

Sarah C. Stoner  
717.237.6026  
sstoner@eckertseamans.com

May 6, 2019

**VIA HAND DELIVERY**

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2nd Floor, Room-N201  
Harrisburg, PA 17120

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**RE: Stream Energy Pennsylvania, LLC's Annual Compliance Filing for Continuation of 5% Reduction in Security Requirements  
Docket No. A-2010-2181867; Utility Code: 1112299**

Dear Secretary Chiavetta:

On behalf of Stream Energy Pennsylvania, LLC ("Stream Energy"), please accept this Annual Compliance Filing to renew its required level of financial security at the reduced level of 5% of its most recent twelve months of revenue. This renewal request is made pursuant to the directives of the Commission's September 20, 2018 Tentative Opinion and Order and the Secretarial Letter issued November 1, 2018,<sup>1</sup> and is being submitted at least 90 days prior to the anniversary date of Stream Energy's current security (May 6, 2019).

Stream Energy's initial reduction in financial security was granted by the Commission through a Secretarial Letter dated August 4, 2016. Stream Energy's security reduction was extended by the Commission's July 13, 2017 and May 16, 2018 Secretarial Letters. Stream Energy files this Annual Compliance Filing to renew its security at the reduced level of 5% based on its recent twelve months of revenue.

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<sup>1</sup> *Petition of the Retail Energy Supply Association to Simplify the Financial Security 5% Renewal Process*; Docket No. P-2017-2608078, dated November 1, 2018; *Petition of the Retail Energy Supply Association to Simplify the Financial Security 5% Renewal Process*; Docket No. P-2017-2608078, Tentative Opinion and Order entered September 20, 2018.

Stream Energy's contact person for this Annual Compliance Filing is as follows:

Lori Bolin  
Director of Legal Operations and Regulatory Affairs  
14675 Dallas Parkway | Ste. 150  
Dallas, TX 75254  
Telephone: 214.210.6607  
Email: [Regulatory@mystream.com](mailto:Regulatory@mystream.com)

In support of this Annual Compliance Filing, please find the following information and documentation:

- 1) A table of Stream Energy's gross receipts in Pennsylvania for the four most-recent quarters (from 2Q-2018 through 1Q-2019) on a monthly basis attached hereto as Appendix A (**CONFIDENTIAL**);
- 2) A copy of Stream Energy's form REV-423 Specialty Tax Estimated Payment Form attached as Appendix B (**CONFIDENTIAL**);
- 3) A letter from the AEPS Administrator confirming Stream Energy's compliance with AEPS requirements attached hereto as Appendix C (**CONFIDENTIAL**);
- 4) Stream Energy's original bond verification certificate confirming that its bond remains in effect in an amount equal to or greater than 5% of its most recent 12-months of gross receipts attached hereto as Appendix D (**CONFIDENTIAL**); and
- 5) Stream Energy is compliant with the Commission's annual and supplemental fee requirements.

Stream Energy requested a Tax Status Letter from the Department of Revenue to evidence that Stream Energy is current with its tax liabilities to the Commonwealth of Pennsylvania. Stream Energy will supplement this Annual Compliance filing upon receipt of the Tax Status Letter.

Enclosed please find a verification attesting to the truthfulness of facts set forth in Stream Energy's Annual Compliance Filing. Copies of this Annual Compliance Filing have been served in accordance with the attached Certificate of Service.

**Please note that the confidential attachments to this Annual Compliance Filing are being provided to the Commission under seal and it is requested that they be maintained in a non-public folder.**

Thank you for your attention to this matter. Should you have any questions or concerns, please feel free to contact me.

Sincerely,



Sarah C. Stoner

Enclosures

cc: Stephen Jakab, Bureau of Technical Utility Services (with appendices)  
Certificate of Service (without appendices)

**VERIFICATION**

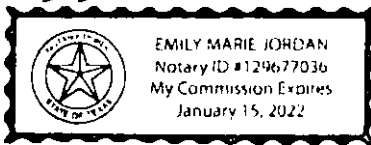
I, Lori A. Bolin, Director of Legal Operations & Regulatory Affairs for Stream Energy Pennsylvania, LLC, hereby verify that I am authorized to and do make this Verification for it, and that the facts set forth in the foregoing Annual Compliance Filing are true and correct to the best of my knowledge, information and belief, and that I expect Stream Energy Pennsylvania, LLC to prove the same at any hearing in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

*5/1/19*

Date

*Lori A Bolin*

Lori A. Bolin, Legal Operations & Regulatory Affairs  
Stream Energy Pennsylvania, LLC



*Sworn to me May 5th 2019.*

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**CERTIFICATE OF SERVICE**

I hereby certify that this day I served a non-confidential copy of Stream Energy Pennsylvania, LLC's Annual Compliance Filing For Continuation of 5% Reduction in Security Requirements upon the persons listed below in the manner indicated in accordance with the requirements of 52 Pa. Code Section 1.54.

**Via First Class Mail**

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Met-Ed, Penelec, and Penn Power:  
Legal Department  
First Energy  
2800 Pottsville Pike  
Reading, PA 19612

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street, P. O. Box 138  
Wellsboro, PA 16901

Office of Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699


Office of Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

Office of General Counsel  
Attn: Kimberly Klock  
PPL  
Two North Ninth Street  
Allentown, PA 18108-1179

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, PA 19612-2677

Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

  
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Sarah C. Stoner, Esq.

Dated: May 6, 2019