



BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

In Re: Application of Raftelis Financial Consultants, Inc. to register as an Utility Valuation Expert (UVE) in the Commonwealth of Pennsylvania

Docket No: M-2016-2543193

Check one:

Fee:  \$125 fee enclosed

Initial Application

Renewal Application

1. Legal Name of the Applicant: Raftelis Financial Consultants, Inc.

Attach proof of compliance with appropriate Pennsylvania Department of State filing requirements.<sup>2</sup>

2. Trade or Commercial or Fictitious Names Used by Applicant (d/b/a):

Check this box if the Applicant will not be using a fictitious name.

Check this box if the Applicant will be using a fictitious name or using a d/b/a (doing business as) another name and identify names below. If more space is needed, list names on the back of this page or append list to completed application.

3. Applicant Address:

Street Name & Number: 4 British American Blvd  
Post Office Box: \_\_\_\_\_  
City: Latham  
State: NY  
Zip Code: 12110  
Telephone Number: (518) 391-8944  
Email Address: jmastracchio@raftelis.com  
Website Address: www.raftelis.com

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MAY - 1 2019

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

<sup>2</sup> A copy of any document from the Pennsylvania Department of State (Pa. Dept. of State) documenting the Applicant's Pa. Dept. of State entity number is adequate. However, the document must indicate that the Applicant's Pa. Dept. of State registration is "active." Certified copies of Pa. Dept. of State documents are not required.

Application for Registration as a Utility Valuation Expert (UVE)

APPENDIX A

AFFIDAVIT

[Commonwealth/State] of NC :

County of Union :

ss.

Matthew Jackson, Affiant, being duly sworn or affirmed according to law, deposes and says that:

Affiant is the Corporate Secretary (Office of Affiant) of Raffel's Financial Consultants Inc (Name of Applicant);

That Affiant is authorized to and does make this affidavit for said Applicant;

That Raffel's Financial Consultants Inc the Applicant herein, has the burden of producing information and supporting documentation demonstrating technical fitness to be registered as a Utility Valuation Expert pursuant to Section 1329. 66 Pa. C.S. § 1329.

That Raffel's Financial Consultants Inc the Applicant herein, acknowledges that it has answered the questions on the application correctly, truthfully and completely and has provided supporting documentation as required.

That Raffel's Financial Consultants Inc the Applicant herein, acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That Raffel's Financial Consultants Inc the Applicant herein, verifies that neither the UVE nor the UVE's firm, including affiliates, have a conflict of interest that would compromise, or have the appearance of compromising, the UVE's professional judgement and ability to perform the valuation in an unbiased manner.

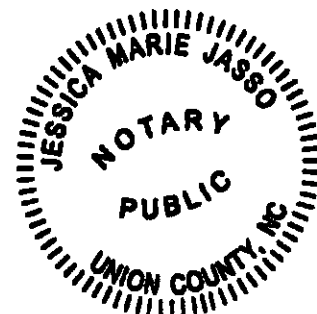
That the facts above set forth are true and correct to the best of Affiant's knowledge, information, and belief, and that Affiant expects said Applicant to be able to prove the same at hearing.

Matthew Jackson  
Signature of Affiant

Sworn and subscribed before me this 30 day of April, 2014.

Jessica Marie Jasso  
Signature of official administering oath

My commission expires: 12-7-14



# CFA Institute

The Board of Governors of CFA Institute confers the right to use the  
**Chartered Financial Analyst (CFA)**

*designation to*

**John M. Mastracchio**

*for fulfilling all the requirements prescribed for use of this designation  
subject to fulfilling the ongoing obligations of a CFA charterholder.*

*This charter is issued at Charlottesville, Virginia,  
this fifteenth day of September, 2006.*

*Charter Number* 06311



*W. Dechanel*  
*Chair*

*V. J. D...*  
*President*



**BUREAU OF PROFESSIONAL AND OCCUPATIONAL AFFAIRS**

P. O. Box 2849

Harrisburg, PA 17105-2849

04/18/2019

**License Information****JOHN MICHAEL MASTRACCHIO**

Niskayuna, New York 12309

**Board/Commission:** State Registration Board for Professional  
Engineers, Land Surveyors and Geologists**Status Effective Date:** 12/07/2005**License Type:** Professional Engineer**Issue Date:** 03/10/1999**Specialty Type:****Expiration Date:** 09/30/2019**License Number:** PE054919E**Last Renewal:** 09/18/2017**Status:** Active**Disciplinary Action Details**

No disciplinary actions were found for this license.

This site is considered a primary source for verification of license credentials provided by the  
Pennsylvania Department of State.

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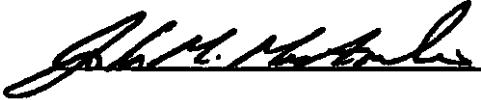
PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



A-4 Section G of the instructions accompanying the Application for Registration as a Utility Valuation Expert requires applications to provide a complete copy of the Application including exhibits to the Office of Consumer Advocate and the Office of Small Business Advocate (Statutory Advocates). The Application does not indicate that a copy was provided to the Statutory Advocates. Please verify that a complete copy of the Application was served on the Statutory Advocates.

**Response from John Mastracchio:** I verify that a complete copy of the Application was served to the Statutory Advocates as directed in section G of the instructions accompanying the Application for Registration as a Utility Valuation Expert.

I, John Mastracchio, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Ps. C.S. §4904 (relating to unsworn falsification to authorities).

Signature 

Title *Vice President*

Date *5/1/19*

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ORIGIN ID: QWGA (707) 373-1199  
UNICE ROBINSON  
RAFTELIS FINANCIAL CONSULTING  
227 W. TRADE STREET  
SUITE 1400  
CHARLOTTE, NC 28202  
UNITED STATES US

SHIP DATE: 01MAY19  
ACTWGT: 0.50 LB  
CAD: 110769825/NET4100

BILL SENDER

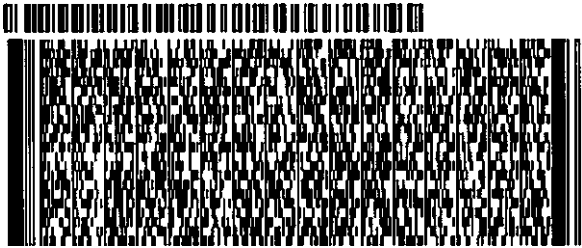
TO SECRETARY, PA PUBLIC UTILITY COMM  
SECRETARY, PA PUBLIC UTILITY COMM  
400 NORTH STREET  
2ND FLOOR  
HARRISBURG PA 17120

(704) 373-1199  
INV. PO.

REF. APPLICATION DOCSMJ

DEPT:

666.11D66C23AD

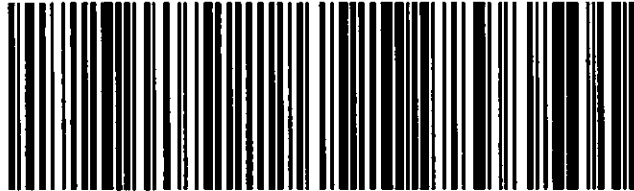


THU - 02 MAY 3:00P  
STANDARD OVERNIGHT

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17120  
PA-US MDT



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Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$1,000, e.g. jewelry, precious metals, negotiable instruments and other items listed in our ServiceGuide. Written claims must be filed within strict time limits, see current FedEx Service Guide.