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Wallace & Nurick LLC

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March 22, 2019

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2nd Floor
Harrisburg, PA 17120

VIA HAND DELIVERY

RE: Application of EnerConnex, LLC, for Approval to Offer, Render, Furnish, or Supply Electricity or Electric Generation Services as a Broker to the Public in the Commonwealth of Pennsylvania; Docket No. A-2019-_____

Dear Secretary Chiavetta:

Please find enclosed for filing with the Pennsylvania Public Utility Commission ("PUC" or "Commission") the following documents submitted on behalf of EnerConnex, LLC ("EnerConnex"):

1. Electric Generation Supplier ("EGS") Application, as captioned above;
2. Compact disc containing a searchable PDF version of the EGS Application without the confidential Attachments E, F, and G;
3. One copy of each of the confidential documents, provided under seal to the Commission only (at Attachments E, F and G); and
4. A check in the amount of \$350.00 made payable to the Commonwealth of Pennsylvania for the requisite filing fee for EnerConnex's EGS Application.

EnerConnex's original confidential security bond is being provided under seal to the Commission only (at Attachment E).

Finally, EnerConnex respectfully requests the Commission grant **CONFIDENTIAL** treatment of the documents found at Attachments E, F and G. EnerConnex believes this information is of a proprietary or confidential nature because it may reveal non-public financial information, sensitive information about EnerConnex's current and future business plans, and other information that is commercially sensitive and whose release may be injurious to EnerConnex's competitive position. To this end, the propriety and confidential information set forth in Attachments E, F and G is so designated by being labeled "**CONFIDENTIAL**" and is being provided under protective seal to the Commission only. For these reasons, EnerConnex requests that the Commission treat

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Rosemary Chiavetta, Secretary
March 22, 2019
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these documents as confidential and prevent public disclosure in accordance with the Commission's procedures. Any party desiring access to the foregoing responses can contact the undersigned counsel with such a request.

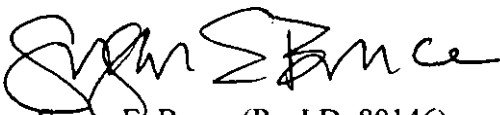
As evidenced by the Certificate of Service, copies of this filing are being duly served on the appropriate parties.

If you have any questions regarding the enclosed documents, please contact the undersigned. In addition, please date stamp the enclosed extra copy of this filing, and kindly return it to our messenger for our filing purposes.

Thank you.

Very truly yours,

McNEES WALLACE & NURICK LLC

By 
Susan E. Bruce (Pa. I.D. 80146)

Counsel to EnerConnex, LLC

SEB:mas

Enclosures

c: Certificate of Service (without confidential information)

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BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of EnerConnex, LLC, d/b/a _____, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) Broker/Marketer to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

EnerConnex, LLC
4140 Clark Shaw Road
Powell, Ohio 43065-8086
www.enerconnex.com
1-800-319-0856

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

InCorp Services, Inc.
7208 Red Top Road
Harrisburg, PA 17036

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Janet Loop
CFO
EnerConnex, LLC
44 Portland St, 4th Floor
Worcester, MA 01608
Phone: 508-769-4929
Fax: N/A
Email: jloop@enerconnex.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Susan E. Bruce
Energy & Environmental Law Group
McNees Wallace & Nurick LLC
100 Pine Street
PO Box 1166
Harrisburg, PA 17108-1166
Phone: (717) 237-5254
Fax: (717) 260-1666
Email: sbruce@mcneeslaw.com

Mark Phillips
Mularski, Bonham, Dittmer, Phillips & Steele, LLC
107 W. Johnstown Road
Gahanna, Ohio 43230

Phone: (614) 476-6464
Fax: (614) 540-7473
Email: mphillips@mbdplaw.com

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSSs.

Primary Contact:

Janet Loop
CFO
EnerConnex, LLC
44 Portland St, 4th Floor
Worcester, MA 01608
Phone: 508-769-4929
Fax: N/A
Email: jloop@enerconnex.com

Alternate Contact:

Vitana Melesiute
VP Energy Markets
EnerConnex, LLC
44 Portland St, 4th Floor
Worcester, MA 01608
Phone: 508-612-5947
Fax: N/A
Email: vmelesiute@enerconnex.com

2. **BUSINESS ENTITY FILINGS AND REGISTRATION**

- a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

or

X The Applicant will not be using a fictitious name.

b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

OR

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

OR

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

Attachment A contains the Certificate of Registration that EnerConnex, LLC received from the Department of State on Feb 20, 2019 and the initial Filing with the Department of State.

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

EnerConnex, LLC is organized in Ohio as a domestic limited liability corporation. Attachment B contains the Articles of Organization from the State of Ohio.

- Give name and address of officers.

Attachment C contains the names and addresses of the officers.

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

EnerConnex does not have an affiliate(s) currently doing business in Pennsylvania. EnerConnex does not have any affiliates that are currently applying to do business in Pennsylvania.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

EnerConnex does not have any predecessor that have done business in Pennsylvania or in any state.

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- broker/marketer engaged in the business of supplying electricity services
- Other; Identify the nature of service being rendered.

or

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
 - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

EnerConnex, LLC (ECX) will provide its customers with an end to end procurement process that includes managing the customer's procurement needs, providing market intelligence, developing requests for pricing, analyzing the pricing once it is received, assisting customers through the contracting process and tracking customers' energy contracts and usage history. In addition, EnerConnex, LLC will assist its customers with the analysis of energy efficiency projects, alternative energy opportunities, lighting and HVAC retrofits, distributed generation projects, demand response programs, and other means that may impact the overall usage and

cost of energy to a customer. EnerConnex, LLC has partnered with established companies in the arenas of energy efficiency, lighting and HVAC retrofits, alternative energy sources, and the purchase or renewables sources or credits.

EnerConnex is also simultaneously filing for a Natural Gas Broker License with the Pennsylvania Public Utilities Commission.

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- Citizens' Electric
- Duquesne Light
- Met-Ed
- PECO
- Penelec
- Penn Power

- Pike
- PPL
- UGI Utilities
- Wellsboro
- West Penn

Entire Commonwealth of PA

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

EnerConnex plans to actively market within the Commonwealth of Pennsylvania when it receives approval from the PA Public Utility Commission to act as a broker/marketer.

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Neither EnerConnex nor any person identified in this Application has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years. EnerConnex does not have an affiliated or predecessors.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not Applicable to EnerConnex.

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

EnerConnex does not have any formal or escalated actions or complaints in the Commonwealth of Pennsylvania or any state, that has been filed with or by a customer, regulatory agency, or prosecutor agency for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General.

EnerConnex has never had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not Applicable to EnerConnex.

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

- a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

The Certificate of Service providing proof of service of a signed and verified Application with attachments being sent to the statutory agencies listed in 6a. has been provided as Attachment D to this application.

- b. **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company:
Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

West Penn:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

Met-Ed, Penelec, and Penn Power:

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company:

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company:

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

The Certificate of Service providing proof of service of a signed and verified Application with attachments being sent to the statutory agencies listed in 6b. has been provided as Attachment D to this application.

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.

Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.

- X **For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

EnerConnex, LLC is requesting the modification requirement and furnishing a bond in the amount of \$10,000. EnerConnex is not a supplier and does not take title to the power. EnerConnex does not pay electricity bills on the behalf of the customers.

CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at sjakab@pa.gov to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond, fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from

these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

EnerConnex is submitting the original bond as part of this application to the PA Public Utility Commission. For the applications that were sent to the agencies and EDCs listed above, a copy of the bond was provide as Attachment E. EnerConnex will maintain this bond during the entire time it maintains an EGS license with the Commonwealth of Pennsylvania.

- b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
 - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
 - Applicant's accounting statements, including balance sheet and income statements for the past two years.
 - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
 - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
 - Audited financial statements exhibiting accounts over a minimum two year period.
 - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

See Attachment F – Financial Information (CONFIDENTIAL)

- c. SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

EnerConnex is a Broker/Marketer

- d. BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

EnerConnex will act as a Broker and will collect fees from Suppliers as electricity flows over the course of the customer's contract. The fee structure will be disclosed and agreed upon with the customer and will be contained in the RFP documents.

EnerConnex will not bill customers for brokerage services unless the customer requests that they pay EnerConnex directly on a retainer for services agreement.

- e. ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

**Janet Loop
CFO
EnerConnex, LLC
44 Portland St, 4th Floor
Worcester, MA 01608
Phone: 508-769-4929
Email: jloop@enerconnex.com**

- f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

Please see Attachment G- Tax Certification Statement (Confidential)

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.

Please see Attachment H for Applicant's previous experience in the electricity industry.

- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.

Please see Attachment I for EnerConnex's list of broker/marketer licenses in other states.

- Type of customers and number of customers Applicant currently serves in other jurisdictions.

Please see Attachment J for EnerConnex's listing of types and numbers of customers its serves in other jurisdictions.

- Staffing structure and numbers as well as employee training commitments.

Please see Attachment K for EnerConnex's staffing structure.

- Business plans for operations within the Commonwealth.

Please see Attachment L for EnerConnex's business plans for operations within PA.

- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.

EnerConnex is a member of TEPA – The Energy Professionals Association and the EPO – Energy Professionals of Ohio. Both organizations were founded to promote a standardized code of conduct among members, educate the community on energy initiatives and advocate legislative plans in deregulated markets. Both organizations are composed of Aggregators, Brokers, Consultants and Suppliers and specialize in providing market knowledge to its members to help consumers make the best energy procurement choices. In addition, EnerConnex participates in the Energy Marketing Conferences (EMC) held in Texas and New York. EMC holds the largest retail energy conferences in North America. EnerConnex moderated a panel discussion at the Fall NYC conference.

- Any other information appropriate to ensure the technical capabilities of the Applicant.

b. PROPOSED MARKETING METHOD (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

d. OVERSIGHT OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

The EnerConnex team has over 100 years of combined experience in the electricity and natural gas industry. Brian Dafferner, President, and Eric Niemeyer, Exec. VP of Sales, both hold undergraduate degrees in Marketing. In addition, Anthony Barnhart, Business Development and Sales, has over 30 years of experience in Sales and Marketing. These three gentlemen have been tasked with creating and overseeing a rigorous process involving the creation, review and dissemination of marketing materials for EnerConnex. All print, phone, online, and event marketing efforts will be performed in a manner that adheres to the highest standards. The goal of EnerConnex is to be a trusted energy advisor to its customers and it is through that lens that the company evaluates its marketing actions.

e. OFFICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Please see Attachment M for the listing of EnerConnex's chief officers and the resumes of its officers.

f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- Not applicable

9. **DISCLOSURE STATEMENTS:**

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

A Disclosure Statement is not applicable as EnerConnex is filing as an applicant as a broker/marketer.

10. **VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS**

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
- proof of registration as a PJM Load Serving Entity (LSE), or
 - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED** - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED** - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED** - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

X **AGREED**

c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx. Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

X **AGREED**

d. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

X **AGREED**

e. ANNUAL FEES: The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

X **ACKNOWLEDGED**

f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

AGREED

g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.

AGREED

k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of **\$350.00** payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

11. AFFIDAVITS

Must be notarized before filing.

- a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

Attachment N contains the notarized Application Affidavit stating that all information submitted by EnerConnex in this application is truthful and correct.

- b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

Attachment O contains the notarized affidavit stating the EnerConnex will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service.

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices K and L)

Attachment P contains the Notarized Proofs of Publication for the seven (7) newspapers listed in the above table.

13. SIGNATURE

Applicant: EnerConnex, LLC
 By: Janet Loop
 Title: CFO

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: EnerConnex, LLC

Applicant's Use	✓	Signature	
	✓	Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)	
	✓	Application Affidavit	
	✓	Operations Affidavit	
	✓	Proof of Publication	
	✓	Bond, Letter of Credit, or Parental/Affiliate Guarantee	
	✓	Tax Certification Statement	
	✓	Commonwealth Department of State Verification	
	✓	Certificate of Service	

PUC Secretary's Bureau Use



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SECRETARY'S BUREAU

ATTACHMENT A

CERTIFICATE OF REGISTRATION

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE

02/20/2019

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

EnerConnex, LLC

is duly registered to do business under the laws of the Commonwealth of Pennsylvania and remains a registered Foreign Limited Liability Company so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT this Certificate of Registration shall not imply that all fees, taxes and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written

Kathly Bookman

Acting Secretary of the Commonwealth

Certification Number: TSC190220110449-1

Verify this certificate online at <http://www.corporations.pa.gov/orders/verify>

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS
401 NORTH STREET, ROOM 206
P.O.BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.PA.GOV

Enerconnex, LLC
4140 Clark Shaw Road
Powell OH 43065

EnerConnex, LLC

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WE WOULD LIKE TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEBSITE AT www.dos.pa.gov/BusinessCharities OR YOU MAY CONTACT US BY TELEPHONE AT (717)787-1057. INFORMATION REGARDING BUSINESS AND UCC FILINGS CAN BE FOUND ON OUR SEARCHABLE DATABASE AT www.corporations.pa.gov/Search/CorpSearch .

ENTITY NUMBER : 6403795

Entity# : 6403795
Date Filed : 05/09/2016
Pedro A. Cortés
Secretary of the Commonwealth

PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

<input type="checkbox"/> Return document by mail to: Name _____ Address _____ City _____ State _____ Zip Code _____	Foreign Registration Statement TML160510JD1780
<input checked="" type="checkbox"/> Return document by email to: eniemeyer@enerconnex.com	

Read all instructions prior to completing. This form may be submitted online at <https://www.corporations.pa.gov/>.

Fee: \$250

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. § 412 (relating to foreign registration statement), the undersigned foreign association hereby states that:

1. The type of association is (check only one):

- | | | |
|---------------------------------------------------------------|------------------------------------------------------------------|---------------------------------------------------|
| <input type="checkbox"/> Business Corporation | <input type="checkbox"/> Limited Partnership | <input type="checkbox"/> Business Trust |
| <input type="checkbox"/> Nonprofit Corporation | <input type="checkbox"/> Limited Liability (General) Partnership | <input type="checkbox"/> Professional Association |
| <input checked="" type="checkbox"/> Limited Liability Company | <input type="checkbox"/> Limited Liability Limited Partnership | |

2. The full and proper name of the foreign association as registered in its jurisdiction of formation is:

EnerConnex, LLC

2A. If the name in 2 does not contain a required designator or if the name in 2 is not available for use in the Commonwealth, the alternate name under which the association is registering in this Commonwealth is:

A resolution of the governors adopting the name in 2A for use in registering to do business in this Commonwealth must be attached.

3. The jurisdiction of formation is: Ohio

4. The street and mailing address of the association's principal office.

4140 Clark Shaw Rd,	Powell,	Ohio	43065
Number and street	City	State	Zip

4A. The street and mailing address of the office, if any, required to be maintained by the law of the association's jurisdiction of formation in that jurisdiction:

Number and street	City	State	Zip
-------------------	------	-------	-----

PA DEPT. OF STATE

MAY 09 2016

5. The (a) address of the association's proposed registered office in this Commonwealth or (b) name of its Commercial Registered Office Provider and the county of venue is:

Complete part (a) OR (b) – not both:

(a) _____
 Number and street City State Zip County

OR

(b) c/o: InCorp Services, Inc. _____
 Name of Commercial Registered Office Provider Dauphin County

6. Check one of the following:

- The association may not have series.
- The association may have one or more series.

7. Effective date of registration of foreign association (check, and if appropriate complete, one of the following):

- The Foreign Registration Statement shall be effective upon filing in the Department of State.
- The Foreign Registration Statement shall be effective on: 05/09/2016 at _____
 Date (MM/DD/YYYY) Hour (if any)

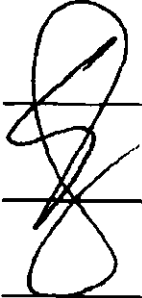
8. To be completed by Limited Liability Companies only. Check, and if appropriate complete, one of the following:

The association is a limited liability company which is not organized to render any of the below professional service(s).

The association is a restricted professional limited liability company organized to render one or more of the following professional service(s): (If this box is checked, one or more of the fields below must be checked.)

- | | | | |
|---------------------------------------|-----------------------------------------------------------|---------------------------------------------|-----------------------------------------------|
| <input type="checkbox"/> Chiropractic | <input type="checkbox"/> Dentistry | <input type="checkbox"/> Law | <input type="checkbox"/> Medicine and surgery |
| <input type="checkbox"/> Optometry | <input type="checkbox"/> Osteopathic medicine and surgery | <input type="checkbox"/> Podiatric medicine | <input type="checkbox"/> Public accounting |
| <input type="checkbox"/> Psychology | <input type="checkbox"/> Veterinary medicine | | |

IN TESTIMONY WHEREOF, the undersigned association has caused this Foreign Registration Statement to be signed by a duly authorized representative thereof this 4th day of May, 2016.



 Name of Association

_____ **Signature**

_____ **Managing Partner**

 Title



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ATTACHMENT B

ARTICLES OF ORGANIZATION
OHIO DOMESTIC LLC



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
01/28/2016	201602702710	DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG (LCP)	99.00	0.00	0.00	0.00	0.00

Receipt

This is not a bill. Please do not remit payment.

MARK E PHILLIPS
107 W JOHNSTOWN RD
GAHANNA, OH 43230

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

3856033

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

ENERCONNEX, LLC

and, that said business records show the filing and recording of:

Document(s)

DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG
Effective Date: 01/26/2016

Document No(s):

201602702710



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio this
28th day of January, A.D. 2016.

Jon Husted
Ohio Secretary of State



Form 533A Prescribed by:
Ohio Secretary of State
JON HUSTED
Ohio Secretary of State

Central Ohio: (614) 466-3910
Toll Free: (877) SOS-FILE (767-3453)
www.OhioSecretaryofState.gov
Busserv@OhioSecretaryofState.gov

Mail this form to one of the following:

Regular Filing (non expedite)
P.O. Box 670
Columbus, OH 43216

Expedite Filing (Two-business day processing
time requires an additional \$100.00).
P.O. Box 1390
Columbus, OH 43216

Articles of Organization for a Domestic Limited Liability Company

Filing Fee: \$125

CHECK ONLY ONE (1) BOX

(1) Articles of Organization for Domestic
For-Profit Limited Liability Company
(115-LCA)

(2) Articles of Organization for Domestic
Nonprofit Limited Liability Company
(115-LCA)

Name of Limited Liability Company

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd."

Effective Date
(Optional)

mm/dd/yyyy

(The legal existence of the limited liability company begins upon the filing
of the articles or on a later date specified that is not more than ninety days
after filing)

This limited liability company shall exist for
(Optional)

Period of Existence

Purpose
(Optional)

Note for Nonprofit LLCs

The Secretary of State does not grant tax exempt status. Filing with our office is not sufficient to obtain state or federal tax exemptions. Contact the Ohio Department of Taxation and the Internal Revenue Service to ensure that the nonprofit limited liability company secures the proper state and federal tax exemptions. These agencies may require that a purpose clause be provided.

ORIGINAL APPOINTMENT OF AGENT

The undersigned authorized member(s), manager(s) or representative(s) of

EnerConnex, LLC

Name of Limited Liability Company

hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is

Mark E. Phillips

Name of Agent

107 W. Johnstown Road

Mailing Address

Gahanna

City

Ohio

State

43230

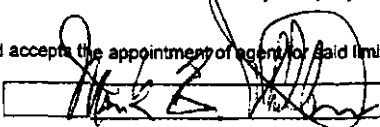
ZIP Code

ACCEPTANCE OF APPOINTMENT

The undersigned, Mark E. Phillips named herein as the statutory agent
Statutory Agent Name

for EnerConnex, LLC
Name of Limited Liability Company

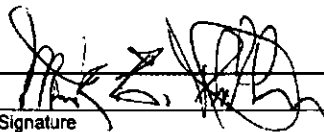
hereby acknowledges and accepts the appointment of agent for said limited liability company

Statutory Agent Signature 
Individual Agent's Signature / Signature on Behalf of Business Serving as Agent

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required

Articles and original appointment of agent must be signed by a member, manager or other representative.


Signature

By (if applicable)

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

Mark E. Phillips
Print Name

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name



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ATTACHMENT C

CORPORATE OFFICERS



ATTACHMENT C

Primary Listing of Corporate Officers for EnerConnex, LLC

<u>Member</u>	<u>Address</u>	<u>Title</u>
Brian Dafferner	44 Portland Street, Worcester, MA 01608	President
Eric Niemeyer	4140 Clark Shaw Road Powell, OH 43065	Exec VP of Sales
Tony Barnhart	4140 Clark Shaw Road Powell, OH 43065	VP of Business Development
Janet Loop	44 Portland Street, Worcester, MA 01608	Chief Fiscal Officer
Vitana Melesiute	44 Portland Street, Worcester, MA 01608	VP of Energy Markets



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ATTACHMENT E

BOND

ATTACHMENT E

PROVIDED TO COMMISSION UNDER CONFIDENTIAL SEAL

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ATTACHMENT F
FINANCIAL INFORMATION

ATTACHMENT F

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ATTACHMENT G

TAX CERTIFICATION STATEMENT

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ATTACHMENT H

PREVIOUS EXPERIENCE



Technical and Managerial Competency

Technical Competency:

Since its creation in January 2016, EnerConnex has assisted customers with their energy buying decisions in Ohio, Illinois, Maryland, Massachusetts, New Jersey, New York, Texas and CT. As of Feb 28, 2019, EnerConnex, LLC has \$3.5 million in electricity contracts under management in these states.

EnerConnex has partnered with the most reliable and financially secure suppliers in order to provide its customers with the best terms and pricing options. Customers executed contracts directly with a supplier once they chose a term and price. EnerConnex reviewed the contracts with the customers so they understood what they were signing.

EnerConnex does not trade or take title or ownership of the electricity commodity. EnerConnex remains supplier neutral and does not have an exclusive relationship with any supplier or group of suppliers. EnerConnex does not bill the customers directly for brokerage services. The EnerConnex fee is paid by the supplier.

After a contract is signed, EnerConnex is available to the customer for questions and to handle any issues that may arise over the life of the contract. The Managing Partners use their experience and relationships with suppliers to quickly resolve all customer inquiries.

EnerConnex provides its customers with an end to end procurement process that includes managing the customer's procurement needs, providing market intelligence, developing requests for pricing, analyzing the pricing once it is received, assisting customers through the contracting process and tracking customers' energy contracts and usage history. In addition, EnerConnex will assist customers with the analysis of energy efficiency projects, alternative energy opportunities, lighting and HVAC retrofits, distributed generation projects, demand response programs, and other means that may reduce the overall usage and cost of energy to a customer. EnerConnex has partnered with established companies in the arenas of energy efficiency, lighting and HVAC retrofits, alternative energy sources, and the purchase or renewables sources or credits.

Please see the marketing materials in Attachment L – Business Plans for Pennsylvania – this will give you an idea of the procurement services EnerConnex provides.



EnerConnex intends to provide the same service that it has provided in Ohio, Illinois, Massachusetts, Maryland, New Jersey, New York, Texas and Connecticut to the commercial, industrial and government customers of Pennsylvania.

Managerial Competency:

In terms of Managerial Competency, the resumes for the owners and key employees can be found at the back of this attachment along with a corporate organization chart as of February 28, 2019. The owners and key employees have over 100 years of combined experience in the energy industry and below is a summary of their qualifications.

Brian Dafferner, who is the President of EnerConnex, is an established retail energy sales leader with over nineteen years of experience in the industry working in various roles for energy suppliers and brokerage firms. Helped found, build, oversee and eventually sell an energy brokerage company with over 10M in annual sales. Involved in energy procurement, efficiency, and sustainability services for large commercial and industrial customers since California deregulated in 1998.

Brian started his career within the retail energy sector in a sales role at Reliant Energy in 1998. After subsequent stops at Enron and Shell Trading, he helped to launch GSE Consulting in 2002 where he served as President for over nine years. GSE Consulting was eventually sold to World Energy Solutions in late 2011.

One of the founding members of TEPA (The Energy Professionals Association) formed in 2005, a 501 (c) organization specializing in providing market knowledge to energy consumers while upholding the integrity of the deregulated retail energy markets. Helped develop a standardized code of conduct and bylaws for member aggregators, brokers and consultants. Received a Bachelors in Business Administration at the University of Colorado in Boulder.

Eric Niemeyer, who is Exec VP of Sales, is an accomplished retail energy sales leader with 20+ years of experience in developing long term business-to-business relationships. He has worked in numerous leadership roles for energy suppliers and brokerage companies while maintaining one of the largest books of business in the industry. Areas of expertise include; electricity and natural gas procurement, energy conservation, demand response, and distributed generation.

Eric began his career in the energy industry at Enron Energy Services working in various sales roles. As Director of Sales he was responsible for growing new and emerging markets and maximizing electricity & natural gas market share. Eric joined Energy Gateway in 2002 where he was a Managing Partner & Vice President. Eric was instrumental in helping to build the company and contributed to creating one of the very first online exchanges for electricity and natural gas transactions. In 2007 Energy Gateway was acquired by World Energy Solutions. During his eight years at World Energy Solutions, Eric was VP of Sales where he continued in developing relationships with his customers – some of whom he had worked with for over a decade and a half.



Eric firmly believes in taking care of the customer which has translated into firm and longstanding relationships over the years. He has brought this same customer-centric approach with him in his role as Managing Partner at EnerConnex.

Janet Loop, is the Chief Fiscal Officer and acts as VP of Energy Markets, is an energy industry veteran with over 30 years of experience in the electricity and natural gas markets. She has been involved in utility rate/tariff design, energy efficiency program implementation, de-regulation rule setting, regulatory compliance and most importantly electricity and natural gas procurement.

Janet began her career working for Boston Edison (now known as Eversource Energy) where she learned the intricacies of the retail energy markets including; pricing design, regulatory compliance, energy efficiency programs along with the workings of unregulated subsidiaries. In 2001, she joined SmartEnergy, an electricity supply leader in the early days of deregulation. It was here that Janet became versed in electricity and natural gas procurement. In 2004, Janet joined World Energy Solutions as a Market Director. During her 12 years with World Energy Solutions, Janet procured energy for customers in the NY, New England, PJM, Texas and Canadian markets. She handled one of the largest back office operations books in the industry while implementing a proactive, educational, and customer-centric procurement practice. While with World Energy Solutions, Janet handled the electricity and natural gas procurements for the Commonwealth of MA, the Commonwealth of PA, and GSA's New England and New York regions. In addition, her regulatory experience with Boston Edison, allowed Janet to create all of the regulatory filings needed for World Energy Solutions to obtain and maintain its broker licenses across multiple jurisdictions.

Vitana Melesiute, is the chief VP of Energy Markets, and Vitana is an established leader in the retail energy industry with more than 17 years of experience marketing energy commodity products and services to large C&I customers. She has worked with Fortune 500 companies in developing customized strategies for energy procurement, demand side management, and energy efficiency planning and implementation.

Prior to joining EnerConnex, Vitana worked in various roles at World Energy Solutions, a leading energy brokerage firm that pioneered the use of the auction platform. Since inception, she was an integral part of World Energy's evolution into one of the largest energy brokerage companies in the country. Vitana was the first employee hired by the founder of World Energy Solutions and helped to build it into a publicly traded company with over 120 employees when it was sold to EnerNOC in 2015. Vitana directed World Energy's new market entrance program, while transacting over 50 TWh in energy contracts for commercial, industrial, and government clients. Vitana trained numerous sales and analyst personnel in the intricacies of the energy markets and the energy brokerage world. As a Market Director over the PJM, MISO, NYISO, CAISO and ERCOT markets, her extensive market expertise enabled her to drive customer success by taking advantage of market opportunities while advocating on behalf of the client.



While with World Energy Solutions, Vitana handled procurements for the State of Maryland (DGS), Montgomery County Public Schools, and Adventist Healthcare.

Tony Barnhart, is the VP of Business Development. Tony is a proven leader with nearly thirty years in the retail and wholesale energy markets. Serving in various roles in Executive Management, Sales, Regulatory and General Management, he has dedicated his career to assisting utilities, industrial and commercial customers manage energy requirements enabling them to reduce costs and mitigate risk.

Throughout his career, Tony has held senior executive roles at several leading energy companies; from start-ups with successful exits, to major firms leading a divestiture. He has in-depth experience on both the supply side and brokerage side of the business. Tony helped co-found and grow a retail energy supplier that eventually was acquired by a larger supplier. He also helped build an energy brokerage company as a member of the leadership team tripling the company's energy procurement revenues while broadening its service offerings and later positioning the company for acquisition at 18x EBITDA. More recently, Tony helped lead an Australian energy software company's US entry by establishing organic sales while acquiring an energy efficiency rebate management firm. Under his leadership, the firm went from negative to positive earnings in less than a year.



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ATTACHMENT I

LICENSES IN OTHER STATES



**EnerConnex
Broker Licenses in Various States**

State	Commodity	License Number
OH	Electric Broker	16-1091E(2)
OH	Nat Gas Broker	16-525G(2)
IL	Electric Broker	16-0543
MA	Electric Broker	EB-390
MA	Retail Agent Nat Gas	RA-172
MD	Electric Broker	IR-4132
MD	Nat Gas Broker	IR-4131
NH	Nat Gas Broker	DM 18-170
NJ	Energy Agent	EA-0452
NJ	Energy Consultant	EC-0147
NJ	Private Aggregator	PA-0200
CT	Electric Broker	Not Needed
CT	Nat Gas Broker	Not Needed
IN	Nat Gas Broker	Not Needed
KY	Nat Gas Broker	Not Needed
NY	Electric Broker	Not Needed
MI	Electric Broker	Not Needed
MI	Nat Gas Broker	Not Needed
NY	Nat Gas Broker	Not Needed
RI	Electric Broker	Not Needed
RI	Nat Gas Broker	Not Needed
TX	Electric Broker	Not Needed

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION

EnerConnex, LLC :
: :
Application for Licensure of : **16-0543**
Agents, Brokers and Consultants under :
Section 16-115C of the Public Utilities Act. :

ORDER

By the Commission:

I. INTRODUCTION

On November 10, 2016, EnerConnex, LLC ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker, or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454, "Licensure of Retail Electric Agents, Brokers and Consultants" ("Part 454"). Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois. Since all of the information to grant the requested relief was contained in the application, the hearing was waived.

II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of Ohio and authorized to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data. The Applicant has also attested that no complaints have been filed against it for its provision of services in the electric or gas industry in the jurisdictions where it provides or is seeking to provide services.

III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Applicant is required by Section 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Section 454.60 through Attachment C to the application. Attachment C to the application contains a corporate organizational chart and identifies the occupational background information of the persons being used to meet the requirements of Section 454.60(a). Attachment C contains occupational background information on the person or persons being used to meet the requirements of Section 454.60(a).

Applicant is required by Section 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Section 454.70 with the information provided in Attachment D. Attachment D contains occupational background information on the person or persons being used to meet the requirements of Section 454.70(a).

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the application and attachments provided by Applicant regarding the technical, managerial, and financial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements. The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that EnerConnex, LLC is granted service authority to operate as an agent, broker, or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) EnerConnex, LLC, a business organized under the laws of Ohio and authorized to transact business in Illinois, seeks a certificate of service authority to operate as an ABC under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) EnerConnex, LLC has demonstrated that it possesses sufficient financial, managerial, and technical resources and abilities to provide services as an ABC for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) EnerConnex, LLC has complied with Section 16-115C of the Act and Part 454; and
- (6) EnerConnex, LLC should be granted a certificate of service authority to operate as an ABC as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that EnerConnex, LLC is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker, or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that EnerConnex, LLC shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 Ill. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By Order of the Commission this 20th day of December, 2016.

(SIGNED) BRIEN SHEAHAN

Chairman



THE COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER
GOVERNOR

KARYN E. POLIHO
LIEUTENANT GOVERNOR

MATTHEW A. BEATON
SECRETARY OF ENERGY
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION
BOSTON, MA 02110
(617) 305-3500

ANGELA M. O'CONNOR
CHAIRMAN

ROBERT E. HAYDEN
COMMISSIONER

CECILE M. FRASER
COMMISSIONER

January 4, 2019

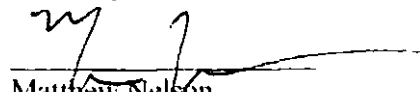
Janet Loop, CFO & Managing Partner
EnerConnex, LLC
44 Portland St., 4th Floor
Worcester, MA 01608

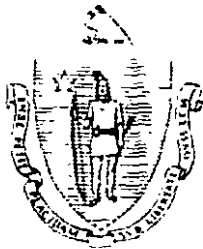
Dear Ms. Loop,

The Department of Public Utilities ("Department") has reviewed EnerConnex, LLC's ("Company") application for renewal of its **Electricity Broker License, EB-390**, and is pleased to inform you that the Company's application for renewal has been approved.

As a condition of maintaining this license, the Company must file updated information within 30 days of any material or organic change in the information required by 220 CMR 11.05(2), and must comply with all relevant requirements of G.L. c. 164, and the regulations promulgated thereunder, including 220 CMR 11.00, 12.00. Consistent with the information included with the Company's application, the activities the Company is licensed to provide are limited to electricity broker services to commercial and industrial customers. If, at a later date, the Company seeks to provide electricity broker services to residential customers, it must first seek and obtain Department approval at the time of renewal of its license. If the Company decides to request renewal of its license next year, please submit the renewal application no later than **December 1, 2019**. The renewal application is available at: <https://www.mass.gov/information-for-competitive-suppliers-and-electricity-brokers>.

Sincerely,


Matthew Nelson
Director, Electric Power Division



THE COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER
GOVERNOR

KARYN E. POLITO
LIEUTENANT GOVERNOR

MATTHEW A. BEATON
SECRETARY OF ENERGY
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION
BOSTON, MA 02110
(617) 305-3500

ANGELA M. O'CONNOR
CHAIRMAN

ROBERT E. HAYDEN
COMMISSIONER

CECILE M. FRASER
COMMISSIONER

November 21, 2018

Janet Loop
EnerConnex, LLC
44 Portland Street, 4th Floor
Worcester, MA 01608

Ms. Loop,

The Department of Public Utilities has reviewed EnerConnex, LLC's ("EnerConnex") renewal application for its Gas Retail Agent license to serve commercial and industrial customers in the Commonwealth of Massachusetts. I am pleased to inform you that your renewal application has been approved. EnerConnex's license number is RA-172.

As a condition of maintaining its license, EnerConnex must file updated information within 30 days of any material change in the information required by 220 CMR 14.04(2), and must comply with all relevant requirements of G.L. c. 164, and the regulations promulgated thereunder, including 220 CMR 12.00, 14.00. If you decide to request a renewal of EnerConnex's license next year, please submit a renewal application no later than December 1, 2019.

Sincerely,

A handwritten signature in black ink that reads "George Yiankos".

George Yiankos, Director, Gas Division

COMMISSIONERS

JASON M. STANEK
CHAIRMAN

MICHAEL T. RICHARD
ANTHONY J. O'DONNELL
ODOGWU OBI LINTON
MINDY L. HERMAN

STATE OF MARYLAND



PUBLIC SERVICE COMMISSION

#6, 10/31/18 AM; ML# 221879, IR-4132

October 31, 2018

Janet Loop
Chief Fiscal Officer
EnerConnex, LLC
44 Portland St., 4th Floor
Worcester, MA 01608

Dear Ms. Loop:

On August 28, 2018, EnerConnex, LLC ("Company") filed an Application for a License to Supply Electricity or Electric Supply Services in Maryland under COMAR 20.54. The Company proposes to provide electricity supply services in Maryland for commercial and industrial customers as described in the application.

After considering this matter at the October 31, 2018 Administrative Meeting, the Commission granted the Company a license to supply electricity or electric supply services in Maryland (License Reference Number IR-4132). The license granted by the Commission under this Letter Order is limited solely to electricity supplier broker and aggregator services for the customer classes and service territories applied for and recommended by Staff.

Finally, the Company is directed to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

A handwritten signature in black ink, appearing to read "Terry J. Romine".

Terry J. Romine
Executive Secretary

TJR/st

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAULI STREET • BALTIMORE, MARYLAND 21202-6806

410-767-8000 • Toll Free: 1-800-492-0474 • FAX: 410-333-6495
MDRS: 1-800-735-2258 (TTY/Voice) • Website: www.psc.state.md.us/psc/

COMMISSIONERS

JASON M. STANEK
CHAIRMAN

MICHAEL T. RICHARD
ANTHONY J. O'DONNELL
ODOGWU OBI LINTON
MINDY L. HERMAN

STATE OF MARYLAND



PUBLIC SERVICE COMMISSION

#5, 10/31/18 AM; ML# 221877, IR-4131

October 31, 2018

Janet Loop
Chief Fiscal Officer
EnerConnex, LLC
44 Portland St., 4th Floor
Worcester, MA 01608

Dear Ms. Loop:

On August 29, 2018, EnerConnex, LLC ("Company") filed an Application for a License to Supply Natural Gas or Natural Gas Supply Services in Maryland under COMAR 20.54. The Company proposes to provide natural gas supply services in Maryland for commercial and industrial customers as described in the application.

After considering this matter at the October 31, 2018 Administrative Meeting, the Commission granted the Company a license to supply natural gas or natural gas supply services in Maryland (License Reference Number IR-4131). The license granted by the Commission under this Letter Order is limited solely to natural gas supplier broker and aggregator services for the customer classes and service territories applied for and recommended by Staff.

Finally, the Company is directed to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

A handwritten signature in black ink, appearing to read "Terry J. Romine".

Terry J. Romine
Executive Secretary

TJR/st

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAUL STREET • BALTIMORE, MARYLAND 21202-6806

410-767-8000 • Toll Free: 1-800-492-0474 • FAX: 410-333-6495
MDRS: 1-800-735-2258 (TTY/Voice) • Website: www.psc.state.md.us/psc/

THE STATE OF NEW HAMPSHIRE

CHAIRMAN
Martin P. Honigberg

COMMISSIONERS
Kathryn M. Bailey
Michael S. Giaimo

EXECUTIVE DIRECTOR
Debra A. Howland



PUBLIC UTILITIES COMMISSION

21 S. Fruit Street, Suite 10
Concord, N.H. 03301-2429
November 7, 2018

TDD Access: Relay NH
1-800-735-2964

Tel. (603) 271-2431

FAX (603) 271-3878

Website:
www.puc.nh.gov

Janet Loop, Chief Fiscal Officer
EnerConnex, LLC
44 Portland Street, 4th Floor
Worcester, MA 01608

Re: DM 18-170, EnerConnex, LLC
Application to Register as Provider of Natural Gas Aggregation Service

Dear Ms. Loop:

On November 1, 2018, EnerConnex, LLC (EnerConnex) submitted an application with the Commission to register as a provider of natural gas aggregation service.

Commission Staff filed a memorandum on November 6, 2018, in which it confirmed its review of the application and its conclusion that the application meets the requirements for registration under N.H. Code Admin. Rules Puc 3000. Staff recommended that EnerConnex's registration application be approved for a two-year term.

The Commission has approved Staff's recommendation. Accordingly, EnerConnex's application for registration is approved, effective as of November 7, 2018. The registration is for a term of two years, and expires at the close of business on November 7, 2020. Pursuant to Puc 3003.05(a), you must submit a renewal application at least 60 days prior to the expiration of the approved registration term, on or before September 8, 2020.

Please be aware that registered providers of natural gas aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 3000 – Competitive Natural Gas Supplier and Aggregator Rules. These rules are available at:
<http://www.puc.nh.gov/Regulatory/Rules/PUC3000.pdf>.

Please note that each registered natural gas aggregator is subject to an annual assessment of \$2,000, unless it demonstrates that its gross revenue in New Hampshire during the preceding fiscal year (from July 1 through June 30) was less than \$10,000, pursuant to RSA 363-A:2, III and 5.

Sincerely,

A handwritten signature in cursive script that reads "Debra A. Howland".

Debra A. Howland
Executive Director

cc: Service List
Docket File



Philip D. Murphy
Governor

Sheila Y. Oliver
Lt. Governor

STATE OF NEW JERSEY
Board of Public Utilities
44 South Clinton Avenue, 3rd Floor, Suite 314
Post Office Box 350
Trenton, New Jersey 08625-0350
www.nj.gov/bpu/

Joseph L. Fiordaliso
President

Aida Camacho-Welch
Secretary of the Board

February 27, 2019

Janet Loop
Managing Member, CFO
EnerConnex, LLC
44 Portland St, 4th Floor
Worcester, MA 01608

Re: **Energy Agent, Private Aggregator, and Energy Consultant Renewal Registrations**
Docket Nos. EE18080921L & GE18080922L

Dear Ms. Loop:

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its February 27, 2019 Agenda meeting, the New Jersey Board of Public Utilities renewed the **REGISTRATIONS**, numbers EA-0452, PA-0200, and EC-0147, as an Energy Agent, Private Aggregator, and Energy Consultant issued to EnerConnex, LLC.

The enclosed registrations are effective February 27, 2019 and will expire on February 26, 2020. These registrations and the rights thereunder are **Non-Transferable**.

This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.

If you have any questions, please contact Darren Erbe at (609) 292-1406.

Sincerely,

A handwritten signature in cursive script that reads "Aida Camacho Welch".

Aida Camacho-Welch
Secretary of the Board

ACW/dee
Encl.(s)

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

HEREBY REGISTERS

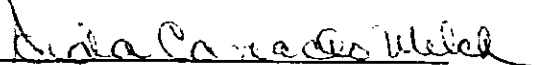
EnerConnex, LLC

1300 Route 73 South, Suite 308
Mount Laurel, New Jersey 08054

To conduct business in the State of New Jersey as an

Energy Consultant




Aida Camacho-Welch
Secretary of the Board

Registration No. EC-0147
Effective Date: February 27, 2019
Expiration Date: February 26, 2020

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

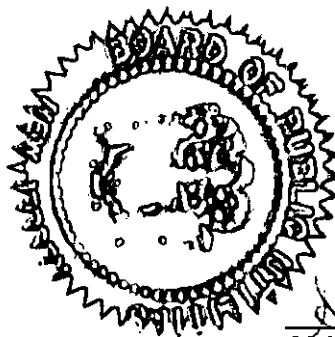
HEREBY REGISTERS

EnerConnex, LLC

1300 Route 73 South, Suite 308
Mount Laurel, New Jersey 08054

To conduct business in the State of New Jersey as an

Energy Agent



Aida Camacho-Welch
Aida Camacho-Welch
Secretary of the Board

Registration No. EA-0452
Effective Date: February 27, 2019
Expiration Date: February 26, 2020

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

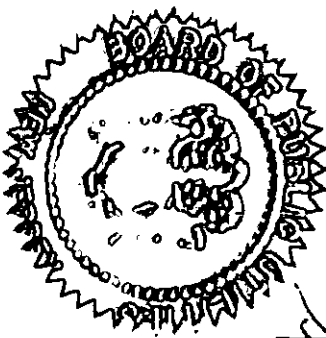
HEREBY REGISTERS

EnerConnex, LLC

1300 Route 73 South, Suite 308
Mount Laurel, New Jersey 08054

To conduct business in the State of New Jersey as a

Private Aggregator




Aida Camacho-Welch
Secretary of the Board

Registration No. PA-0200
Effective Date: February 27, 2019
Expiration Date: February 26, 2020

Certification Welcome Letter

Your application for certification as a Competitive Retail Electric Service (CRES) or Competitive Retail Natural Gas Service (CRNGS) supplier, broker/aggregator, or governmental aggregation has been approved by the PUCO. Included is a copy of your certificate.

With your certification to operate in Ohio come certain requirements and responsibilities that you will need to manage and complete in order to keep and maintain your certification.

Certification Renewal

As is noted in the instructions of the Initial Certification Application you filed, you are required to renew your certification every two years. By rule, you must submit your renewal application **no less than thirty and no more than sixty calendar days prior to the expiration date indicated on your certificate.**

Note that failure to file your application within the guidelines of the rule will lead to additional steps required to be completed in order to renew your certification, or potential cancellation of your current certification.

The forms for submitting your electric or natural gas renewal applications are available on our website under the Industry tabs for Electric and Natural Gas on our Home Page at: <http://www.puco.ohio.gov/>

Material Change Notification

If there are any changes to the information you supplied in your application, especially changes to the contact information of regulatory contact or staff contact for customer complaints, per Ohio Administrative Code rules §4901:1-24-11 and §4901:1-27-11, you are required to file with the Commission a material change notification within 30 days of the change.

Annual Report Filing

You are also required to submit an annual report to the Commission and pay an annual assessment. Note that separate reports and assessments are required for electric and natural gas certifications.

This requirement is effective the year following the issuance of your certification. The filing deadline for submitting your annual reports is May 31 of that year, as directed in an order issued by the Commission.

Information on how to file the annual report is available on our website at the Annual Reports link under the Documents and Rule section on our Home Page.

Renewable Energy Portfolio Standards

Power marketers and retail electric generation providers should also be aware of Ohio's renewable portfolio standard, and the required annual payment for noncompliance with any renewable energy resource benchmark. See the *Ohio's renewable energy portfolio standard* link under the Industry tab for Electric on our Home Page.

Market Monitoring Reporting

The purpose of these market monitoring requirements is to assist the PUCO in determining the extent to which effective competition exists for a competitive retail electric service. Certified retail electric service power marketers, power brokers, aggregators or independent power producers/distributed generators are required to submit monthly marketing monitoring data on a quarterly basis per the requirements set forth in rule §4901:1-25-02(A)(2). See the *Electric market monitoring reporting forms* link under the Industry tab for Electric on our Home Page for the forms and instructions for reporting the data.

The Public Utilities Commission of Ohio
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate
Renewal

Issued pursuant to Case Number(s):
16-1177-GA-AGG

is
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number:
16-525G(2)

Granted to:
EnerConnex, LLC

Whose office or principal place of business is located at:
4140 Clark Shaw Road, Powell, Ohio 43065

And is hereby certified to provide:
Retail Natural Gas Aggregator/Broker Services
within the state of Ohio, for a two-year period.

Certification Effective:
June 24, 2018 through June 24, 2020

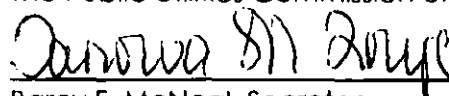
The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio
Dated: **June 26, 2018**

By Order of
The Public Utilities Commission of Ohio



Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Susan Patterson, Acting Secretary
Beverly Hoskinson, Acting Secretary

Certification Welcome Letter

Your application for certification as a Competitive Retail Electric Service (CRES) or Competitive Retail Natural Gas Service (CRNGS) supplier, broker/aggregator, or governmental aggregation has been approved by the PUCO. Included is a copy of your certificate.

With your certification to operate in Ohio come certain requirements and responsibilities that you will need to manage and complete in order to keep and maintain your certification.

Certification Renewal

As is noted in the instructions of the Initial Certification Application you filed, you are required to renew your certification every two years. By rule, you must submit your renewal application ***no less than thirty and no more than sixty calendar days prior to the expiration date indicated on your certificate.***

Note that failure to file your application within the guidelines of the rule will lead to additional steps required to be completed in order to renew your certification, or potential cancellation of your current certification.

The forms for submitting your electric or natural gas renewal applications are available on our website under the Industry tabs for Electric and Natural Gas on our Home Page at: <http://www.puco.ohio.gov/>

Material Change Notification

If there are any changes to the information you supplied in your application, especially changes to the contact information of regulatory contact or staff contact for customer complaints, per Ohio Administrative Code rules §4901:1-24-11 and §4901:1-27-11, you are required to file with the Commission a material change notification within 30 days of the change.

Annual Report Filing

You are also required to submit an annual report to the Commission and pay an annual assessment. Note that separate reports and assessments are required for electric and natural gas certifications.

This requirement is effective the year following the issuance of your certification. The filing deadline for submitting your annual reports is May 31 of that year, as directed in an order issued by the Commission.

Information on how to file the annual report is available on our website at the Annual Reports link under the Documents and Rule section on our Home Page.

Renewable Energy Portfolio Standards

Power marketers and retail electric generation providers should also be aware of Ohio's renewable portfolio standard, and the required annual payment for noncompliance with any renewable energy resource benchmark. See the *Ohio's renewable energy portfolio standard* link under the Industry tab for Electric on our Home Page.

Market Monitoring Reporting

The purpose of these market monitoring requirements is to assist the PUCO in determining the extent to which effective competition exists for a competitive retail electric service. Certified retail electric service power marketers, power brokers, aggregators or independent power producers/distributed generators are required to submit monthly marketing monitoring data on a quarterly basis per the requirements set forth in rule §4901:1-25-02(A)(2). See the *Electric market monitoring reporting forms* link under the Industry tab for Electric on our Home Page for the forms and instructions for reporting the data.



**Public Utilities
Commission**

Asim Z. Haque, Chairman

Commissioners
M. Beth Trombold
Thomas W. Johnson
Lawrence K. Friedeman
Daniel R. Conway

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL

Certificate Number:

16-1091E (2)

Issued Pursuant to Case Number(s):

16-1176-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **Enconex LLC** whose office or principal place of business is located at **4140 Clark Shaw Rd., Powell, OH 45065-8086** to provide **aggregation and power broker** services within the State of Ohio effective **June 24, 2018**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: June 26, 2018

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Susan Patterson, Acting Secretary
Beverly Hoskinson, Acting Secretary

Certificate Expires: June 24, 2020

Certification Welcome Letter

Your application for certification as a Competitive Retail Electric Service (CRES) or Competitive Retail Natural Gas Service (CRNGS) supplier, broker/aggregator, or governmental aggregation has been approved by the PUCO. Included is a copy of your certificate.

With your certification to operate in Ohio come certain requirements and responsibilities that you will need to manage and complete in order to keep and maintain your certification.

Certification Renewal

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Note that failure to file your application within the guidelines of the rule will lead to additional steps required to be completed in order to renew your certification, or potential cancellation of your current certification.

The forms for submitting your electric or natural gas renewal applications are available on our website under the Industry tabs for Electric and Natural Gas on our Home Page at: <http://www.puco.ohio.gov/>

Material Change Notification

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Annual Report Filing

You are also required to submit an annual report to the Commission and pay an annual assessment. Note that separate reports and assessments are required for electric and natural gas certifications.

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Renewable Energy Portfolio Standards

Power marketers and retail electric generation providers should also be aware of Ohio's renewable portfolio standard, and the required annual payment for noncompliance with any renewable energy resource benchmark. See the *Ohio's renewable energy portfolio standard* link under the Industry tab for Electric on our Home Page.

Market Monitoring Reporting

The purpose of these market monitoring requirements is to assist the PUCO in determining the extent to which effective competition exists for a competitive retail electric service. Certified retail electric service power marketers, power brokers, aggregators or independent power producers/distributed generators are required to submit monthly marketing monitoring data on a quarterly basis per the requirements set forth in rule §4901:1-25-02(A)(2). See the *Electric market monitoring reporting forms* link under the Industry tab for Electric on our Home Page for the forms and instructions for reporting the data.

The Public Utilities Commission of Ohio
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate
Renewal

Issued pursuant to Case Number(s):
16-1177-GA-AGG

is
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number:
16-525G(2)

Granted to:
EnerConnex, LLC

Whose office or principal place of business is located at:
4140 Clark Shaw Road, Powell, Ohio 43065

And is hereby certified to provide:
Retail Natural Gas Aggregator/Broker Services
within the state of Ohio, for a two-year period.

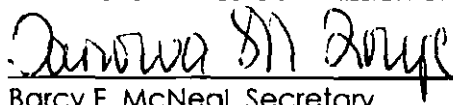
Certification Effective:
June 24, 2018 through June 24, 2020

The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio
Dated: **June 26, 2018**

By Order of
The Public Utilities Commission of Ohio

Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Susan Patterson, Acting Secretary
Beverly Hoskinson, Acting Secretary

Certification Welcome Letter

Your application for certification as a Competitive Retail Electric Service (CRES) or Competitive Retail Natural Gas Service (CRNGS) supplier, broker/aggregator, or governmental aggregation has been approved by the PUCO. Included is a copy of your certificate.

With your certification to operate in Ohio come certain requirements and responsibilities that you will need to manage and complete in order to keep and maintain your certification.

Certification Renewal

As is noted in the instructions of the Initial Certification Application you filed, you are required to renew your certification every two years. By rule, you must submit your renewal application ***no less than thirty and no more than sixty calendar days prior to the expiration date indicated on your certificate.***

Note that failure to file your application within the guidelines of the rule will lead to additional steps required to be completed in order to renew your certification, or potential cancellation of your current certification.

The forms for submitting your electric or natural gas renewal applications are available on our website under the Industry tabs for Electric and Natural Gas on our Home Page at: <http://www.puco.ohio.gov/>

Material Change Notification

If there are any changes to the information you supplied in your application, especially changes to the contact information of regulatory contact or staff contact for customer complaints, per Ohio Administrative Code rules §4901:1-24-11 and §4901:1-27-11, you are required to file with the Commission a material change notification within 30 days of the change.

Annual Report Filing

You are also required to submit an annual report to the Commission and pay an annual assessment. Note that separate reports and assessments are required for electric and natural gas certifications.

This requirement is effective the year following the issuance of your certification. The filing deadline for submitting your annual reports is May 31 of that year, as directed in an order issued by the Commission.

Information on how to file the annual report is available on our website at the Annual Reports link under the Documents and Rule section on our Home Page.

Renewable Energy Portfolio Standards

Power marketers and retail electric generation providers should also be aware of Ohio's renewable portfolio standard, and the required annual payment for noncompliance with any renewable energy resource benchmark. See the *Ohio's renewable energy portfolio standard* link under the Industry tab for Electric on our Home Page.

Market Monitoring Reporting

The purpose of these market monitoring requirements is to assist the PUCO in determining the extent to which effective competition exists for a competitive retail electric service. Certified retail electric service power marketers, power brokers, aggregators or independent power producers/distributed generators are required to submit monthly marketing monitoring data on a quarterly basis per the requirements set forth in rule §4901:1-25-02(A)(2). See the *Electric market monitoring reporting forms* link under the Industry tab for Electric on our Home Page for the forms and instructions for reporting the data.



**Public Utilities
Commission**

Asim Z. Haque, Chairman

Commissioners
M. Beth Trombold
Thomas W. Johnson
Lawrence K. Friedeman
Daniel R. Conway

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL

Certificate Number:

16-1091E (2)

Issued Pursuant to Case Number(s):

16-1176-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **EnerConnex LLC** whose office or principal place of business is located at **4140 Clark Shaw Rd., Powell, OH 45065-8086** to provide **aggregation and power broker** services within the State of Ohio effective **June 24, 2018**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: June 26, 2018

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Susan Patterson, Acting Secretary
Beverly Hoskinson, Acting Secretary

Certificate Expires: June 24, 2020



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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ATTACHMENT J

NUMBER & TYPES OF CUSTOMERS



**ENERCONNEX
NUMBERS & TYPES OF CUSTOMERS**

Electric

2016	Customer	Type	Meters	Annual kWh
	MA	1 Industrial	13	24,616,833
	OH	18 Comm & Industrial	60	102,926,000
	TX	4 Comm & Industrial	10	25,126,000

Electric

2017	Customer	Type	Meters	Annual kWh
	CT	1 Commercial	1	2,419,000
	IL	2 Commercial	8	4,063,000
	MA	2 Commercial	4	4,037,000
	NY	2 Comm & Industrial	3	16,231,000
	OH	13 Comm & Industrial	49	168,599,000
	TX	14 Comm & Industrial	59	38,606,000

Electric

2018	Customer	Type	Meters	Annual kWh
	CT	2 Commercial	5	404,847
	IL	1 Govt	10	2,009,450
	MA	1 Industrial	13	24,616,000
	NY	1 Commercial	1	1,725,600
	OH	22 Comm & Industrial	166	335,409,000
	TX	33 Comm & Industrial	163	95,696,385

Electric

Thru Feb 2019	Customer	Type	Meters	Annual kWh
	IL	1 Commercial	4	3,457,332
	MA	2 Commercial	4	1,756,942
	MD	1 Commercial	4	659,136
	NJ	14 Commercial	37	5,252,795
	OH	1 Commercial	1	302,480
	TX	9 Commercial	31	18,202,000



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SECRETARY'S BUREAU

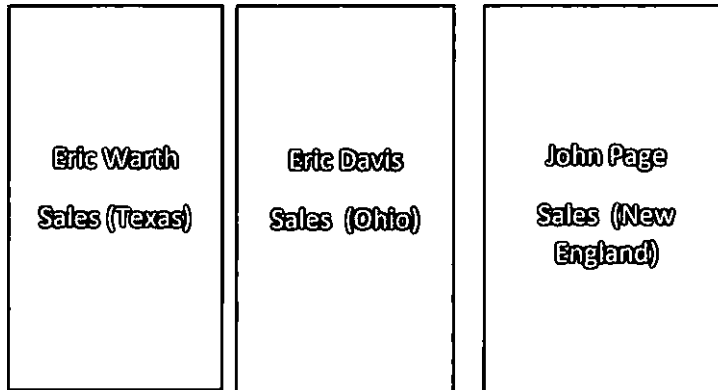
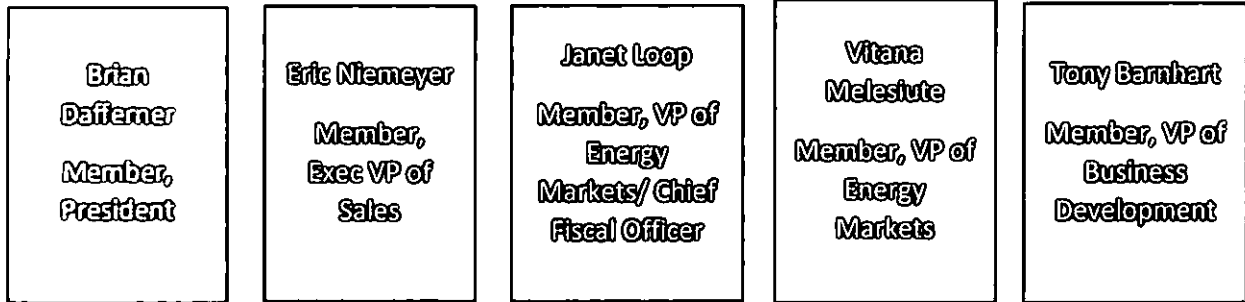
ATTACHMENT K
STAFFING STRUCTURE



Organization Chart

March 2019

EnerConnex only has 8 employees, 5 of which are also Members of the Limited Liability Company.





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SECRETARY'S BUREAU

ATTACHMENT L

BUSINESS PLANS FOR PA



EnerConnex Business Plans for PA

EnerConnex, LLC (ECX) will provide its customers with an end to end procurement process that includes managing the customer's procurement needs, providing market intelligence, developing requests for pricing, analyzing the pricing once it is received, assisting customers through the contracting process and tracking customers' energy contracts and usage history. In addition, EnerConnex, LLC will assist its customers with the analysis of energy efficiency projects, alternative energy opportunities, lighting and HVAC retrofits, distributed generation projects, demand response programs, and other means that may impact the overall usage and cost of energy to a customer. EnerConnex, LLC has partnered with established companies in the arenas of energy efficiency, lighting and HVAC retrofits, alternative energy sources, and the purchase or renewables sources or credits.

EnerConnex has partnered with the most reliable and financially secure suppliers in order to provide its customers with the best terms and pricing options. Customers executed contracts directly with a supplier once they chose a term and price. EnerConnex reviewed the contracts with the customers so they understood what they were signing.

EnerConnex does not trade or take title or ownership of the electricity commodity. EnerConnex remains supplier neutral and does not have an exclusive relationship with any supplier or group of suppliers. EnerConnex does not bill the customers directly for brokerage services. The EnerConnex fee is paid by the supplier.

After a contract is signed, EnerConnex is available to the customer for questions and to handle any issues that may arise over the life of the contract. The Managing Partners use their experience and relationships with suppliers to quickly resolve all customer inquiries.

EnerConnex provides its customers with an end to end procurement process that includes managing the customer's procurement needs, providing market intelligence, developing requests for pricing, analyzing the pricing once it is received, assisting customers through the contracting process and tracking customers' energy contracts and usage history. In addition, EnerConnex will assist customers with the analysis of energy efficiency projects, alternative energy opportunities, lighting and HVAC retrofits, distributed generation projects, demand response programs, and other means that may reduce the overall usage and cost of energy to a customer. EnerConnex has partnered with established companies in the arenas of energy efficiency, lighting and HVAC retrofits, alternative energy sources, and the purchase or renewables sources or credits.



Please see the marketing materials provided – this will give you an idea of the procurement services EnerConnex provides.

EnerConnex intends to provide the same service that it has provided in Ohio, Illinois, Massachusetts, Maryland, New Jersey, New York, Texas and Connecticut to the commercial, industrial and government customers of Pennsylvania.

Our Energy Expertise. Your Energy Savings.

Learn how our extensive energy supplier network, hyper-competitive pricing and consultative approach will deliver the best results for you.



ECX Marketplace platform

Our point of difference centers around a unique, proprietary cloud-based pricing tool that empowers customers to test multiple pricing events simultaneously – including contract-term length, pricing structures, green power mixes, segmentation by region and load type – to get results in real time.



Hyper-competitive pricing

Our innovative online pricing events are designed to compel suppliers to deliver the lowest possible price in order to outbid the competition. The format shortens the supplier bidding window, reducing supplier risk premiums. And with an extensive network of energy suppliers spanning North America, we can guarantee greater competition – and lower prices.



Experience you can count on

Each member of our team comes with over 20 years of energy experience. We offer market intel and unbiased guidance to optimize an energy procurement strategy and minimize risk. And it's all simpler with one point of contact for all your energy needs.



Renewable energy expertise

EnerConnex helps organizations reach their internal sustainability targets with on-site energy offset measures, as well as assisting with the purchase of renewable energy sources. We can help with energy supplier negotiations to include a designated percentage of green power within your agreement and keep a detailed report of your carbon footprint reduction.



Continued guidance & support

Our innovative online pricing platform gives you transparent access into the state of your energy procurement strategy. You can also track the status of contracts against current market conditions after initial negotiation and execution. The ECX Marketplace platform allows you to make real-time decisions based on constantly fluctuating conditions, so when the market changes, your energy strategy can change along with it.

Schedule a demo today or learn more at EnerConnex.com.

»enerconnex

ecx»marketplace

Connecting Energy Buyers and Sellers

EnerConnex delivers unmatched energy procurement through an online pricing tool that simplifies and optimizes the energy procurement process. Developed by pioneers of the online reverse auction, ECX Marketplace uses the latest technology that allows for management of complex energy products and pricing structures. ECX Marketplace is superior to other online energy pricing technologies for three important reasons:

1) Process 2) Technology 3) Expertise

Process

Create hyper competitive online pricing events using a unique design which compels suppliers to deliver the lowest possible price as they compete to outbid the competition. The format shortens the supplier bidding window, which in turn reduces supplier risk premiums resulting in lower bids. EnerConnex has the most extensive network of energy suppliers spanning North America. The greater the competition, the lower the price.

Technology

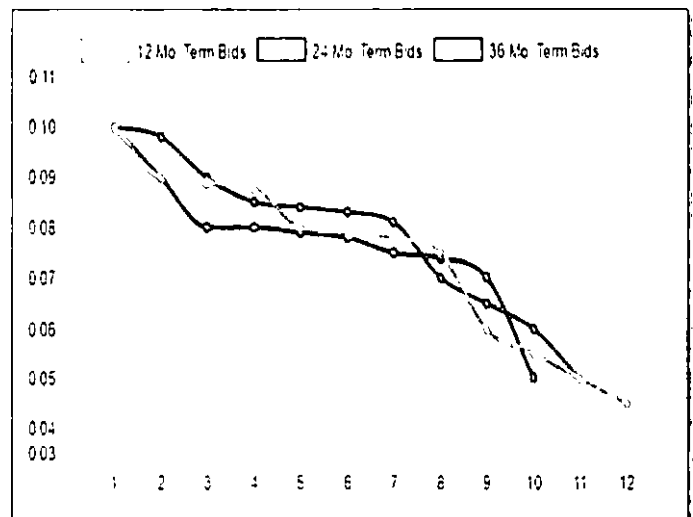
ECX Marketplace is a real-time cloud based pricing tool with the ability to create and test multiple pricing parameters such as contract term length, pricing structures, green power mixes as well as segmentation by location and load type. Each procurement event is electronically timed and date stamped for compliance standards.

Request a Demo - See what ECX Marketplace can do for your business.

Simplify & Optimize...

the procurement process.

- Secure the lowest available price
- Save time, improve efficiency
- Create online procurement events with multiple pricing parameters
- Manage contracts and renewals
- Track results for compliance standards

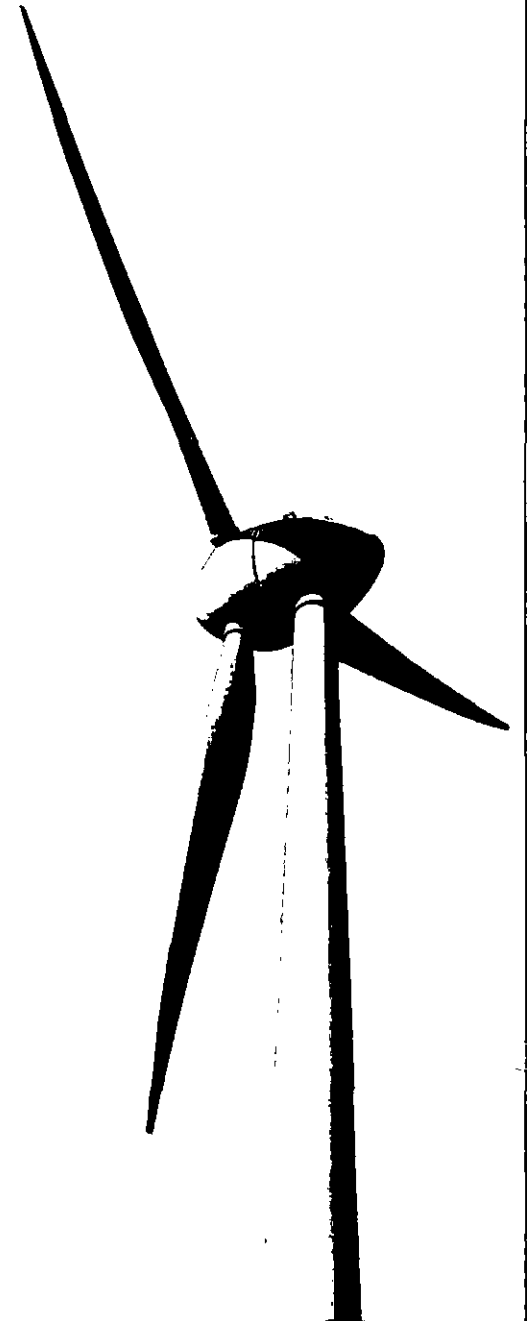


Expertise

EnerConnex provides the market intelligence and support you need giving you the confidence to make the best energy purchasing decisions. Our market directors each have 20+ years of experience in deregulated energy markets. Our team will help you develop the optimum energy procurement strategy to minimize risk and optimize results.

>> enerconnex

Energy Management & Procurement



Who We Are

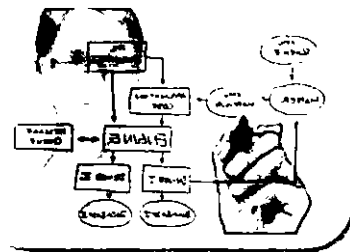
EnerConnex is an energy procurement and management firm helping companies implement strategies to manage, control and reduce energy costs across the United States, Canada, and Mexico.

We have the Experience, Process, and Technology to deliver optimum results to buyers and sellers of energy commodities.

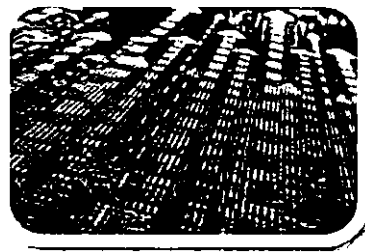
Who We Serve: Commercial, Industrial, Government, Institutional, Utilities



EXPERIENCED
PEOPLE



PROVEN
PROCESS



INNOVATIVE
TECHNOLOGY

>>enerconnex



Who We Are

EnerConnex takes the complexity out of energy management to deliver real bottom-line impact.

We deliver results by focusing on the price you pay, the quantity you use, and when and how you use it.

$$E = P \times Q - i$$

Total Energy Cost =
Price times Quantity minus Incentives

With an extensive network of energy suppliers, efficiency, and sustainability partners, EnerConnex has the buying power to deliver optimum results to your energy cost line item.

» **enerconnex**



What We Do

Customized analysis of current energy situation; benchmarking, market analysis, usage forecasting, savings projections, and relevant threats & opportunities.

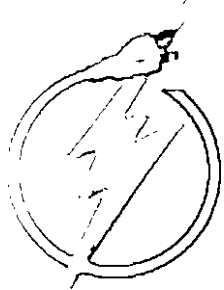
Review business objectives; current cost model, critical event timing, appetite for risk, and other variables.

Set priorities in collaborative working session, establish & refine our implementation strategy.

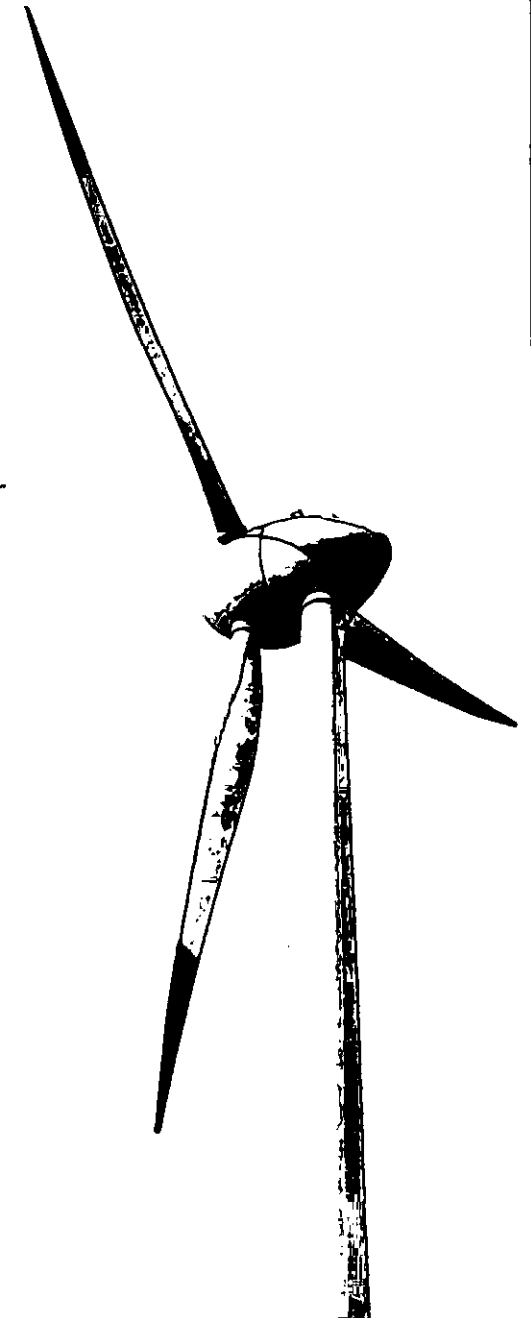
Develop an action plan based on outputs; go to market.

EnerConnex Energy Management Services

- Energy Procurement
- Energy Efficiency
- Distributed Generation
- Bill Auditing & Resolution
- Demand Side Management
- Solar Solutions



»enerconnex



How We Do It

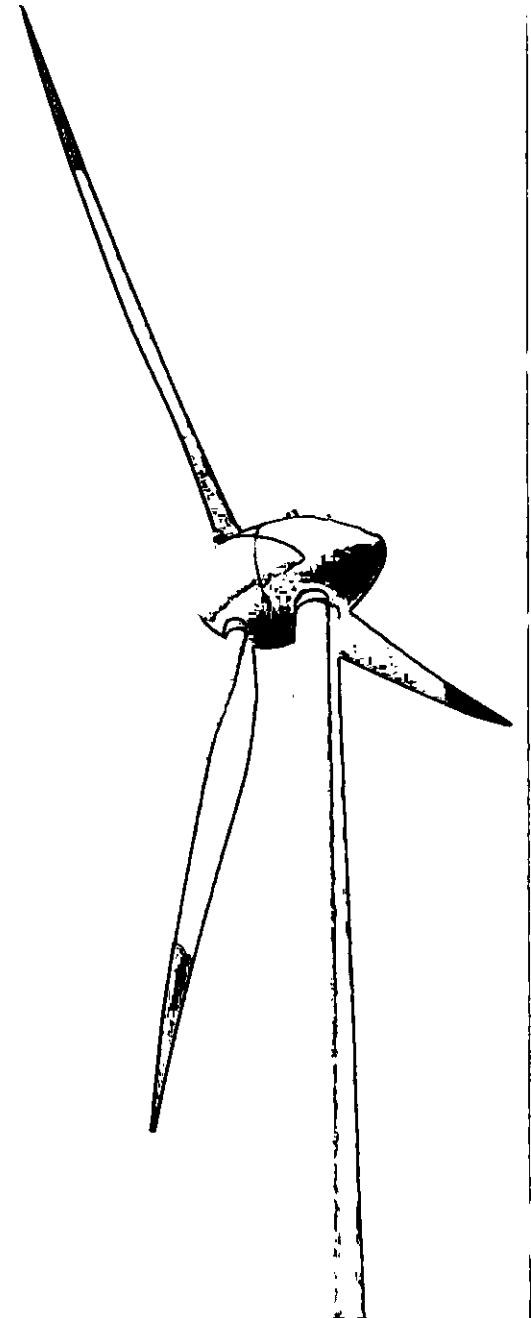
EnerConnex delivers unmatched energy procurement capabilities through an online pricing tool that simplifies and optimizes the buying/selling process.

Developed by pioneers of the online reverse auction:

ecx » marketplace

1. Squeezes margins while attracting bidders
2. Shortens the buying/selling process
3. Produces a compliance-ready audit
4. Customizable per customer requirements
5. Proves best available price was achieved...

» enerconnex



How We Do It

Enhance your RFQ process and leverage additional liquidity.

Companies turn to ECX Marketplace for complex product structures and real-time pricing feedback. Our online bidding platform design and extensive supplier network drives hyper-competition delivering the best available market price.

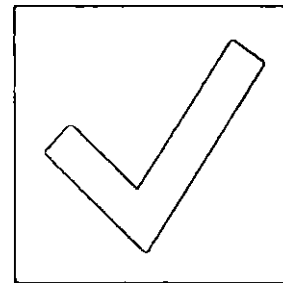
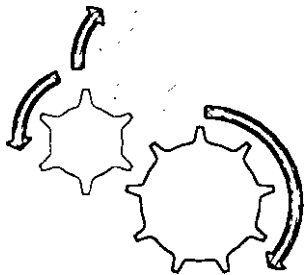
Your Existing Process



ECX Marketplace



Technology Proven Results



» ener**connex**



How We Do It

- Increased supplier participation resulting in greater competition
- Innovative and effective RFQ process via proprietary ECX Marketplace online pricing tool; Direct Source, Sealed Bid, Reverse Auction.
- In depth market analysis & support specific to each customer location
- Total transparency for pricing events
- Fair and objective outcomes
- Superior and accountable results



»ener**connex**

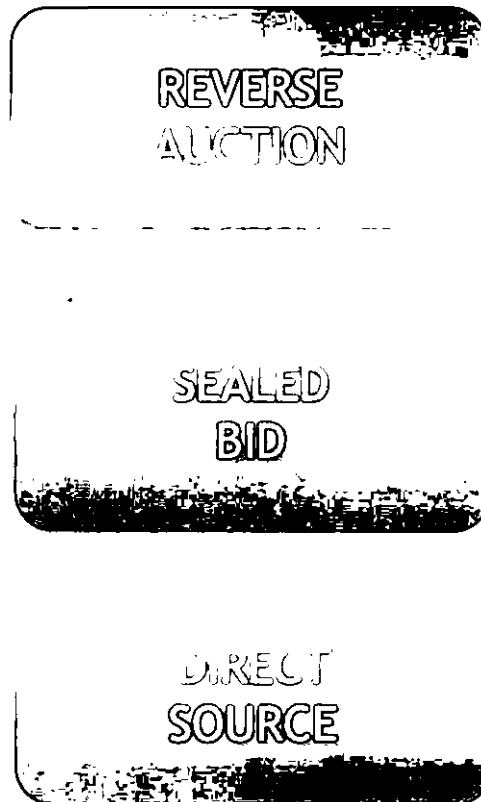


The Process

BEFORE

- Benchmarking
- Market Intelligence
- Data Management & Load Profiling
- Objective Definition
- Green Requirements
- RFP Architecture
- Solicitation Development
- Supplier Sourcing and Vetting
- Contract Reviews
- Process Management

- EVENT -



AFTER

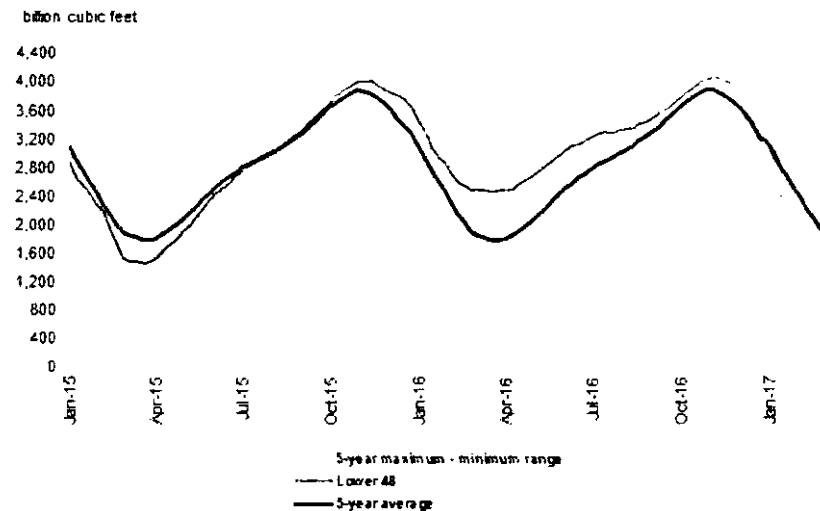
- Contracting Support
- Refresh Objective
- Market Intelligence
- Follow-on Posting
- Data Management & Reporting
- Billing Support
- Market Rules Monitoring
- Sustainability Strategy Support
- Planning Support for Next Transaction

Market Intelligence

ECX Energy Market Update keeps you up to date with valuable market data pulled directly from our data feeds on a weekly basis.

- Natural Gas Storage Report
- Bear/Bull Market Drivers
- Natural Gas & WTI Crude Oil Strips
- Power Strips - PJM, NYISO, Mass Hub, ERCOT, CA SP15 & NP15
- PJM Capacity Prices
- New England Capacity Prices
- NOAA Weather Forecast

Working gas in underground storage compared with the 5-year maximum and minimum



Source: U.S. Energy Information Administration

Benchmarking

Gain a better understanding of your overall energy situation. **EnerConnex** will obtain, track, and maintain critical energy information and KPI's so you can more effectively manage your energy spend.

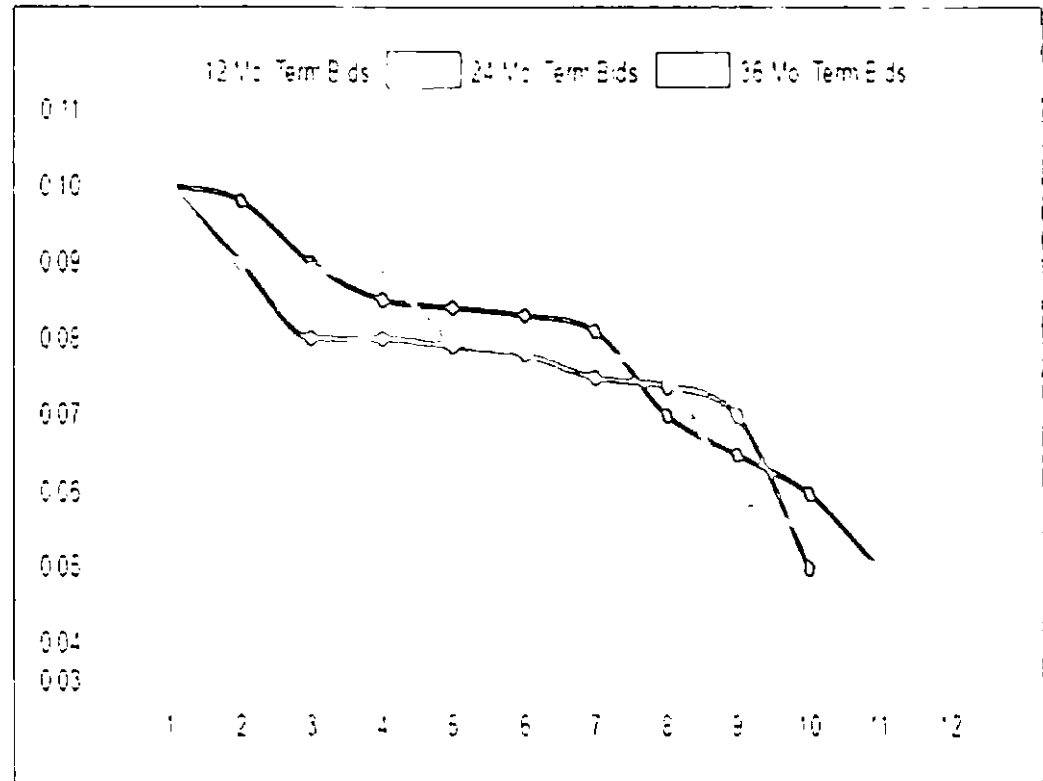
State	Incumbent Supplier	Electric Utility	Current Rate (\$/kWh)	Current Term	Est. Annual Usage	Est. Annual Spend	Expiration - Renewal Date	Indicative Bid	Est. % Savings	Est. Annual Savings
Texas										
TX	Out of Contract	CNP, Oncor, AEP, TNMP	\$0.04764		4,910,960	\$ 233,958	Feb-16	\$0.04128	13%	\$ 31,234
TX	Reliant	CNP, Oncor, AEP, TNMP	\$0.05280	12	9,503,416	\$ 501,780	Feb-16	\$0.04128	22%	\$ 109,479
Ohio										
OH	First Energy	DPL	\$0.05720	28	72,032	\$ 4,120	Apr-17	\$0.06897	-21%	\$ (848)
OH	First Energy	DPL	\$0.05720	12	362,146	\$ 20,715	Dec-14	\$0.06390	-12%	\$ (2,426)
OH	First Energy	AEP (CS)	\$0.06610	36	1,283,705	\$ 84,853	May-16	\$0.07250	-10%	\$ (8,216)
OH	Direct Energy	Ohio Edison, CE, TE	\$0.06005	36	13,135,667	\$ 788,797	May-17	\$0.05650	6%	\$ 46,632
OH	Out of Contract	Ohio Edison, AEP, CE, DPL	\$0.10200		1,180,453	\$ 120,406	May-17	\$0.05176	49%	\$ 59,306
Connecticut										
CT	Liberty Power	CLP	\$0.08669	36	216,629	\$ 18,780	Jul-16	\$0.09810	-13%	\$ (2,472)
CT	Liberty Power	CLP	\$0.08809	36	230,544	\$ 20,309	Jun-16	\$0.09570	-9%	\$ (1,754)
CT	Out of Contract	UI, Eversource	\$0.11210		493,384	\$ 55,308		\$0.08040	28%	\$ 15,640
CT	Liberty Power	CLP, UI	\$0.07440	36	353,400	\$ 26,293	Jul-15	\$0.08190	-10%	\$ (2,651)



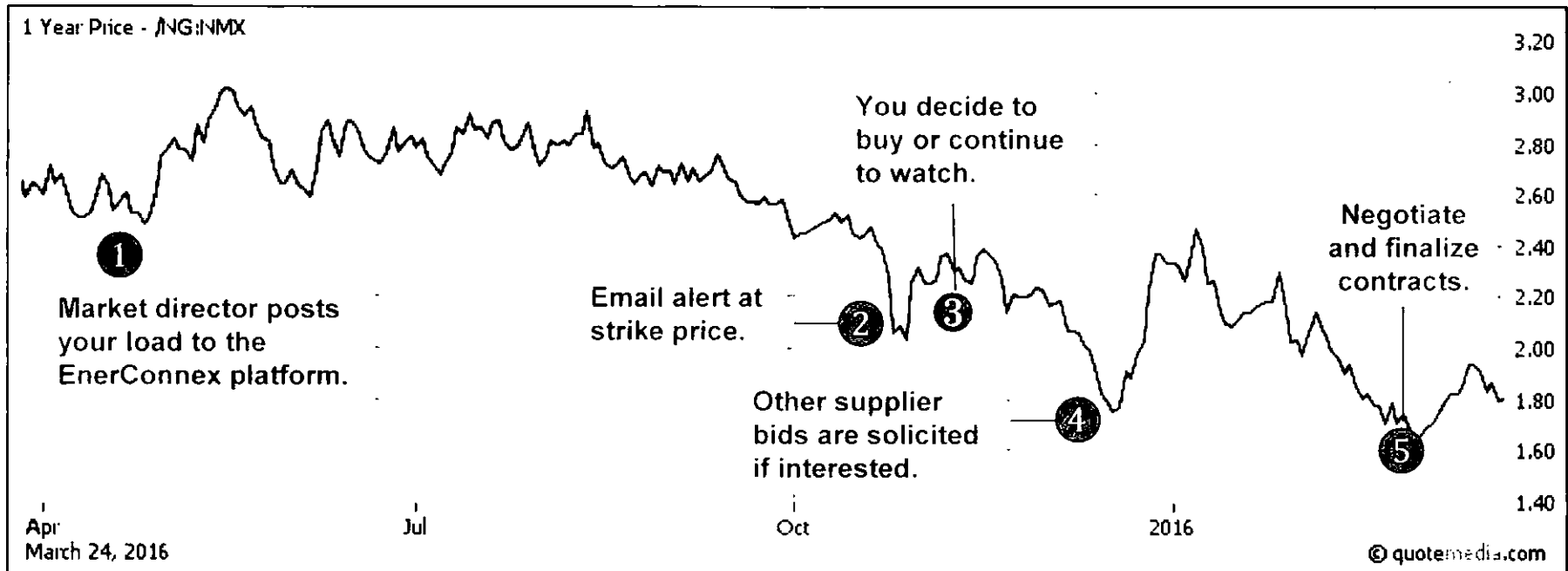
Reverse Auction

Transaction Details

Type	Name	Customer
Open Bid - Private	2 MW RTC Block & Index 25% Green	The Customer 1
Contract Start Date	Contract Terms	Meters
01/01/18	24	5
Fee	Procurement Amount	Commodity Type
\$0.003/MWh	15,000,000 MWh	Electricity
Transaction Start Time	Transaction End Time	
03/16/17 10:07 AM	03/16/17 10:30 AM	




Sealed Bid



Sealed Bid & Direct Source

EnerConnex compares supplier bids & terms by state in concise format. Suppliers are shortlisted to drive down prices further. Supplier, term and price is chosen by client following review and recommendation.

Date	5/3/2017						
Customer Name	ABC Company						
State / Utility	TX / Centerpoint						
Annual Usage (kWh)	8,123,338						
Accounts	8						
Start Date	Jun-17						
Current Supplier	Supplier A						
Current Rate (\$/kWh)	\$0.05560						
							
Term (months)	Rate (\$/kWh)	Start Date	End Date	Provider	% Saved	Annual Savings	Term Savings
12	\$0.03911	Jun-17	Jun-18	Supplier G	30%	\$133,954	\$133,954
24	\$0.03804	Jun-17	Jun-19	Supplier G	32%	\$142,646	\$285,292
36	\$0.03784	Jun-17	Jun-20	Supplier G	32%	\$144,270	\$432,811

Supplier	12	24	36	48	Credit	Bandwidth	Notes
Supplier A	\$0.04091	\$0.03915	\$0.03885	\$0.03925	Approved	Unlimited, 25% MAC	n/a
Supplier B	\$0.03984	\$0.03822	\$0.03784	\$0.03846	Approved	Unlimited, 25% MAC	n/a
Supplier C	\$0.04052	\$0.03921	\$0.03884	\$0.03940	Approved	Unlimited, 25% MAC	n/a
Supplier D	\$0.04340	\$0.04150	\$0.04110	\$0.04210	Approved	25%	n/a
Supplier E	\$0.03977	\$0.03860	\$0.03814	\$0.03823	Approved	Full Swing, no MAC	n/a
Supplier F	\$0.04113	\$0.03977	\$0.03925	\$0.04005	Approved	20%	n/a
Supplier G	\$0.03911	\$0.03804	\$0.03784	\$0.03802	Approved	Full swing, no MAC	n/a



Why It Makes Sense

- Lowest available price, increased competition, best in class technology via ECX Marketplace. Test the market and pricing parameters to find your sweet spot.
- Simplified bidding cuts administrative costs for suppliers, who can be more aggressive in their bidding.
- Faster award times minimize risk premiums, cutting costs.
- Automation – All procurement and contract information is captured on the ECX Marketplace platform for easy access.
- Control and accountability – Meet your objectives with budget certainty, cost savings, and fulfillment of green energy requirements at the lowest available cost.



Thank You!

»ener**connex**

Eric Warth

EnerConnex, LLC

346-234-4443

ewarth@enerconnex.com



enerconnex

We Look Out For Your Energy Interests

How We Can Help You

COMPETITIVE SOURCING

We present energy suppliers with the opportunity to compete for your business, so you can get the best price, terms, conditions, and pricing structure

UNBIASED ADVOCATE

We help you get the best possible deal by remaining independent while we evaluate suppliers and advise you on the best offering for your business

ENERGY EFFICIENCY

We conduct an energy audit and secure utility incentives before modernizing your facilities to reduce your energy consumption and improve your bottom line

DEMAND MANAGEMENT

We give you options to gain more control over market volatility and peak demand through demand response, on-site generation and energy offset alternatives

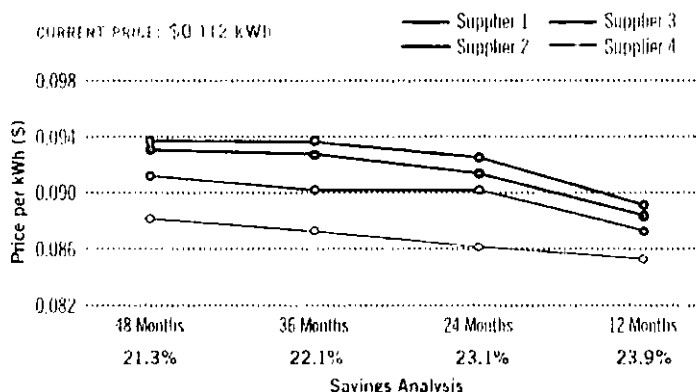
Rely on the energy experts.

The EnerConnex management team has been servicing *business, institutional, utility* and *government* customers of all sizes for more than two decades. Our Goal: to simplify the complicated energy markets around us, acting as an advocate and advisor for our customers to help them get the energy they need at the lowest available rates, in the most efficient manner possible.

Connecting Energy Buyers and Sellers

EnerConnex takes the complexity out of energy management and creates bottom-line impact for customers throughout the United States, Canada, and Mexico. With an extensive network of energy suppliers and volume of electricity and natural gas transactions, EnerConnex has the buying power to deliver real savings to your business.

Offer Analysis



We deliver bottom line results by lowering the price you pay for energy, minimizing the quantity you use, and maximizing the incentive dollars available to you.

Your Trusted Energy Advisor

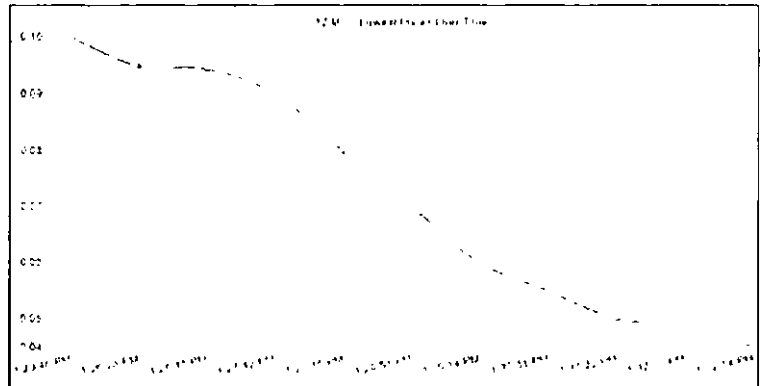
- Comprised of energy professionals with extensive experience in Retail and Wholesale electricity and natural gas markets across North America
- Independent advisor that provides in-depth analysis of energy markets specific to your facility locations
- We help you solidify a purchasing strategy based upon tolerance for risk, budgetary needs, and market outlook
- Our Market Directors act as an extension of your company, before and after the transaction, to ensure that the services you select align with your business goals and market conditions
- Create supplier transparency through a formal, hyper-competitive bid process
- Incorporate corporate sustainability initiatives into your purchasing strategy
- Secure the optimal price, terms, conditions, and pricing structures available
- Evaluate on-site generation and energy offset alternatives for demand-side savings opportunities
- Identify available rebates and incentives to benchmark and implement efficiency measures

www.enerconnex.com

ecx»marketplace

ECX Marketplace is the latest online bidding technology used by businesses and utilities to transact more efficiently and in real time. The platform design compels suppliers to deliver the lowest possible price while putting you in control.

- **Secure the lowest market price**
- **Save time, improve efficiency**
- **Create multiple procurement events with customized pricing parameters**
- **Manage contracts and renewals**
- **Open & closed auctions, direct source**



Our People – energy auction pioneers:

- Over 19,000 successful online energy auctions completed
- Over 150 million MWh and 8,000 BCF transacted

Our Platform – tested and proven:

- More than 200,000 executed energy transactions
- More than 110 million MWh and 2,000 BCF managed
- Servicing more than 80,000 customers



Phone: (800)-319-0856

Email: info@enerconnex.com



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MAR 22 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ATTACHMENT M

RESUMES

Brian Dafferner

Title: Managing Member/Partner

Role: President

Contact Information

EnerConnex, LLC
75 Wood Manor Pl
The Woodlands, TX 77381
Phone: 713-398-6166
Email: bdafferner@enerconnex.com

Background Summary Information

Years in Energy Industry: 20

Unique Skill Sets:

- Strategic Planning Expertise
- Customer Relationship Management
- Procurement Strategy Development
- Energy Procurement Expertise
- Market Strategy and Development
- Contract Negotiation
- Financial and Business Case Analysis

Background

Mr. Dafferner is an established retail energy sales leader with over twenty (20) years of experience in the industry working in various roles for energy suppliers and brokerage firms. Mr. Dafferner helped found, build, oversee and eventually sold an energy brokerage company with over 10M in annual sales. One of the founding members of TEPA (The Energy Professionals Association) formed in 2005, a 501 (c) organization specializing in providing market knowledge to energy consumers while upholding the integrity of the deregulated retail energy markets. Helped develop a standardized code of conduct and bylaws for member aggregators, brokers and consultants. Received a Bachelors of Science in Business Administration at the University of Colorado in Boulder.

Selected Experience

EnerConnex, LLC

44 Portland St., 4th Floor, Worcester, MA 01608
Employed from June 2017 - present

Managing Member/President - EnerConnex, LLC

- Oversee business initiatives and strategic direction of company.
- Lead sales efforts across North America.
- Manage business development efforts in Mexico.
- Direct and implement marketing initiatives and campaigns.

Circular Energy

Houston, TX
Employed from 2016 to 2017

Executive Vice President, Circular Energy

- Oversaw energy commodity sales activities in ERCOT.
- Lead sales strategy implementation and launch efforts for new product offerings.
- Responsible for sales integration of solar and commodity business units.
- Managed Channel Partner sales efforts and program development.

World Energy Solutions, Inc.

Houston, TX
Employed from 2011 to 2016

Regional Vice President, Sales, World Energy Solutions, Inc. (later ENERNOC/ENEL)

- Directed sales efforts for Southwest region including; electricity, natural gas, and energy efficiency services to mid-market and LCI customers.

Brian Dafferner

- Lead development, launch and commercialization efforts for World Energy's Retail Energy Portal – marketplace for suppliers and brokers.
- Managed key regional and national customer relationships.
- Developed and managed sales strategy for Channel Partner segment in Southwest region.

GSE Consulting, LP

Houston, TX

Employed from 2002 to 2011

President, GSE Consulting, LP

- Directed sales and marketing efforts nationwide targeting small, mid and large commercial and industrial customers.
- Grew company from inception to 10M in annual revenue with a total of 35 employees.
- Secured and managed supply arrangements and broker agreements with energy suppliers.
- Implemented and supervised sales recruiting and training efforts.
- Managed sales, cash flow and revenue projections.
- Negotiated and assisted in company sale to World Energy Solutions, Inc. in November 2011.

Shell Trading Gas & Power Company

Houston, TX

Employed from 2002 to 2002

Director, Shell Trading Gas & Power Company

- Responsible for retail power commodity sales to mid and large commercial and industrial customers in TX.
- Developed and executed retail marketing strategy for mid market customer segment in ERCOT.
- Implemented sales agent marketing program for higher cost of acquisition customers.
- Evaluated and assessed sales process and procedures to streamline origination efforts.

Enron Energy Services, Inc.

Houston, TX

Employed from 2000 to 2002

Sr. Power Representative, Enron Energy Services, Inc.

- Responsible for retail power commodity sales to mid and large commercial and industrial customers located in TX and CA.
- Ranked in Enron Professional Performance Evaluation - Top 5% performance relative to peers throughout Enron Energy Services.
- Achieved 285% of annual goal in 2000 - \$1,143,078 total contract margin. Achieved 374% of annual goal in 2001 - \$3,735,907 total contract margin.
- Structured customized financial products to meet customer needs.

Reliant Energy, Inc.

Houston, TX

Employed from 1998 to 2000

Power Sales Representative, Reliant Energy, Inc.

- Responsible for commodity sales to LCI customers located throughout ERCOT including; electricity, natural gas, energy efficiency, and billing management.
- Initiated new customer contracts and aggregated load to structure preemptive power commodity offers in TX.

Brian Dafferner

- Personal accounts included over \$68 million in electric and gas revenues.
- Developed and expanded national account relationships with Houston-based commercial and industrial customers.

Tetra Technologies, Inc.

The Woodlands, TX

Employed from 1994 to 1998

Marketing Manager, Environmental Specialties Division, Tetra Technologies, Inc.

- Responsible for specialty chemical sales into distinct markets including; salt remediation, hydrocarbon remediation, erosion control, and hard surface cleaning/degreasing.
- Developed and managed division marketing efforts to achieve company objectives.
- Implemented product development testing programs with major oil exploration and production companies.
- Sourced recovered waste streams and raw materials for product development and production.

Education, Training, Certifications, and Affiliations

EDUCATION

1995

University of Colorado at Boulder

BS, Business Administration/Marketing

Eric Niemeyer

Title: Managing Member/Partner

Role: Exec VP Sales

Contact Information

EnerConnex, LLC
4140 Clark Shaw Road
Powell OH 43065
Phone: 614-595-1321
Email: eniemeyer@enerconnex.com

Background Summary Information

Years in Energy Industry: 23

Unique Skill Sets:

- Customer Relationship Management
- Energy Procurement Expertise
- Procurement Strategy Development
- Regulatory Expertise
- Market Strategy and Development
- Solicitation Development
- Financial and Business Case Analysis

Background

Mr. Niemeyer is Managing Partner with EnerConnex, LLC where he is instrumental in identifying and servicing the retail energy needs of industrial, commercial, institutional and governmental energy consumers. He is a veteran of the energy industry with over 22 years of experience in the retail energy markets. Over the course of his career, Eric has held senior roles with several leading energy companies by consistently extending a proven track record of major accounts management, new business development, and ensuring regulatory compliance. Eric holds a Bachelor of Business Administration degree from Ohio University.

Selected Experience

Managing Member/ Exec VP of Sales - EnerConnex, LLC.

January 2016-Present

Responsible for industrial, commercial, institutional and governmental account acquisition and management for EnerConnex's electricity, natural gas, and renewable energy procurement services throughout the United States

- Manages numerous competitive electricity and natural gas procurements for commercial, industrial and institutional customers.
- Currently serving as Account Manager for contracts with numerous industrial, commercial, institutional and governmental customers. Tasks include assisting in the development of risk management strategies, the procurement strategy, marketing procurements to eligible suppliers, providing market analysis, assisting with customer decision making, reviewing work products and deliverables, and providing quality assurance throughout the project.

Vice President of Retail Energy Markets, World Energy Solutions, Inc. (later ENERNOC/ENEL)

June 2007-June 2015

Responsible for industrial, commercial, institutional and governmental account acquisition and management for World Energy's electricity, natural gas, and renewable energy procurement services throughout the United States

Vice President of Retail Energy Markets, EnergyGateway, LLC.

January 2002-June 2007

Responsible for industrial, commercial, institutional and governmental account acquisition and management for EnergyGateway's electricity, natural gas, and renewable energy procurement services throughout the United States

Eric Niemeyer

Director of Retail Energy Markets, Enron Energy Services

January 1995-December 2001

Responsible for industrial, commercial, institutional and governmental account acquisition and management for Enron's retail electricity and natural gas services throughout the United States

Education, Training, Certifications, and Affiliations

EDUCATION

1993 Ohio University B..B.A in Marketing

Janet Loop

Title: Managing Member/Partner

Role: VP of Energy Markets and CFO

Contact Information

EnerConnex, LLC
44 Portland St., 4th Floor
Worcester, MA 01608
Mobile: (508) 769-4929
Email: jloop@enerconnex.com

Background Summary Information

Years in Energy Industry: 35

Unique Skill Sets:

- Energy Procurement
- Energy Hedging & Derivatives
- Tariff Design & Analysis
- Energy Efficiency Program Design
- Project Management
- Contract Management

Background

Janet is an energy industry veteran with over 30 years of experience in the electricity and natural gas markets. She has been involved in utility rate/tariff design, energy efficiency program implementation, de-regulation rule setting, regulatory compliance and most importantly electricity and natural procurement.

Janet began her career working for Boston Edison (now known as Eversource Energy) where she learned the intricacies of the retail energy markets including; pricing design, regulatory compliance, energy efficiency programs along with the workings of unregulated subsidiaries. In 2001, she joined SmartEnergy, an electricity supply leader in the early days of deregulation. It was here that Janet became versed in electricity and natural gas procurement. In 2004, Janet joined World Energy Solutions as a Market Director. During her 12 years with World Energy Solutions, Janet procured energy for customers in the NY, New England, PJM, Texas and Canadian markets. She handled one of the largest back office operations books in the industry while implementing a proactive, educational, and customer-centric procurement practice.

Selected Experience

EnerConnex, LLC

44 Portland St., 4th Floor, Worcester, MA 01608
Employed from July 2016 - present

Managing Member/ VP Energy Markets/ CFO, EnerConnex, LLC

- Focus on the exceptional delivery of both companies' energy consulting and procurement services.
- Establish, maintain and enhance relationships with suppliers, channels and customers.
- New market assessments.
- Back office operations

Self-Employed Energy Consultant

Employed from July 2015 to July 2016

World Energy Solutions, Inc. (later ENERNOC/ENEL)

100 Front Street, 20th Floor, Worcester, MA 01608
Employed from 2004 to July 2015

Market Director, World Energy Solutions, Inc.

- Managed strategic planning and development of competitive electricity and natural gas procurements for commercial and industrial customers in New England, New York, Pennsylvania and Canada.

Janet Loop

- Was Energy Team Lead for electricity and natural gas procurements for the Commonwealth of Massachusetts Operational Services Division, Commonwealth of PA, and State of Connecticut.
- Analyzed data, evaluated competitive trends and technical and financial developments; assessed market positions and business development strategies of energy retailers; and evaluated post procurement contracting.

SmartEnergy, Inc.

Unicorn Park Dr, Woburn, MA 01810

Employed from Jan 2001 to October 2003

Finance Manager, SmartEnergy, Inc.

- Performed all tracking activities of hedges and derivatives used to manage the financial risks associate with supply costs.
- Reconciled wholesale contracts and PJM billings with actual customer usage.
- Analyzed financial and business requirements of new target markets.
- Developed retail pricing plans and marketing strategies in the NY, NJ and PA markets.
- Negotiated physical and financial contracts for energy supply.
- Implemented processes and procedures associated with procurement of energy.

Eversource (formerly Boston Edison Company/NSTAR)

One NSTAR Way, Westwood, MA 02090

Employed from Sept 1984 to Nov 1999

Business Manager, Eversource (Boston Edison Company/NSTAR)

- Implemented a performance management process to track, monitor and communicate the success of Customer Care operations.
- Worked on special opportunities such as transformation of the electric delivery business, mergers and acquisitions and the creation of unregulated subsidiaries (i.e. Backcomb and Energy Vision).

Principal Research Analyst, Eversource (Boston Edison Company/NSTAR)

- Developed rate tariffs for commercial and industrial customers.
- Developed, implemented, monitored and evaluated energy efficiency programs.

Education, Training, Certifications, and Affiliations

EDUCATION

1983	Boston College	BS, Finance
1992	Babson College	MBA, Management Information Systems

Anthony Barnhart

Title: Managing Member/Partner	Role: VP Business Development/Sales
Contact Information	Background Summary Information
EnerConnex, LLC 5430 Forest Highlands Court Westerville, OH 43082 Phone: 614-581-8802 Email: tbarnhart@enerconnex.com	Years in Energy Industry: 30 Unique Skill Sets: <ul style="list-style-type: none">• Strategic Planning Expertise• Customer Relationship Management• Procurement Strategy Development• Energy Procurement Expertise• Market Strategy and Development• Contract Negotiation• Financial and Business Case Analysis

Background

Mr Barnhart is a proven leader with nearly thirty years in the retail and wholesale energy markets. Serving in various roles in Executive Management, Sales, Regulatory and General Management, he has dedicated his career to assisting utilities, industrial and commercial customers manage energy requirements enabling them to reduce costs and mitigate risk.

Throughout his career, Mr. Barnhart has held senior executive roles at several leading energy companies; from start-ups with successful exits, to major firms leading a divestiture. He has in-depth experience on both the supply side and brokerage side of the business. Mr. Barnhart helped co-found and grow a retail energy supplier that eventually was acquired by a larger supplier. He also helped build an energy brokerage company as a member of the leadership team tripling the company's energy procurement revenues while broadening its service offerings and later positioning the company for acquisition at 18x EBITDA. More recently, Mr. Barnhart helped lead an Australian energy software company's US entry by establishing organic sales while acquiring an energy efficiency rebate management firm. Under his leadership, the firm went from negative to positive earnings in less than a year.

Mr. Barnhart holds a Bachelors Degree in Business Administration from The Ohio State University Fisher College of Business. He is also a past board member of TEAM (Texas Energy Association of Marketers).

Selected Experience

EnerConnex, LLC

44 Portland St., 4th Floor, Worcester, MA 01608

Employed from May 2018 - present

VP of Business Development - EnerConnex, LLC

- Lead Company's Enterprise, Institutional and Wholesale energy sales efforts through both direct and partner channels.
- Oversee business initiatives and strategic direction of company.
- Lead sales efforts across North America.

BidEnergy

Concord, MA

Employed from 2016 to 2018

Anthony Barnhart

Sr VP Sales & US General Manager

- Established North American presence for procurement and utility bill management.
- Co-lead the acquisition of Real Win Win (rebate administration company) and turned the company profitable in one year.
- Re-engineered company's marketing strategy to lower direct costs and increase sales velocity.

World Energy Solutions, Inc. (later EnerNOC and ENEL)

Dublin, OH

Employed from 2009 to 2016

Sr Vice President and Director/ Utility/Retailer Solutions, World Energy Solutions, Inc.

- Lead Company's Enterprise, Institutional and Wholesale energy sales efforts through both direct and partner channels.
- Built a Utility Sales team for the Central Region to sell Energy Intelligence Software and Procurement Services to Utilities and Municipalities.
- Tripled company's core procurement business to \$36m while broadening its product and service offerings to the marketplace.

Accent Energy Holdings LLC

Dublin, OH

Employed from 2001 to 2009

Senior Vice President

- Developed and implemented strategies for growing customer count, gross margin and EBITDA in current and future energy markets.
- Lead company's direct and indirect sales initiatives consisting of over sixty sales professionals and more than fifty sales channel partners originating over \$175m in electricity and natural gas revenues.
- Established a start-up company including business development and launch, raising capital, organizational structure incorporation, supplier relationships, acquisition due diligence, organic sales growth, financial modeling, sources/uses forecasting and state regulatory compliance.

Enron Energy Services, Inc.

Dublin, OH

Employed from 2000 to 2001

Vice President, Clinton Energy Management Services, Inc.

- Led energy consulting company with over \$100 million in revenues.
- Provided outsourcing services to commercial and industrial companies.
- Originated long-term structured risk management solutions that enabled commercial and industrial customers to manage energy price volatility.

North America Logistics dba Audipac Logistics

Grove City, OH

Employed from 1995 to 2000

Vice President, Sales and Marketing

- Directed sales and marketing of freight cost management outsourcing solutions to industrial and commercial companies' financial, distribution and technology functions.

Anthony Barnhart

Access Energy Corp.

Dublin, OH

Employed from 1988 to 1995

Regional Manager

- Responsible for the sales and profit growth of \$75 million in revenue of industrial and commercial business in a six-state region.
- Managed nine sales associates along with direct account responsibility for select National Accounts.

Education, Training, Certifications, and Affiliations

EDUCATION

The Ohio State University

BSBA, Transportation & Logistics

Vitana Melesiute

Title: Managing Member/Partner

Role: VP Energy Markets

Contact Information

EnerConnex, LLC

44 Portland St., 4th Floor

Worcester, MA 01608

Mobile: 508-612-5947

Email: vmelesiute@enerconnex.com

Background Summary Information

Years in Energy Industry: 20

Unique Skill Sets:

- Business Development
- New Markets Assessment
- Supplier Relationships Management
- Channel Management
- Energy Procurement Management
- Development and execution of risk management strategies

Background

Vitana is an established leader in the retail energy industry with more than 19 years of experience marketing energy commodity products and services to large C&I customers. She has worked with Fortune 500 companies in developing customized strategies for energy procurement, demand side management, and energy efficiency planning and implementation.

Prior to joining EnerConnex, Vitana worked in various roles at World Energy Solutions. Since inception, she was an integral part of World Energy's evolution into one of the largest energy brokerage companies in the country. Vitana directed the company's new market entrance program, while transacting over 50 TWh in energy contracts for commercial, industrial, and government clients. As a Market Director over the PJM, MISO, NYISO, CAISO and ERCOT markets, her extensive market expertise enabled her to drive customer success by taking advantage of market opportunities while advocating on behalf of the client.

Selected Experience

EnerConnex, LLC

44 Portland St., 4th Floor, Worcester, MA 01608

Employed from July 2016 - present

Managing Member/VP - Energy Markets, EnerConnex, LLC

- Focus on the exceptional delivery of both companies' energy consulting and procurement services.
- Establish, maintain and enhance relationships with suppliers, channels and customers.
- New market assessments.

Self-Employed Energy Consultant

Employed from July 2015 to July 2016

World Energy Solutions, Inc. (later ENERNOC/ENEL)

100 Front Street, 20th Floor, Worcester, MA 01608

Employed from January 2000 to July 2015

Market Director, World Energy Solutions

- Responsible for customer accounts within the PJM, MISO, New York and California.
- Managed procurement project timelines.
- Managed electric and gas procurement from data aggregation to savings analysis, to contracting.
- Scheduled and ran auctions.
- Calculated the savings customers can expect to achieve at auction.
- Coordinated contracting between suppliers and customers.
- Supported sales and marketing in development of marketing strategies and operational procedures.
- Researched and built forward-curve pricing algorithms.
- Developed wholesale/retail index for use in customer pricing expectations.

Vitana Melesiute

- Developed new pricing products.

Supply Desk Analyst, World Energy Solutions

- Collected and analyzed energy consumption data in order to establish a price to beat.
- Determined customer specific savings in various markets.
- Created tariff models for use in price to beat analyses.
- Conducted research on deregulated markets to determine the competitive landscape in each market.
- Created and implemented the forward looking market price tool used for projecting auction results.

Education, Training, Certifications, and Affiliations

EDUCATION

1994 - 1998	Kaunas University of Technology, Lithuania	Bachelor of Business Administration
1993 - 1994	Kaunas Polytechnic Institute, Lithuania	Chemical Technology



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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ATTACHMENT N

APPLICATION AFFIDAVIT

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of Massachusetts :

ss. Worcester

County of Worcester :

Janet Loop, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the CFO (Office of Affiant) of EnerConnex, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein EnerConnex, LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein EnerConnex, LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein EnerConnex, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein EnerConnex, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Janet Loop
Signature of Affiant

Sworn and subscribed before me this 18 day of March, 2019.

[Signature]
Signature of official administering oath

My commission expires  **JOSEPH BUSH**
Notary Public
Commonwealth of Massachusetts
~~My Commission Expires~~
December 14, 2023



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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ATTACHMENT O
OPERATIONS AFFIDAVIT

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of Massachusetts :

ss. Worcester

County of Worcester :

Janet Loop, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the CFO (Office of Affiant) of EnerConnex LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That EnerConnex LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That EnerConnex LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That EnerConnex LLC the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.



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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ATTACHMENT P

PROOF OF PUBLICATION

COMMONWEALTH OF PENNSYLVANIA } SS
County of Cambria

**PENNSYLVANIA
 PUBLIC UTILITY COMMISSION
 NOTICE**

Applications of **EnerConnex, LLC** (d/b/a "EnerConnex") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

EnerConnex, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. **EnerConnex, LLC** will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. **EnerConnex, LLC** proposes to sell electricity, natural gas, and related services throughout the Entire Commonwealth under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **EnerConnex, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **EnerConnex, LLC's** attorney at the address listed below.

By and through Counsel: Mark Phillips
 Mularski, Bonham, Dittmer, Phillips & Steele, LLC
 107 W. Johnstown Road
 Gahanna, Ohio 43230
 (614) 476-6484
 (614) 540-7473

On this 5th day of February A.D. 2019, before me, the subscriber, a Notary Public in and for said County and State, personally appeared **Christine Marhefka**, who being duly sworn according to law, deposes and says as Sales Manager / Major Accounts of the **Tribune-Democrat, Johnstown, PA**, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the **Johnstown Tribune**, established December 7, 1853; and of the **Johnstown Democrat**, established March 5, 1863,

County of Cambria, and Commonwealth of Pennsylvania and the matter published in said publication in the regular issues of the same on February 5, 2019; and that the Affiant is not aware of any other publication of the same matter arising and that all of the allegations as to time, place and

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Signed and sworn to before me on 5th day of February, 2019, by **Christine Marhefka** making the statement.

Vivian Ohs

0.00 Lines @ \$2.50 per line	0.00
8 Inches @ \$25.00 per inch	200.00
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	207.50

Commonwealth of Pennsylvania - Notary Seal
 Vivian Ohs, Notary Public
 Cambria County
 My commission expires December 6, 2020
 Commission number 1123017
 Member, Pennsylvania Association of Notaries

To The **Tribune-Democrat, Johnstown, PA**
 For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

VIVIAN OHS for publisher of TRIBUNE-DEMOCRAT a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

TRIBUNE-DEMOCRAT
 (Name of Newspaper)
 By *Vivian Ohs*

PROOF OF PUBLICATION

In

THE ERIE TIMES-NEWS

RECEIVED

2019 MAR 22 PM 3: 50

COMBINATION EDITION

PA PUC

SECRETARY'S BUREAU
FRONT DESK

Enerconnex
44 Portland Street 4th Floor
Worcester MA 01608

REFERENCE: 97795 350736
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Jennifer L. Trott, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 02/06/19

TOTAL COST: \$610.00 AD SPACE: 0 Lines

FILED ON: 02/06/19

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Applications of EnerConnex, LLC (d/b/a "EnerConnex") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

EnerConnex, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. EnerConnex, LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. EnerConnex, LLC proposes to sell electricity, natural gas, and related services throughout the entire Commonwealth under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of EnerConnex, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to EnerConnex, LLC's attorney at the address listed below.

By and through Counsel: Mark Phillips
Mularski, Bonham, Dittmer, Phillips & Steele, LLC
107 W. Johnstown Road
Gahanna, Ohio 43230
(614) 476-6464
(614) 540-7473

EP-350736

Sworn to and subscribed before me this 6th day of February 2019

Affiant: Jennifer L Trott

NOTARY: Barbara J Moore

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Barbara J. Moore, Notary Public
City of Erie, Erie County
My Commission Expires March 23, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

ENERCONNEX
JANET LOOP
44 PORTLAND ST., 4TH FLOOR WORCESTER MA 01608

01608

Account # 632632
Order # 82305630
Ad Price: 314.85

LEGAL NOTICE PENNSYLVANIA

Ann Marie Fortese

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

02/05/2019

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true

Ann Marie Fortese

Sworn and subscribed to before me
this 5th day of February A.D., 2019

Sharon Venturi

(Notary Public)

Commonwealth of Pennsylvania - Notary Seal
Sharon Venturi, Notary Public
Lackawanna County
My commission expires February 12, 2022
Commission number 1254228
Member, Pennsylvania Association of Notaries

RECEIVED
2019 MAR 22 PM 3:50
PA PUC
SECRETARY'S BUREAU
FRONT DESK



LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Applications of **EnerConnex, LLC** (d/b/a "**EnerConnex**") For Approval To Offer, Render, or Furnish Services as a **Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.**

EnerConnex, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. **EnerConnex, LLC** will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. **EnerConnex, LLC** proposes to sell electricity, natural gas, and related services throughout the Entire Commonwealth under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **EnerConnex, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **EnerConnex, LLC's** attorney at the address listed below.

By and through Counsel:
Mark Phillips
**Mularski, Bonham, Dittmer,
Phillips & Steale, LLC**
107 W. Johnstown Road
Gahanna, Ohio 43230
(614) 476-6464
(614) 640-7473


Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular _____ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

06 of February, 2019

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette. that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



PG Publishing Company
Sworn to and subscribed before me this day of:
February 06, 2019



Commonwealth of Pennsylvania - Notary Seal
Elizabeth R. Chmura, Notary Public
Allegheny County
My commission expires February 8, 2022
Commission number 1326781
Member, Pennsylvania Association of Notaries

RECEIVED
MAR 22 2019
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

STATEMENT OF ADVERTISING COSTS
Enerconnex
44 Portland St, 4th Floor
Worcester Massachusetts 01608

To PG Publishing Company

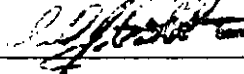
Total ----- \$527.00

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By 

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
NOTICE
Applications of EnerConnex, LLC (d/b/a "EnerConnex") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth of Pennsylvania.
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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of EnerConnex, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to EnerConnex, LLC's attorney at the address listed below.
By and through Counsel,
Mark Phillips
Mularski, Bonham, Ottmer, Phillips & Steele, LLC
107 W. Johnstown Road
Gahanna, Ohio 43230
(614) 476-6464
(614) 540-7473

**PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929**

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Robert O. Rolley, Jr., Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

February 6, 2019

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

**PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
NOTICE**
Applications of EnerConnex, LLC (d/b/a "EnerConnex") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.
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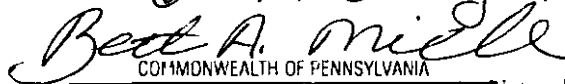
broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. EnerConnex, LLC proposes to sell electricity, natural gas, and related services throughout the Entire Commonwealth under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of EnerConnex, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to EnerConnex, LLC's attorney at the address listed

By and through Counsel:
Mark Phillips
Mularski, Bonham,
Dittmer, Phillips &
Steele, LLC
107 W. Johnstown Road
Gahanna, Ohio 43230
(614) 476-6464
(614) 540-7473


SUN-GAZETTE LLC

Sworn to and subscribed before me

The 6th day of February 2019


COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL
BETH A MILLER
Notary Public
CITY OF WILLIAMSPORT, LYCOMING COUNTY
My Commission Expires Apr 13, 2020

Notary Public

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:
For publishing the notice attached
Hereto on the above state dates.....\$ 303.84
Probated same.....\$
Total.....\$ 303.84

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Robert O. Rolley, Jr.

RECEIVED

2019 MAR 22 PM 3:49

PA PUC
SECRETARY'S BUREAU
FRONT DESK



AD#: 0009016538

Commonwealth of Pennsylvania,) ss
County of Cumberland)

Victoria Soto being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

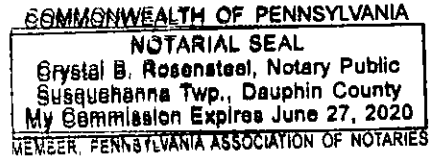
The Patriot News 02/05/2019

Victoria Soto

Principal Clerk of the Publisher

Sworn to and subscribed before me this 6th day of February 2019

Crystal B. Rosensteel
Notary Public



**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Applications of EnerConnex, LLC
(d/b/a "EnerConnex") For
Approval To Offer, Render, or Furnish
Services as a Supplier, Aggregator, and
Marketer/Broker Engaged in The
Business Of Supplying Natural Gas
Supply Services and Electricity Supply
or Electric Generation Services, To The
Public in The Commonwealth Of
Pennsylvania.

EnerConnex, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. EnerConnex, LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. EnerConnex, LLC proposes to sell electricity, natural gas, and related services throughout the entire Commonwealth under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of EnerConnex, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to EnerConnex, LLC's attorney at the address listed below.

By and through Counsel:

Mark Phillips
Mularsk, Bonham, Dittmer, Phillips
& Steele, LLC
107 W. Johnstown Road
Gahanna, Ohio 43230
(614) 476-6464
(614) 540-7473

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2019 MAR 22 PM 3:49
PA PUC
SECRETARY'S BUREAU
FRONT DESK

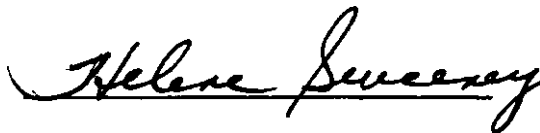
Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

February 7, 2019

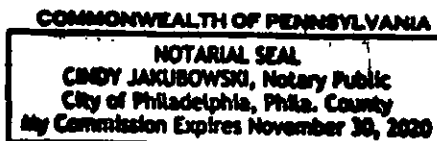
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



Sworn to and subscribed before me this 7th day of
February, 2019.


Notary Public

My Commission Expires:



Copy of Notice of Publication

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**
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Mularski, Bonham, Dillmer, Phillips & Steele, LLC
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SECRETARY'S BUREAU
FRONT DESK



RECEIVED

MAR 22 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ATTACHMENT D
CERTIFICATE OF SERVICE

**ATTACHMENT D
CERTIFICATE OF SERVICE**

On this the 22nd day of March, 2019, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a CD-ROM, upon the following:

VIA FIRST-CLASS MAIL

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Legal Department
First Energy
2800 Pottsville Pike
Reading, PA 19612

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

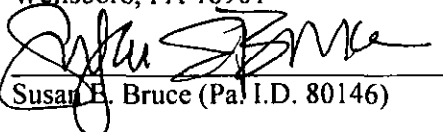
Vice President-Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
P. O. Box 12677
Reading, PA 19612-2677

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901


Susan E. Bruce (Pa. I.D. 80146)

Counsel to EnerConnex, LLC



McNees
Wallace & Nurick LLC

100 Pine Street • PO Box 1166 • Harrisburg, PA 17108-1166

First Class Mail

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2nd Floor
Harrisburg, PA 17120