



May 15, 2019

Ms. Rosemary Chiavetta
Pennsylvania Public Utility Commission
400 North Street
Harrisburg, PA 17120

RECEIVED

MAY 15 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Docket No. A-2019-3009128, Utility Code: 1222070

Dear Ms. Chiavetta:

The requested information asked for in your letter to our company dated May 1, 2019, is provided below. Please know we have made every effort to provide complete and accurate responses for each item. Should you have any questions, please do not hesitate to contact me.

Item 1 – Section 1.b, Our registered agent, Edward Chambers, has already contacted the PUC.

Item 2 – Section 2.b, The signed and dated Articles of Incorporation are attached.

Item 3 – Section 5.a, We have provided our response, however, due to our ongoing obligation to a confidentiality agreement, we are requesting that our response remain confidential. It has been marked “confidential” and has been placed in the confidential envelope.

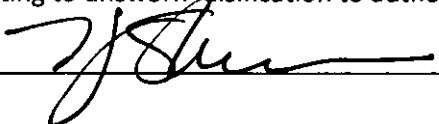
Item 4 – We have provided the most three (3) recent bank statements for the company. They have been marked confidential and placed in the confidential envelope.

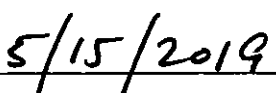
Item 5 – Section 7.f, The Revenue ID is included in an updated page that has been included with this letter. It was placed in the confidential envelope.

Item 6 – Section 8.a, A license for each state has been attached to this letter.

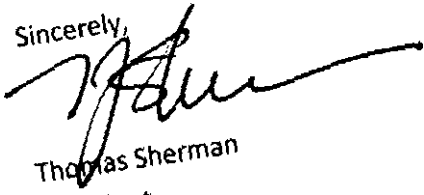
Item 7 – Section 11.a, A corrected Application Affidavit has been attached to this letter.

I, Thomas Sherman, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).





Sincerely,

A handwritten signature in black ink, appearing to read 'T. Sherman', written in a cursive style.

Thomas Sherman
President

Encl.

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Appendix A
APPLICATION AFFIDAVIT

State of Ohio:

:

ss.

County of Cuyahoga:

Thomas Sherman, Affiant, being duly affirmed according to law, deposes and says that:

He is the President of Sustainable Energy Services, Inc.["Applicant"];

That he is authorized to and does make this affidavit for said Applicant;

That the Applicant herein Sustainable Energy Services, Inc. has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

That the Applicant herein Sustainable Energy Services, Inc. has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Sustainable Energy Services, Inc. acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

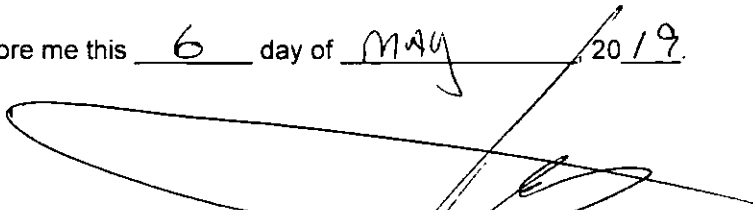
That the Applicant herein Sustainable Energy Services, Inc. acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.



Signature of Affiant

Sworn and subscribed before me this 6 day of May 2019.



Signature of official administering oath

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

My commission expires 11-27-2022.



WILLIAM D KIRKWOOD JR
Notary Public, State of Ohio
My Comm. Expires Nov. 27, 2022
Recorded in Cuyahoga County



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
02/06/2013	201303601362	DOMESTIC FOR PROFIT CORP - ARTICLES (ARF)	125.00	.00		.00	.00

Receipt

This is not a bill. Please do not remit payment.

KUHNS & ASSOCIATES
9700 ROCKSIDE ROAD - #350
CLEVELAND, OH 44125

J. Sten
5/15/2019

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

2171182

It is hereby certified that the Secretary of State of Ohio has custody of the business records for
SUSTAINABLE ENERGY SERVICES, INC.

and, that said business records show the filing and recording of:

Document(s):

DOMESTIC FOR PROFIT CORP - ARTICLES

Document No(s):

201303601362



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus,
Ohio this 4th day of February, A.D.
2013.

Jon Husted

Ohio Secretary of State



Prescribed by **J. Kenneth Blackwell**

Ohio Secretary of State
 Central Ohio: (614) 466-3910
 Toll Free: 1-877-SOS-FILE (1-877-767-3453)

www.state.oh.us/sos
 e-mail: busserv@sos.state.oh.us

Expedite this Form: (Select One)	
<input type="radio"/> Yes	PO Box 1390 Columbus, OH 43216 *** Requires an additional fee of \$100 ***
<input checked="" type="radio"/> No	PO Box 670 Columbus, OH 43216

INITIAL ARTICLES OF INCORPORATION

(For Domestic Profit or Non-Profit)

Filing Fee \$125.00

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FEB 04 2013

SECRETARY OF STATE

THE UNDERSIGNED HEREBY STATES THE FOLLOWING:

(CHECK ONLY ONE (1) BOX)

<input checked="" type="checkbox"/> (1) Articles of Incorporation Profit (113-ARF) ORC 1701	<input type="checkbox"/> (2) Articles of Incorporation Non-Profit (114-ARN) ORC 1702	<input type="checkbox"/> (3) Articles of Incorporation Professional (170-ARP) Profession _____ ORC 1785
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Complete the general information in this section for the box checked above.

FIRST: Name of Corporation Sustainable Energy Services, Inc.

SECOND: Location Bay Village Cuyahoga
(City) (County)

Effective Date (Optional) _____ Date specified can be no more than 90 days after date of filing. If a date is specified, the date must be a date on or after the date of filing.
(mm/dd/yyyy)

Check here if additional provisions are attached

Complete the information in this section if box (2) or (3) is checked. Completing this section is optional if box (1) is checked.

THIRD: Purpose for which corporation is formed
Provide energy audits and any other legal business sales and services.

Complete the information in this section if box (1) or (3) is checked.

FOURTH: The number of shares which the corporation is authorized to have outstanding (Please state if shares are common or preferred and their par value if any)

<u>1,500</u>	<u>Common</u>	<u>None</u>
<small>(No. of Shares)</small>	<small>(Type)</small>	<small>(Par Value)</small>

(Refer to instructions if needed)

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PA PUBLIC UTILITY COMMISSION
 SECRETARY'S BUREAU

Completing the information in this section is optional

FIFTH: The following are the names and addresses of the individuals who are to serve as initial Directors.

Thomas J. Sherman
 (Name)
 367 Walmar Drive
 (Street) **NOTE: P.O. Box Addresses are NOT acceptable.**

Bay Village OH 44140
 (City) (State) (Zip Code)

 (Name)

 (Street) **NOTE: P.O. Box Addresses are NOT acceptable.**

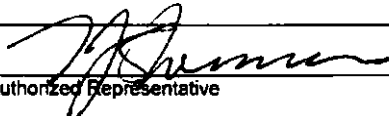
 (City) (State) (Zip Code)

 (Name)

 (Street) **NOTE: P.O. Box Addresses are NOT acceptable.**

 (City) (State) (Zip Code)

REQUIRED
 Must be authenticated
 (signed) by an authorized
 representative
 (See Instructions)


 Authorized Representative

1/29/13
 Date

Thomas J. Sherman
 (Print Name)
 367 Walmar Drive
 Bay Village, OH 44140

 Authorized Representative

 Date

 (Print Name)

 Authorized Representative

 Date

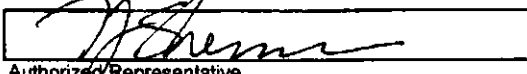
 (Print Name)

Complete the information in this section if box (1) (2) or (3) is checked.

ORIGINAL APPOINTMENT OF STATUTORY AGENT

The undersigned, being at least a majority of the incorporators of Sustainable Energy Services, Inc. hereby appoint the following to be statutory agent upon whom any process, notice or demand required or permitted by statute to be served upon the corporation may be served. The complete address of the agent is

Thomas J. Sherman
(Name)
367 Walmar Dr.
(Street) NOTE: P.O. Box Addresses are NOT acceptable.
Bay Village, Ohio 44140
(City) (Zip Code)

Must be authenticated by an authorized representative		<u>1/29/13</u>
	Authorized Representative	Date
	<input type="text"/>	<input type="text"/>
	Authorized Representative	Date
	<input type="text"/>	<input type="text"/>
	Authorized Representative	Date

ACCEPTANCE OF APPOINTMENT

The Undersigned, Thomas J. Sherman, named herein as the Statutory agent for, Sustainable Energy Services, Inc., hereby acknowledges and accepts the appointment of statutory agent for said entity.

Signature: 
(Statutory Agent)

The Public Utilities Commission of Ohio
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate
Renewal

Issued pursuant to Case Number(s):
15-0486-GA-AGG

is
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number:
15-427G(3)

Granted to:
Sustainable Energy Services, Inc.

Whose office or principal place of business is located at:
24700 Center Ridge Road, Suite 390, Westlake, OH 44145

And is hereby certified to provide:
Retail Natural Gas Aggregator/Broker Services
within the state of Ohio, for a two-year period.

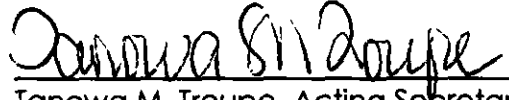
Certification Effective:
April 18, 2019 through April 18, 2021

The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio
Dated: **April 23, 2019**

By Order of
The Public Utilities Commission of Ohio

Tanowa M. Troupe, Acting Secretary
Susan Patterson, Acting Secretary
Beverly Hoskinson, Acting Secretary

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

MAY 15 2019

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**Public Utilities
Commission**

M. Beth Trombold, Interim Chair

Commissioners

Thomas W. Johnson
Lawrence K. Friedeman
Dennis Deters
Daniel R. Conway

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL

Certificate Number:

15-960E (3)

Issued Pursuant to Case Number(s):

15-487-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **Sustainable Energy Services, Inc.** whose office or principal place of business is located at **24700 Center Ridge Road, Suite 390, Westlake, OH 44145** to provide **aggregation and power broker** services within the State of Ohio effective **April 11, 2019**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated April 11, 2019

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Tanowa M. Troupe, Acting Secretary
Susan Patterson, Acting Secretary
Beverly Hoskinson, Acting Secretary

Certificate Expires: April 11, 2021

PENNSYLVANIA PUBLIC UTILITY COMMISSION

IN THE MATTER OF THE APPLICATION OF: A-2017-2608070

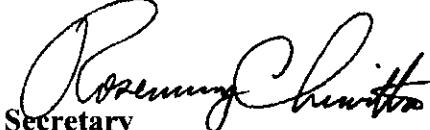
EFFECTIVE DATE: August 31, 2017

The Application of Sustainable Energy Services, Inc. for the right to begin to offer, render, furnish or supply electricity or electric generation services as a broker/marketer to small commercial (25 kW and under demand), large commercial (over 25 kW demand), industrial, and governmental customers in all the electric distribution company service territories in the Commonwealth of Pennsylvania.

The Pennsylvania Public Utility Commission hereby certifies that after an investigation and/or hearing, it has, by its report and order made and entered, found and determined that the granting of the application is necessary or proper for the service, accommodation, convenience and safety of the public and hereby issues, evidencing the Commission's approval, to the applicant this:

LICENSE FOR ELECTRIC GENERATION SUPPLIER AS A BROKER/MARKETER

In Witness Whereof, the PENNSYLVANIA PUBLIC UTILITY COMMISSION has caused these presents to be signed and sealed, and duly attested by its Secretary at its office in the city of Harrisburg this 31st day, of August, 2017.


Secretary

W. KEVIN HUGHES
CHAIRMAN

HAROLD D. WILLIAMS
LAWRENCE BRENNER
KELLY SPEAKES-BACKMAN
ANNE E. HOSKINS

STATE OF MARYLAND



PUBLIC SERVICE COMMISSION

#10, 5/20/15 AM; ML#s 167187 and 167624
License Reference No.: IR-3506

May 20, 2015

Thomas Sherman
President & CEO
Sustainable Energy Services, Inc.
419 Dover Center Road, Suite 3
Bay Village, OH 44140

Dear Mr. Sherman:

On April 17, 2015, Sustainable Energy Services, Inc. ("Company") filed an Application for a License to Supply Electricity or Electric Generation Services in Maryland under COMAR 20.51. The Company proposes to provide electricity supplier broker services in Maryland for commercial and industrial customers as described in the application. Additional information was filed on April 27, 2015.

After considering this matter at the May 20, 2015 Administrative Meeting, the Commission granted the Company a license to supply electricity or electric generation services in Maryland (License Reference Number IR-3506). The license granted by the Commission under this Letter Order is limited solely to electricity supplier broker services for the customer classes and service territories applied for and recommended by Staff. Additionally, the Company is directed to provide marketing and training materials specific to its Maryland operations to the Commission Staff 30 days prior to commencing operations in Maryland.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

A handwritten signature in black ink, appearing to read "David J. Collins".

David J. Collins
Executive Secretary

DJC/st

cc: Phil VanderHeyden, Electricity Division
Obi Linton, Director, External Relations

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

Growth Dynamics Corporation :
d/b/a Sustainable Energy Services :
 : **15-0343**
Application for Licensure of :
Agents, Brokers, and Consultants :
under Section 16-115C of the :
Public Utilities Act. :

ORDER

By the Commission:

I. INTRODUCTION

On May 11, 2015, Growth Dynamics Corporation d/b/a Sustainable Energy Services ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker, or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454, "Licensure of Retail Electric Agents, Brokers, and Consultants" ("Part 454"). Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois. Upon review of the application, Staff of the Commission ("Staff") requested additional information and clarification of certain matters. On May 26, 2015, Applicant filed an amended application. Since all of the information to grant the requested relief was contained in the Amended Application, the Hearing was waived.

II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of Illinois and authorized to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data. The Applicant has also attested that

no complaints have been filed against it for its provision of services in the electric or gas industry in the jurisdictions where it provides or is seeking to provide services.

III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Applicant is required by Section 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Section 454.60 through Attachment B to the Amended Application. Attachment D to the Amended Application contains a corporate organizational chart and identifies the occupational background information of the persons being used to meet the requirements of Section 454.60(a). Attachment B contains occupational background information on the person or persons being used to meet the requirements of Section 454.60(a).

Applicant is required by Section 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Section 454.70 with the information provided in Attachment B. Attachment B contains occupational background information on the person or persons being used to meet the requirements of Section 454.70(a).

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the amended application and attachments along with the supplementary information provided by Applicant regarding the technical, managerial, and financial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements. The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that Growth Dynamics Corporation d/b/a Sustainable Energy Services is granted service authority to operate as an agent, broker, or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) Growth Dynamics Corporation d/b/a Sustainable Energy Services, a business organized under the laws of Illinois and authorized to transact business in Illinois, seeks a certificate of service authority to operate as an ABC under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Growth Dynamics Corporation d/b/a Sustainable Energy Services has demonstrated that it possesses sufficient financial, managerial, and technical resources and abilities to provide services as an ABC for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Growth Dynamics Corporation d/b/a Sustainable Energy Services has complied with Section 16-115C of the Act and Part 454; and
- (6) Growth Dynamics Corporation d/b/a Sustainable Energy Services should be granted a certificate of service authority to operate as an ABC as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that Growth Dynamics Corporation d/b/a Sustainable Energy Services is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker, or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that Growth Dynamics Corporation d/b/a Sustainable Energy Services shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 Ill. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By Order of the Commission this 16th day of June, 2015.

(SIGNED) BRIEN SHEAHAN

Chairman

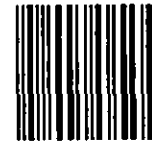
SES
24700 Center Ridge Rd #390
Westlake, OH 44145



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17120

U.S. POSTAGE PAID
FOM LG ENV
WESTLAKE, OH
44145
MAY 15, 19
AMOUNT

\$5.40

R2304N118070-96

Ms. Rosemary Chiavetta
Pennsylvania Public Utility Comm.
400 North Street
Harrisburg, PA 17120