



**Constellation.**

An Exelon Company

June 18, 2019

*This filing contains confidential information*

Pennsylvania Public Utility Commission  
Attn: Rosemary Chiavetta, Secretary  
Commonwealth Keystone Building  
2nd Floor, Room-N201  
400 North Street  
Harrisburg, PA 17120

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JUN 18 2019

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**RE: Annual Compliance Filing of Constellation NewEnergy, Inc. Intent to Renew its'  
5% Financial Security Reduction  
Docket Number A-110036**

Dear Secretary Chiavetta:

Enclosed is the annual compliance filing of Constellation NewEnergy, Inc. ("CNE") to maintain a previously approved 5% financial security reduction.

We kindly request Appendix A and the Amendment to the Parent Company Guarantee to remain confidential as CNE considers our revenue and volume information highly proprietary. Our revenue and volume information by state is not published; thus, the disclosure of such to competitors, or potential competitors, would be detrimental to CNE. Therefore, we have filed one confidential and one redacted copy of Appendix A and the Amendment to the Parent Company Guarantee.

Please do not hesitate to contact me with any questions regarding this information. My telephone number is 312-681-1855 and email address is [amy.klaviter@constellation.com](mailto:amy.klaviter@constellation.com).

Sincerely,

Amy Klaviter  
Senior Analyst, Legal Compliance  
On behalf of Constellation NewEnergy, Inc.

Enclosures

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**Constellation NewEnergy, Inc.  
Annual Compliance Filing  
2019**

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1. Constellation NewEnergy, Inc. ("CNE") submits this filing as it intends to renew its' 5% Financial Security Reduction.
2. EGS' licensed name: Constellation NewEnergy, Inc.
3. Docket number: A-110036
4. Utility code: 110036
5. EGS' contact information: Amy Klaviter, 20 N. Wacker Drive, Suite 2100, Chicago, IL 60606, [amy.klaviter@constellation.com](mailto:amy.klaviter@constellation.com), 312-681-1855
6. CNE's most recent financial security reduction petition is effective until November 1, 2019. CNE has a parental guarantee ("PG") in place that has no expiration date; however, the PG was signed on September 19, 2016. This filing is submitted 90 days prior to September 19.
7. Enclosed is a Certificate of Service showing that the 5% annual compliance filing was served on the statutory advocates and all affected EDCs.
8. Enclosed is a recent Department of Revenue Tax Status Letter of Good Standing.
9. Enclosed in Appendix A is CNE's most recent Department of Revenue REV-423 PA Corporation Specialty Tax Estimated Payment form.
10. Enclosed in Appendix A is CNE's most recent 12-months of gross receipts.
11. Enclosed in Appendix A is CNE's 5% gross receipts calculation using the most recent 12-months of gross receipts.
12. Enclosed is an original amendment to the current parental guarantee in an amount equal to 5% of the EGS' most recent 12-months of gross receipts.

## CERTIFICATE OF SERVICE

On this the 18th day of June 2019, I certify that a true and correct copy of the foregoing filing and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Vice President – Energy Supply  
Corning Natural Gas Holding Corporation  
330 West William Street  
Corning, NY 14830

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

Office of General Counsel  
Attn: Kimberly A. Klock  
PPL  
Two North Ninth Street (GENTW3)  
Allentown, PA 18101-1179

  
\_\_\_\_\_  
Amy Klaviter, Senior Analyst, Legal Compliance

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Bureau of Compliance  
P.O. Box 280231  
Harrisburg, PA 17128-0231  
717-787-3911 (Option 5)  
[www.revenue.pa.gov](http://www.revenue.pa.gov)



Friday, June 14, 2019

Attention: Amy Klaviter

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

RE:

Constellation New Energy, Inc.  
1310 Point Street  
Baltimore, MD 21231

Federal EIN: 95-4714890  
Social Security Number:  
Business Partner Number: 10001070023 / 1000193090

Dear Requester,

I am writing to confirm that the above-referenced tax accounts of Constellation New Energy, Inc. are current. All returns have been filed with payment as of Friday, June 14, 2019. This confirmation is not intended to represent a "Bulk Sale Clearance" under the provision of section 1403 of the fiscal code. This letter does confirm that the account above is in good standing.

Sincerely,

A handwritten signature in black ink, appearing to read 'Ernard S. Robinson, Jr.', written in a cursive style.

Ernard S. Robinson, Jr  
PA Department of Revenue  
Bureau of Compliance  
Business License Clearance Division

Appendix A

• Payment Successfully Submitted.

**REV-423 Specialty Tax Estimated Payment**

Business Name		Revenue ID	Federal Employer Identification Number	
CONSTELLATION NEWENERGY INC		1000193090	95-4714890	
Period Start Date	Period End Date	Period Due Date	Effective Date	Time Filed
1/1/2019	12/31/2019	3/15/2019	03/15/2019	3/13/2019 2:25:39 PM

Tax Type	Payment Amount
Gross Receipts - Electric, Hydro-Electric, & Water Power	

Filed By	Transaction ID	Tax Return Status
Christopher Gambill	1319000001694699	Complete

Payment Method: ACH Debit (EFT) Payment Through e-TIDES

Return

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Appendix A

**Enterprise Filing History**

Corporation Tax		
Revenue ID	Federal Employer Identification Number	Account Name
1000193090	95-4714890	CONSTELLATION NEWENERGY INC

View A Different Tax Year

Estimated Payment						
01/01/2019 - 12/31/2019						
Transaction Type	Status	Date Filed	Effective Date	Total Tax Due	Payment	Transaction ID
Estimated Payment	Complete	3/13/2019	3/15/2019			1319000001694699
Payment	Complete	3/13/2019	3/15/2019			1319000001694700

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Appendix A

**Payment Info**

Business Name		Revenue ID	Federal Employer Identification Number	
CONSTELLATION NEWENERGY INC		1000193090	95-4714890	
Period Start Date	Period End Date	Period Due Date	Effective Date	Time Filed
1/1/2019	12/31/2019	3/15/2019	03/15/2019	3/13/2019 2:25:39 PM

Payment Information	
Bank Account Type	Checking
Bank Account Number	****1321
ABA Routing Number	*****0209
Date Filed	3/13/2019
Effective Date	3/15/2019
Payments Will Be Debited On	3/15/2019
Payment Amount	

Filed By	Transaction ID	Transaction Status
Christopher Gambill	1319000001694700	Complete

Return

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Appendix A

Constellation NewEnergy, Inc.

Gross Revenue for Most Recent 12 Months

Jun-18	
Jul-18	
Aug-18	
Sep-18	
Oct-18	
Nov-18	
Dec-18	
Jan-19	
Feb-19	
Mar-19	
Apr-19	
May-19	
TOTAL	

5% gross receipts calculation

5%



**AMENDMENT TO PARENT COMPANY GUARANTEE**

This Amendment Guarantee is made and entered into as of this 17th day of June, 2019 ("Amendment") by Exelon Generation Company, LLC ("Guarantor") in favor of the Pennsylvania Public Utility Commission ("Guaranteed Party").

**Recitals**

WHEREAS, Guarantor made and issued that certain Guarantee dated September 19, 2016 for the benefit of Guaranteed Party (the "Guarantee"); and

WHEREAS, Guarantor and Guaranteed Party desire to amend the Guarantee as set forth in this Amendment as required by the statutes and regulations of and the orders issued by the Guaranteed Party.

NOW, THEREFORE, the Guarantee is amended as follows:

1. Section 2 of the Guarantee is deleted in its entirety and replaced with the following:

"This guarantee is issued to provide financial assurance in the penal sum of [REDACTED], lawful money of the United States of America, pursuant to 66 Pa. C.S. 2809, 52 Pa. Code 54.40, and 73 P.S. 1648.1 *et seq.*"

2. Section 6 of the Guarantee is hereby amended by deleting the words [REDACTED] and replacing with the words "[REDACTED]"
3. All other terms and conditions of the Guarantee remain unchanged and in full force and effect.

*(Signatures on Following Page)*



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STATE OF MARYLAND )  
 ) ss.  
COUNTY OF BALTIMORE CITY )

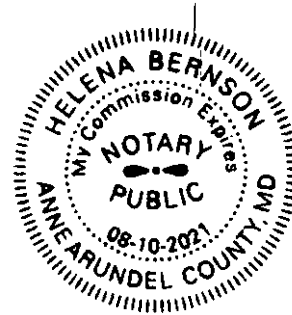
**CERTIFICATION**

I, Bryan Wright being first duly sworn, depose and say that I am Exelon Generation Company's Chief Financial Officer, that the parental guarantee to the Pennsylvania Public Utility Commission on behalf of Constellation NewEnergy, Inc. has been amended due to the need to increase the security amount; that I am knowledgeable of the facts stated herein and that the facts stated herein are true to the best of my knowledge, information and belief.

By Bryan P. Wright  
Bryan Wright  
Chief Financial Officer

SUBSCRIBED and SWORN to before me  
this 17 day of June 2019.

Helena Bernson



ORIGIN ID:CHIA (312) 681-1830  
CRYSTAL BROWN  
20 N WACKER DRIVE  
SUITE 2100  
CHICAGO, IL 60606  
UNITED STATES US

SHIP DATE: 18JUN19  
ACTWGT: 0.50 LB  
CAD: 107541832/NET4100

BILL SENDER

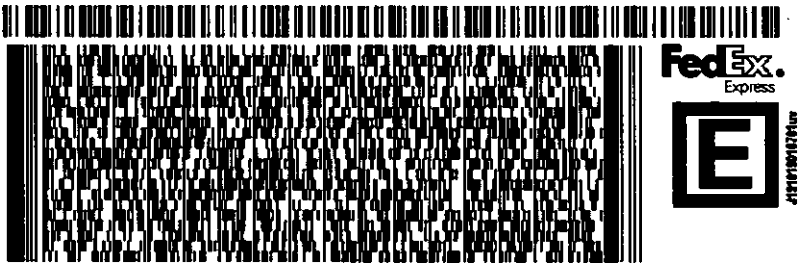
565J1J0210Z3AD

TO **SECRETARY**  
**PA PUBLICUTILITIES COMMISSION**  
**COMMONWEALTH KEYSTONE BUILDING**  
**400 NORTH STREET**  
**HARRISBURG PA 17120**

(717) 772-7777  
INV.  
PO:

REF. 5% SECURITY ANNUAL FILING

DEPT:

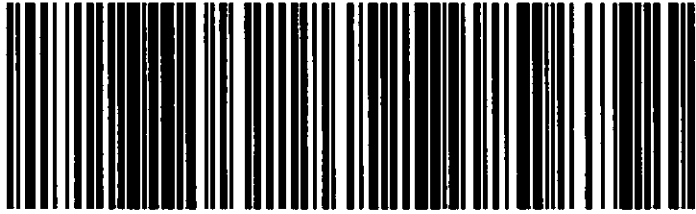


WED - 19 JUN 10:30A  
PRIORITY OVERNIGHT

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**XH MDTA**

17120  
PA-US MDT



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