal in its base rate filing at R-2018-3000124 concerning its plans for vegetation management which did not include an environmental component. Complainant requested the Commission require DLC to submit a revised proposal that includes a constructive environmental vision for the future that enhances the environment. On 7/10/18, DLC filed an Answer and New Matter generally denying the allegations. DLC also filed Preliminary Objections on the grounds that Complainant lacked standing and failed to allege DLC violated a statute or regulation.

COMMISSION REVIEW:

That the Commission adopt ALJ Dunderdale's Initial Decision granting the preliminary objections and dismissing the Complaint.

PENNSYLVANIA PUBLIC UTILITY COMMISSION

Carry-In Agenda

October 25, 2018

OFFICE OF SPECIAL ASSISTANTS

AGENDA NO.

2610587-OSA

Vote 5-0.

DOCKET NO.

U-2017-2610587

C-2017-2616962

NAME AND SUBJECT

YORK WATER COMPANY

- On 6/16/17, York Water filed an application requesting certification of a proposed Emergency Interconnect Agreement by and between York Water and the Dallastown - Yoe Water Authority. On 7/24/17, Red Lion Municipal Authority filed a Formal Complaint alleging, inter alia, that the agreement violated its Commission-approved tariff. The Complaint was later amended. The matter was assigned to ALJ Cheskis. On 6/7/18, the Commission issued the ALJ's Initial Decision that dismissed the Complaint. Exceptions were filed by Red Lion and Reply Exceptions were filed by York Water. On 9/20/18, an Order was entered that denied the Exceptions. On 10/10/18, Red Lion filed a Petition for Reconsideration and Stay. On 10/15/18, York Water filed its Answer. On 10/15/18, an Order granting the Petition pending further review of and consideration on the merits, was adopted unanimously by the Commission via notational vote that ended on 10/19/18. The Order was served on 10/19/18.

RECOMMENDATION:

That, consistent with 4 Pa. Code § 1.43(c), this action be recorded and entered in the minutes of this public meeting.

COMMISSIONERS

W. KEVIN HUGHES
CHAIRMAN

MICHAEL T. RICHARD
ANTHONY J. O'DONNELL
ODOGWU OBI LINTON
MINDY L. HERMAN

STATE OF MARYLAND



PUBLIC SERVICE COMMISSION

#5, 6/20/18 AM; ML#s 220128 and 220845
License Reference No.: IR-4053

June 20, 2018

JB Sowyrda
Managing Director
CSD Energy Advisors LLC
2407 Oaks Forks Drive
Kingwood, TX 77339

Dear Mr. Sowyrda:

On April 23, 2018, CSD Energy Advisors LLC ("Company") filed an Application for a License to Supply Electricity or Electric Generation Services in Maryland under COMAR 20.51. The Company proposes to provide electricity supplier services in Maryland for commercial and industrial customers as described in the application. Additional information was filed on June 11, 2018.

After considering this matter at the June 20, 2018 Administrative Meeting, the Commission granted the Company a license to supply electricity or electric generation services in Maryland (License Reference Number IR-4053). The license granted by the Commission under this Letter Order is limited solely to electricity supplier broker and aggregator services for the customer classes and service territories applied for and recommended by Staff. Additionally, the Company is directed to provide marketing and training materials specific to its Maryland operations to the Commission Staff 30 days prior to utilization of the materials in Maryland.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

A handwritten signature in blue ink, appearing to read "Terry J. Romine".

Terry J. Romine
Executive Secretary

TJR/st

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAUL STREET • BALTIMORE, MARYLAND 21202-6806

410-767-8000 • Toll Free: 1-800-492-0474 • FAX: 410-333-6495
MDRS: 1-800-735-2258 (TTY/Voice) • Website: www.psc.state.md.us/psc/

W. KEVIN HUGHES
CHAIRMAN

MICHAEL T. RICHARD
ANTHONY J. O'DONNELL
ODOGWU OBI LINTON
MINDY L. HERMAN



PUBLIC SERVICE COMMISSION

#6, 6/20/18 AM; ML#s 220129 and 220845
License Reference No.: IR-4054

June 20, 2018

JB Sowyrda
Managing Director
CSD Energy Advisors LLC
2407 Oaks Forks Drive
Kingwood, TX 77339

Dear Mr. Sowyrda:

On April 23, 2018, CSD Energy Advisors LLC ("Company") filed an Application for a License to Supply Natural Gas or Natural Gas Supply Services in Maryland under COMAR 20.54. The Company proposes to provide natural gas supply services in Maryland for commercial and industrial customers as described in the application. Additional information was filed on June 11, 2018.

After considering this matter at the June 20, 2018 Administrative Meeting, the Commission granted the Company a license to supply natural gas or natural gas supply services in Maryland (License Reference Number IR-4054). The license granted by the Commission under this Letter Order is limited solely to natural gas supplier broker and aggregator services for the customer classes and service territories applied for and recommended by Staff. Additionally, the Company is directed to provide marketing and training materials specific to its Maryland operations to the Commission Staff 30 days prior to utilization of the materials in Maryland.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

A handwritten signature in blue ink, appearing to read "Terry J. Romine".

Terry J. Romine
Executive Secretary

TJR/st

**PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA
1325 G STREET N.W., SUITE 800
WASHINGTON, D.C. 20005**

ORDER

July 20, 2018

FORMAL CASE NO. GA 2018-08, IN THE MATTER OF THE APPLICATION OF CSD ENERGY ADVISORS LLC FOR A NATURAL GAS SUPPLIER LICENSE; and

FORMAL CASE NO. EA 2018-06, IN THE MATTER OF THE APPLICATION OF CSD ENERGY ADVISORS LLC FOR AN ELECTRICITY SUPPLIER LICENSE, Order No. 19410

I. INTRODUCTION

1. By this Order, the Public Service Commission of the District of Columbia (“Commission”) grants licenses to CSD Energy Advisors LLC (“CSD Energy Advisors” or “Applicant”) to function as an electricity and natural gas supplier in the District of Columbia.¹ The licenses for CSD Energy Advisors LLC are effective upon issuance of this Order.

II. BACKGROUND

2. On April 23 and June 22, 2018, CSD Energy Advisors filed its license applications.² Specifically, CSD Energy Advisors indicates that it intends to operate as a broker of electricity pursuant to Section 34-1505 of the “Retail Electric Competition and Consumer Protection Act of 1999” (“Act”)³ and as a broker of natural gas pursuant to the Commission’s licensing requirements as set forth in GT 96-3, Order Nos. 12709 and 12903.⁴ In both filings, the Applicant represents that it intends to serve commercial and

¹ D.C. Code § 34-1501(17) (2001) defines, in part, an electricity supplier as “a person, including an aggregator, broker, or marketer, who generates electricity; sells electricity; or purchases, brokers, arranges or markets electricity for sale to customers.” D.C. Code § 34-1671.02(12) (2001) defines a natural gas supplier as “a person including an aggregator, broker, or marketer, who sell natural gas or purchases, brokers, arranges or, markets natural gas for sale to customers.”

² *Formal Case No. EA 2018-06, In the Matter of the Application of CSD Energy Advisors LLC for an Electricity License* (“*Formal Case No. EA 2018-06*”), Application of CSD Energy Advisors LLC filed April 23, 2018 and *Formal Case No. GA 2018-08, In the Matter of the Application of CSD Energy Advisors LLC for a Natural Gas Supplier License* (“*Formal Case No. GA 2018-08*”), Application of CSD Energy Advisors LLC filed June 22, 2018.

³ D.C. Code § 34-1505; *see generally* D.C. Code §§ 34-1501-1520.

⁴ *GT 96-3*, Order No. 12709, as revised by Order No. 12903, at Attachment C.

industrial customers in the District of Columbia. CSD Energy Advisors provided the following information in compliance with the Commission's licensing requirements:⁵

- a) Proof of technical and managerial competence;
- b) Affidavits certifying compliance with applicable Federal and District of Columbia environmental laws and regulations;
- c) Proof of financial integrity to include financial statements;
- d) Proof that CSD Energy Advisors has registered with the Department of Consumer and Regulatory Affairs to do business in the District of Columbia;
- e) Affidavits certifying that CSD Energy Advisors is subject to all applicable taxes;
- f) Affidavits certifying that CSD Energy Advisors will comply with all the requirements of all orders and regulations of the Commission; and
- g) Applicant's website address: <http://www.csdeneryadvisors.com>.

CSD Energy Advisors filed supplemental information on June 19 and July 19, 2018.⁶

III. DISCUSSION

3. Our review of the information contained in CSD Energy Advisors' applications, demonstrate that it has met all the prescribed licensing requirements and that the Applicant has the ability and the financial integrity to serve electricity and natural gas customers in the District of Columbia. The Commission also finds that granting CSD Energy Advisors' applications will serve the public interest by fostering competition in the District's energy market. Accordingly, CSD Energy Advisors' applications are approved.

⁵ *Formal Case No. 945, In the Matter of the Investigation into Electric Service Market Competition and Regulatory Practices, Order No. 11796 at Attachment C; and GT 96-3, Order No. 12709, as revised by Order No. 12903, at Attachment C.*

⁶ *Formal Case No. EA 2018-06 and GA 2018-08, CSD Energy Advisors LLC filed supplemental information on June 19 and July 19, 2018.*

THEREFORE, IT IS ORDERED THAT:

4. The applications of CSD Energy Advisors LLC for licenses to conduct business in the District of Columbia as a broker of electricity and natural gas are hereby **GRANTED**. The licenses for CSD Energy Advisors LLC are effective upon issuance of this Order.

A TRUE COPY:

BY DIRECTION OF THE COMMISSION:

A handwritten signature in black ink, reading "Brinda Westbrook-Sedgwick". The signature is written in a cursive, flowing style.

CHIEF CLERK:

**BRINDA WESTBROOK-SEDGWICK
COMMISSION SECRETARY**



THE COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER
GOVERNOR

KARYN E. POLITO
LIEUTENANT GOVERNOR

MATTHEW A. BEATON
SECRETARY OF ENERGY
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION
BOSTON, MA 02110
(617) 305-3500

ANGELA M. O'CONNOR
CHAIRMAN

ROBERT E. HAYDEN
COMMISSIONER

CECILE M. FRASER
COMMISSIONER

June 11, 2018

JB Sowyrda
CSD Energy Advisors, LLC
2407 Oaks Forks Drive
Kingwood, Texas 77339

Mr. Sowyrda,

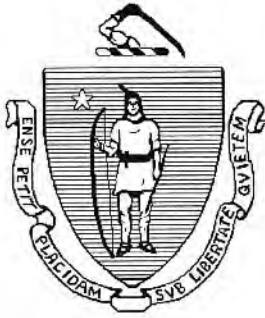
The Department of Public Utilities has reviewed CSD Energy Advisors, LLC's ("CSD Energy Advisors") application for a Gas Retail Agent license to serve commercial and industrial customers in the Commonwealth of Massachusetts. I am pleased to inform you that the application has been approved. CSD Energy Advisors' license number is **RA-209**.

As a condition of maintaining its license, CSD Energy Advisors must file updated information within 30 days of any material change in the information required by 220 CMR 14.04(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations thereunder, including 220 CMR 12.00, 14.00. If you decide to request renewal of CSD Energy Advisors license next year, please submit a renewal application no later than June 1, 2019.

Sincerely,




Mark D. Marini, Secretary



The Commonwealth of Massachusetts

DEPARTMENT OF PUBLIC UTILITIES

ONE SOUTH STATION, 5TH FLOOR
BOSTON, MA 02110
617-305-3500

Gas Retail Agent License Application for New Applicants Pursuant to 220 C.M.R. § 14.00 et seq.

REQUIRES ANNUAL FEE and ANNUAL RENEWAL

Please submit an original, two copies, and an electronic copy on CD-ROM formatted for Microsoft Word to the address above along with a \$100.00 annual fee.



1. GENERAL BUSINESS INFORMATION

1. Legal Name of Applicant: **CSD Energy Advisors**

Doing business as (D/B/A): **N/A**

2. Business Address: **2407 Oaks Forks Dr, Kingwood TX 77339**

3. If a corporation, association, or partnership: **Partnership**

(a) Organized under the laws of which state: **Texas**

(b) Date of organization: **2/18/2014**

(c) Attach a copy of the articles of incorporation, association, partnership agreement or other document regarding legal organization.

See Attachment A hereto

(d) Please, attach a copy of the by-laws.

See Attachment A hereto

4. Name, Title, and Business Address of all Officers and Directors, Partners, or other similar Officials:

JB Sowyrd - Managing Director

Andrew Barth - Director

Name, Title, Toll-Free Telephone Number, and Email Address of Customer Service Contact

Person:

JB Sowyrd

Name

2407 Oaks Forks Dr, Kingwood TX 77339

Address

888-340-2637
Toll-Free Telephone Number (required)

Managing Director

Title

jbs@csdenery.com

Email



THE COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER
GOVERNOR

KARYN E. POLITO
LIEUTENANT GOVERNOR

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ROBERT E. HAYDEN
COMMISSIONER

CECILE M. FRASER
COMMISSIONER

June 11, 2018

JB Sowyrda
CSD Energy Advisors, LLC
2407 Oaks Forks Drive
Kingwood, Texas 77339

RE: Electricity Broker License Application

Dear Mr. Sowyrda,

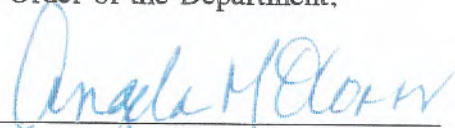
The Department of Public Utilities ("Department") has reviewed the application of CSD Energy Advisors ("CSD Energy" or "Company") for an Electricity Broker license in the Commonwealth of Massachusetts, and is pleased to inform you that the Department has approved the application. CSD Energy' license number is **EB-446**.

Consistent with the information provided in CSD Energy's application, the activities the Company is licensed to provide are limited to electricity broker services to commercial and industrial customers. If, at a later date, the Company seeks to provide electricity broker services to residential customers, it must first seek and obtain Department approval when renewing its license.


As a condition of maintaining this license, CSD Energy must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 CMR 11.00, 12.00. In addition, within 30 days of any material change in the information required by 220 CMR 11.05(2), the Company must file updated information with the Department.

If CSD Energy requests a renewal of its license next year, please submit the renewal application no later than June 1, 2019.

By Order of the Department,



Angela M. O'Connor, Chairman



Robert E. Hayden, Commissioner



Cecile M. Fraser, Commissioner





Philip D. Murphy
Governor

Sheila Y. Oliver
Lt. Governor

STATE OF NEW JERSEY
Board of Public Utilities
44 South Clinton Avenue, 3rd Floor, Suite 314
Post Office Box 350
Trenton, New Jersey 08625-0350
www.nj.gov/bpu/

Aida Camacho-Welch
Office of the Secretary
(609) 292-1599

August 29, 2018

JB Sowyrda
Managing Director
CSD Energy Advisors LLC
2407 Oaks Forks Dr.
Kingwood, TX 77339

Re: **Energy Agent and Energy Consultant Initial Registrations**
Docket Nos. EE18060663L & GE18070826L

Dear Mr. Sowyrda:

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its August 29, 2018 Agenda meeting, the New Jersey Board of Public Utilities voted to issue **REGISTRATIONS** as an Energy Agent and Energy Consultant to CSD Energy Advisors LLC. Your registration numbers are EA-0510 and EC-0166.

The enclosed registrations are effective August 29, 2018 and expire on August 28, 2019. These registrations and the rights thereunder are **Non-Transferable**.

This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.

If you have any questions, please contact Darren Erbe at (609) 292-1406.

Sincerely,

A handwritten signature in blue ink that reads "Aida Camacho Welch".

Aida Camacho-Welch
Secretary to the Board

ACW/dee
Encl.(s)

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

HEREBY REGISTERS

CSD Energy Advisors LLC
2 Bunting Ct.
Cranbury, New Jersey 08812

To conduct business in the State of New Jersey as an

Energy Agent



Aida Camacho-Welch
Aida Camacho-Welch
Secretary to the Board

Registration No. EA-0510
Effective Date: August 29, 2018
Expiration Date: August 28, 2019

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P. O. Box 350, Trenton, New Jersey 08625-0350

HEREBY REGISTERS

CSD Energy Advisors LLC

2 Bunting Ct.
Cranbury, New Jersey 08812

To conduct business in the State of New Jersey as an

Energy Consultant



Aida Camacho-Welch
Aida Camacho-Welch
Secretary to the Board

Registration No. EC-0166

Effective Date: August 29, 2018

Expiration Date: August 28, 2019

THE STATE OF NEW HAMPSHIRE



CHAIRMAN
Martin P. Honigberg

COMMISSIONERS
Kathryn M. Bailey
Michael S. Giaimo

EXECUTIVE DIRECTOR
Debra A. Howland

PUBLIC UTILITIES COMMISSION

21 S. Fruit Street, Suite 10
Concord, N.H. 03301-2429

TDD Access: Relay NH
1-800-735-2964

Tel. (603) 271-2431

FAX (603) 271-3878

Website:
www.puc.nh.gov

October 26, 2018

Jonathon Sowyrda, General Partner
CSD Energy Advisors, LLC
2407 Oaks Forks Drive
Kingswood, TX 77339

Re: DM 18-164 CSD Energy Advisors, LLC
Application to Register as Provider of Electric Aggregation Service

Dear Mr. Sowyrda,

On October 23, 2018, CSD Energy Advisors, LLC (CSD Energy) submitted an application with the Commission to register as a provider of electric aggregation service.

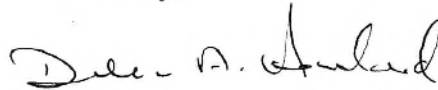
Commission Staff filed a memorandum on October 24, 2018, in which it confirmed its review of the application and its conclusion that the application meets the requirements for registration under N.H. Code Admin. Rules Puc 2000. Staff recommended that CSD Energy's registration application be approved for a two-year term.

Your application for registration is approved effective as of October 25, 2018, under Puc 2003.05(g)(1). The registration is for a term of two years and expires at the close of business on October 25, 2020. Pursuant to Puc 2003.06(a), you must submit your renewal application at least 60 days prior to the expiration of the approved registration term, on or before August 26, 2020.

Please be aware that registered providers of electric aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 2000 – Competitive Electric Power Supplier and Aggregator Rules. These rules are available at: <http://www.puc.nh.gov/Regulatory/Rules/PUC2000.pdf>.

Please note that, effective as of July 1, 2014, each registered electric load aggregator is subject to an annual assessment of \$2,000, unless it demonstrates that its gross revenue in New Hampshire during the preceding fiscal year (from July 1 through June 30) was less than \$10,000, pursuant to RSA 363-A:2, III and 5.

Sincerely,

A handwritten signature in black ink, appearing to read "Debra A. Howland". The signature is fluid and cursive, with the first name "Debra" being the most prominent.

Debra A. Howland
Executive Director

cc: Service List
Docket File

STATE OF MAINE
PUBLIC UTILITIES COMMISSION

Docket No. 2018-00111

May 7, 2018

CSD ENERGY ADVISORS, LLC
Application for License to Operate
As A Competitive Electricity Provider

ORDER GRANTING LICENSE

VANNOY, Chairman; WILLIAMSON and DAVIS, Commissioners

I. SUMMARY

Through this Order, CSD Energy Advisors, LLC (CSD or the Company) is issued a license to operate as a competitive electricity provider furnishing aggregator/broker services to the non-residential customer classes throughout Maine's service territories pursuant to Chapter 305 of the Commission's Rules.

II. APPLICATION AND DECISION

On May 2, 2018, CSD applied to the Commission to operate in Maine as a competitive electricity provider pursuant to Chapter 305. The Company proposes to provide aggregator/broker service to the non-residential customer classes throughout Maine's service territories and has met all the Chapter 305 filing requirements.

As a licensed competitive electricity provider, CSD is required to comply with all applicable requirements and regulations, including all conditions of licensing, assignments, customer protection rules, and the filing of annual reports. To the extent that a licensed competitive electricity provider uses third-parties to assist in obtaining customers during the course of its business, Chapter 305 § 4(C) of the Commission's rules places the responsibility on the competitive electricity provider for violations of the provisions of this section by representatives or agents acting on the competitive electricity provider's behalf. Chapter 305 § 2(C)(3) also requires a competitive electricity provider to use reasonable efforts to avoid conducting business with any entity acting as a competitive electricity provider in Maine without a license from the Commission. Therefore, if the Company uses a third party to market its services, it is responsible to ensure that the third party has met any licensing requirements of the Commission.

Pursuant to a Delegation Order dated April 23, 2008 in Docket No. 2008-185, *PUBLIC UTILITIES COMMISSION, Delegation of Authority to License Competitive Electricity Providers*, the Commission delegated to the Director of Technical Analysis the authority under 35-A M.R.S. §§ 3203 (1) & (2) and Chapter 305, §2 to license competitive electricity providers. The Commission no longer has a Director of Technical Analysis and all existing delegation orders that delegate Commission authority to the Director of Technical Analysis is now delegated to the Director of Electric and Gas Utility Industries.

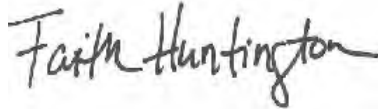
Accordingly, it is

ORDERED

1. That CSD Energy Advisors, LLC is hereby issued a license to operate as a competitive electricity provider pursuant to Chapter 305 of the Commission's Rules, to provide aggregator/broker services to the non-residential customer classes throughout Maine's service territories pursuant to Chapter 305 of the Commission's Rules; and
2. That this license is effective on the date of this Order and valid until revoked or suspended by the Commission pursuant to section 3(A)(4) of Chapter 305, or abandoned by the licensee pursuant to sections 2(D)(10) and 2(D)(11) of Chapter 305 of the Commission's Rules.

Dated at Hallowell, Maine, this 7th day of May, 2018.

BY ORDER OF THE DIRECTOR OF ELECTRIC AND GAS UTILITY INDUSTRIES



Faith Huntington

NOTICE OF RIGHTS TO REVIEW OR APPEAL

5 M.R.S. § 9061 requires the Public Utilities Commission to give each party to an adjudicatory proceeding written notice of the party's rights to review or appeal of its decision made at the conclusion of the adjudicatory proceeding. The methods of review or appeal of PUC decisions at the conclusion of an adjudicatory proceeding are as follows:

1. Reconsideration of the Commission's Order may be requested under Section 1004 of the Commission's Rules of Practice and Procedure (65-407 C.M.R.110) within 20 days of the date of the Order by filing a petition with the Commission stating the grounds upon which reconsideration is sought. Any petition not granted within 20 days from the date of filing is denied.
2. Appeal of a final decision of the Commission may be taken to the Law Court by filing, within 21 days of the date of the Order, a Notice of Appeal with the Administrative Director of the Commission, pursuant to 35-A M.R.S. § 1320(1)-(4) and the Maine Rules of Appellate Procedure.
3. Additional court review of constitutional issues or issues involving the justness or reasonableness of rates may be had by the filing of an appeal with the Law Court, pursuant to 35-A M.R.S. § 1320(5).

Note: The attachment of this Notice to a document does not indicate the Commission's view that the particular document may be subject to review or appeal. Similarly, the failure of the Commission to attach a copy of this Notice to a document does not indicate the Commission's view that the document is not subject to review or appeal.



ILLINOIS COMMERCE COMMISSION

July 13, 2018

CSD Energy Advisors, LLC

Application for Licensure of Agents, Brokers
and Consultants under Section 16-115C of
the Public Utilities Act.

18-0927

SERVED ELECTRONICALLY

NOTICE OF SERVICE

TO ALL PARTIES OF INTEREST:

I hereby certify, as Chief Clerk of the Illinois Commerce Commission, that on July 13, 2018, a copy of the attached Order of the Illinois Commerce Commission dated July 12, 2018 was served electronically on all parties on the service list.

Sincerely,

A handwritten signature in blue ink that reads "Elizabeth A. Rolando".

Elizabeth A. Rolando
Chief Clerk

EAR:sc

Service List

Jonathon Sowyrda
CSD Energy Advisors, LLC
2407 Oaks Forks Dr.
Kingwood, TX 77339 *
jbs@csdenenergy.com

Theresa Orozco
Administrative Law Judge
Illinois Commerce Commission
160 N. LaSalle, Ste. C-800
Chicago, IL 60601 *
theresa.orozco@illinois.gov

Anna Selgert
ALJ's Assistant
Office of Retail Market Development
Illinois Commerce Commission
160 N. LaSalle, Ste. C-800
Chicago, IL 60601 *
anna.selgert@illinois.gov

Andrew Barth

Andrew Barth is Partner and Co-Founder of CSD Energy Advisors, LLC, a national energy management firm that assists clients with the development and implementation of integrated energy solutions. Based in Houston, TX, Mr. Barth combines fifteen years of industry experience, customer service, and technology to provide client based solutions. His areas of expertise are in the planning and sourcing of commodity procurement strategies, risk management, and the development of efficiency and sustainability initiatives.

Mr. Barth is also serving in his second year as President of the National Board of The Energy Professionals Association (TEPA). With chapters in Texas and the Northeast, TEPA was founded to promote a standardized code of conduct among members, educate the community on energy initiatives and advocate legislative plans in deregulated energy markets. The organization is composed of Aggregators, Brokers, Consultants (A/B/C's), Retail Energy Providers (REP's) and affiliate members. TEPA specializes in providing market knowledge to help consumers make the best energy procurement choices and to uphold the integrity of deregulated retail energy markets across the country.

Mr. Barth was previously Executive Vice President at Incite Energy, a Texas based consulting firm that specializes in procurement, integrated technologies, renewable solutions, and demand response. Additionally, he served as Vice President of Business Development for World Energy Solutions, a publicly traded company that assists clients in executing strategies to control and reduce energy costs through the application of consulting and brokerage services. He has also held Regional and National Sales Director positions in both Dallas and Houston, TX for World Energy Solutions and GSE Consulting, LP (formerly Gulf States Energy) where he recruited, developed, and managed sales teams across the country.

JB Sowyrd

JB Sowyrd is Partner and Co-Founder of CSD Energy Advisors with over thirteen years of energy experience on both the Supplier and Consulting sides of the industry. He co-founded CSD Energy Advisors as an energy consulting and management firm to utilize market knowledge as well as leverage buying power to implement procurement strategies that merge price, product and renewable solutions while maintaining the utmost integrity on behalf of CSD's customers.

Mr. Sowyrd was previously Executive Vice President of Operations at Incite Energy, a Texas based consulting firm that specializes in procurement, integrated technologies, renewable solutions, and demand response. At Incite, Mr. Sowyrd was responsible for the day-to-day operations, while also sourcing and maintaining suppliers and vendor relationships, conceptualizing renewable product solutions, contract negotiations, and structuring products and services for many of the largest Commercial and Industrial customers in the United States, Canada and Mexico.

Prior to Incite, Mr. Sowyrd spent eight years at Reliant Energy, an NRG Company, where he served as Account Executive responsible for developing and managing broker relationships by generating revenue through sales in ERCOT and PJM. In this role, Mr. Sowyrd worked jointly with Legal, Risk, Supply, Commercial Operations and Billing teams to facilitate sales. Mr. Sowyrd also held roles within Reliant's Risk Group where he was responsible for structuring financial products, supporting commercial activity for both the wholesale and retail businesses, product development as well as developing costing and pricing strategies in ERCOT and PJM.

Mr. Sowyrd holds a BS in Business Administration with a dual concentration in Finance and Marketing from Trinity University.

Exhibit F

Andrew S. Barth

3333 Allen Parkway, #904
Houston, TX 77019
(832) 754-4883
ASB@CSDenergy.com

Experience: **Managing Partner**

CSD Energy Advisors, LLC

Houston, TX

October 2018 – Present

- Co-Founder of CSD Energy Advisors as an independent National Consulting firm
- Responsible for development of fully integrated energy solutions
- Developed client based procurement strategy for CSD
- Client implementation of risk management and renewable initiatives
- Supplier liaison between CSD Energy Advisors and Retail Energy Suppliers

EVP - Sales

Incite Energy, LLC

Houston, TX

August 2015 – Present

- Transitioned mid-market sales team from national to regional office format
- Identified and engaged downstream sales channels
- Developed and managed channel partner sales initiatives and programs across all US territories
- Implemented diversified understanding of the sales, business development, operations, and training aspects of the business
- Managed supplier relationships as liaison between Incite Energy and Retail Energy Supplier

Vice President, Business Development

World Energy Solutions, Inc.

Dallas, TX

June 2012 – July 2014

- Responsible for the creation and management of the Mid and Mass Market segment nationally
- Developed, hired and trained a salesforce of 10 people as part of national sales team roll out
- Oversaw the management, execution, and reporting across sales, business development and operations
- Identified desirable markets for Mid-Market growth
- Engaged Third Party organizations and negotiate channel partner agreements for Mass Market Sales
- Achieved 111% of 2013 individual sales goals
- Sales team achieved 139% of 2012 goals
- Achieved 107% of 2012 individual sales goal
- 2012 & 2013 President's Club Award Winner

Director of Sales – National Markets

*World Energy Solutions, Inc. (via acquisition of GSE Consulting, LP – November 2011)
Dallas, TX January 2011 – June 2012*

- Responsible for the management of the Dallas and Fort Worth offices, as well as satellite employees
- Managed the day to day operations of twenty two team members across five states
- Developed criteria for sales goals and responsible for employee evaluations
- Interviewed, hired, and trained new employees
- Interfaced with Suppliers on a high level to resolve potential conflicts
- Evaluated and negotiated broker agreements from suppliers
- Maintained role as consultant and surpassed 2011 Sales Goal in June, achieved 165% of individual goal
- Implemented a third party small commercial sales program to drive revenue outside of ERCOT
- Facilitated the execution of supply agreements in all deregulated states

Senior Energy Consultant

*GSE Consulting, LP formerly known as Gulf States Energy Inc.
Houston, TX May 2005 – December 2010*

- Developed and maintained local, regional, and national customer accounts
- Identified and evaluated new market opportunities
- Utilized experience monitoring and interpreting natural gas and wholesale power markets
- Recommend, obtain, and manage the execution of electricity and natural gas supply agreements
- Managed and supported over 200 accounts on a day-to-day basis
- Surpassed 2010 sales goal in September
- Received 2010 Outstanding Achievement in Sales Award
- Responsible for ordering office supplies and negotiated copier, phone, and internet contracts for office

Account Executive

*Access Energy, LLC
Houston, TX June 2004 – May 2005*

- Created downstream Opportunities and managed 4 to 5 people at any one time
- Acquired and maintained relationships with REP's to receive pricing and close deals
- Generated Prospects through cold and warm leads
- Responsible for generating invoices

Education **University of Missouri** **Columbia, Missouri**
May 2004

Organizations **The Energy Professionals Association (TEPA)**
President – 2017 - Present
Vice President – 2014 - 2016
Membership Chairman – 2012 – 2014

The Houston Astros Foundation – 2017 - Present

JONATHON BRENT “JB” SOWYRDA

2407 Oaks Forks Dr.
Kingwood, TX 77339
(281) 415-0071
jsowyrda@reliant.com

EDUCATION:

Trinity University, San Antonio, TX

Bachelor of Science in Business Administration, December 2006

Concentration in Finance/Marketing

EXPERIENCE:

MANAGING PARTNER, CSD ENERGY ADVISORS, LLC,

HOUSTON, TX

APRIL 2014 – PRESENT

- Utilize market knowledge and help clients reduce costs and improve their energy management strategy.
- Provide data driven market fundamentals and technical information to clarify and support decision making process
- Review clients existing product and performance against actual consumption and product simulation structures
- Communicate usage information and performance of structures to ensure continued strategy alignment
- Utilize network of top-tier retail electricity and gas providers to negotiate the most favorable terms
- Provide proxy data and analysis on new construction and provide operating budget projections
- Create interactive products that are innovative and designed for purposes based on client’s risk and reward profiles
- Leverage existing and wholesale and retail counterparties to ensure long-term energy needs are met and tailored to our clients
- Review and integrate physical and financial renewables including: wind, solar, battery and geothermal projects
- Model and implement existing client assets in power markets through sanctioned and voluntary programs

EXECUTIVE VICE PRESIDENT OF OPERATIONS, INCITE ENERGY LLC,

HOUSTON, TX

MARCH 2015-OCTOBER 2017

- Implement a team of Operational and Customer Support specialists to effectively serve the needs of Incite’s Energy customers
- Work with Incite Sales personnel on presenting non-commodity opportunities for current and prospective customers, including Demand Response, Energy Efficiency Programs, Solar and Combined Heat and Power projects
- Identify and recommend available Products and Structures specific for customers usage profiles and risk tolerances
- Oversee a team implementing Utility Bill Audits for Regulated and Deregulated Supply, including Retail Suppliers and Utilities complete with rate and tariff analysis
- Negotiate contracts on behalf of customers and Supplier
- Negotiate long-term PPAs with Solar and Distributed Generation customers
- Implement Green Energy Programs aligning Customers desired carbon footprint and Corporate Strategy with products and implementation to meet their future needs

ACCOUNT EXECUTIVE, RELIANT ENERGY, AN NRG ENERGY COMPANY,

HOUSTON, TX

JANUARY 2010 – APRIL 2014

- Deliver effective performance of sales functions for own and team's customer portfolio: develop, manage and deepen broker relationships, understand needs of brokers and customers to align sales strategy to meet these needs, proactively uncover commercial opportunities and high-grade known commercial opportunities, maximize commercial return

- Keep Senior Management informed of activities and progress toward established sales goals & objectives
- Keep Management informed on competitive positions and action, as well provide feedback on firm's industry standing
- Establishes effective reporting and communication with designated sales team to ensure that they are appropriately informed of management expectations, policies, procedures and programs
- Motivates designated sales team personnel, ensuring optimal performance
- Provides leadership to designated sales team through effective objective setting, delegation, and communication based on direction from senior management
- Implemented Reliant's Daily Matrix Pricing for Small and Mid-Market customers resulting in an increase of indirect sales in that area over 300% (2010).

RETAIL STRUCTURING ANALYST, RELIANT ENERGY INCORPORATED, *HOUSTON, TX*
JANUARY 2008 – DECEMBER 2009

- A member of a team of structuring personnel that supports commercial activity for both the Wholesale and Retail business segments
- Assist in developing structuring proposals that may involve multiple commodities
- Perform valuations of power purchases, sales and swaps
- Develop and enhance customized models for deal valuation purposes
- Support various commercial and corporate groups with ad-hoc analysis
- Prepare data for structuring analyses (e.g. query, transform, clean, etc.)
- Responsible for a quarterly accounting log for retail customers maintaining the revenue deferred for each quarter
- Work closely with and support: Wholesale Power Commercial Operations, ERCOT Supply, Retail Marketing, Retail Pricing, Wholesale Power Origination, Risk Control, Corporate Finance, Credit, Wholesale and Retail Strategy, and other support groups

ENERGY PRICING ANALYST, RELIANT ENERGY INCORPORATED, *HOUSTON, TX*
FEBRUARY 2007 – JANUARY 2008

- Responsible for generating accurate and timely cost and price (different risk structures) information to support Reliant Energy's retail sales and marketing personnel
- Manage pricing for residential, mid commercial and industrial customers in ERCOT and PJM regional loads
- Verify all relevant pricing requirements in accordance with Retail Risk Control Policies

PORTFOLIO ANALYST (intern), ANDOVER WEALTH MANAGEMENT, *Boston, MA*
Summer 2006

Exhibit G

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of Texas :

ss.

County of Harris :

Jonathon Sowyrda, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Managing Partner (Office of Affiant) of CSD Energy Advisors LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein CSD Energy Advisors LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

That the Applicant herein CSD Energy Advisors LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein CSD Energy Advisors LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein CSD Energy Advisors LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

[Handwritten Signature]

Signature of Affiant

Sworn and subscribed before me this 7th day of February, 2019.

[Handwritten Signature]
Signature of official administering oath

My commission expires 1/16/2021



Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of Texas :

: ss.

County of Harris :

Jonathon Sowyrda, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He is the Managing Partner of CSD Energy Advisors LLC;

That he/she is authorized to and does make this affidavit for said Applicant;

That CSD Energy Advisors LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That CSD Energy Advisors LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That CSD Energy Advisors LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).


Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That CSD Energy Advisors LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

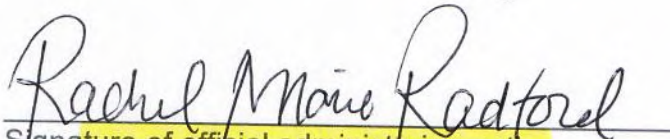
That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 7th day of February, 2019.



Signature of official administering oath

My commission expires 1/16/2021.



Exhibit H

COMMONWEALTH OF PENNSYLVANIA } SS
County of Cambria

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Applications of CSD Energy Advisors For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

CSD Energy Advisors will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. CSD Energy Advisors will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. CSD Energy Advisors proposes to sell electricity, natural gas, and related services in Citizens' Electric, Duquesne, Met Ed, PECO, Penelec, Penn Power, Pike, PPL, UGI, Wellsboro, West Penn, Valley Energy, National Fuel Gas, Peoples TWP LLC, UGI Penn Natural, Peoples - Equitable UGI, PECO, Peoples Natural Gas, Philadelphia Gas Works, Columbia Gas, UGI Central Penn under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

publish that the of The interest

charac. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of CSD Energy Advisors may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to CSD Energy Advisors attorney at the address listed below.

Signed 13th da by Chri
By and through Counsel: Attorney's Name
CSD Energy Advisors Address
2820 White Oak Drive Houston, TX
281-415-0071

Vivian Ohs

Commonwealth of Pennsylvania - Notary Seal
Vivian Ohs, Notary Public
Cambria County
My commission expires December 6, 2020
Commission number 1123017
Member, Pennsylvania Association of Notaries

On this 13th day of February A.D. 2018, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Sales Manager / Major Accounts of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

County of Cambria, and Commonwealth of Pennsylvania and the matter published in said publication in the regular issues of PA, on February 9, 2018; and that the Affiant is not advertising and that all of the allegations as to time, place and

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

0.00 Lines @ \$2.50 per line	0.00
8.5 Inches @ \$25.00 per inch	212.50
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	220.00

To The Tribune-Democrat, Johnstown, PA
For publishing the notice or publication
attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

By _____

RECEIVED

JUL 17 2018

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

CSD ENERGY ADVISORS
JB SOWYRDA
2722 1/2 WHITE OAK DRIVE HOUSTON TX 77007

Account # 627811
Order # 82178549
Ad Price: 342.15

LEGAL NOTICE PENNSYLVANIA

Ann Marie Fortese

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

02/15/2018

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Ann Marie Fortese

Sworn and subscribed to before me
this 12th day of March A.D., 2018

Sharon Venturi
(Notary Public)

Commonwealth of Pennsylvania - Notary Seal
Sharon Venturi, Notary Public
Lackawanna County
My commission expires February 12, 2022
Commission number 1254228
Member, Pennsylvania Association of Notaries

LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Applications of CSD Energy Advisors For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

CSD Energy Advisors will be filing an application with the Pennsylvania Public Utility Commission (PUC) for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. CSD Energy Advisors will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. CSD Energy Advisors proposes to sell electricity, natural gas, and related services in Citizens Electric, Duquesne, Met Ed, PECO, Penelec, Penn Power, Pike, PPL, UGI, Wellsboro, West Penn, Valley Energy, National Fuel Gas, Peoples TWP LLC, UGI Penn Natural, Peoples-Equitable UGI, PECO, Peoples Natural Gas, Philadelphia Gas Works, Columbia Gas, UGI Central Penn under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of CSD Energy Advisors may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to CSD Energy Advisors attorney at the address listed below.

By and through Counsel:
Attorneys Name
CSD Energy Advisors Address
2820 White Oak Drive
Houston, TX

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

CSD Energy Advisors
2407 Oaks Forks Dr
Kingwood TX 77339

REFERENCE: 95374 302985
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Brenda L. Learn, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 02/10/18

TOTAL COST: \$677.00 AD SPACE: 0 Lines

FILED ON: 02/10/18

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
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UGI, PECO, Peoples Natural Gas, Philadelphia Gas Works, Columbia Gas, UGI Central Penn under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

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By and through Counsel: Attorney's Name
CSD Energy Advisors Address
2820 White Oak Drive Houston, TX
281-415-0071

EP-302985

Sworn to and subscribed before me this 12 day of February 2018

Affiant: Brenda L. Learn

NOTARY: Ann K. Wilson

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Ann K. Wilson, Notary Public
City of Erie, Erie County
My Commission Expires March 16, 2019
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

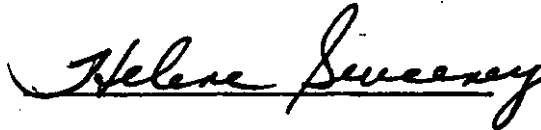
Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

February 23, 2018

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

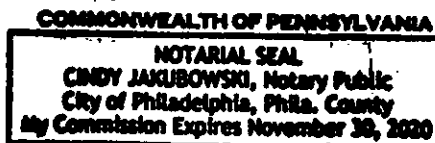


Sworn to and subscribed before me this 23rd day of
February, 2018.



Notary Public

My Commission Expires:



Copy of Notice of Publication

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Applications of CSD Energy Advisors For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania. CSD Energy Advisors will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. CSD Energy Advisors will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. CSD Energy Advisors proposes to sell electricity, natural gas, and related services in Citizens' Electric, Duquesne, Met Ed, PECO, Penelec, Penn Power, Pike, PPL, UGI, Wellsboro, West Penn, Valley Energy, National Fuel Gas, Peoples TWP LLC, UGI Penn Natural, Peoples ? Equitable UGI, PECO, Peoples Natural Gas, Philadelphia Gas Works, Columbia Gas, UGI Central Penn under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of CSD Energy Advisors may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to CSD Energy Advisors attorney at the address listed below.
By and through Counsel: Attorney's Name
CSD Energy Advisors Address
2820 White Oak Drive Houston, TX
281-415-0071

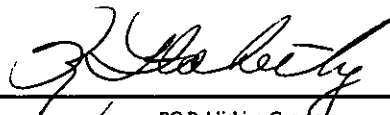
Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

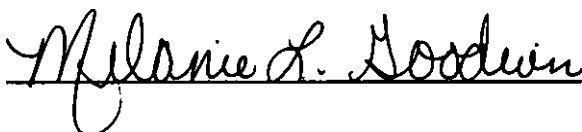
Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

09 of February, 2018

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



PG Publishing Company
Sworn to and subscribed before me this day of:
February 09, 2018



Melanie L. Goodwin

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Melanie L. Goodwin, Notary Public
Findlay Twp., Allegheny County
My Commission Expires May 12, 2018
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

STATEMENT OF ADVERTISING COSTS
CSD ENERGY ADVISORS
2820 WHITE OAK DRIVE
ATTN: JB SOWYRDA
HOUSTON TX 77007

To PG Publishing Company


Total ----- \$627.75

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By  Samuel J. Arbutina

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Applications of CSD Energy Advisors For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania. CSD Energy Advisors will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. CSD Energy Advisors will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. CSD Energy Advisors proposes to sell electricity, natural gas, and related services in Citizens' Electric, Duquesne, Met Ed, PECO, Penelec, Penn Power, Pike, PPL, UGI, Wellsboro, West Penn, Valley Energy, National Fuel Gas, Peoples TWP LLC, UGI Penn Natural, Peoples Equitable UGI, PECO, Peoples Natural Gas, Philadelphia Gas Works, Columbia Gas, UGI Central Penn under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of CSD Energy Advisors may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to CSD Energy Advisors attorney at the address listed below. By and through Counsel: Attorney's Name, CSD Energy Advisors Address, 2820 White Oak Drive Houston, TX, 281-415-0071

**PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929**

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Bernard A. Oravec, Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

February 19, 2018

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

**PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
NOTICE**

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Advisors proposes to sell electricity, natural gas, and related services in Citizens' Electric, Duquesne, Met Ed, PECO, Penelec, Penn Power, Pike, PPL, UGI, Wellsboro, West Penn, Valley Energy, National Fuel Gas, Peoples TWP LLC, UGI Penn Natural, Peoples - Equitable UGI, PECO, Peoples Natural Gas, Philadelphia Gas Works, Columbia Gas, UGI Central Penn under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

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By and through Counsel:
Attorney's Name
CSD Energy Advisors
Address
2820 White Oak Drive
Houston, TX
281-415-0071

Bernard A. Oravec

SUN-GAZETTE LLC

Sworn to and subscribed before me

The 19th day of February 20 18

Beth A. Miller

COMMONWEALTH OF PENNSYLVANIA

Notary Public

NOTARIAL SEAL
BETH A MILLER
Notary Public
CITY OF WILLIAMSPORT, LYCOMING COUNTY
My Commission Expires Apr 18, 2020

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates.....\$ 348.96

Probated same.....\$

Total.....\$ 348.96

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Bernard A. Oravec



Commonwealth of Pennsylvania,) ss
County of Cumberland)

Donna Maldonado being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 02/20/2018

Donna Maldonado
Principal Clerk of the Publisher

Sworn to and subscribed before me this 21th day of February 2018

Crystal B. Rosensteel
Notary Public

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Crystal B. Rosensteel, Notary Public
Susquehanna Twp., Dauphin County
My Commission Expires June 27, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

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By and through Counsel:

Attorney's Name
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2820 White Oak Drive Houston, TX
281-415-0071

RECEIVED

SEP 22 2018

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU