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SECRETARY'S BUREAU
FRONT DESK

Susan E. Bruce
Direct Dial: 717.237.5254
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sbruce@mcneeslaw.com

March 25, 2019

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2nd Floor
Harrisburg, PA 17120

VIA HAND DELIVERY

RE: Application of EnerConnex, LLC, for Approval to Offer, Render, Furnish, or Supply Natural Gas Supply Services as a Broker to the Public in the Commonwealth of Pennsylvania; Docket No. A-2019-_____

Dear Secretary Chiavetta:

Please find enclosed for filing with the Pennsylvania Public Utility Commission ("PUC" or "Commission") the following documents submitted on behalf of EnerConnex, LLC ("EnerConnex"):

1. Natural Gas Supplier ("NGS") Application, as captioned above;
2. Compact disc containing a searchable PDF version of the NGS Application;
3. One copy of each of the confidential documents, provided under seal to the Commission only (at Attachments E and F); and
4. A check in the amount of \$350.00 made payable to the Commonwealth of Pennsylvania for the requisite filing fee for EnerConnex's NGS Application.

EnerConnex respectfully requests the Commission grant CONFIDENTIAL treatment of the documents found at Attachments E and F. EnerConnex believes this information is of a proprietary or confidential nature because it may reveal non-public financial information, sensitive information about EnerConnex's current and future business plans, and other information that is commercially sensitive and whose release may be injurious to EnerConnex's competitive position. To this end, the propriety and confidential information set forth in Attachments E and F is so designated by being labeled "CONFIDENTIAL" and is being provided under protective seal to the Commission only. For these reasons, EnerConnex requests that the Commission treat these documents as confidential and prevent public disclosure in accordance with the Commission's procedures. Any party desiring access to the foregoing responses can contact the undersigned counsel with such a request.

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Harrisburg, PA • Lancaster, PA • Scranton, PA • State College, PA • Columbus, OH • Frederick, MD • Washington, DC

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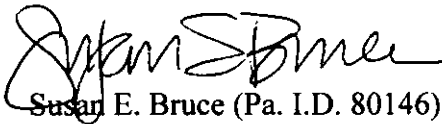
As evidenced by the Certificate of Service, copies of this filing are being duly served on the appropriate parties.

If you have any questions regarding the enclosed documents, please contact the undersigned. In addition, please date stamp the enclosed extra copy of this filing, and kindly return it to our messenger for our filing purposes.

Thank you.

Very truly yours,

McNEES WALLACE & NURICK LLC

By 
Susan E. Bruce (Pa. I.D. 80146)

Counsel to EnerConnex, LLC

SEB:mas

Enclosures

c: Certificate of Service (without confidential information)

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BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of EnerConnex, LLC, d/b/a _____, for approval to offer, render, furnish, or supply natural gas supply services as a(n) Broker/Marketer to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

EnerConnex, LLC
4140 Clark Shaw Road
Powell, Ohio 43065
www.enerconnex.com
1-800-319-0856

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

InCorp Services, Inc.
7208 Red Top Road
Harrisburg, PA 17036

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Janet Loop
CFO
EnerConnex, LLC
44 Portland St, 4th Floor
Worcester, MA 01608
Phone: 508-769-4929
Fax: N/A
Email: jloop@enerconnex.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Susan E. Bruce
Energy & Environmental Law Group
McNees Wallace & Nurick LLC
100 Pine Street
PO Box 1166
Harrisburg, PA 17108-1166
Phone: (717) 237-5254
Fax: (717) 260-1666
Email: sbruce@mcneeslaw.com

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Mark Phillips
Mularski, Bonham, Dittmer, Phillips & Steele, LLC
107 W. Johnstown Road
Gahanna, Ohio 43230
Phone: (614) 476-6464
Fax: (614) 54007473
Email: mphillips@mbdplaw.com

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSS.

Primary Contact:

Janet Loop
CFO
EnerConnex, LLC
44 Portland St, 4th Floor
Worcester, MA 01608
Phone: 508-769-4929
Fax: N/A
Email: jloop@enerconnex.com

Alternate Contact:

Vitana Melesiute
VP Energy Markets
EnerConnex, LLC
44 Portland St, 4th Floor
Worcester, MA 01608
Phone: 508-612-5947
Fax: N/A
Email: vmelesiute@enerconnex.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State Pursuant to 54 Pa. C.S. §311.

Or

X The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

Or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

X The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

Attachment A contains the Certificate of Registration that EnerConnex, LLC received from the Department of State on Feb 20, 2019 and the initial Filing with the Department of State.

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

EnerConnex, LLC is organized in Ohio as a domestic limited liability corporation. Attachment B contains the Articles of Organization from the State of Ohio.

- Give name and address of officers.

Attachment C contains the names and addresses of the officers.

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3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

EnerConnex does not have an affiliate(s) currently doing business in Pennsylvania. EnerConnex does not have any affiliates that are currently applying to do business in Pennsylvania.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

EnerConnex does not have any predecessor that have done business in Pennsylvania or in any state.

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of a natural gas distribution company
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

- The Applicant is presently doing business in Pennsylvania as a
- natural gas interstate pipeline
 - municipality providing service outside its municipal limits
 - local gas distribution company
 - retail supplier of natural gas services in the Commonwealth
 - a natural gas producer
 - a broker/marketer engaged in the business of supplying natural gas services
 - Other. (Identify the nature of service being rendered)

or

- The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- Supplier or Aggregator of natural gas services
- Municipal supplier of natural gas services
- Cooperative supplier of natural gas services
- Broker/Marketer engaged in the business of supplying natural gas services
 - Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the natural gas supply services which the Applicant proposes to offer.

EnerConnex, LLC (ECX) will provide its customers with an end to end procurement process that includes managing the customer’s procurement needs for natural gas, providing market intelligence, developing requests for pricing, analyzing the pricing once it is received, assisting customers through the contracting process and tracking customers’ energy contracts and usage history.

EnerConnex is also simultaneously filing for an Electric Broker License with the Pennsylvania Public Utilities Commission.

d. **PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- | | |
|--|---|
| <input type="checkbox"/> Columbia | <input type="checkbox"/> Peoples Gas Company |
| <input type="checkbox"/> National Fuel Gas | <input type="checkbox"/> Philadelphia Gas Works |
| <input type="checkbox"/> PECO | <input type="checkbox"/> UGI Utilities – Gas Division |
| <input type="checkbox"/> Peoples Natural Gas Company | <input type="checkbox"/> Valley Energy |
| <input type="checkbox"/> Peoples Natural Gas – Equitable Div | |
- All of the above

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (Less than 6,000 Mcf annually)
- Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
- Large Commercial Customers - (6,000 Mcf or more annually)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

EnerConnex plans to actively market within the Commonwealth of Pennsylvania when it receives approval from the PA Public Utility Commission to act as a broker/marketer.

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Neither EnerConnex nor any person identified in this Application has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years. EnerConnex does not have an affiliated or predecessors.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not Applicable to EnerConnex.

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

EnerConnex does not have any formal or escalated actions or complaints in the Commonwealth of Pennsylvania or any state, that has been filed with or by a customer, regulatory agency, or prosecutor agency for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General.

EnerConnex has never had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not Applicable to EnerConnex.

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

a.) STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
 5th Floor, Forum Place
 555 Walnut Street
 Harrisburg, PA 17120

Office of the Attorney General
 Bureau of Consumer Protection
 Strawberry Square, 14th Floor
 Harrisburg, PA 17120

Office of the Small Business Advocate
 Commerce Building, Suite 202
 300 North Second Street
 Harrisburg, PA 17101

Commonwealth of Pennsylvania
 Department of Revenue
 Bureau of Compliance
 Harrisburg, PA 17128-0946

Bureau of Investigation & Enforcement
 Pennsylvania Public Utility Commission
 Commonwealth Keystone Building
 400 North Street, 2 West
 Harrisburg, PA 17120

The Certificate of Service providing proof of service of a signed and verified Application with attachments being sent to the statutory agencies listed in 6a. has been provided as Attachment D to this application.

b.) NGDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

<p>Columbia Gas of PA, Inc. Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: mcaddell@nisource.com</p>	
<p>Peoples Natural Gas Company LLC – Equitable Division Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931</p>	<p>National Fuel Gas Distribution Corp. Joanne E. Maciok 6363 Main Street Williamsville, NY 14221 PH: 716.857.7670 FAX: 716.857.7479</p>

<p>FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>e-mail: maciokj@natfuel.com</p>
<p>Peoples Natural Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com</p>
<p>Peoples Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>Philadelphia Gas Works Nicholas LaPergola 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.684.6278 email: pgwchoicesupply@pgworks.com</p>
<p>Valley Energy Inc. Ed Rogers 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: erogers@ctenterprises.org</p>	<p>UGI Utilities, Inc. – Gas Division David Lahoff 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com</p>

The Certificate of Service providing proof of service of a signed and verified Application with attachments being sent to the statutory agencies listed in 6b. has been provided as Attachment D to this application.

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require. The contact information is located in Section 6.b.

EnerConnex, LLC is filing as a broker/marketer and not as a natural gas supplier. Therefore, EnerConnex, LLC believes this section does not apply.

- b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

See Attachment E – Financial Information (CONFIDENTIAL)

- c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

EnerConnex is operating as a Broker/Marketer

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- d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

**EnerConnex will act as a Broker and will collect fees from Suppliers as natural gas flows over the course of the customer's contract. The fee structure will be disclosed and agreed upon with the customer and will be contained in the RFP documents.
EnerConnex will not bill customers for brokerage services unless the customer requests that they pay EnerConnex directly on a retainer for services agreement.**

- e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

**Janet Loop
CFO
EnerConnex, LLC
44 Portland St, 4th Floor
Worcester, MA 01608
Phone: 508-769-4929
Email: jloop@enerconnex.com**

- f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

Please see Attachment F- Tax Certification Statement (Confidential)

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the natural gas industry.

Please see Attachment G for EnerConnex's experience

- Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.

Please see Attachment H for EnerConnex's list of broker licenses in other states

- Type of customers and number of customers Applicant currently serves in other jurisdictions.

Please see Attachment I for EnerConnex's for a listing of types and numbers of customers EnerConnex serves in other jurisdictions.

- Staffing structure and numbers as well as employee training commitments.

Please see Attachment J for EnerConnex's staffing structure.

- Business plans for operations within the Commonwealth.

Please see Attachment K for EnerConnex's business plans for operations within PA.

- Any other information appropriate to ensure the technical capabilities of the Applicant.

EnerConnex is a member of TEPA – The Energy Professionals Association and the EPO – Energy Professionals of Ohio. Both organizations were founded to promote a standardized code of conduct among members, educate the community on energy initiatives and advocate legislative plans in deregulated markets. Both organizations are composed of Aggregators, Brokers, Consultants and Suppliers and specialize in providing market knowledge to its members to help consumers make the best energy procurement choices in both electricity and natural gas. In addition, EnerConnex participates in the Energy Marketing Conferences (EMC) held in Texas and New York. EMC holds the largest retail energy conferences in North America. EnerConnex moderated a panel discussion at the 2018 Fall NYC conference.

b. **PROPOSED MARKETING METHOD** (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing
- External NGS – Applicant will contract with a **PUC LICENSED NGS**
- Affiliate – Applicant will use a **NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant**
- External Third-Party – Applicant will contract with a **NON-NGS third party nontraditional marketer and/or non-selling marketer**
- Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
 No

If yes, will the Applicant be using verification procedures?

- Yes
 No

If yes, describe the Applicant's verification procedures.

d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

The EnerConnex team has over 100 years of combined experience in the electricity and natural gas industry. Brian Dafferner, President, and Eric Niemeyer, Exec. VP of Sales, both hold undergraduate degrees in Marketing. In addition, Anthony Barnhart, Business Development and Sales, has over 30 years of experience in Sales and Marketing. These three gentlemen have been tasked with creating and overseeing a rigorous process involving the creation, review and dissemination of marketing materials for EnerConnex. All print, phone, online, and event marketing efforts will be performed in a manner that adheres to the highest standards. The goal of EnerConnex is to be a trusted energy advisor to its customers and it is through that lens that the company evaluates its marketing actions.

e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Please see Attachment L for the listing of EnerConnex's chief officers and the resumes of its officers.

9. DISCLOSURE STATEMENT:

(Not applicable for an applicant applying for a license exclusively as a broker/marketer.)

DISCLOSURE STATEMENTS: If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

- Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

A Disclosure Statement is not applicable as EnerConnex is filing as an applicant as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.

X **AGREED**

- b. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission:

- **Reports of Gross Receipts:** Applicant shall file an annual report with the Commission on an annual basis no later than April 30th following the end of the calendar year per 52 Pa. Code § 62.110.

X **AGREED**

- c. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.

X **AGREED**

d. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling natural gas in the Commonwealth of PA, and a supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

X ACKNOWLEDGED

e. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.

X AGREED

f. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

X AGREED

g. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.

X AGREED

h. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

X AGREED

i. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

X PAYMENT ENCLOSED

11. AFFIDAVITS
(All affidavits must be notarized before filing.)

- a.) **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

Attachment M contains the notarized Application Affidavit stating that all information submitted by EnerConnex in this application is truthful and correct.

- b.) **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

Attachment N contains the notarized Operations Affidavit stating the EnerConnex will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws.

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each NGDC. For example, an applicant that wants to operate in Peoples – Equitable would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

Attachment O contains copies of the Notarized Proofs of Publication for the seven (7) newspapers listed in the table below. The original Proof of Publications were provided to the Public Utility Commission as part EnerConnex's filing for an Electric Broker/Marketer license.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Columbia Gas	X	X		X		X	X
National Fuel Gas	X			X			
PECO			X				
Peoples - Equitable	X			X			
Peoples Natural Gas	X			X			X
Peoples Gas Company				X			
Philadelphia Gas Works			X				
UGI Utilities – Gas Div.	X	X	X	X	X	X	X
Valley Energy					X	X	
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices F and G)

13. SIGNATURE

Applicant: EnerConnex, LLC
 By: Janet Loop
 Title: CFO

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 SECRETARY'S BUREAU

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

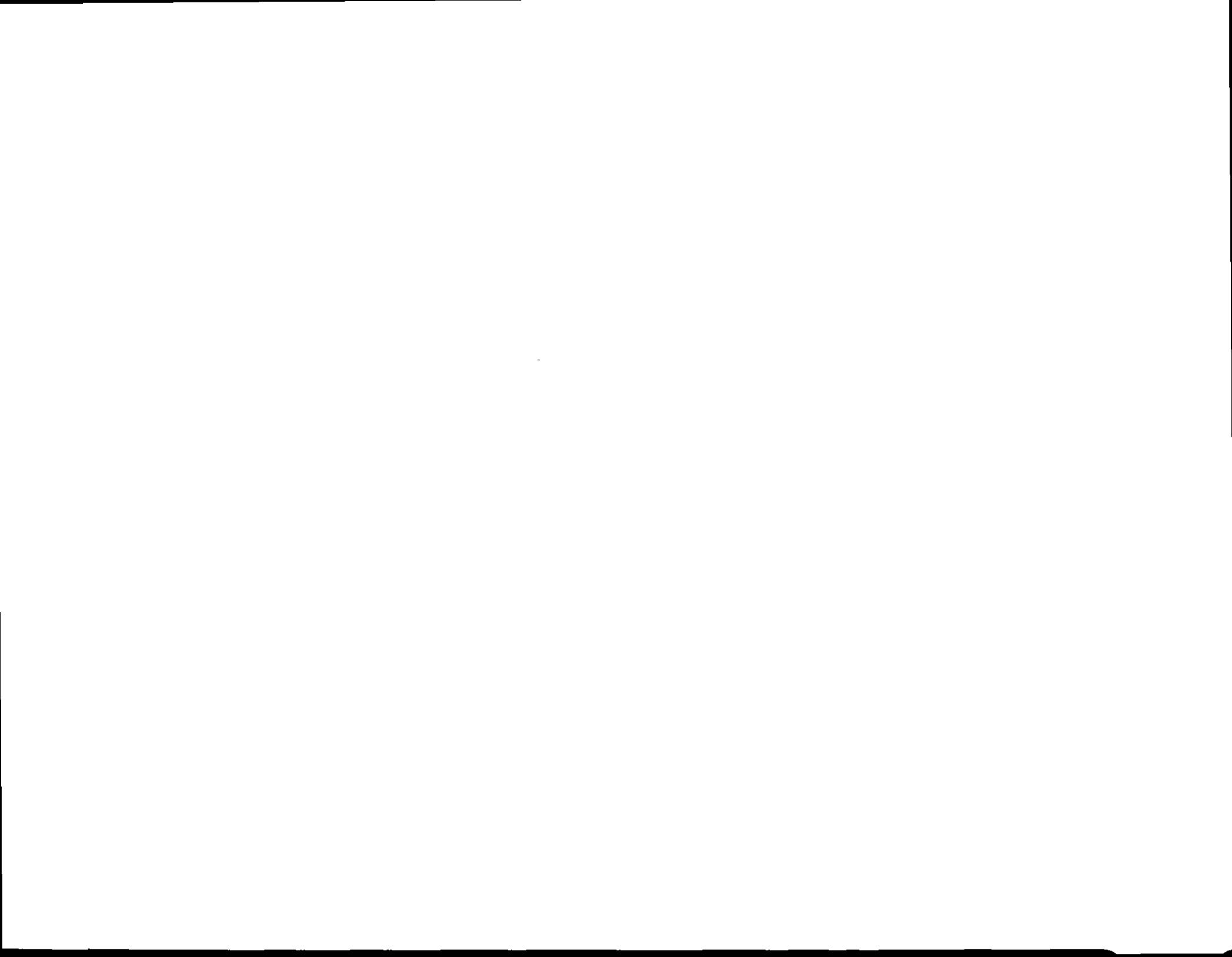
Applicant: EnerConnex, LLC

Applicant's Use	✓	Signature		PUC Secretary's Bureau Use
	✓	Filing Fee (ONLY CERTIFIED CHECK OR MONEY ORDER)		
	✓	Application Affidavit		
	✓	Operations Affidavit		
	✓	Proof of Publication		
	✓	Tax Certification Statement		
	✓	Commonwealth Department of State Verification		
	✓	Certificate of Service		

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ATTACHMENT A
CERTIFICATE OF REGISTRATION

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SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS
401 NORTH STREET, ROOM 206
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.PA.GOV

Enerconnex, LLC
4140 Clark Shaw Road
Powell OH 43065

EnerConnex, LLC

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WE WOULD LIKE TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEBSITE AT www.dos.pa.gov/BusinessCharities OR YOU MAY CONTACT US BY TELEPHONE AT (717)787-1057. INFORMATION REGARDING BUSINESS AND UCC FILINGS CAN BE FOUND ON OUR SEARCHABLE DATABASE AT www.corporations.pa.gov/Search/CorpSearch.

ENTITY NUMBER : 6403795

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Entity# : 6403795
Date Filed : 05/09/2016
Pedro A. Cortés
Secretary of the Commonwealth

PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

<input type="checkbox"/> Return document by mail to: Name _____ Address _____ City _____ State _____ Zip Code _____	Foreign Registration Statement TML160510JD1780
<input checked="" type="checkbox"/> Return document by email to: eniemeyer@enerconnex.com	

Read all instructions prior to completing. This form may be submitted online at <https://www.corporations.pa.gov/>.

Fee: \$250

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. § 412 (relating to foreign registration statement), the undersigned foreign association hereby states that:

1. The type of association is (check only one):

- | | | |
|---|--|---|
| <input type="checkbox"/> Business Corporation | <input type="checkbox"/> Limited Partnership | <input type="checkbox"/> Business Trust |
| <input type="checkbox"/> Nonprofit Corporation | <input type="checkbox"/> Limited Liability (General) Partnership | <input type="checkbox"/> Professional Association |
| <input checked="" type="checkbox"/> Limited Liability Company | <input type="checkbox"/> Limited Liability Limited Partnership | |

2. The full and proper name of the foreign association as registered in its jurisdiction of formation is:

EnerConnex, LLC

2A. If the name in 2 does not contain a required designator or if the name in 2 is not available for use in the Commonwealth, the alternate name under which the association is registering in this Commonwealth is:

A resolution of the governors adopting the name in 2A for use in registering to do business in this Commonwealth must be attached.

3. The jurisdiction of formation is: Ohio

4. The street and mailing address of the association's principal office.

4140 Clark Shaw Rd,	Powell,	Ohio	43065
Number and street	City	State	Zip

4A. The street and mailing address of the office, if any, required to be maintained by the law of the association's jurisdiction of formation in that jurisdiction:

Number and street	City	State	Zip
-------------------	------	-------	-----

PA DEPT. OF STATE

MAY 09 2016

5. The (a) address of the association's proposed registered office in this Commonwealth or (b) name of its Commercial Registered Office Provider and the county of venue is:

Complete part (a) OR (b) - not both:

(a) _____
 Number and street City State Zip County

OR

(b) c/o: InCorp Services, Inc. Dauphin
 Name of Commercial Registered Office Provider County

6. Check one of the following:

- The association may not have series.
- The association may have one or more series.

7. Effective date of registration of foreign association (check, and if appropriate complete, one of the following):

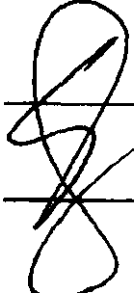
- The Foreign Registration Statement shall be effective upon filing in the Department of State.
- The Foreign Registration Statement shall be effective on: 05/09/2016 at _____
 Date (MM/DD/YYYY) Hour (if any)

8. To be completed by Limited Liability Companies only. Check, and if appropriate complete, one of the following:

- The association is a limited liability company which is not organized to render any of the below professional service(s).
- The association is a restricted professional limited liability company organized to render one or more of the following professional service(s): (If this box is checked, one or more of the fields below must be checked.)

<input type="checkbox"/> Chiropractic	<input type="checkbox"/> Dentistry	<input type="checkbox"/> Law	<input type="checkbox"/> Medicine and surgery
<input type="checkbox"/> Optometry	<input type="checkbox"/> Osteopathic medicine and surgery	<input type="checkbox"/> Podiatric medicine	<input type="checkbox"/> Public accounting
<input type="checkbox"/> Psychology	<input type="checkbox"/> Veterinary medicine		

IN TESTIMONY WHEREOF, the undersigned association has caused this Foreign Registration Statement to be signed by a duly authorized representative thereof this 4th day of May, 2016.


 EnerConnex, LLC
 Name of Association

 Signature

Managing Partner
 Title

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE

02/20/2019

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

EnerConnex, LLC

is duly registered to do business under the laws of the Commonwealth of Pennsylvania and remains a registered Foreign Limited Liability Company so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT this Certificate of Registration shall not imply that all fees, taxes and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written

Katly Bockman

Acting Secretary of the Commonwealth

Certification Number: TSC190220110449-1

Verify this certificate online at <http://www.corporations.pa.gov/orders/verify>

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ATTACHMENT B

ARTICLES OF ORGANIZATION
OHIO DOMESTIC LLC

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DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
01/28/2016	201602702710	DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG (LCP)	99.00	0.00	0.00	0.00	0.00

Receipt

This is not a bill. Please do not remit payment.

MARK E PHILLIPS
107 W JOHNSTOWN RD
GAHANNA, OH 43230

**STATE OF OHIO
CERTIFICATE**

**Ohio Secretary of State, Jon Husted
3856033**

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

ENERCONNEX, LLC

and, that said business records show the filing and recording of:

Document(s)

DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG

Effective Date: 01/26/2016

Document No(s):

201602702710



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio this
28th day of January, A.D. 2016.

Ohio Secretary of State



Form 533A Prescribed by:
Ohio Secretary of State
JON HUSTED
Ohio Secretary of State

Central Ohio: (614) 466-3910
Toll Free: (877) SOS-FILE (767-3453)
www.OhioSecretaryofState.gov
Busserv@OhioSecretaryofState.gov

Mail this form to one of the following:

Regular Filing (non expedite)
P.O. Box 670
Columbus, OH 43216

Expedite Filing (Two-business day processing
time requires an additional \$100.00).
P.O. Box 1390
Columbus, OH 43216

**Articles of Organization for a Domestic
Limited Liability Company**
Filing Fee: \$125

CHECK ONLY ONE (1) BOX

(1) Articles of Organization for Domestic
For-Profit Limited Liability Company
(115-LCA)

(2) Articles of Organization for Domestic
Nonprofit Limited Liability Company
(115-LCA)

Name of Limited Liability Company

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd."

Effective Date (Optional)
(The legal existence of the limited liability company begins upon the filing of the articles or on a later date specified that is not more than ninety days after filing)

This limited liability company shall exist for (Optional)

Purpose (Optional)

****Note for Nonprofit LLCs**
The Secretary of State does not grant tax exempt status. Filing with our office is not sufficient to obtain state or federal tax exemptions. Contact the Ohio Department of Taxation and the Internal Revenue Service to ensure that the nonprofit limited liability company secures the proper state and federal tax exemptions. These agencies may require that a purpose clause be provided.

ORIGINAL APPOINTMENT OF AGENT

The undersigned authorized member(s), manager(s) or representative(s) of

EnerConnex, LLC

Name of Limited Liability Company

hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is

Mark E. Phillips

Name of Agent

107 W. Johnstown Road

Mailing Address

Gahanna

City

Ohio

State

43230

ZIP Code

ACCEPTANCE OF APPOINTMENT

The undersigned, Mark E. Phillips named herein as the statutory agent

Statutory Agent Name

for EnerConnex, LLC

Name of Limited Liability Company

hereby acknowledges and accepts the appointment of agent for said limited liability company

Statutory Agent Signature 

Individual Agent's Signature / Signature on Behalf of Business Serving as Agent

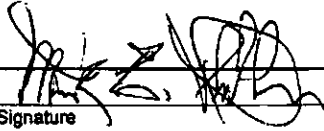
By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required

Articles and original appointment of agent must be signed by a member, manager or other representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.


Signature

By (if applicable)

Mark E. Phillips
Print Name

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

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ATTACHMENT C
CORPORATE OFFICERS

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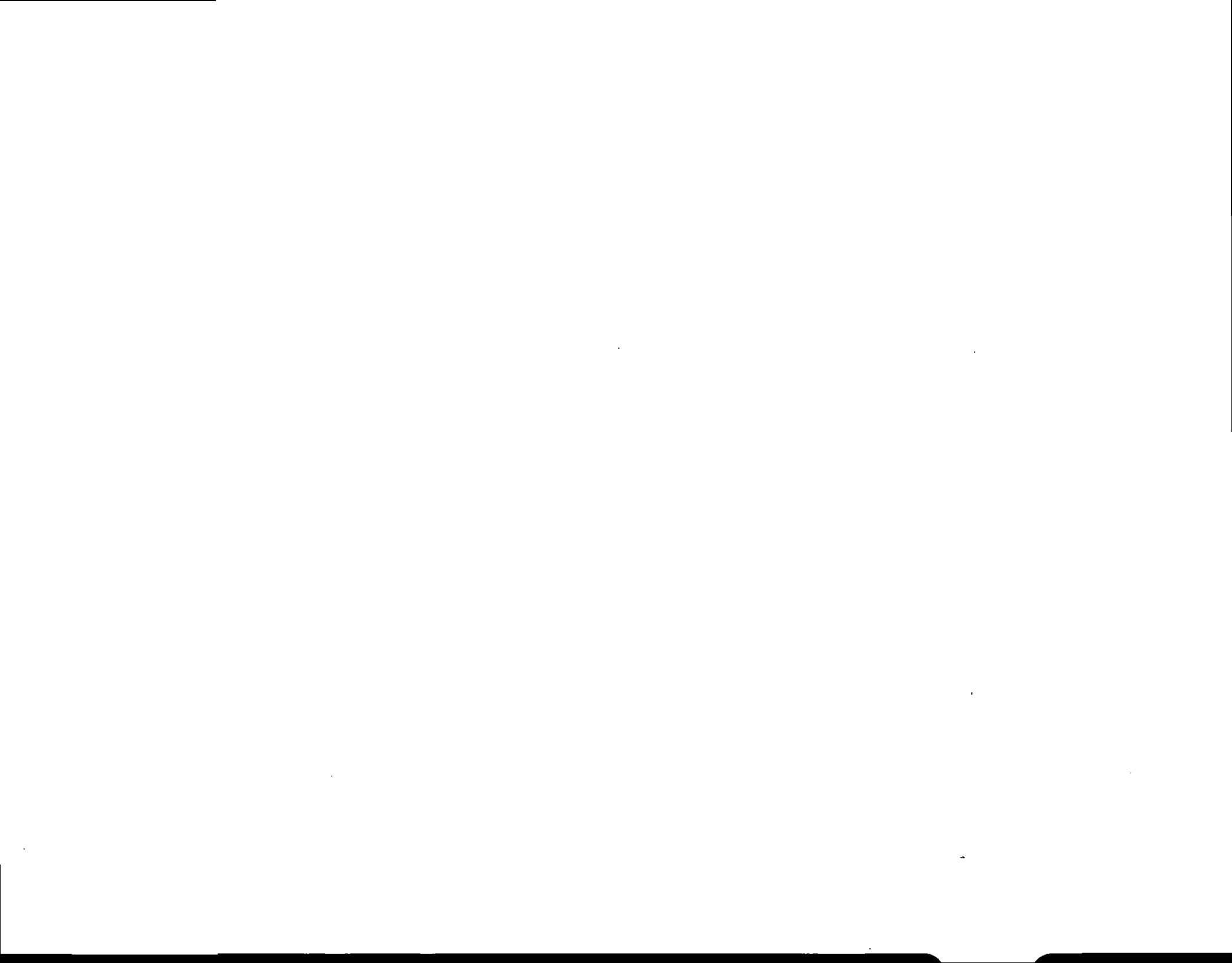
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



ATTACHMENT C

Primary Listing of Corporate Officers for EnerConnex, LLC

<u>Member</u>	<u>Address</u>	<u>Title</u>
Brian Dafferner	44 Portland Street, Worcester, MA 01608	President
Eric Niemeyer	4140 Clark Shaw Road Powell, OH 43065	Exec VP of Sales
Tony Barnhart	4140 Clark Shaw Road Powell, OH 43065	VP of Business Development
Janet Loop	44 Portland Street, Worcester, MA 01608	Chief Fiscal Officer
Vitana Melesiute	44 Portland Street, Worcester, MA 01608	VP of Energy Markets



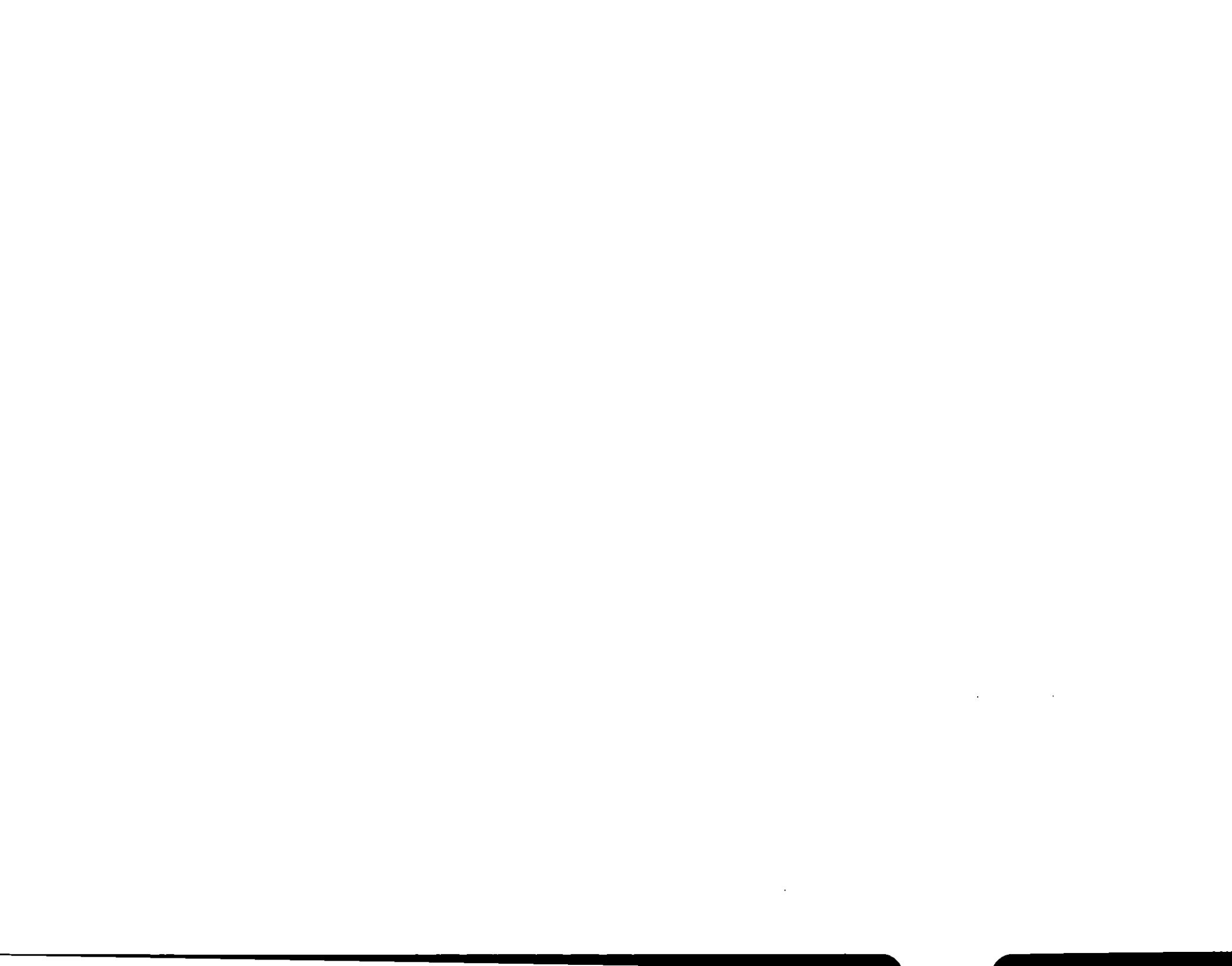


ATTACHMENT E

FINANCIAL INFORMATION

ATTACHMENT E

PROVIDED TO COMMISSION UNDER CONFIDENTIAL SEAL





ATTACHMENT F

TAX CERTIFICATION STATEMENT

ATTACHMENT F

PROVIDED TO COMMISSION UNDER CONFIDENTIAL SEAL

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ATTACHMENT G

PREVIOUS EXPERIENCE



Technical and Managerial Competency

Technical Competency:

Since its creation in January 2016, EnerConnex has assisted customers with their energy buying decisions in Ohio, Kentucky, Massachusetts, Michigan, New Hampshire, New Jersey, New York, and Texas. As of Feb 28, 2019, EnerConnex, LLC contracted 6.3 million annual Dth, with a total contract value of approximately \$650,000 in natural gas contracts under management in these states.

EnerConnex has partnered with the most reliable and financially secure suppliers in order to provide its customers with the best terms and pricing options. Customers executed contracts directly with a supplier once they chose a term and price. EnerConnex reviewed the contracts with the customers so they understood what they were signing.

EnerConnex does not trade or take title or ownership of the natural gas commodity. EnerConnex remains supplier neutral and does not have an exclusive relationship with any supplier or group of suppliers. EnerConnex does not bill the customers directly for brokerage services. The EnerConnex fee is paid by the supplier.

After a contract is signed, EnerConnex is available to the customer for questions and to handle any issues that may arise over the life of the contract. The Managing Partners use their experience and relationships with suppliers to quickly resolve all customer inquiries.

EnerConnex provides its customers with an end to end procurement process that includes managing the customer's procurement needs, providing market intelligence, developing requests for pricing, analyzing the pricing once it is received, assisting customers through the contracting process and tracking customers' energy contracts and usage history. In addition, EnerConnex will assist customers with the analysis distributed generation projects and demand response programs, and other means that may reduce the overall usage and cost of energy to a customer.

Please see the marketing materials in Attachment K – Business Plans for Pennsylvania – this will give you an idea of the procurement services EnerConnex provides.

EnerConnex intends to provide the same service that it has provided in Ohio, Kentucky, Massachusetts, Maryland, Michigan, New Hampshire, New Jersey, New York, and Texas to the commercial, industrial and government customers of Pennsylvania.



Managerial Competency:

In terms of Managerial Competency, the resumes for the owners and key employees can be found at the back of this attachment along with a corporate organization chart as of February 28, 2019. The owners and key employees have over 100 years of combined experience in the energy industry and below is a summary of their qualifications.

Brian Dafferner, who is the President of EnerConnex, is an established retail energy sales leader with over nineteen years of experience in the industry working in various roles for energy suppliers and brokerage firms. Helped found, build, oversee and eventually sell an energy brokerage company with over 10M in annual sales. Involved in energy procurement, efficiency, and sustainability services for large commercial and industrial customers since California deregulated in 1998.

Brian started his career within the retail energy sector in a sales role at Reliant Energy in 1998. After subsequent stops at Enron and Shell Trading, he helped to launch GSE Consulting in 2002 where he served as President for over nine years. GSE Consulting was eventually sold to World Energy Solutions in late 2011.

One of the founding members of TEPA (The Energy Professionals Association) formed in 2005, a 501 (c) organization specializing in providing market knowledge to energy consumers while upholding the integrity of the deregulated retail energy markets. Helped develop a standardized code of conduct and bylaws for member aggregators, brokers and consultants. Received a Bachelors in Business Administration at the University of Colorado in Boulder.

Eric Niemeyer, who is Exec VP of Sales, is an accomplished retail energy sales leader with 20+ years of experience in developing long term business-to-business relationships. He has worked in numerous leadership roles for energy suppliers and brokerage companies while maintaining one of the largest books of business in the industry. Areas of expertise include; electricity and natural gas procurement, energy conservation, demand response, and distributed generation.

Eric began his career in the energy industry at Enron Energy Services working in various sales roles. As Director of Sales he was responsible for growing new and emerging markets and maximizing electricity & natural gas market share. Eric joined Energy Gateway in 2002 where he was a Managing Partner & Vice President. Eric was instrumental in helping to build the company and contributed to creating one of the very first online exchanges for electricity and natural gas transactions. In 2007 Energy Gateway was acquired by World Energy Solutions. During his eight years at World Energy Solutions, Eric was VP of Sales where he continued in developing relationships with his customers – some of whom he had worked with for over a decade and a half.

Eric firmly believes in taking care of the customer which has translated into firm and longstanding relationships over the years. He has brought this same customer-centric approach with him in his role as Managing Partner at EnerConnex.



Janet Loop, is the Chief Fiscal Officer and acts as VP of Energy Markets, is an energy industry veteran with over 30 years of experience in the electricity and natural gas markets. She has been involved in utility rate/tariff design, energy efficiency program implementation, deregulation rule setting, regulatory compliance and most importantly electricity and natural gas procurement.

Janet began her career working for Boston Edison (now known as Eversource Energy) where she learned the intricacies of the retail energy markets including; pricing design, regulatory compliance, energy efficiency programs along with the workings of unregulated subsidiaries. In 2001, she joined SmartEnergy, an electricity supply leader in the early days of deregulation. It was here that Janet became versed in electricity and natural gas procurement. In 2004, Janet joined World Energy Solutions as a Market Director. During her 12 years with World Energy Solutions, Janet procured energy for customers in the NY, New England, PJM, Texas and Canadian markets. She handled one of the largest back office operations books in the industry while implementing a proactive, educational, and customer-centric procurement practice. While with World Energy Solutions, Janet handled the electricity and natural gas procurements for the Commonwealth of MA, the Commonwealth of PA, and GSA's New England and New York regions. In addition, her regulatory experience with Boston Edison, allowed Janet to create all of the regulatory filings needed for World Energy Solutions to obtain and maintain its broker licenses across multiple jurisdictions.

Vitana Melesiute, is the chief VP of Energy Markets, and Vitana is an established leader in the retail energy industry with more than 17 years of experience marketing energy commodity products and services to large C&I customers. She has worked with Fortune 500 companies in developing customized strategies for energy procurement, demand side management, and energy efficiency planning and implementation.

Prior to joining EnerConnex, Vitana worked in various roles at World Energy Solutions, a leading energy brokerage firm that pioneered the use of the auction platform. Since inception, she was an integral part of World Energy's evolution into one of the largest energy brokerage companies in the country. Vitana was the first employee hired by the founder of World Energy Solutions and helped to build it into a publicly traded company with over 120 employees when it was sold to EnerNOC in 2015. Vitana directed World Energy's new market entrance program, while transacting over 50 TWh in energy contracts for commercial, industrial, and government clients. Vitana trained numerous sales and analyst personnel in the intricacies of the energy markets and the energy brokerage world. As a Market Director over the PJM, MISO, NYISO, CAISO and ERCOT markets, her extensive market expertise enabled her to drive customer success by taking advantage of market opportunities while advocating on behalf of the client. While with World Energy Solutions, Vitana handled procurements for the State of Maryland (DGS), Montgomery County Public Schools, and Adventist Healthcare.



Tony Barnhart, is the VP of Business Development. Tony is a proven leader with nearly thirty years in the retail and wholesale energy markets. Serving in various roles in Executive Management, Sales, Regulatory and General Management, he has dedicated his career to assisting utilities, industrial and commercial customers manage energy requirements enabling them to reduce costs and mitigate risk.

Throughout his career, Tony has held senior executive roles at several leading energy companies; from start-ups with successful exits, to major firms leading a divestiture. He has in-depth experience on both the supply side and brokerage side of the business. Tony helped co-found and grow a retail energy supplier that eventually was acquired by a larger supplier. He also helped build an energy brokerage company as a member of the leadership team tripling the company's energy procurement revenues while broadening its service offerings and later positioning the company for acquisition at 18x EBITDA. More recently, Tony helped lead an Australian energy software company's US entry by establishing organic sales while acquiring an energy efficiency rebate management firm. Under his leadership, the firm went from negative to positive earnings in less than a year.

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ATTACHMENT H
LICENSES IN OTHER STATES

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**EnerConnex
Broker Licenses in Various States**

State	Commodity	License Number
OH	Electric Broker	16-1091E(2)
OH	Nat Gas Broker	16-525G(2)
IL	Electric Broker	16-0543
MA	Electric Broker	EB-390
MA	Retail Agent Nat Gas	RA-172
MD	Electric Broker	IR-4132
MD	Nat Gas Broker	IR-4131
NH	Nat Gas Broker	DM 18-170
NJ	Energy Agent	EA-0452
NJ	Energy Consultant	EC-0147
NJ	Private Aggregator	PA-0200
CT	Electric Broker	Not Needed
CT	Nat Gas Broker	Not Needed
IN	Nat Gas Broker	Not Needed
KY	Nat Gas Broker	Not Needed
NY	Electric Broker	Not Needed
MI	Electric Broker	Not Needed
MI	Nat Gas Broker	Not Needed
NY	Nat Gas Broker	Not Needed
RI	Electric Broker	Not Needed
RI	Nat Gas Broker	Not Needed
TX	Electric Broker	Not Needed

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION

EnerConnex, LLC :
 :
Application for Licensure of : **16-0543**
Agents, Brokers and Consultants under :
Section 16-115C of the Public Utilities Act. :

ORDER

By the Commission:

I. INTRODUCTION

On November 10, 2016, EnerConnex, LLC (“Applicant”) filed a verified application with the Illinois Commerce Commission (“Commission”) requesting a certificate of service authority to operate as an agent, broker, or consultant (“ABC”) in Illinois pursuant to Section 16-115C of the Public Utilities Act (“Act”), 220 ILCS 5/1-101 *et seq.*, and 83 Ill. Adm. Code 454, “Licensure of Retail Electric Agents, Brokers and Consultants” (“Part 454”). Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois. Since all of the information to grant the requested relief was contained in the application, the hearing was waived.

II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of Ohio and authorized to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers’ data. The Applicant has also attested that no complaints have been filed against it for its provision of services in the electric or gas industry in the jurisdictions where it provides or is seeking to provide services.

III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Applicant is required by Section 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Section 454.60 through Attachment C to the application. Attachment C to the application contains a corporate organizational chart and identifies the occupational background information of the persons being used to meet the requirements of Section 454.60(a). Attachment C contains occupational background information on the person or persons being used to meet the requirements of Section 454.60(a).

Applicant is required by Section 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Section 454.70 with the information provided in Attachment D. Attachment D contains occupational background information on the person or persons being used to meet the requirements of Section 454.70(a).

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the application and attachments provided by Applicant regarding the technical, managerial, and financial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements. The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that EnerConnex, LLC is granted service authority to operate as an agent, broker, or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) EnerConnex, LLC, a business organized under the laws of Ohio and authorized to transact business in Illinois, seeks a certificate of service authority to operate as an ABC under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) EnerConnex, LLC has demonstrated that it possesses sufficient financial, managerial, and technical resources and abilities to provide services as an ABC for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) EnerConnex, LLC has complied with Section 16-115C of the Act and Part 454; and
- (6) EnerConnex, LLC should be granted a certificate of service authority to operate as an ABC as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that EnerConnex, LLC is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker, or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

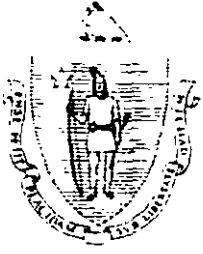
IT IS FURTHER ORDERED that EnerConnex, LLC shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 Ill. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By Order of the Commission this 20th day of December, 2016.

(SIGNED) BRIEN SHEAHAN

Chairman



THE COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER
GOVERNOR

KARYN E. POLITO
LIEUTENANT GOVERNOR

MATTHEW A. BEATON
SECRETARY OF ENERGY
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION
BOSTON, MA 02110
(617) 305-3500

ANGELA M. O'CONNOR
CHAIRMAN

ROBERT E. HAYDEN
COMMISSIONER

CECILE M. FRASER
COMMISSIONER

January 4, 2019

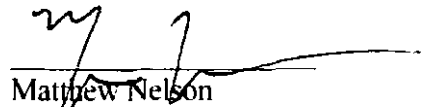
Janet Loop, CFO & Managing Partner
EnerConnex, LLC
44 Portland St., 4th Floor
Worcester, MA 01608

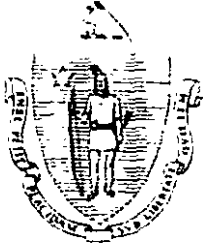
Dear Ms. Loop,

The Department of Public Utilities ("Department") has reviewed EnerConnex, LLC's ("Company") application for renewal of its **Electricity Broker License, EB-390**, and is pleased to inform you that the Company's application for renewal has been approved.

As a condition of maintaining this license, the Company must file updated information within 30 days of any material or organic change in the information required by 220 CMR 11.05(2), and must comply with all relevant requirements of G.L. c. 164, and the regulations promulgated thereunder, including 220 CMR 11.00, 12.00. Consistent with the information included with the Company's application, the activities the Company is licensed to provide are limited to electricity broker services to commercial and industrial customers. If, at a later date, the Company seeks to provide electricity broker services to residential customers, it must first seek and obtain Department approval at the time of renewal of its license. If the Company decides to request renewal of its license next year, please submit the renewal application no later than **December 1, 2019**. The renewal application is available at: <https://www.mass.gov/information-for-competitive-suppliers-and-electricity-brokers>.

Sincerely,


Matthew Nelson
Director, Electric Power Division



THE COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER
GOVERNOR

KARYN E. POLITO
LIEUTENANT GOVERNOR

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SECRETARY OF ENERGY
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION
BOSTON, MA 02110
(617) 305-3500

ANGELA M. O'CONNOR
CHAIRMAN

ROBERT E. HAYDEN
COMMISSIONER

CECILE M. FRASER
COMMISSIONER

November 21, 2018

Janet Loop
EnerConnex, LLC
44 Portland Street, 4th Floor
Worcester, MA 01608

Ms. Loop,

The Department of Public Utilities has reviewed EnerConnex, LLC's ("EnerConnex") renewal application for its Gas Retail Agent license to serve commercial and industrial customers in the Commonwealth of Massachusetts. I am pleased to inform you that your renewal application has been approved. EnerConnex's license number is RA-172.

As a condition of maintaining its license, EnerConnex must file updated information within 30 days of any material change in the information required by 220 CMR 14.04(2), and must comply with all relevant requirements of G.L. c. 164, and the regulations promulgated thereunder, including 220 CMR 12.00, 14.00. If you decide to request a renewal of EnerConnex's license next year, please submit a renewal application no later than December 1, 2019.

Sincerely,

A handwritten signature in cursive script that reads "George Yiankos".

George Yiankos, Director, Gas Division

COMMISSIONERS

JASON M. STANEK
CHAIRMAN

MICHAEL T. RICHARD
ANTHONY J. O'DONNELL
ODOGWU OBI LINTON
MINDY L. HERMAN

STATE OF MARYLAND



PUBLIC SERVICE COMMISSION

#6, 10/31/18 AM; ML# 221879, IR-4132

October 31, 2018

Janet Loop
Chief Fiscal Officer
EnerConnex, LLC
44 Portland St., 4th Floor
Worcester, MA 01608

Dear Ms. Loop:

On August 28, 2018, EnerConnex, LLC ("Company") filed an Application for a License to Supply Electricity or Electric Supply Services in Maryland under COMAR 20.54. The Company proposes to provide electricity supply services in Maryland for commercial and industrial customers as described in the application.

After considering this matter at the October 31, 2018 Administrative Meeting, the Commission granted the Company a license to supply electricity or electric supply services in Maryland (License Reference Number IR-4132). The license granted by the Commission under this Letter Order is limited solely to electricity supplier broker and aggregator services for the customer classes and service territories applied for and recommended by Staff.

Finally, the Company is directed to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

A handwritten signature in black ink, appearing to read "Terry J. Romine".

Terry J. Romine
Executive Secretary

TJR/st

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAUL STREET • BALTIMORE, MARYLAND 21202-6806

410-767-8000 • Toll Free: 1-800-492-0474 • FAX: 410-333-6495
MDRS: 1-800-735-2258 (TTY/Voice) • Website: www.psc.state.md.us/psc/

COMMISSIONERS

JASON M. STANEK
CHAIRMAN

MICHAEL T. RICHARD
ANTHONY J. O'DONNELL
ODOGWU OBI LINTON
MINDY L. HERMAN

STATE OF MARYLAND



PUBLIC SERVICE COMMISSION

#5, 10/31/18 AM; ML# 221877, IR-4131

October 31, 2018

Janet Loop
Chief Fiscal Officer
EnerConnex, LLC
44 Portland St., 4th Floor
Worcester, MA 01608

Dear Ms. Loop:

On August 29, 2018, EnerConnex, LLC ("Company") filed an Application for a License to Supply Natural Gas or Natural Gas Supply Services in Maryland under COMAR 20.54. The Company proposes to provide natural gas supply services in Maryland for commercial and industrial customers as described in the application.

After considering this matter at the October 31, 2018 Administrative Meeting, the Commission granted the Company a license to supply natural gas or natural gas supply services in Maryland (License Reference Number IR-4131). The license granted by the Commission under this Letter Order is limited solely to natural gas supplier broker and aggregator services for the customer classes and service territories applied for and recommended by Staff.

Finally, the Company is directed to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

A handwritten signature in black ink, appearing to read "Terry J. Romine".

Terry J. Romine
Executive Secretary

TJR/st

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAUL STREET • BALTIMORE, MARYLAND 21202-6806

410-767-8000 • Toll Free: 1-800-492-0474 • FAX: 410-333-6495
MDRS: 1-800-735-2258 (TTY/Voice) • Website: www.psc.state.md.us/psc/

THE STATE OF NEW HAMPSHIRE

CHAIRMAN
Martin P. Honigberg

COMMISSIONERS
Kathryn M. Bailey
Michael S. Giaimo

EXECUTIVE DIRECTOR
Debra A. Howland



TDD Access: Relay NH
1-800-735-2964

Tel. (603) 271-2431

FAX (603) 271-3878

Website:
www.puc.nh.gov

PUBLIC UTILITIES COMMISSION

21 S. Fruit Street, Suite 10
Concord, N.H. 03301-2429
November 7, 2018

Janet Loop, Chief Fiscal Officer
EnerConnex, LLC
44 Portland Street, 4th Floor
Worcester, MA 01608

Re: DM 18-170, EnerConnex, LLC
Application to Register as Provider of Natural Gas Aggregation Service

Dear Ms. Loop:

On November 1, 2018, EnerConnex, LLC (EnerConnex) submitted an application with the Commission to register as a provider of natural gas aggregation service.

Commission Staff filed a memorandum on November 6, 2018, in which it confirmed its review of the application and its conclusion that the application meets the requirements for registration under N.H. Code Admin. Rules Puc 3000. Staff recommended that EnerConnex's registration application be approved for a two-year term.

The Commission has approved Staff's recommendation. Accordingly, EnerConnex's application for registration is approved, effective as of November 7, 2018. The registration is for a term of two years, and expires at the close of business on November 7, 2020. Pursuant to Puc 3003.05(a), you must submit a renewal application at least 60 days prior to the expiration of the approved registration term, on or before September 8, 2020.

Please be aware that registered providers of natural gas aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 3000 – Competitive Natural Gas Supplier and Aggregator Rules. These rules are available at:
<http://www.puc.nh.gov/Regulatory/Rules/PUC3000.pdf>.

Please note that each registered natural gas aggregator is subject to an annual assessment of \$2,000, unless it demonstrates that its gross revenue in New Hampshire during the preceding fiscal year (from July 1 through June 30) was less than \$10,000, pursuant to RSA 363-A:2, III and 5.

Sincerely,

A handwritten signature in cursive script that reads "Debra A. Howland".

Debra A. Howland
Executive Director

cc: Service List
Docket File



Philip D. Murphy
Governor

Sheila Y. Oliver
Lt. Governor

STATE OF NEW JERSEY
Board of Public Utilities
44 South Clinton Avenue, 3rd Floor, Suite 314
Post Office Box 350
Trenton, New Jersey 08625-0350
www.nj.gov/bpu/

Joseph L. Fiordaliso
President

Aida Camacho-Welch
Secretary of the Board

February 27, 2019

Janet Loop
Managing Member, CFO
EnerConnex, LLC
44 Portland St, 4th Floor
Worcester, MA 01608

Re: **Energy Agent, Private Aggregator, and Energy Consultant Renewal Registrations**
Docket Nos. EE18080921L & GE18080922L

Dear Ms. Loop:

In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its February 27, 2019 Agenda meeting, the New Jersey Board of Public Utilities renewed the **REGISTRATIONS**, numbers EA-0452, PA-0200, and EC-0147, as an Energy Agent, Private Aggregator, and Energy Consultant issued to EnerConnex, LLC.

The enclosed registrations are effective February 27, 2019 and will expire on February 26, 2020. These registrations and the rights thereunder are **Non-Transferable**.

This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.

If you have any questions, please contact Darren Erbe at (609) 292-1406.

Sincerely,

A handwritten signature in cursive script that reads "Aida Camacho-Welch".

Aida Camacho-Welch
Secretary of the Board

ACW/dee
Encl.(s)

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

HEREBY REGISTERS

EnerConnex, LLC

1300 Route 73 South, Suite 308
Mount Laurel, New Jersey 08054

To conduct business in the State of New Jersey as an

Energy Consultant



Aida Camacho-Welch
Aida Camacho-Welch
Secretary of the Board

Registration No. EC-0147
Effective Date: February 27, 2019
Expiration Date: February 26, 2020

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

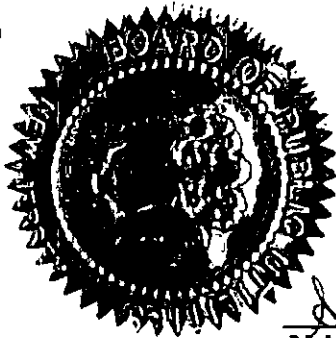
HEREBY REGISTERS

EnerConnex, LLC

1300 Route 73 South, Suite 308
Mount Laurel, New Jersey 08054

To conduct business in the State of New Jersey as an

Energy Agent



Aida Camacho Welch
Aida Camacho-Welch
Secretary of the Board

Registration No. EA-0452
Effective Date: February 27, 2019
Expiration Date: February 26, 2020

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

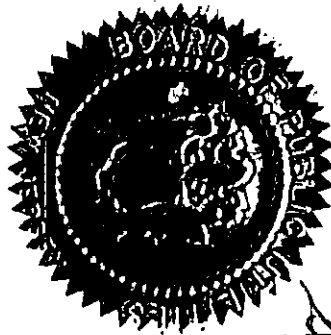
HEREBY REGISTERS

EnerConnex, LLC

1300 Route 73 South, Suite 308
Mount Laurel, New Jersey 08054

To conduct business in the State of New Jersey as a

Private Aggregator



Aida Camacho-Welch
Aida Camacho-Welch
Secretary of the Board

Registration No. PA-0200
Effective Date: February 27, 2019
Expiration Date: February 26, 2020

Certification Welcome Letter

Your application for certification as a Competitive Retail Electric Service (CRES) or Competitive Retail Natural Gas Service (CRNGS) supplier, broker/aggregator, or governmental aggregation has been approved by the PUCO. Included is a copy of your certificate.

With your certification to operate in Ohio come certain requirements and responsibilities that you will need to manage and complete in order to keep and maintain your certification.

Certification Renewal

As is noted in the instructions of the Initial Certification Application you filed, you are required to renew your certification every two years. By rule, you must submit your renewal application ***no less than thirty and no more than sixty calendar days prior to the expiration date indicated on your certificate.***

Note that failure to file your application within the guidelines of the rule will lead to additional steps required to be completed in order to renew your certification, or potential cancellation of your current certification.

The forms for submitting your electric or natural gas renewal applications are available on our website under the Industry tabs for Electric and Natural Gas on our Home Page at: <http://www.puco.ohio.gov/>

Material Change Notification

If there are any changes to the information you supplied in your application, especially changes to the contact information of regulatory contact or staff contact for customer complaints, per Ohio Administrative Code rules §4901:1-24-11 and §4901:1-27-11, you are required to file with the Commission a material change notification within 30 days of the change.

Annual Report Filing

You are also required to submit an annual report to the Commission and pay an annual assessment. Note that separate reports and assessments are required for electric and natural gas certifications.

This requirement is effective the year following the issuance of your certification. The filing deadline for submitting your annual reports is May 31 of that year, as directed in an order issued by the Commission.

Information on how to file the annual report is available on our website at the Annual Reports link under the Documents and Rule section on our Home Page.

Renewable Energy Portfolio Standards

Power marketers and retail electric generation providers should also be aware of Ohio's renewable portfolio standard, and the required annual payment for noncompliance with any renewable energy resource benchmark. See the *Ohio's renewable energy portfolio standard* link under the Industry tab for Electric on our Home Page.

Market Monitoring Reporting

The purpose of these market monitoring requirements is to assist the PUCO in determining the extent to which effective competition exists for a competitive retail electric service. Certified retail electric service power marketers, power brokers, aggregators or independent power producers/distributed generators are required to submit monthly marketing monitoring data on a quarterly basis per the requirements set forth in rule §4901:1-25-02(A)(2). See the *Electric market monitoring reporting forms* link under the Industry tab for Electric on our Home Page for the forms and instructions for reporting the data.

The Public Utilities Commission of Ohio
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate
Renewal

Issued pursuant to Case Number(s):
16-1177-GA-AGG

is
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number:
16-525G(2)

Granted to:
EnerConnex, LLC

Whose office or principal place of business is located at:
4140 Clark Shaw Road, Powell, Ohio 43065

And is hereby certified to provide:
Retail Natural Gas Aggregator/Broker Services
within the state of Ohio, for a two-year period.

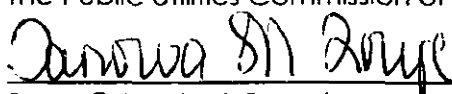
Certification Effective:
June 24, 2018 through June 24, 2020

The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio
Dated: **June 26, 2018**

By Order of
The Public Utilities Commission of Ohio

Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Susan Patterson, Acting Secretary
Beverly Hoskinson, Acting Secretary

Certification Welcome Letter

Your application for certification as a Competitive Retail Electric Service (CRES) or Competitive Retail Natural Gas Service (CRNGS) supplier, broker/aggregator, or governmental aggregation has been approved by the PUCO. Included is a copy of your certificate.

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Note that failure to file your application within the guidelines of the rule will lead to additional steps required to be completed in order to renew your certification, or potential cancellation of your current certification.

The forms for submitting your electric or natural gas renewal applications are available on our website under the Industry tabs for Electric and Natural Gas on our Home Page at: <http://www.puco.ohio.gov/>

Material Change Notification

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Annual Report Filing

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Power marketers and retail electric generation providers should also be aware of Ohio's renewable portfolio standard, and the required annual payment for noncompliance with any renewable energy resource benchmark. See the *Ohio's renewable energy portfolio standard* link under the Industry tab for Electric on our Home Page.

Market Monitoring Reporting

The purpose of these market monitoring requirements is to assist the PUCO in determining the extent to which effective competition exists for a competitive retail electric service. Certified retail electric service power marketers, power brokers, aggregators or independent power producers/distributed generators are required to submit monthly marketing monitoring data on a quarterly basis per the requirements set forth in rule §4901:1-25-02(A)(2). See the *Electric market monitoring reporting forms* link under the Industry tab for Electric on our Home Page for the forms and instructions for reporting the data.



**Public Utilities
Commission**

Asim Z. Haque, Chairman

Commissioners
M. Beth Trombold
Thomas W. Johnson
Lawrence K. Friedeman
Daniel R. Conway

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL

Certificate Number:

16-1091E (2)

Issued Pursuant to Case Number(s):

16-1176-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **EnerConnex LLC** whose office or principal place of business is located at **4140 Clark Shaw Rd., Powell, OH 45065-8086** to provide **aggregation and power broker** services within the State of Ohio **effective June 24, 2018.**

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: June 26, 2018

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary

Tanowa M. Troupe, Acting Secretary

Susan Patterson, Acting Secretary

Beverly Hoskinson, Acting Secretary

Certificate Expires: June 24, 2020

Certification Welcome Letter

Your application for certification as a Competitive Retail Electric Service (CRES) or Competitive Retail Natural Gas Service (CRNGS) supplier, broker/aggregator, or governmental aggregation has been approved by the PUCO. Included is a copy of your certificate.

With your certification to operate in Ohio come certain requirements and responsibilities that you will need to manage and complete in order to keep and maintain your certification.

Certification Renewal

As is noted in the instructions of the Initial Certification Application you filed, you are required to renew your certification every two years. By rule, you must submit your renewal application ***no less than thirty and no more than sixty calendar days prior to the expiration date indicated on your certificate.***

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The forms for submitting your electric or natural gas renewal applications are available on our website under the Industry tabs for Electric and Natural Gas on our Home Page at: <http://www.puco.ohio.gov/>

Material Change Notification

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Annual Report Filing

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Renewable Energy Portfolio Standards

Power marketers and retail electric generation providers should also be aware of Ohio's renewable portfolio standard, and the required annual payment for noncompliance with any renewable energy resource benchmark. See the *Ohio's renewable energy portfolio standard* link under the Industry tab for Electric on our Home Page.

Market Monitoring Reporting

The purpose of these market monitoring requirements is to assist the PUCO in determining the extent to which effective competition exists for a competitive retail electric service. Certified retail electric service power marketers, power brokers, aggregators or independent power producers/distributed generators are required to submit monthly marketing monitoring data on a quarterly basis per the requirements set forth in rule §4901:1-25-02(A)(2). See the *Electric market monitoring reporting forms* link under the Industry tab for Electric on our Home Page for the forms and instructions for reporting the data.

The Public Utilities Commission of Ohio
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate
Renewal

Issued pursuant to Case Number(s):
16-1177-GA-AGG

is
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number:
16-525G(2)

Granted to:
EnerConnex, LLC

Whose office or principal place of business is located at:
4140 Clark Shaw Road, Powell, Ohio 43065

And is hereby certified to provide:
Retail Natural Gas Aggregator/Broker Services
within the state of Ohio, for a two-year period.


Certification Effective:
June 24, 2018 through June 24, 2020

The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio
Dated: **June 26, 2018**

By Order of
The Public Utilities Commission of Ohio

Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Susan Patterson, Acting Secretary
Beverly Hoskinson, Acting Secretary

Certification Welcome Letter

Your application for certification as a Competitive Retail Electric Service (CRES) or Competitive Retail Natural Gas Service (CRNGS) supplier, broker/aggregator, or governmental aggregation has been approved by the PUCO. Included is a copy of your certificate.

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Material Change Notification

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Renewable Energy Portfolio Standards

Power marketers and retail electric generation providers should also be aware of Ohio's renewable portfolio standard, and the required annual payment for noncompliance with any renewable energy resource benchmark. See the *Ohio's renewable energy portfolio standard* link under the Industry tab for Electric on our Home Page.

Market Monitoring Reporting

The purpose of these market monitoring requirements is to assist the PUCO in determining the extent to which effective competition exists for a competitive retail electric service. Certified retail electric service power marketers, power brokers, aggregators or independent power producers/distributed generators are required to submit monthly marketing monitoring data on a quarterly basis per the requirements set forth in rule §4901:1-25-02(A)(2). See the *Electric market monitoring reporting forms* link under the Industry tab for Electric on our Home Page for the forms and instructions for reporting the data.



**Public Utilities
Commission**

Asim Z. Haque, Chairman

Commissioners
M. Beth Trombold
Thomas W. Johnson
Lawrence K. Friedeman
Daniel R. Conway

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL

Certificate Number:

16-1091E (2)

Issued Pursuant to Case Number(s):

16-1176-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **EnerConnex LLC** whose office or principal place of business is located at **4140 Clark Shaw Rd., Powell, OH 45065-8086** to provide **aggregation and power broker** services within the State of Ohio effective **June 24, 2018**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: June 26, 2018

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary

Tanowa M. Troupe, Acting Secretary

Susan Patterson, Acting Secretary

Beverly Hoskinson, Acting Secretary

Certificate Expires: June 24, 2020

RECEIVED

MAR 25 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



ATTACHMENT I

NUMBER & TYPES OF CUSTOMERS

RECEIVED

MAR 25 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



**ENERCONNEX
NUMBERS & TYPES OF CUSTOMERS**

Natural Gas

2016	Customer	Type	Meters	Annual Dth
	MI	2 Industrial	2	161,000
	OH	8 Comm & Industrial	23	401,638
	TX	1 Industrial	1	246,276

Natural Gas

2017	Customer	Type	Meters	Annual Dth
	KY	1 Industrial	1	10,460
	MA	2 Comm & Govt	109	265,000
	NY	1 Industrial	1	192,000
	OH	13 Comm & Industrial	39	2,022,000
	TX	1 Industrial	1	289,000

Natural Gas

2018	Customer	Type	Meters	Annual Dth
	KY	1 Industrial	1	5,000
	MI	1 Industrial	1	116,300
	NH	1 Commercial	7	68,597
	NY	2 Comm & Industrial	2	202,000
	OH	19 Comm & Industrial	38	2,318,749
	TX	1 Industrial	1	75,169

Natural Gas

Thru Feb 2019	Customer	Type	Meters	Annual Dth
	NJ	1 Commercial	1	1,439
	OH	1 Commercial	2	11,647

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ATTACHMENT J
STAFFING STRUCTURE

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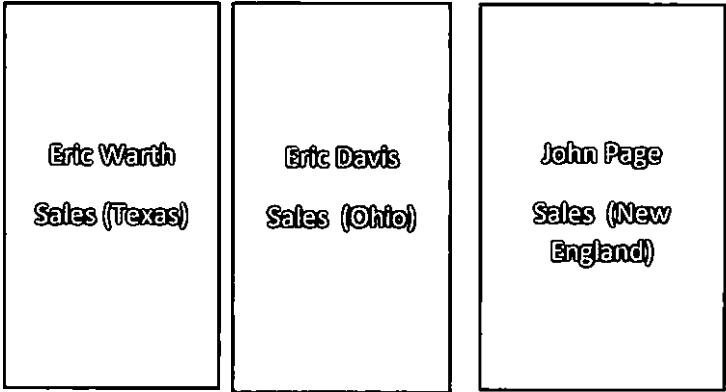
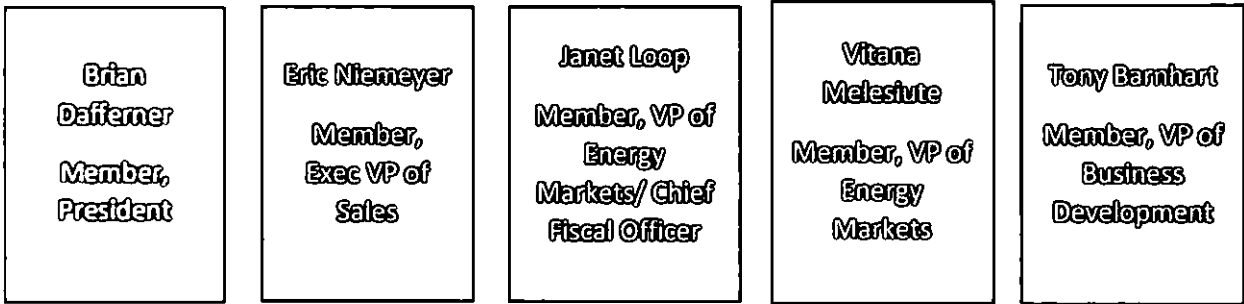
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Organization Chart

March 2019

EnerConnex only has 8 employees, 5 of which are also Members of the Limited Liability Company.



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ATTACHMENT K
BUSINESS PLANS FOR PA

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MAR 25 2019

EnerConnex Business Plans for PA PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

EnerConnex, LLC (ECX) will provide its customers with an end to end procurement process that includes managing the customer's procurement needs, providing market intelligence, developing requests for pricing, analyzing the pricing once it is received, assisting customers through the contracting process and tracking customers' energy contracts and usage history. In addition, EnerConnex, LLC will assist its customers with the analysis of distributed generation projects, natural gas demand response programs, and other means that may impact the overall usage and cost of energy to a customer. EnerConnex, LLC has partnered with established companies in the arenas of alternative energy sources.

EnerConnex has partnered with the most reliable and financially secure suppliers in order to provide its customers with the best terms and pricing options. Customers executed contracts directly with a supplier once they chose a term and price. EnerConnex reviewed the contracts with the customers so they understood what they were signing.

EnerConnex does not trade or take title or ownership of the natural gas commodity. EnerConnex remains supplier neutral and does not have an exclusive relationship with any supplier or group of suppliers. EnerConnex does not bill the customers directly for brokerage services. The EnerConnex fee is paid by the supplier.

After a contract is signed, EnerConnex is available to the customer for questions and to handle any issues that may arise over the life of the contract. The Managing Partners use their experience and relationships with suppliers to quickly resolve all customer inquiries.

EnerConnex provides its customers with an end to end procurement process that includes managing the customer's procurement needs, providing market intelligence, developing requests for pricing, analyzing the pricing once it is received, assisting customers through the contracting process and tracking customers' energy contracts and usage history.

Please see the marketing materials provided – this will give you an idea of the procurement services EnerConnex provides.

EnerConnex intends to provide the same service that it has provided in Ohio, Kentucky, Massachusetts, Maryland, Michigan, New Hampshire, New Jersey, New York and Connecticut to the commercial, industrial and government customers of Pennsylvania.

» enerconnex

We Look Out For Your Energy Interests

How We Can Help You

COMPETITIVE SOURCING

We present energy suppliers with the opportunity to compete for your business, so you can get the best price, terms, conditions, and pricing structure

UNBIASED ADVOCATE

We help you get the best possible deal by remaining independent while we evaluate suppliers and advise you on the best offering for your business

Connecting Energy Buyers and Sellers

EnerConnex takes the complexity out of energy management and creates bottom-line impact for customers throughout the United States, Canada, and Mexico. With an extensive network of energy suppliers and volume of electricity and natural gas transactions, EnerConnex has the buying power to deliver real savings to your business.

ENERGY EFFICIENCY

We conduct an energy audit and secure utility incentives before modernizing your facilities to reduce your energy consumption and improve your bottom line

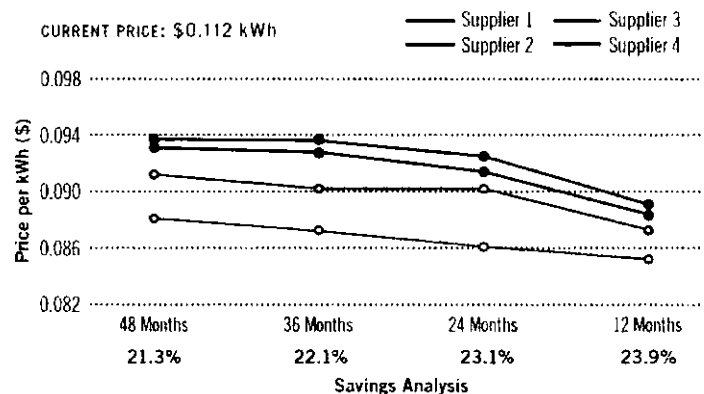
DEMAND MANAGEMENT

We give you options to gain more control over market volatility and peak demand through demand response, on-site generation and energy offset alternatives

Rely on the energy experts.

The EnerConnex management team has been servicing *business, institutional, utility* and *government* customers of all sizes for more than two decades. **Our Goal:** to simplify the complicated energy markets around us, acting as an advocate and advisor for our customers to help them get the energy they need at the lowest available rates, in the most efficient manner possible.

Offer Analysis



We deliver bottom line results by lowering the price you pay for energy, minimizing the quantity you use, and maximizing the incentive dollars available to you.

Your Trusted Energy Advisor

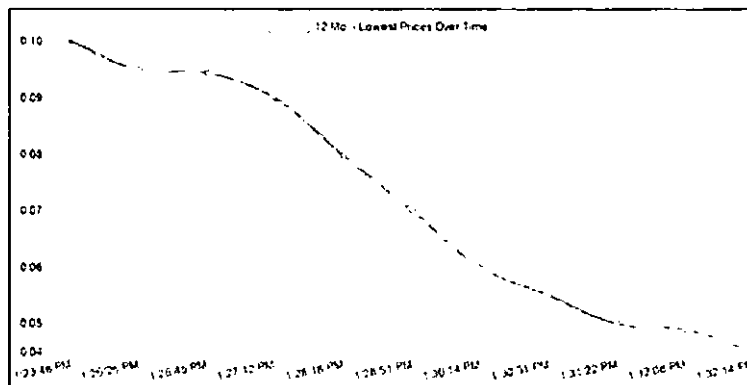
- Comprised of energy professionals with extensive experience in Retail and Wholesale electricity and natural gas markets across North America
- Independent advisor that provides in-depth analysis of energy markets specific to your facility locations
- We help you solidify a purchasing strategy based upon tolerance for risk, budgetary needs, and market outlook
- Our Market Directors act as an extension of your company, before and after the transaction, to ensure that the services you select align with your business goals and market conditions
- Create supplier transparency through a formal, hyper-competitive bid process
- Incorporate corporate sustainability initiatives into your purchasing strategy
- Secure the optimal price, terms, conditions, and pricing structures available
- Evaluate on-site generation and energy offset alternatives for demand-side savings opportunities
- Identify available rebates and incentives to benchmark and implement efficiency measures

www.enerconnex.com

ecx » marketplace

ECX Marketplace is the latest online bidding technology used by businesses and utilities to transact more efficiently and in real time. The platform design compels suppliers to deliver the lowest possible price while putting you in control.

- **Secure the lowest market price**
- **Save time, improve efficiency**
- **Create multiple procurement events with customized pricing parameters**
- **Manage contracts and renewals**
- **Open & closed auctions, direct source**



Our People – energy auction pioneers:

- Over 19,000 successful online energy auctions completed
- Over 150 million MWh and 8,000 BCF transacted

Our Platform – tested and proven:

- More than 200,000 executed energy transactions
- More than 110 million MWh and 2,000 BCF managed
- Servicing more than 80,000 customers



Phone: (800)-319-0856

Email: info@enerconnex.com

ecx»marketplace

Connecting Energy Buyers and Sellers

EnerConnex delivers unmatched energy procurement through an online pricing tool that simplifies and optimizes the energy procurement process. Developed by pioneers of the online reverse auction, ECX Marketplace uses the latest technology that allows for management of complex energy products and pricing structures. ECX Marketplace is superior to other online energy pricing technologies for three important reasons:

1) Process 2) Technology 3) Expertise

Process

Create hyper competitive online pricing events using a unique design which compels suppliers to deliver the lowest possible price as they compete to outbid the competition. The format shortens the supplier bidding window, which in turn reduces supplier risk premiums resulting in lower bids. EnerConnex has the most extensive network of energy suppliers spanning North America. The greater the competition, the lower the price.

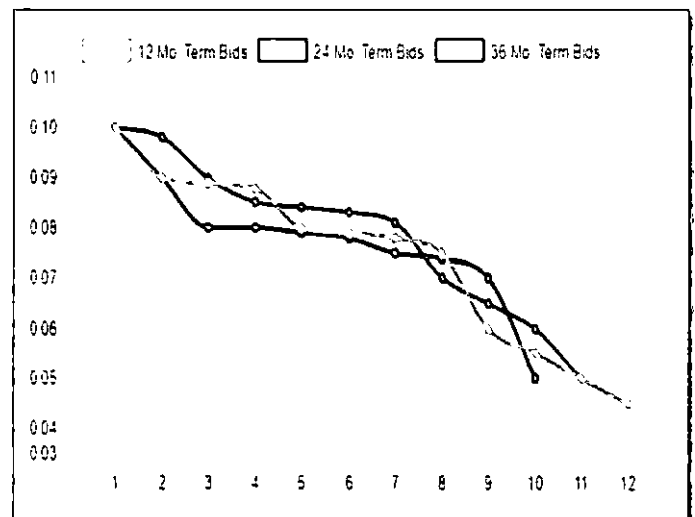
Technology

ECX Marketplace is a real-time cloud based pricing tool with the ability to create and test multiple pricing parameters such as contract term length, pricing structures, green power mixes as well as segmentation by location and load type. Each procurement event is electronically timed and date stamped for compliance standards.

*Request a Demo - See what ECX
Marketplace can do for your business.*

Simplify & Optimize... the procurement process.

- Secure the lowest available price
- Save time, improve efficiency
- Create online procurement events with multiple pricing parameters
- Manage contracts and renewals
- Track results for compliance standards



Expertise

EnerConnex provides the market intelligence and support you need giving you the confidence to make the best energy purchasing decisions. Our market directors each have 20+ years of experience in deregulated energy markets. Our team will help you develop the optimum energy procurement strategy to minimize risk and optimize results.

» enerconnex

Energy Management & Procurement



Who We Are

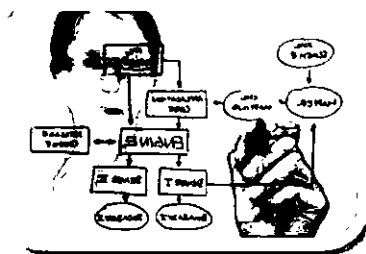
EnerConnex is an energy procurement and management firm helping companies implement strategies to manage, control and reduce energy costs across the United States, Canada, and Mexico.

We have the Experience, Process, and Technology to deliver optimum results to buyers and sellers of energy commodities.

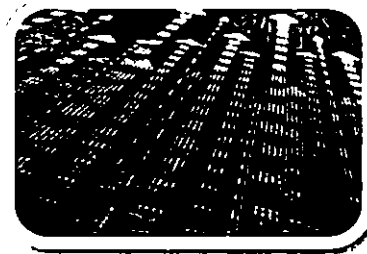
Who We Serve: Commercial, Industrial, Government, Institutional, Utilities



**EXPERIENCED
PEOPLE**



**PROVEN
PROCESS**



**INNOVATIVE
TECHNOLOGY**

» enerconnex



Who We Are

EnerConnex takes the complexity out of energy management to deliver real bottom-line impact.

We deliver results by focusing on the price you pay, the quantity you use, and when and how you use it.

$$E = P \times Q - i$$

Total Energy Cost =
Price times Quantity minus incentives

With an extensive network of energy suppliers, efficiency, and sustainability partners, EnerConnex has the buying power to deliver optimum results to your energy cost line item.

» enerconnex



What We Do

Customized analysis of current energy situation; benchmarking, market analysis, usage forecasting, savings projections, and relevant threats & opportunities.

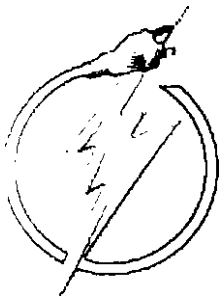
Review business objectives; current cost model, critical event timing, appetite for risk, and other variables.

Set priorities in collaborative working session, establish & refine our implementation strategy.

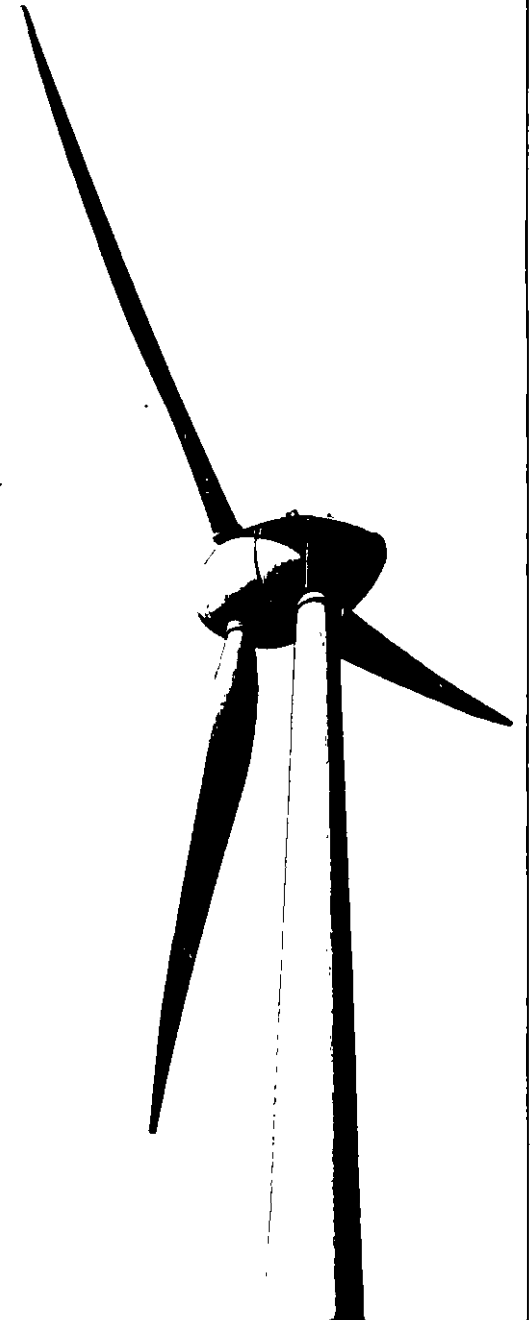
Develop an action plan based on outputs; go to market.

EnerConnex Energy Management Services

- Energy Procurement
- Energy Efficiency
- Distributed Generation
- Bill Auditing & Resolution
- Demand Side Management
- Solar Solutions



»enerconnex



How We Do It

EnerConnex delivers unmatched energy procurement capabilities through an online pricing tool that simplifies and optimizes the buying/selling process.

Developed by pioneers of the online reverse auction:

ecx»marketplace

1. Squeezes margins while attracting bidders
2. Shortens the buying/selling process
3. Produces a compliance-ready audit
4. Customizable per customer requirements
5. Proves best available price was achieved...

»enerconnex



How We Do It

Enhance your RFQ process and leverage additional liquidity.

Companies turn to **ECX Marketplace** for complex product structures and real-time pricing feedback. Our online bidding platform design and extensive supplier network drives hyper-competition delivering the best available market price.

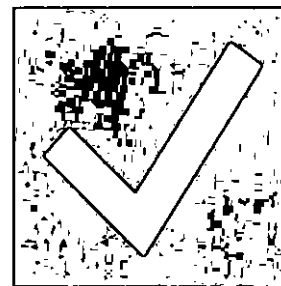
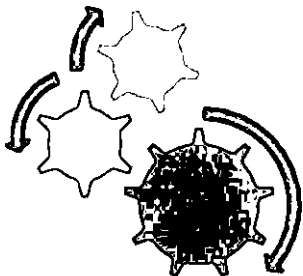
Your Existing Process



ECX Marketplace



Technology Proven Results

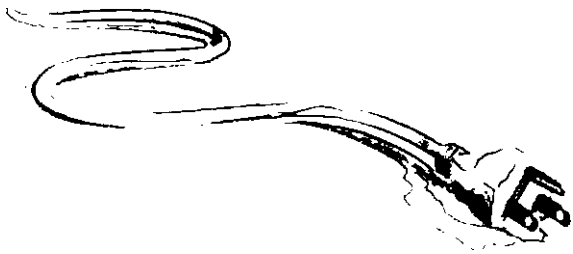


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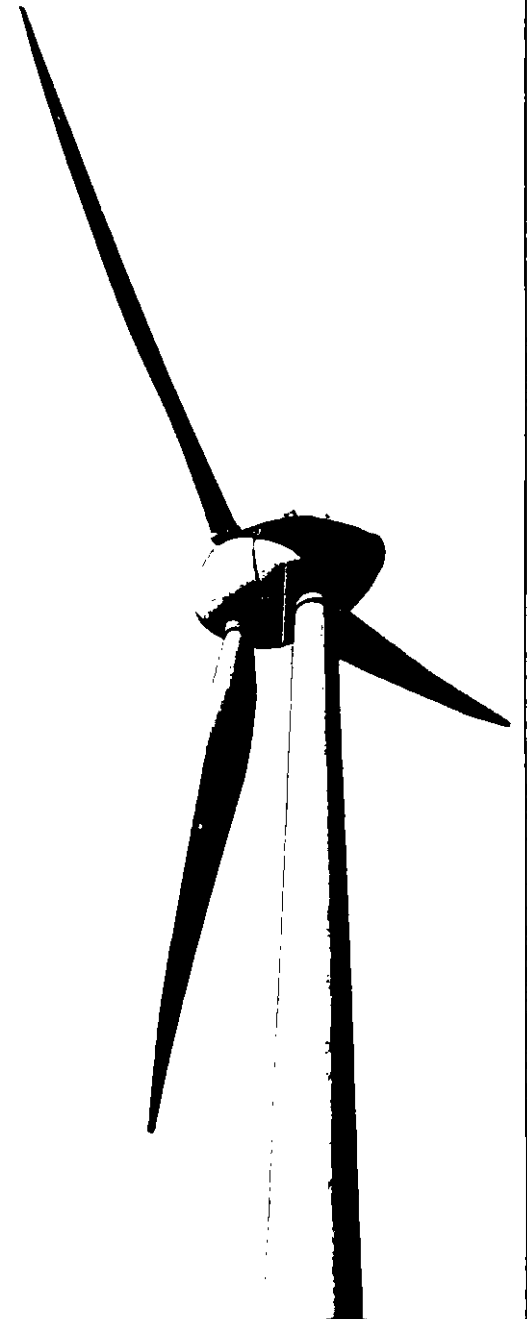


How We Do It

- Increased supplier participation resulting in greater competition
- Innovative and effective RFQ process via proprietary **ECX Marketplace** online pricing tool; Direct Source, Sealed Bid, Reverse Auction.
- In depth market analysis & support specific to each customer location
- Total transparency for pricing events
- Fair and objective outcomes
- Superior and accountable results



» **enerconnex**

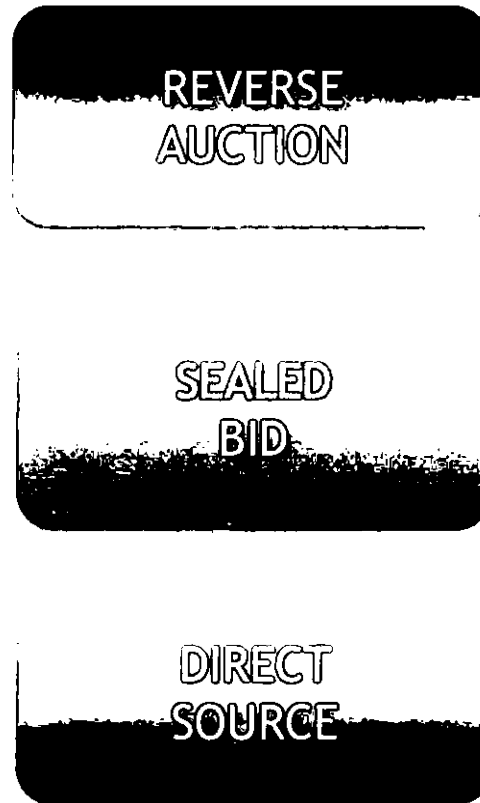


The Process

BEFORE

- Benchmarking
- Market Intelligence
- Data Management & Load Profiling
- Objective Definition
- Green Requirements
- RFP Architecture
- Solicitation Development
- Supplier Sourcing and Vetting
- Contract Reviews
- Process Management

- EVENT -



AFTER

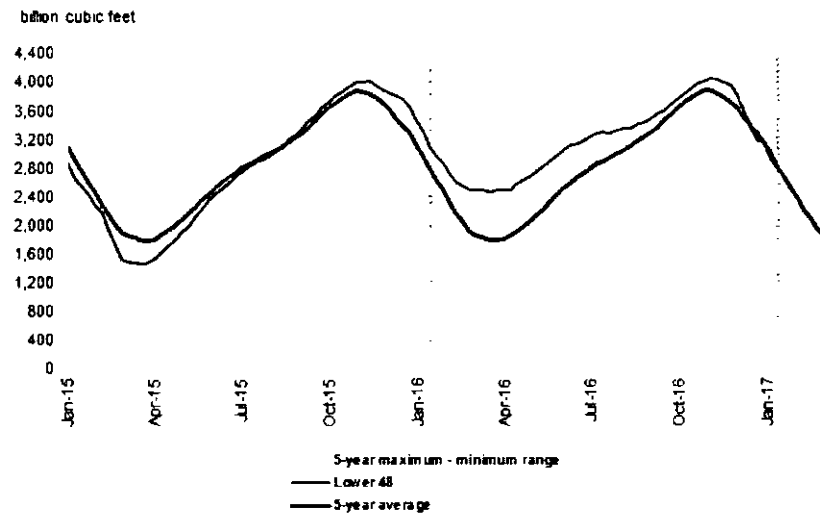
- Contracting Support
- Refresh Objective
- Market Intelligence
- Follow-on Posting
- Data Management & Reporting
- Billing Support
- Market Rules Monitoring
- Sustainability Strategy Support
- Planning Support for Next Transaction

Market Intelligence

ECX Energy Market Update keeps you up to date with valuable market data pulled directly from our data feeds on a weekly basis.

- Natural Gas Storage Report
- Bear/Bull Market Drivers
- Natural Gas & WTI Crude Oil Strips
- Power Strips - PJM, NYISO, Mass Hub, ERCOT, CA SP15 & NP15
- PJM Capacity Prices
- New England Capacity Prices
- NOAA Weather Forecast

Working gas in underground storage compared with the 5-year maximum and minimum



Source: U.S. Energy Information Administration

Benchmarking

Gain a better understanding of your overall energy situation. **EnerConnex** will obtain, track, and maintain critical energy information and KPI's so you can more effectively manage your energy spend.

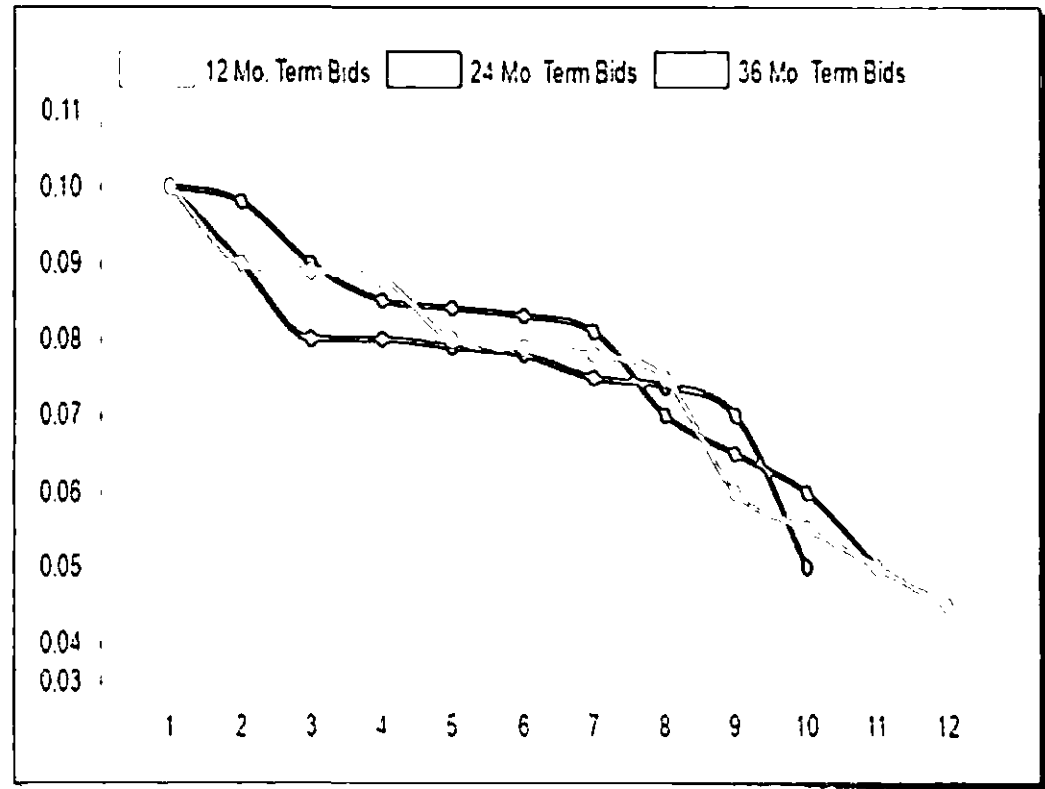
State	Incumbent Supplier	Electric Utility	Current Rate (\$/kWh)	Current Term	Est. Annual Usage	Est. Annual Spend	Expiration - Renewal Date	Indicative Bid	Est. % Savings	Est. Annual Savings
Texas										
TX	Out of Contract	CNP, Oncor, AEP, TNMP	\$0.04764		4,910,960	\$ 233,958	Feb-16	\$0.04128	13%	\$ 31,234
TX	Reliant	CNP, Oncor, AEP, TNMP	\$0.05280	12	9,503,416	\$ 501,780	Feb-16	\$0.04128	22%	\$ 109,479
Ohio										
OH	First Energy	DPL	\$0.05720	28	72,032	\$ 4,120	Apr-17	\$0.06897	-21%	\$ (848)
OH	First Energy	DPL	\$0.05720	12	362,146	\$ 20,715	Dec-14	\$0.06390	-12%	\$ (2,426)
OH	First Energy	AEP (CS)	\$0.06610	36	1,283,705	\$ 84,853	May-16	\$0.07250	-10%	\$ (8,216)
OH	Direct Energy	Ohio Edison, CE, TE	\$0.06005	36	13,135,667	\$ 788,797	May-17	\$0.05650	6%	\$ 46,632
OH	Out of Contract	Ohio Edison, AEP, CE, DPL	\$0.10200		1,180,453	\$ 120,406	May-17	\$0.05176	49%	\$ 59,306
Connecticut										
CT	Liberty Power	CLP	\$0.08669	36	216,629	\$ 18,780	Jul-16	\$0.09810	-13%	\$ (2,472)
CT	Liberty Power	CLP	\$0.08809	36	230,544	\$ 20,309	Jun-16	\$0.09570	-9%	\$ (1,754)
CT	Out of Contract	UI, Eversource	\$0.11210		493,384	\$ 55,308		\$0.08040	28%	\$ 15,640
CT	Liberty Power	CLP, UI	\$0.07440	36	353,400	\$ 26,293	Jul-15	\$0.08190	-10%	\$ (2,651)



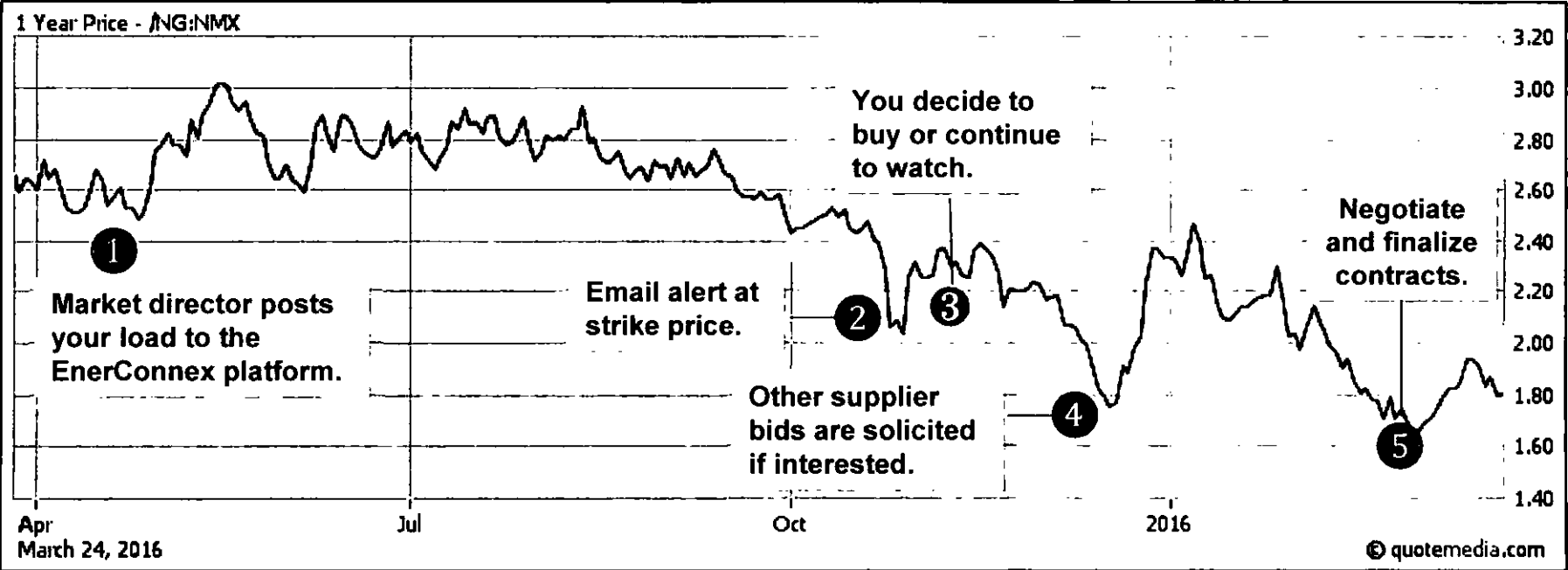
Reverse Auction

Transaction Details

Type	Name	Customer
Open Bid - Private	2 MW RTC Block&Index / 25% Green	The Customer 1
Contract Start Date	Contract Terms	Meters
01/01/18	24	5
Fee	Procurement Amount	Commodity Type
\$0.003/kWh	15,000,000 kWh	Electricity
Transaction Start Time	Transaction End Time	
03/16/17 10:01 AM	03/16/17 10:30 AM	



Sealed Bid



Sealed Bid & Direct Source

EnerConnex compares supplier bids & terms by state in concise format. Suppliers are shortlisted to drive down prices further. Supplier, term and price is chosen by client following review and recommendation.

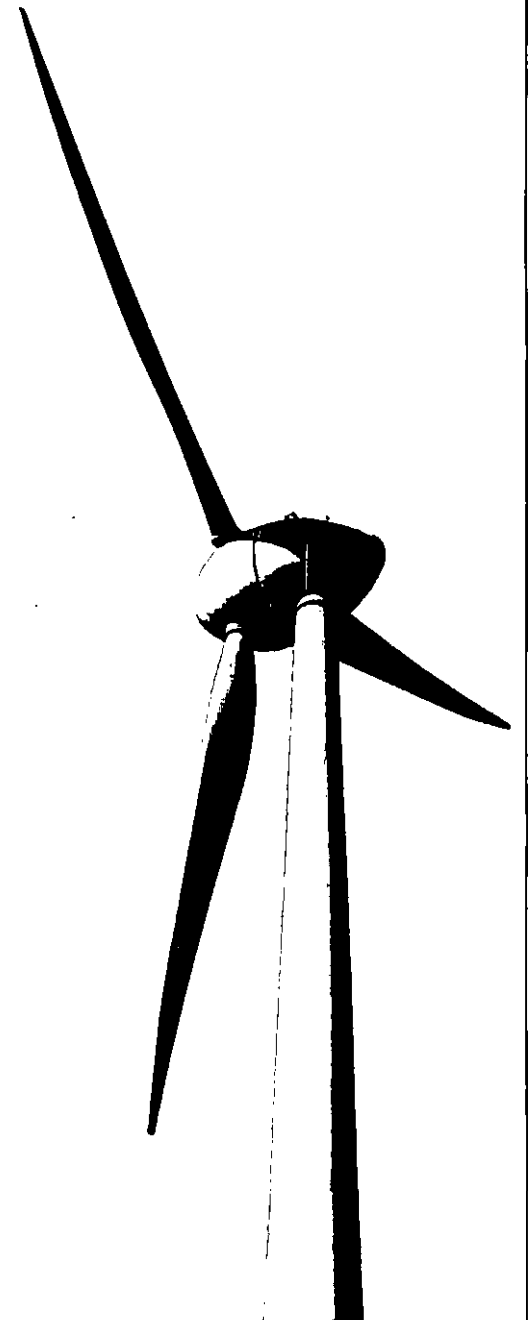
Date	5/3/2017						
Customer Name	ABC Company						
State / Utility	TX / Centerpoint						
Annual Usage (kWh)	8,123,338						
Accounts	8						
Start Date	Jun-17						
Current Supplier	Supplier A						
Current Rate (\$/kWh)	\$0.05560						
»enerconnex							
Term (months)	Rate (\$/kWh)	Start Date	End Date	Provider	% Saved	Annual Savings	Term Savings
12	\$0.03911	Jun-17	Jun-18	Supplier G	30%	\$133,954	\$133,954
24	\$0.03804	Jun-17	Jun-19	Supplier G	32%	\$142,646	\$285,292
36	\$0.03784	Jun-17	Jun-20	Supplier G	32%	\$144,270	\$432,811
Supplier	12	24	36	48	Credit	Bandwidth	Notes
Supplier A	\$0.04091	\$0.03915	\$0.03885	\$0.03925	Approved	Unlimited, 25% MAC	n/a
Supplier B	\$0.03984	\$0.03822	\$0.03784	\$0.03846	Approved	Unlimited, 25% MAC	n/a
Supplier C	\$0.04052	\$0.03921	\$0.03884	\$0.03940	Approved	Unlimited, 25% MAC	n/a
Supplier D	\$0.04340	\$0.04150	\$0.04110	\$0.04210	Approved	25%	n/a
Supplier E	\$0.03977	\$0.03860	\$0.03814	\$0.03823	Approved	Full Swing, no MAC	n/a
Supplier F	\$0.04113	\$0.03977	\$0.03925	\$0.04005	Approved	20%	n/a
Supplier G	\$0.03911	\$0.03804	\$0.03784	\$0.03802	Approved	Full swing, no MAC	n/a



Why It Makes Sense

- **Lowest available price**, increased competition, best in class technology via **ECX Marketplace**. Test the market and pricing parameters to find your sweet spot.
- **Simplified bidding cuts administrative costs** for suppliers, who can be more aggressive in their bidding.
- **Faster award times** minimize risk premiums, cutting costs.
- **Automation** – All procurement and contract information is captured on the **ECX Marketplace** platform for easy access.
- **Control and accountability** – Meet your objectives with budget certainty, cost savings, and fulfillment of green energy requirements at the lowest available cost.

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Thank You!

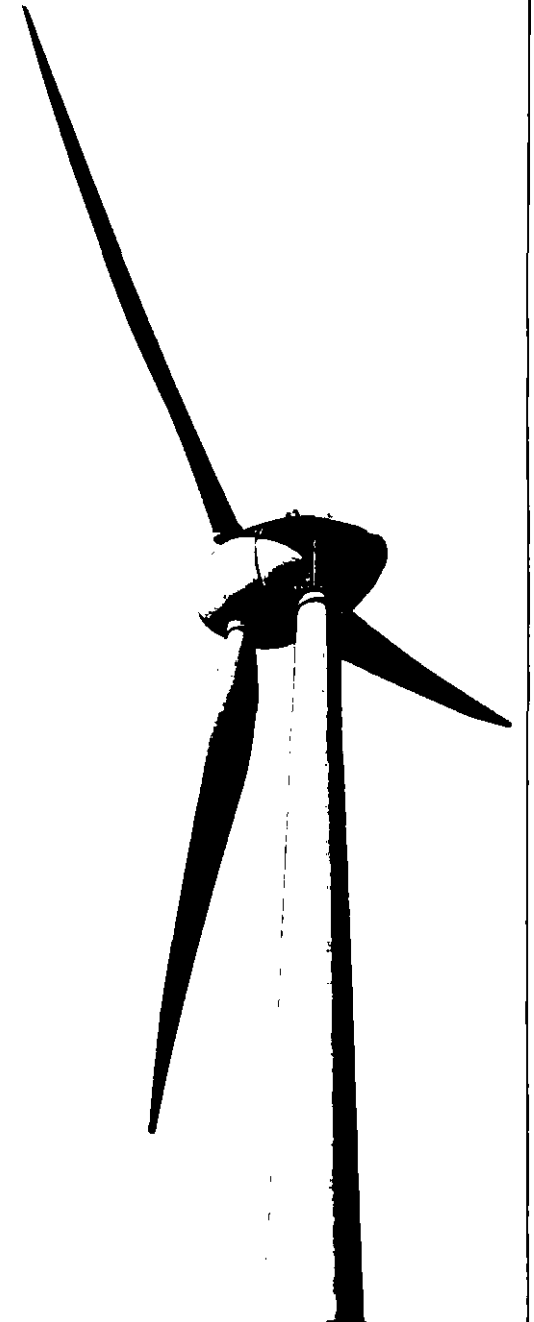
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Eric Warth

EnerConnex, LLC

346-234-4443

ewarth@enerconnex.com



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ATTACHMENT L

RESUMES

Brian Dafferner

Title: Managing Member/Partner	Role: President
Contact Information	Background Summary Information
EnerConnex, LLC 75 Wood Manor Pl The Woodlands, TX 77381 Phone: 713-398-6166 Email: bdafferner@enerconnex.com	Years in Energy Industry: 20 Unique Skill Sets: <ul style="list-style-type: none">• Strategic Planning Expertise• Customer Relationship Management• Procurement Strategy Development• Energy Procurement Expertise• Market Strategy and Development• Contract Negotiation• Financial and Business Case Analysis

Background

Mr. Dafferner is an established retail energy sales leader with over twenty (20) years of experience in the industry working in various roles for energy suppliers and brokerage firms. Mr. Dafferner helped found, build, oversee and eventually sold an energy brokerage company with over 10M in annual sales. One of the founding members of TEPA (The Energy Professionals Association) formed in 2005, a 501 (c) organization specializing in providing market knowledge to energy consumers while upholding the integrity of the deregulated retail energy markets. Helped develop a standardized code of conduct and bylaws for member aggregators, brokers and consultants. Received a Bachelors of Science in Business Administration at the University of Colorado in Boulder.

Selected Experience

EnerConnex, LLC

44 Portland St., 4th Floor, Worcester, MA 01608
Employed from June 2017 - present

Managing Member/President - EnerConnex, LLC

- Oversee business initiatives and strategic direction of company.
- Lead sales efforts across North America.
- Manage business development efforts in Mexico.
- Direct and implement marketing initiatives and campaigns.

Circular Energy

Houston, TX
Employed from 2016 to 2017

Executive Vice President, Circular Energy

- Oversaw energy commodity sales activities in ERCOT.
- Lead sales strategy implementation and launch efforts for new product offerings.
- Responsible for sales integration of solar and commodity business units.
- Managed Channel Partner sales efforts and program development.

World Energy Solutions, Inc.

Houston, TX
Employed from 2011 to 2016

Regional Vice President, Sales, World Energy Solutions, Inc. (later ENERNOC/ENEL)

- Directed sales efforts for Southwest region including; electricity, natural gas, and energy efficiency services to mid-market and LCI customers.

Brian Dafferner

- Lead development, launch and commercialization efforts for World Energy's Retail Energy Portal – marketplace for suppliers and brokers.
- Managed key regional and national customer relationships.
- Developed and managed sales strategy for Channel Partner segment in Southwest region.

GSE Consulting, LP

Houston, TX

Employed from 2002 to 2011

President, GSE Consulting, LP

- Directed sales and marketing efforts nationwide targeting small, mid and large commercial and industrial customers.
- Grew company from inception to 10M in annual revenue with a total of 35 employees.
- Secured and managed supply arrangements and broker agreements with energy suppliers.
- Implemented and supervised sales recruiting and training efforts.
- Managed sales, cash flow and revenue projections.
- Negotiated and assisted in company sale to World Energy Solutions, Inc. in November 2011.

Shell Trading Gas & Power Company

Houston, TX

Employed from 2002 to 2002

Director, Shell Trading Gas & Power Company

- Responsible for retail power commodity sales to mid and large commercial and industrial customers in TX.
- Developed and executed retail marketing strategy for mid market customer segment in ERCOT.
- Implemented sales agent marketing program for higher cost of acquisition customers.
- Evaluated and assessed sales process and procedures to streamline origination efforts.

Enron Energy Services, Inc.

Houston, TX

Employed from 2000 to 2002

Sr. Power Representative, Enron Energy Services, Inc.

- Responsible for retail power commodity sales to mid and large commercial and industrial customers located in TX and CA.
- Ranked in Enron Professional Performance Evaluation - Top 5% performance relative to peers throughout Enron Energy Services.
- Achieved 285% of annual goal in 2000 - \$1,143,078 total contract margin. Achieved 374% of annual goal in 2001 - \$3,735,907 total contract margin.
- Structured customized financial products to meet customer needs.

Reliant Energy, Inc.

Houston, TX

Employed from 1998 to 2000

Power Sales Representative, Reliant Energy, Inc.

- Responsible for commodity sales to LCI customers located throughout ERCOT including; electricity, natural gas, energy efficiency, and billing management.
- Initiated new customer contracts and aggregated load to structure preemptive power commodity offers in TX.

Brian Dafferner

- Personal accounts included over \$68 million in electric and gas revenues.
- Developed and expanded national account relationships with Houston-based commercial and industrial customers.

Tetra Technologies, Inc.

The Woodlands, TX

Employed from 1994 to 1998

Marketing Manager, Environmental Specialties Division, Tetra Technologies, Inc.

- Responsible for specialty chemical sales into distinct markets including; salt remediation, hydrocarbon remediation, erosion control, and hard surface cleaning/degreasing.
- Developed and managed division marketing efforts to achieve company objectives.
- Implemented product development testing programs with major oil exploration and production companies.
- Sourced recovered waste streams and raw materials for product development and production.

Education, Training, Certifications, and Affiliations

EDUCATION

1995

University of Colorado at Boulder

BS, Business Administration/Marketing

Eric Niemeyer

Title: Managing Member/Partner

Role: Exec VP Sales

Contact Information

EnerConnex, LLC
4140 Clark Shaw Road
Powell OH 43065
Phone: 614-595-1321
Email: eniemeyer@enerconnex.com

Background Summary Information

Years in Energy Industry: 23

Unique Skill Sets:

- Customer Relationship Management
- Energy Procurement Expertise
- Procurement Strategy Development
- Regulatory Expertise
- Market Strategy and Development
- Solicitation Development
- Financial and Business Case Analysis

Background

Mr. Niemeyer is Managing Partner with EnerConnex, LLC where he is instrumental in identifying and servicing the retail energy needs of industrial, commercial, institutional and governmental energy consumers. He is a veteran of the energy industry with over 22 years of experience in the retail energy markets. Over the course of his career, Eric has held senior roles with several leading energy companies by consistently extending a proven track record of major accounts management, new business development, and ensuring regulatory compliance. Eric holds a Bachelor of Business Administration degree from Ohio University.

Selected Experience

Managing Member/ Exec VP of Sales - EnerConnex, LLC.

January 2016-Present

Responsible for industrial, commercial, institutional and governmental account acquisition and management for EnerConnex's electricity, natural gas, and renewable energy procurement services throughout the United States

- Manages numerous competitive electricity and natural gas procurements for commercial, industrial and institutional customers.
- Currently serving as Account Manager for contracts with numerous industrial, commercial, institutional and governmental customers. Tasks include assisting in the development of risk management strategies, the procurement strategy, marketing procurements to eligible suppliers, providing market analysis, assisting with customer decision making, reviewing work products and deliverables, and providing quality assurance throughout the project.

Vice President of Retail Energy Markets, World Energy Solutions, Inc. (later ENERNOC/ENEL)

June 2007-June 2015

Responsible for industrial, commercial, institutional and governmental account acquisition and management for World Energy's electricity, natural gas, and renewable energy procurement services throughout the United States

Vice President of Retail Energy Markets, EnergyGateway, LLC.

January 2002-June 2007

Responsible for industrial, commercial, institutional and governmental account acquisition and management for EnergyGateway's electricity, natural gas, and renewable energy procurement services throughout the United States

Eric Niemeyer

Director of Retail Energy Markets, Enron Energy Services

January 1995-December 2001

Responsible for industrial, commercial, institutional and governmental account acquisition and management for Enron's retail electricity and natural gas services throughout the United States

Education, Training, Certifications, and Affiliations

EDUCATION

1993 Ohio University B..B.A in Marketing

Janet Loop

Title: Managing Member/Partner

Role: VP of Energy Markets and CFO

Contact Information

EnerConnex, LLC

44 Portland St., 4th Floor

Worcester, MA 01608

Mobile: (508) 769-4929

Email: jloop@enerconnex.com

Background Summary Information

Years in Energy Industry: 35

Unique Skill Sets:

- Energy Procurement
- Energy Hedging & Derivatives
- Tariff Design & Analysis
- Energy Efficiency Program Design
- Project Management
- Contract Management

Background

Janet is an energy industry veteran with over 30 years of experience in the electricity and natural gas markets. She has been involved in utility rate/tariff design, energy efficiency program implementation, de-regulation rule setting, regulatory compliance and most importantly electricity and natural procurement.

Janet began her career working for Boston Edison (now known as Eversource Energy) where she learned the intricacies of the retail energy markets including; pricing design, regulatory compliance, energy efficiency programs along with the workings of unregulated subsidiaries. In 2001, she joined SmartEnergy, an electricity supply leader in the early days of deregulation. It was here that Janet became versed in electricity and natural gas procurement. In 2004, Janet joined World Energy Solutions as a Market Director. During her 12 years with World Energy Solutions, Janet procured energy for customers in the NY, New England, PJM, Texas and Canadian markets. She handled one of the largest back office operations books in the industry while implementing a proactive, educational, and customer-centric procurement practice.

Selected Experience

EnerConnex, LLC

44 Portland St., 4th Floor, Worcester, MA 01608

Employed from July 2016 - present

Managing Member/ VP Energy Markets/ CFO, EnerConnex, LLC

- Focus on the exceptional delivery of both companies' energy consulting and procurement services.
- Establish, maintain and enhance relationships with suppliers, channels and customers.
- New market assessments.
- Back office operations

Self-Employed Energy Consultant

Employed from July 2015 to July 2016

World Energy Solutions, Inc. (later ENERNOC/ENEL)

100 Front Street, 20th Floor, Worcester, MA 01608

Employed from 2004 to July 2015

Market Director, World Energy Solutions, Inc.

- Managed strategic planning and development of competitive electricity and natural gas procurements for commercial and industrial customers in New England, New York, Pennsylvania and Canada.

Janet Loop

- Was Energy Team Lead for electricity and natural gas procurements for the Commonwealth of Massachusetts Operational Services Division, Commonwealth of PA, and State of Connecticut.
- Analyzed data, evaluated competitive trends and technical and financial developments; assessed market positions and business development strategies of energy retailers; and evaluated post procurement contracting.

SmartEnergy, Inc.

Unicorn Park Dr, Woburn, MA 01810

Employed from Jan 2001 to October 2003

Finance Manager, SmartEnergy, Inc.

- Performed all tracking activities of hedges and derivatives used to manage the financial risks associate with supply costs.
- Reconciled wholesale contracts and PJM billings with actual customer usage.
- Analyzed financial and business requirements of new target markets.
- Developed retail pricing plans and marketing strategies in the NY, NJ and PA markets.
- Negotiated physical and financial contracts for energy supply.
- Implemented processes and procedures associated with procurement of energy.

Eversource (formerly Boston Edison Company/NSTAR)

One NSTAR Way, Westwood, MA 02090

Employed from Sept 1984 to Nov 1999

Business Manager, Eversource (Boston Edison Company/NSTAR)

- Implemented a performance management process to track, monitor and communicate the success of Customer Care operations.
- Worked on special opportunities such as transformation of the electric delivery business, mergers and acquisitions and the creation of unregulated subsidiaries (i.e. Backcomb and Energy Vision).

Principal Research Analyst, Eversource (Boston Edison Company/NSTAR)

- Developed rate tariffs for commercial and industrial customers.
- Developed, implemented, monitored and evaluated energy efficiency programs.

Education, Training, Certifications, and Affiliations

EDUCATION

1983	Boston College	BS, Finance
1992	Babson College	MBA, Management Information Systems

Anthony Barnhart

Title: Managing Member/Partner

Role: VP Business Development/Sales

Contact Information

EnerConnex, LLC
5430 Forest Highlands Court
Westerville, OH 43082
Phone: 614-581-8802
Email: tbarnhart@enerconnex.com

Background Summary Information

Years in Energy Industry: 30

Unique Skill Sets:

- Strategic Planning Expertise
- Customer Relationship Management
- Procurement Strategy Development
- Energy Procurement Expertise
- Market Strategy and Development
- Contract Negotiation
- Financial and Business Case Analysis

Background

Mr Barnhart is a proven leader with nearly thirty years in the retail and wholesale energy markets. Serving in various roles in Executive Management, Sales, Regulatory and General Management, he has dedicated his career to assisting utilities, industrial and commercial customers manage energy requirements enabling them to reduce costs and mitigate risk.

Throughout his career, Mr. Barnhart has held senior executive roles at several leading energy companies; from start-ups with successful exits, to major firms leading a divestiture. He has in-depth experience on both the supply side and brokerage side of the business. Mr. Barnhart helped co-found and grow a retail energy supplier that eventually was acquired by a larger supplier. He also helped build an energy brokerage company as a member of the leadership team tripling the company's energy procurement revenues while broadening its service offerings and later positioning the company for acquisition at 18x EBITDA. More recently, Mr. Barnhart helped lead an Australian energy software company's US entry by establishing organic sales while acquiring an energy efficiency rebate management firm. Under his leadership, the firm went from negative to positive earnings in less than a year.

Mr. Barnhart holds a Bachelors Degree in Business Administration from The Ohio State University Fisher College of Business. He is also a past board member of TEAM (Texas Energy Association of Marketers).

Selected Experience

EnerConnex, LLC

44 Portland St., 4th Floor, Worcester, MA 01608
Employed from May 2018 - present

VP of Business Development - EnerConnex, LLC

- Lead Company's Enterprise, Institutional and Wholesale energy sales efforts through both direct and partner channels.
- Oversee business initiatives and strategic direction of company.
- Lead sales efforts across North America.

BidEnergy

Concord, MA
Employed from 2016 to 2018

Anthony Barnhart

Sr VP Sales & US General Manager

- Established North American presence for procurement and utility bill management.
- Co-lead the acquisition of Real Win Win (rebate administration company) and turned the company profitable in one year.
- Re-engineered company's marketing strategy to lower direct costs and increase sales velocity.

World Energy Solutions, Inc. (later EnerNOC and ENEL)

Dublin, OH

Employed from 2009 to 2016

Sr Vice President and Director/ Utility/Retailer Solutions, World Energy Solutions, Inc.

- Lead Company's Enterprise, Institutional and Wholesale energy sales efforts through both direct and partner channels.
- Built a Utility Sales team for the Central Region to sell Energy Intelligence Software and Procurement Services to Utilities and Municipalities.
- Tripled company's core procurement business to \$36m while broadening its product and service offerings to the marketplace.

Accent Energy Holdings LLC

Dublin, OH

Employed from 2001 to 2009

Senior Vice President

- Developed and implemented strategies for growing customer count, gross margin and EBITDA in current and future energy markets.
- Lead company's direct and indirect sales initiatives consisting of over sixty sales professionals and more than fifty sales channel partners originating over \$175m in electricity and natural gas revenues.
- Established a start-up company including business development and launch, raising capital, organizational structure incorporation, supplier relationships, acquisition due diligence, organic sales growth, financial modeling, sources/uses forecasting and state regulatory compliance.

Enron Energy Services, Inc.

Dublin, OH

Employed from 2000 to 2001

Vice President, Clinton Energy Management Services, Inc.

- Led energy consulting company with over \$100 million in revenues.
- Provided outsourcing services to commercial and industrial companies.
- Originated long-term structured risk management solutions that enabled commercial and industrial customers to manage energy price volatility.

North America Logistics dba Audipac Logistics

Grove City, OH

Employed from 1995 to 2000

Vice President, Sales and Marketing

- Directed sales and marketing of freight cost management outsourcing solutions to industrial and commercial companies' financial, distribution and technology functions.

Anthony Barnhart

Access Energy Corp.

Dublin, OH

Employed from 1988 to 1995

Regional Manager

- Responsible for the sales and profit growth of \$75 million in revenue of industrial and commercial business in a six-state region.
- Managed nine sales associates along with direct account responsibility for select National Accounts.

Education, Training, Certifications, and Affiliations

EDUCATION

The Ohio State University

BSBA, Transportation & Logistics

Vitana Melesiute

Title: Managing Member/Partner

Role: VP Energy Markets

Contact Information

EnerConnex, LLC

44 Portland St., 4th Floor

Worcester, MA 01608

Mobile: 508-612-5947

Email: vmelesiute@enerconnex.com

Background Summary Information

Years in Energy Industry: 20

Unique Skill Sets:

- Business Development
- New Markets Assessment
- Supplier Relationships Management
- Channel Management
- Energy Procurement Management
- Development and execution of risk management strategies

Background

Vitana is an established leader in the retail energy industry with more than 19 years of experience marketing energy commodity products and services to large C&I customers. She has worked with Fortune 500 companies in developing customized strategies for energy procurement, demand side management, and energy efficiency planning and implementation.

Prior to joining EnerConnex, Vitana worked in various roles at World Energy Solutions. Since inception, she was an integral part of World Energy's evolution into one of the largest energy brokerage companies in the country. Vitana directed the company's new market entrance program, while transacting over 50 TWh in energy contracts for commercial, industrial, and government clients. As a Market Director over the PJM, MISO, NYISO, CAISO and ERCOT markets, her extensive market expertise enabled her to drive customer success by taking advantage of market opportunities while advocating on behalf of the client.

Selected Experience

EnerConnex, LLC

44 Portland St., 4th Floor, Worcester, MA 01608

Employed from July 2016 - present

Managing Member/VP - Energy Markets, EnerConnex, LLC

- Focus on the exceptional delivery of both companies' energy consulting and procurement services.
- Establish, maintain and enhance relationships with suppliers, channels and customers.
- New market assessments.

Self-Employed Energy Consultant

Employed from July 2015 to July 2016

World Energy Solutions, Inc. (later ENERNOC/ENEL)

100 Front Street, 20th Floor, Worcester, MA 01608

Employed from January 2000 to July 2015

Market Director, World Energy Solutions

- Responsible for customer accounts within the PJM, MISO, New York and California.
- Managed procurement project timelines.
- Managed electric and gas procurement from data aggregation to savings analysis, to contracting.
- Scheduled and ran auctions.
- Calculated the savings customers can expect to achieve at auction.
- Coordinated contracting between suppliers and customers.
- Supported sales and marketing in development of marketing strategies and operational procedures.
- Researched and built forward-curve pricing algorithms.
- Developed wholesale/retail index for use in customer pricing expectations.

Vitana Melesiute

- Developed new pricing products.

Supply Desk Analyst, World Energy Solutions

- Collected and analyzed energy consumption data in order to establish a price to beat.
- Determined customer specific savings in various markets.
- Created tariff models for use in price to beat analyses.
- Conducted research on deregulated markets to determine the competitive landscape in each market.
- Created and implemented the forward looking market price tool used for projecting auction results.

Education, Training, Certifications, and Affiliations

EDUCATION

1994 - 1998	Kaunas University of Technology, Lithuania	Bachelor of Business Administration
1993 - 1994	Kaunas Polytechnic Institute, Lithuania	Chemical Technology

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MAR 25 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

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ATTACHMENT M
APPLICATION AFFIDAVIT

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of MASSACHUSETTS :

ss. Worcester

County of Worcester :

Janet Loop, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the CFO (Office of Affiant) of EverConnex, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein EverConnex LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

That the Applicant herein EverConnex LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein EverConnex LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.


That the Applicant herein EverConnex LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Janet Loop
Signature of Affiant

Sworn and subscribed before me this 12 day of March, 2019.

[Signature]
Signature of official administering oath

 **JOSEPH BUSH**
Notary Public
Commonwealth of Massachusetts
My Commission Expires
December 14, 2023

My commission expires

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MAR 25 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

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MAR 25 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

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ATTACHMENT N
OPERATIONS AFFIDAVIT

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MAR 25 2019

Appendix B

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

OPERATIONS AFFIDAVIT

[Commonwealth/State] of Massachusetts :

ss. Worcester

County of Worcester :

Janet Loop, Affiant, being duly [sworn/affirmed] according to law,
deposes and says that:

[He/she is the CFO (Office of Affiant) of EnersConnex LLC
(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That EnersConnex LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That EnersConnex LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That EnersConnex LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

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MAR 25 2019

Appendix B (Continued)

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

That Enor Connex LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

Janet Loop
Signature of Affiant

Sworn and subscribed before me this 18 day of March, 2019.

[Signature]
Signature of official administering oath



JOSEPH BUSH
Notary Public
Commonwealth of Massachusetts
My Commission Expires
December 14, 2023

My commission expires _____.

RECEIVED

MAR 25 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

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MAR 25 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ATTACHMENT O

PROOF OF PUBLICATION

COMMONWEALTH OF PENNSYLVANIA } **SS**
County of Cambria

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Applications of EnerConnex, LLC (d/b/a "EnerConnex") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in

2012 - Ed Manning and the Patriots
 2011 - Tom Brady and the Patriots
 2010 - Tom Brady leads one of the
 greatest comebacks in sports, let alone
 Super Bowl history, fitting New England
 from a 25-point hole to the Patriots'
 fifth NFL championship in the game's
 first overtime finish. The Patriots score
 19 points in the final quarter, including
 a pair of 2-point conversions, then
 matches reversely to James White's
 2-yard touchdown run in overtime
 to win the AFC title 34-28.

2011 - Tom Brady leads one of the
 greatest comebacks in sports, let alone
 Super Bowl history, fitting New England
 from a 25-point hole to the Patriots'
 fifth NFL championship in the game's
 first overtime finish. The Patriots score
 19 points in the final quarter, including
 a pair of 2-point conversions, then
 matches reversely to James White's
 2-yard touchdown run in overtime
 to win the AFC title 34-28.

2010 - Tom Brady leads one of the
 greatest comebacks in sports, let alone
 Super Bowl history, fitting New England
 from a 25-point hole to the Patriots'
 fifth NFL championship in the game's
 first overtime finish. The Patriots score
 19 points in the final quarter, including
 a pair of 2-point conversions, then
 matches reversely to James White's
 2-yard touchdown run in overtime
 to win the AFC title 34-28.

On this 5th day of February A.D. 2019, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Sales Manager / Major Accounts of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

published continuously at Johnstown Pa. in the County of Cambria, and Commonwealth of Pennsylvania and that the annexed is a true copy of a notice in the above matter published in said publication in the regular issues of The Johnstown Tribune-Democrat, Johnstown, PA, on February 5, 2019; and that the Affiant is not interested in the subject matter of said notice or advertising and that all of the allegations as to time, place and character of said publication are true.

Christine Marhefka
STATEMENT OF ADVERTISING COSTS

Signed and sworn to before me on
 5th day of February, 2019,
 by Christine Marhefka making the statement.

Vivian Ohs

0.00 Lines @ \$2.50 per line	0.00
8 Inches @ \$25.00 per inch	200.00
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	207.50

Commonwealth of Pennsylvania - Notary Seal
 Vivian Ohs, Notary Public
 Cambria County
 My commission expires December 6, 2020
 Commission number 1123017
 Member, Pennsylvania Association of Notaries

To The Tribune-Democrat, Johnstown, PA
 For publishing the notice or publication
 attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

VIVIAN OHS for publisher of TRIBUNE-DEMOCRAT
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
 and publication costs and certifies that the same has been duly paid.

RECEIVED

TRIBUNE-DEMOCRAT
 (Name of Newspaper)
 By *Vivian Ohs*

MAR 25 2019

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

ENERCONNEX
JANET LOOP
44 PORTLAND ST., 4TH FLOOR WORCESTER MA 01608
01608

Account # 632632
Order # 82305630
Ad Price: 314.85

LEGAL NOTICE PENNSYLVANIA

Ann Marie Fortese

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

02/05/2019

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true *Ann Marie Fortese*

Sworn and subscribed to before me
this 5th day of February A.D., 2019

Sharon Venturi

(Notary Public)

Commonwealth of Pennsylvania - Notary Seal
Sharon Venturi, Notary Public
Lackawanna County
My commission expires February 12, 2022
Commission number 1254228
Member, Pennsylvania Association of Notaries

RECEIVED

MAR 25 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Legal Notices

LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Applications of EnerConnex, LLC (d/b/a "EnerConnex") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.

EnerConnex, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. EnerConnex, LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. EnerConnex, LLC proposes to sell electricity, natural gas, and related services throughout the Entire Commonwealth under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of EnerConnex, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to EnerConnex, LLC's attorney at the address listed below.

By and through Counsel:
Mark Phillips
Mularski, Bonham, Dittmer,
Phillips & Steels, LLC
107 W. Johnstown Road
Gahanna, Ohio 43230
(614) 476-8464
(614) 640-7479

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

Enerconnex
44 Portland Street 4th Floor
Worcester MA 01608

REFERENCE: 97795 350736
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE)-SS:

Jennifer L. Trott, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 02/06/19

TOTAL COST: \$610.00 AD SPACE: 0 Lines

FILED ON: 02/06/19

RECEIVED

MAR 25 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Applications of EnerConnex, LLC (d/b/a "EnerConnex") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.

EnerConnex, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. EnerConnex, LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. EnerConnex, LLC proposes to sell electricity, natural gas, and related services throughout the entire Commonwealth under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of EnerConnex, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to EnerConnex, LLC's attorney at the address listed below.

By and through Counsel: Mark Phillips
Mularski, Bonham, Dittmer, Phillips & Steele, LLC
107 W. Johnstown Road
Gahanna, Ohio 43230
(614) 476-8484
(614) 840-7473

PA350736

Sworn to and subscribed before me this 10th day of February 2019

Affiant: Jennifer L Trott

NOTARY: Barbara J Moore

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Barbara J. Moore, Notary Public
City of Erie, Erie County
My Commission Expires March 23, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929**

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Robert O. Rolley, Jr., Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

February 6, 2019

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.


PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Applications of EnerConnex, LLC (d/b/a "EnerConnex") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Publics In The Commonwealth Of Pennsylvania.
EnerConnex, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. EnerConnex, LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a

broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. EnerConnex, LLC proposes to sell electricity, natural gas, and related services throughout the Entire Commonwealth under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of EnerConnex, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to EnerConnex, LLC's attorney at the address listed below.

By and through Counsel:
Mark Phillips
Mularski, Bonham,
Dittmer, Phillips &
Staele, LLC
107 W. Johnstown Road
Gehanna, Ohio 43230
(614) 478-6484
(614) 540-7473


SUN-GAZETTE LLC

Sworn to and subscribed before me
The 6th day of February 2019

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL
BETH A MILLER
Notary Public
CITY OF WILLIAMSPORT, LYCOMING COUNTY
My Commission Expires Apr 18, 2020

STATEMENT OF ADVERTISING COSTS
To the Sun-Gazette LLC, Dr.:
For publishing the notice attached
Hereto on the above state dates.....\$ 303.84
Probated same.....\$
Total.....\$ 303.84

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

RECEIVED

MAR 25 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

SUN-GAZETTE LLC
BY Robert O. Rolley, Jr.

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

06 of February, 2019

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

K. Flaherty

PG Publishing Company

Sworn to and subscribed before me this day of:
February 06, 2019

Elizabeth R. Chmura

Notary Public

Commonwealth of Pennsylvania - Notary Seal
Elizabeth R. Chmura, Notary Public
Allegheny County
My commission expires February 8, 2022
Commission number 1326781
Member, Pennsylvania Association of Notaries

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MAR 25 2019
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

STATEMENT OF ADVERTISING COSTS

Enerconnex
44 Portland St, 4th Floor
Worcester Massachusetts 01608

To PG Publishing Company

Total ----- \$527.00

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By *[Signature]*

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Applications of EnerConnex, LLC (d/b/a "EnerConnex") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services To The Public in The Commonwealth Of Pennsylvania.
EnerConnex, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. EnerConnex, LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. EnerConnex, LLC proposes to sell electricity, natural gas, and related services throughout the Entire Commonwealth under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of EnerConnex, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to EnerConnex, LLC's attorney at the address listed below.
By and through Counsel:
Mark Phillips
Mularski, Bonhart, Dittmer, Phillips & Steele, LLC
107 W. Johnstown Road
Gahanna, Ohio 43230
(614) 476-6464
(614) 540-7473



AD#: 0009016538

Commonwealth of Pennsylvania,) ss
County of Cumberland)

Victoria Soto being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 02/05/2019

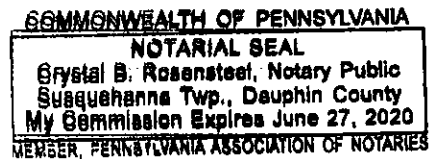
Victoria Soto

Principal Clerk of the Publisher

Sworn to and subscribed before me this 6th day of February 2019

Crystal B. Rosensteel

Notary Public



**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Applications of EnerConnex, LLC
(d/b/a "EnerConnex") For
Approval To Offer, Render, or Furnish
Services as a Supplier, Aggregator, and
Marketer/Broker Engaged in The
Business Of Supplying Natural Gas
Supply Services and Electricity Supply
or Electric Generation Services, To The
Public in The Commonwealth Of
Pennsylvania.

EnerConnex, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. EnerConnex, LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. EnerConnex, LLC proposes to sell electricity, natural gas, and related services throughout the Entire Commonwealth under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of EnerConnex, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to EnerConnex, LLC's attorney at the address listed below.

By and through Counsel:
Mark Phillips

Mularski, Bonham, Dittmer, Phillips
& Steele, LLC
107 W. Johnstown Road
Gahanna, Ohio 43230
(614) 476-6464
(614) 340-7473

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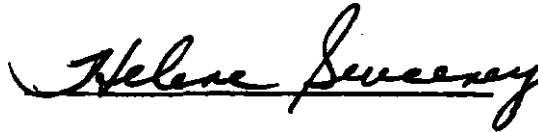
Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

February 7, 2019

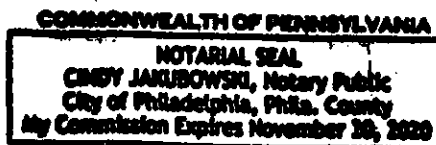
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



Sworn to and subscribed before me this 7th day of
February, 2019.


Notary Public

My Commission Expires:



Copy of Notice of Publication

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**
Applications of EnerConnex, LLC (d/b/a "EnerConnex") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.
EnerConnex, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. EnerConnex, LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. EnerConnex, LLC proposes to sell electricity, natural gas, and related services in throughout the Entire Commonwealth under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of EnerConnex, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to EnerConnex, LLC's attorney at the address listed below.
By and through Counsel: Mark Phillips
Kulanski, Bonham, Dwyer, Phillips & Steele, LLC
107 W. Johnson Road
Columbus, Ohio 43230
(614) 478-6484
(614) 840-7473

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ATTACHMENT D
CERTIFICATE OF SERVICE

RECEIVED

MAR 25 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

**ATTACHMENT D
CERTIFICATE OF SERVICE**

On this the 25th day of March, 2019, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Natural Gas Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a CD-ROM, upon the following:

VIA FIRST-CLASS MAIL

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Columbia Gas of PA, Inc.
Attn: Michele Caddell
290 W. Nationwide Blvd.
Columbus, OH 43215

Peoples Natural Gas Company – Equitable Div.
Peoples Natural Gas Company LLC
Peoples Gas Company LLC
Attn: Carol Scanlon
375 North Shore Drive
Pittsburgh, PA 15212

National Fuel Gas Distribution Corp.
Attn: Joanne E. Maciok
6363 Main Street
Williamsville, NY 14221

PECO
Attn: Carlos Thillet
2301 Market Street, S9-2
Philadelphia, PA 19103

Philadelphia Gas Works
Attn: Nicholas LaPergola
800 West Montgomery Avenue
Philadelphia, PA 19122

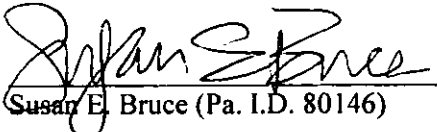
Valley Energy Inc.
Attn: Ed Rogers
523 South Keystone Avenue
Sayre, PA 18840-0340

UGI Utilities, Inc. – Gas Division
Attn: David Lahoff
2525 N. 12th Street, Suite 360
P. O. Box 12677
Reading, PA 19612-2677

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU


Susan E. Bruce (Pa. I.D. 80146)

Counsel to EnerConnex, LLC



McNees
Wallace & Nurick LLC

100 Pine Street • PO Box 1166 • Harrisburg, PA 17108-1166

First Class Mail

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2nd Floor
Harrisburg, PA 17120