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SECRETARY'S BUREAU

July 1, 2019

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
Secretary
400 North Street, Keystone Building
Harrisburg, PA 17120

Re: Applications of Energy CX, LLC for a Natural Gas Supplier License (Broker) and an Electric Generation Supplier License (Broker)

Dear Secretary Chiavetta:

Please find enclosed original, verified applications of Energy CX, LLC for a natural gas supplier license (as a broker) and an electric generation supplier license (as a broker) in the Commonwealth of Pennsylvania.

Also enclosed are searchable PDF versions of the applications on USB removable drives and two checks made out to the Commonwealth of Pennsylvania in the amount of $350 for the application fees.

Additionally, certain portions of this application are deemed confidential by Energy CX, LLC, and as such are submitted in sealed envelopes, marked confidential, as part of these application packages.

Please note that Energy CX exercised the option of filing joint public notices in the required newspapers of both applications, and as such the original affidavits of publication refer to both applications. The original affidavits are included in the natural gas supplier (broker) application, and copies are included in the electric generation supplier (broker) application for reference purposes.

Finally, non-confidential copies of the appropriate application(s) have been served on the statutory agencies and utilities as directed in the application forms and listed under "Carbon Copy" below.

Please do not hesitate to let me know if you have any questions or concerns about this application (frankcaliva@prquinlan.com, 202.506.1657).

Sincerely,

Frank Caliva III
P.R. Quinlan Associates Inc.
Regulatory Consultant to Energy CX, LLC

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CC: *Statutory Agencies (Both Applications)*
Bureau of Investigation & Enforcement, Pennsylvania Public Utility Commission
Office of Consumer Advocate
Office of Small Business Advocate
Bureau of Consumer Protection, Office of the Attorney General
Bureau of Compliance, Department of Revenue

Natural Gas Utilities (NGS Application)
Columbia Gas of PA, Inc.
Peoples Natural Gas Company LLC – Equitable Division
Peoples Natural Gas Company LLC
Peoples Gas Company LLC
National Fuel Gas Distribution Corp.
PECO
Philadelphia Gas Works

Electric Utilities (EGS Application)
West Penn Power
Duquesne Light
First Energy
Citizens' Electric Company
Corning Natural Gas Holding Corporation
PECO Energy Company
UGI Utilities, Inc.
Wellsboro Electric Company

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of **ENERGY CX, LLC**, d/b/a _____, for approval to offer, render, furnish, or supply natural gas supply services as a(n) *[as specified in item #4b below]* to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

a. **IDENTITY OF THE APPLICANT**: Provide name *(including any fictitious name or d/b/a)*, primary address, web address, and telephone number of Applicant:
ENERGY CX, LLC
3501 Woodhead Dr., #5
Northbrook, IL 60062
(847) 509-2290
www.energycx.com

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b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT**: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.
Applicant does not maintain a physical location within Pennsylvania.

Registered Agent: **UNISEARCH, INC.**
314 North 12th Street, Suite 100, Philadelphia, PA 19107
800-722-0708

c. **REGULATORY CONTACT**: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.
Mark Rice, President
3501 Woodhead Dr., #5
Northbrook, Illinois 60062
(847) 509-2280 (phone), 847-509-2295 (fax)
mark@energycx.com

ci. **ATTORNEY**: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.
Applicant is not using an attorney.

cii. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSs.

Ellen Rice, Vice-President
3501 Woodhead Dr., #5
Northbrook, Illinois 60062
847-509-2290 (phone)
847-509-2295 (fax)
ellen@energycx.com

Miles Rice, Director
3501 Woodhead Dr., #5
Northbrook, Illinois 60062
847-224-6906 (phone)
847-509-2295 (fax)
miles@energycx.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. FICTITIOUS NAME: *(Select appropriate statement and provide supporting documentation as listed.)*

☐ The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State Pursuant to 54 Pa. C.S. §311.

Or

☒ The Applicant will not be using a fictitious name.

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b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:
(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

☐ The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

Or

☐ The Applicant is a:

☐ domestic general partnership (*)
☐ domestic limited partnership (15 Pa. C.S. §8511)
☐ foreign general or limited partnership (15 Pa. C.S. §4124)
☐ domestic limited liability partnership (15 Pa. C.S. §8201)
☐ foreign limited liability general partnership (15 Pa. C.S. §8211)
☐ foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

☐ The Applicant is a:

- ☐ domestic corporation (15 Pa. C.S. §1308)
- ☐ foreign corporation (15 Pa. C.S. §4124)
- ☐ domestic limited liability company (15 Pa. C.S. §8913)
- ☒ foreign limited liability company (15 Pa. C.S. §8981)
- ☐ Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

- Give name and address of officers.
  Please see Exhibit #2(b).

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Applicant is affiliated with Biofuels Technology, LLC, through common ownership by Mark Rice (sole owner of 100% of both companies), at the same corporate address as Applicant. Biofuels Technology, LLC does business as "Energy Connection" in several states other than Pennsylvania, though the company is transitioning to using the Energy CX name as well.

None of the Applicant's affiliates are jurisdictional public utilities.

b. **PREDECESSORS**: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Applicant has no predecessors.

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4. OPERATIONS

a. APPLICANT'S PRESENT OPERATIONS: *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of an natural gas distribution company
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

☐ The Applicant is presently doing business in Pennsylvania as a

- ☐ natural gas interstate pipeline
- ☐ municipality providing service outside its municipal limits
- ☐ local gas distribution company
- ☐ retail supplier of natural gas services in the Commonwealth
- ☐ a natural gas producer
- ☐ a broker/marketer engaged in the business of supplying natural gas services
- ☐ Other. (Identify the nature of service being rendered)

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or

☒ The Applicant is not presently doing business in Pennsylvania.

b. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a:

- ☐ Supplier or Aggregator of natural gas services
- ☐ Municipal supplier of natural gas services
- ☐ Cooperative supplier of natural gas services
- ☒ Broker/Marketer engaged in the business of supplying natural gas services
  - ☒ Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- ☐ Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the natural gas supply services which the Applicant proposes to offer.

Energy advisory services for C&I customers, including energy procurement (through reverse auction platform); contract negotiation and management with retail supplier; market risk analysis and advice; energy data management and analysis; supply-side/demand-side management; and clean energy/energy efficiency financing and deployment.

d. **PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- ☐ Columbia
- ☐ National Fuel Gas
- ☐ PECO
- ☐ Peoples Natural Gas Company
- ☐ Peoples Natural Gas – Equitable Div
- ☐ Peoples Gas Company
- ☐ Philadelphia Gas Works
- ☐ UGI Utilities – Gas Division
- ☐ Valley Energy
- ☒ All of the above

e. **CUSTOMERS:** Applicant proposes to provide services to:

- ☐ Residential Customers
- ☒ Small Commercial Customers - (Less than 6,000 Mcf annually)
- ☐ Residential and Small Commercial as Mixed Meter ONLY **(CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)**
- ☒ Large Commercial Customers - (6,000 Mcf or more annually)
- ☒ Industrial Customers
- ☒ Governmental Customers
- ☐ All of above (Except Mixed Meter)
- ☐ Other (Describe):

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f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

Upon licensure.

5. COMPLIANCE

a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Applicant has no such proceedings to list.

b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

No such actions or complaints to list.

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d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

b.) NGDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

Columbia Gas of PA, Inc. Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: mcaddell@nisource.com	
Peoples Natural Gas Company LLC – Equitable Division Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com	**National Fuel Gas Distribution Corp.** Joanne E. Maciok 6363 Main Street Williamsville, NY 14221 PH: 716.857.7670 FAX: 716.857.7479 e-mail: maciokj@natfuel.com
Peoples Natural Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com	**PECO** Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com
Peoples Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com	**Philadelphia Gas Works** Ryan Reeves, Director Supply Transportation & Control 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.787.5103 email: pgwchoicesupply@pgworks.com
Valley Energy Inc. Ed Rogers 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: erogers@ctenterprises.org	**UGI Utilities, Inc. – Gas Division** David Lahoff 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com

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7. FINANCIAL FITNESS

a. **BONDING:** In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require. The contact information is located in Section 6.b.

Please see Exhibit #7(a).

b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

Please see Exhibit #7(b), which is being filed under separate, confidential cover.

c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

Applicant only operates as a Broker/Marketer.

d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Applicant is primarily compensated by the licensed natural gas suppliers it represents; in a few instances Applicant is compensated by customer when Applicant provides consulting services directly to the customer.

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e. **ACCOUNTING RECORDS CUSTODIAN**: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.
Joel Birnbaum, Chief Financial Officer
3501 Woodhead Dr., #5
Northbrook, IL 60062
joel.birnbaum@gmail.com
(847) 509-2290 (phone), (847) 509-2295 (fax)

f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

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8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the natural gas industry.
- Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

Please see Exhibit #8(a).

b. **PROPOSED MARKETING METHOD** *(check all that apply)*

- [x] Internal – Applicant will use its own internal resources/employees for marketing
- [ ] External NGS – Applicant will contract with a **PUC LICENSED NGS**
- [ ] Affiliate – Applicant will use a **NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant**
- [ ] External Third-Party – Applicant will contract with a **NON-NGS third party nontraditional marketer and/or non-selling marketer**
- [ ] Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

☐ Yes
☒ No

If yes, will the Applicant be using verification procedures?

☐ Yes
☒ No

If yes, describe the Applicant's verification procedures.

d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Applicant's sales channels are referral/relationship-based marketing and online marketing. Applicant will only work with PUC-licensed natural gas suppliers, and will monitor and comply with all applicable existing and future federal, state, and municipal statutes and regulations. Applicant will respond to customer inquiries and complaints in accordance with industry best practices and the regulations of the PUC. If any complaints or concerns should arise from customers or potential customers, Applicant customer service can be reached at 847-509-2290 (phone) or ellen@energycx.com.

e. **OFFICERS**: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Please see Exhibit 8(e).

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9. DISCLOSURE STATEMENT:

(Not applicable for an applicant applying for a license exclusively as a broker/marketer.)

DISCLOSURE STATEMENTS: If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

- Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

a. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.

☒ AGREED

b. **REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission:

- Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30th following the end of the calendar year per 52 Pa. Code § 62.110.

☒ AGREED

c. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.

☒ AGREED

d. **ANNUAL FEES: The Public Utility Code authorizes the PUC to collect an annual fee of $350 from suppliers, brokers, marketers, and aggregators selling natural gas in the Commonwealth of PA, and a supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.**

☒ ACKNOWLEDGED

e. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.

☒ AGREED

f. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

☒ AGREED

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g. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.

☒ AGREED

h. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

☒ AGREED

i. **FILING FEE: The Applicant has enclosed or paid the required, non-refundable filing fee by CERTIFIED CHECK OR MONEY ORDER in the amount of $350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.**

☒ **PAYMENT ENCLOSED**

11. AFFIDAVITS

(All affidavits must be notarized before filing.)

a.) **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

b.) **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

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12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each NGDC. For example, an applicant that wants to operate in Peoples – Equitable would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

Please see original Notarized Proofs of Publication following this page.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Columbia Gas	X	X		X		X	X
National Fuel Gas	X			X			
PECO			X				
Peoples - Equitable	X			X			
Peoples Natural Gas	X			X			X
Peoples Gas Company				X			
Philadelphia Gas Works			X				
UGI Utilities – Gas Div.	X	X	X	X	X	X	X
Valley Energy					X	X	
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices F and G)

13. SIGNATURE

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Applicant:: Energy CX LLC

By: Mark Rice

Title: President

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

Applicant: ENERGY CX, LLC

Applicant's Use		PUC Secretary's Bureau Use
	Signature	
	Filing Fee (ONLY CERTIFIED CHECK OR MONEY ORDER)	
	Application Affidavit	
	Operations Affidavit	
	Proof of Publication	
	Tax Certification Statement	
	Commonwealth Department of State Verification	
	Certificate of Service	

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12. NEWSPAPER PUBLICATIONS

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The chart below dictates which newspapers are necessary for each NGDC. For example, an applicant that wants to operate in Peoples – Equitable would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

Please see original Notarized Proofs of Publication following this page.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Columbia Gas	X	X		X		X	X
National Fuel Gas	X			X			
PECO			X				
Peoples - Equitable	X			X			
Peoples Natural Gas	X			X			X
Peoples Gas Company				X			
Philadelphia Gas Works			X				
UGI Utilities – Gas Div.	X	X	X	X	X	X	X
Valley Energy					X	X	
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices F and G)

13. SIGNATURE

Applicant:: Energy CX, LLC

By: Mark Rice

Title: President

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Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of Illinois :

: ss.

County of Lake :

Mark Rice, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of Energy CX, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Energy CX, LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

That the Applicant herein Energy CX, LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Energy CX, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Energy CX, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Signature of Affiant

Sworn and subscribed before me this 03rd day of JUNE, 2019.

HUSSAIN ALI NAEEM SHAIKH
Official Seal
Notary Public - State of Illinois
My Commission Expires Feb 9, 2020

Signature of official administering oath

My commission expires FEB. 9, 2020.

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Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of Illinois :

: ss.

County of Lake :

Mark Rice, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of Energy CX, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Energy CX, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Energy CX, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Energy CX, LLC the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That Energy CX, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

X

Signature of Affiant

Sworn and subscribed before me this 03rd day of June, 2019.

HUSSAIN ALI NAEEM SHAIKH
Official Seal
Notary Public - State of Illinois
My Commission Expires Feb 9, 2020

Signature of official administering oath

My commission expires FEB. 9, 2020.

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Exhibit #2(b)

PA Department of State
Foreign Corporation Registration

Illinois Organizational Information & Charter

Names and Addresses of Officers

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS
401 NORTH STREET, ROOM 206
P.O.BOX 8722
HARRISBURG,PA 17105-8722
WWW.CORPORATIONS.PA.GOV

PENNCORP SERVICEGROUP INC
counter
Harrisburg PA 17101

ENERGY CX, LLC

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WE WOULD LIKE TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU,PLEASE VISIT OUR WEBSITE AT www.dos.pa.gov/BusinessCharities OR YOU MAY CONTACT US BY TELEPHONE AT (717)787-1057. INFORMATION REGARDING BUSINESS AND UCC FILINGS CAN BE FOUND ON OUR SEARCHABLE DATABASE AT www.corporations.pa.gov/Search/CorpSearch .

ENTITY NUMBER : 6881832

Entity# : 6881832
Date Filed : 05/02/2019
Pennsylvania Department of State

PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

☑ **Return document by mail to:** 88570

Mia Murray
Penncorp Servicegroup, Inc.
600 N. 2nd St., Ste. 401
PO Box 1210
Harrisburg, PA 17108-1210

☑ **Return document by email to:** penncorp@penncorp.net

Foreign Registration Statement
DSCB:15-412
(rev. 2/2017)

TCO190502ZN0557

Read all instructions prior to completing. This form may be [illegible]

Fee: $250 ☐ I qualify for a veteran/reservist-owned small business fee exemption (see instructions)

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. § 412 (relating to foreign registration statement), the undersigned foreign association hereby states that:

1. The type of association is (check only one):

☐ Business Corporation
☐ Nonprofit Corporation
☑ Limited Liability Company
☐ Limited Partnership
☐ Limited Liability (General) Partnership
☐ Limited Liability Limited Partnership
☐ Business Trust
☐ Professional Association

2. The full and proper name of the foreign association as registered in its jurisdiction of formation is:

ENERGY CX, LLC

2A. *If the name in 2 does not contain a required designator or if the name in 2 is not available for use in the Commonwealth,* the alternate name under which the association is registering in this Commonwealth is:

3. The jurisdiction of formation is: ILLINOIS

4. The street and mailing address of the association's principal office.

Number and street	City	State	Zip
3501 WOODHEAD DR., #5	NORTHBROOK	IL	60062

4A. The street and mailing address of the office, if any, required to be maintained by the law of the association's jurisdiction of formation in that jurisdiction:

Number and street	City	State	Zip
111 WEST WASHINGTON ST, STE 900	CHICAGO	IL	60602

2019 MAY -2 AM 9:51
[illegible] STATE

5. The (a) address of the association's proposed registered office in this Commonwealth or (b) name of its Commercial Registered Office Provider and the county of venue is:

Complete part (a) OR (b) – not both:

(a) _____
Number and street City State Zip County

OR

(b) c/o: UNISEARCH, INC. PHILADELPHIA
Name of Commercial Registered Office Provider County

6. *Check one of the following:*

☑ The association may not have series.

☐ The association may have one or more series.

7. Effective date of registration of foreign association (check, and if appropriate complete, one of the following):

☑ The Foreign Registration Statement shall be effective upon filing in the Department of State.

☐ The Foreign Registration Statement shall be effective on: _____ at _____.
Date (MM/DD/YYYY) Hour (if any)

8. *To be completed by **Limited Liability Companies only**. Check, and if appropriate complete, one of the following:*

☑ The association is a limited liability company which is not organized to render any of the below professional service(s).

☐ The association is a restricted professional limited liability company organized to render one or more of the following professional service(s): (If this box is checked, one or more of the fields below must be checked.)

___Chiropractic ___Dentistry ___Law ___Medicine and surgery
___Optometry ___Osteopathic medicine and surgery ___Podiatric medicine ___Public accounting
___Psychology ___Veterinary medicine

IN TESTIMONY WHEREOF, the undersigned association has caused this Foreign Registration Statement to be signed by a duly authorized representative thereof this 1st day of MAY, 2019.

ENERGY CX, LLC
Name of Association

mark rice
Signature

MARK RICE
Title

File Number 0758710-4

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

To all to whom these Presents Shall Come, Greeting:

I, Jesse White, Secretary of State of the State of Illinois, do hereby certify that I am the keeper of the records of the Department of Business Services. I certify that

ENERGY CX, LLC, HAVING ORGANIZED IN THE STATE OF ILLINOIS ON APRIL 29, 2019, APPEARS TO HAVE COMPLIED WITH ALL PROVISIONS OF THE LIMITED LIABILITY COMPANY ACT OF THIS STATE, AND AS OF THIS DATE IS IN GOOD STANDING AS A DOMESTIC LIMITED LIABILITY COMPANY IN THE STATE OF ILLINOIS.

In Testimony Whereof, *I hereto set my hand and cause to be affixed the Great Seal of the State of Illinois, this* 29TH *day of* MAY *A.D.* 2019 .

Authentication #: 1914901856 verifiable until 05/29/2020
Authenticate at: http://www.cyberdriveillinois.com

Jesse White
SECRETARY OF STATE

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Exhibit #2(b)

Officers

Mark Rice, President
3501 Woodhead Dr., #5
Northbrook, IL 60062

Ellen Rice, Vice President
3501 Woodhead Dr., #5
Northbrook, IL 60062

Joel Birnbaum, Chief Financial Officer
3501 Woodhead Dr., #5
Northbrook, IL 60062

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Exhibit #7(a)

Bond

Exhibit #7(a) - Bond

ENERGY CX, LLC has requested, and received, letters from the jurisdictional natural gas utilities waiving the financial security obligations of ENERGY CX, LLC as long we continue not to take title to any natural gas commodity. Please see waiver letters on the subsequent pages.

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Columbia Gas of Pennsylvania
A NiSource Company

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May 16, 2019

Mark Rice
Energy CX, LLC
3501 Woodhead Dr
Northbrook, IL 60062

Dear Mark Rice:

We are pleased that Energy CX, LLC has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, Energy CX, LLC could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. Energy CX, LLC has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that Energy CX, LLC does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to Energy CX, LLC changes in the future, Columbia Gas might deem it appropriate to require Energy CX, LLC to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-4980 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

Kylia Davis

Kylia Davis
Manager of Choice and Transportation Support Services

PECO
An Exelon Company

May 17, 2019

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Energy CX, LLC
Mark Rice, President
3501 Woodhead Drive
Northbrook, IL 60062

Re: Broker Requirements

Dear Energy CX, LLC:

PECO is aware that Energy CX, LLC has applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

In making such an application, Energy CX, LLC could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. Energy CX, LLC has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers, and will not take title to any delivered natural gas; nor will accept any customer payments or deposits. Therefore, PECO has determined at this time that Energy CX, LLC does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by Energy CX, LLC the creditworthiness requirement for PECO's exposure to Energy CX, LLC changes in the future, PECO reserves the right to require Energy CX, LLC to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at 215-841-6422 or myself at 215-841-6452.

Respectfully submitted,

Carlos P. Thillet

Carlos P. Thillet
Manager, Gas Supply and Transportation
2301 Market Street
Philadelphia, Pa 19103

PEOPLES

375 North Shore Drive
Pittsburgh, Pennsylvania 15212

www.peoples-gas.com

Carol Scanlon
Manager, Rates & Regulation

Peoples Service Company LLC
Phone: 412-208-6931
Email: Carol.Scanlon@peoples-gas.com

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May 15, 2019

Mark Rice
President
Energy CX, LLC
3501 Woodhead Dr.
Northbrook, IL 60062

Dear Mr. Rice:

We are pleased that Energy CX, LLC has applied for a license to provide natural gas services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, Peoples Natural Gas Company LLC – Equitable Division, and Peoples Gas Company LLC (formerly Peoples TWP) ("the Companies").

Since Energy CX, LLC is not currently serving customers on the Peoples systems, we have determined at this time that Energy CX, LLC does not need a bond or other financial security requirement to provide these services to the Company's customers.

If a Pool is established, and customers are enrolled which alters the creditworthiness requirement or the Company's exposure to Energy CX, LLC provision of services on the Peoples' system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6931 or by email at Carol.Scanlon@peoples-gas.com.

Sincerely,

Carol Scanlon

Carol Scanlon
Manager, Rates and Regulation
Peoples Natural Gas Company LLC

Cc: Stephen Kelly
Mina Speicher

PHILADELPHIA GAS WORKS
800 West Montgomery Avenue • Philadelphia, PA 19122

May 16, 2019

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Mr. Mark Rice
President
Energy CX, LLC
3501 Woodhead Drive
Northbrook, IL 60062
Email: frankcaliva@prquinland.com

RE: Security Requirement Bond for Energy CX, LLC

Dear Mr. Rice:

Philadelphia Gas Works ("PGW") is aware that Energy CX, LLC has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, Energy CX, LLC must furnish acceptable security to each utility where Energy CX, LLC will do business. As such, under its tariff, Philadelphia Gas Works could require Energy CX, LLC to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understanding, that Energy CX, LLC intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that in performing these services Energy CX, LLC will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, Energy CX, LLC does not need to post a bond or other form of security to operate in its service territory. If the services provided by Energy CX, LLC should change, Philadelphia Gas Works reserves the right to require security from Energy CX, LLC as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 215-684-6725.

Sincerely,

JOHN ZUK
Vice President, Gas Supply

/dls

UGI Utilities, Inc.
1 UGI Drive
Denver, PA 17517

610-796-3400

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VIA E-MAIL

May 16, 2019

Energy CX, LLC
3501 Woodhead Drive
Northbrook, IL 60062

ATTENTION: Mark Rice, President

RE: Energy CX, LLC
Application to Serve as a Natural Gas Broker

Dear Mr. Rice,

Based on your assertion that Energy CX, LLC ("ENERGY CX") is applying with the State of Pennsylvania to operate as a natural gas broker/marketer, UGI Utilities, Inc. ("UGIU") has concluded that ENERGY CX will not need to post security with UGI Utilities, Inc. – South Rate District ("UGI South"), UGI Utilities, Inc. – North Rate District ("UGI North") or UGI Utilities, Inc. – Central Rate District ("UGI Central"). This is based on the declaration that ENERGY CX will be acting in conjunction with a licensed Natural Gas Supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the respective UGIU Tariffs. If ENERGY CX wishes to directly serve Choice customers in the service territories of UGI South, UGI North and/or UGI Central in the future as a natural gas supplier, it will have to post security as specified in the respective UGI Tariffs prior to the commencement of the service.

Please feel free to contact me with any additional questions you may have.

Sincerely,

David E. Lahoff
Senior Manager
Tariff & Supplier Administration

VALLEY ENERGY
523 S. Keystone Avenue, P.O. Box 340, Sayre, PA 18840
800/998-4427 • 570/888-9664 • FAX 570/888-6199

May 16, 2019

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SECRETARY'S BUREAU

Mark Rice, President
Energy CX, LLC
3501 Woodhead Dr.
Northbrook, IL 60062

Dear Mr. Rice:

We understand that Energy CX, LLC has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because Energy CX, LLC intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that Energy CX, LLC will not be required to post a bond or other form of financial security instrument to provide these services in our service area. However, if the services provided change in the future, we reserve the right to require security from Energy CX, LLC as deemed appropriate.

If you have any questions, please contact Jamie Levering at 570-888-9664 (Ext. 5232).

Sincerely,

Edward E. Rogers
President & CEO

EER/ss

cc: J. Levering, Valley Energy
frankcaliva@prquinlan.com

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Exhibit #7(b)

Financial Records & Statements

Filed under Confidential Cover

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Exhibit #8(a)

Technical Fitness – Experience, Plan, and Structure

Exhibit #8(a) – Technical Fitness – Experience, Plan, and Structure

The ownership, management, structure, and offerings of ENERGY CX, LLC are identical to the information about Biofuels Technology, LLC d/b/a Energy Connection included below; the use of this new corporate entity is to ensure a unified brand with a name that is available for use in all of our current and potential state markets.

Corporate History

Coming from a long line of innovators and entrepreneurs in the energy and chemical industries, Mark Rice founded Biofuels Technology, LLC (*sister company of ENERGY CX, LLC, the Applicant)* with the initial goal of eliminating waste from the biodiesel production process — an endeavor which involved salvaging glycerin and purifying it for resale.

But after observing the potential within the emerging electric and gas industries, Mark decided to expand the company and launch a new division called "Energy Connection." Energy Connection would see exponential growth, tremendous success, and would subsequently become the heart of the company.

First licensed as a broker in Illinois in 2011, Energy Connection has since expanded to markets across the United States and manages over one billion kWh annually. We are currently transitioning most of the energy brokerage business to ENERGY CX, LLC, and are applying for new broker licenses through this company.

Mark is a trusted industry insider with decades of experience and has curated a team of fellow experts to ensure top-notch results and long-term customer relationships.

Products & Services

Electricity Brokerage
- Fixed Price Solutions
- Flexible Index Solutions
- Block Solutions
- Peak Load Management

CPower Load Response
- Automated Load Control
- Demand Response
- Ancillary Services
- Economic Programs

Renewable Energy
- Solar
- Renewable Energy
- Certificates (RECs)
- Generation Development

Natural Gas Brokerage
- Fixed Price
- Fixed Basis
- Index

Energy Efficiency
- HVAC Infrastructure
- Building Automation &
- Analytics
- Light & Controls
- CHP

Onsite Generation
- Solar Solutions
- Fuel Cells
- Batteries & Storage
- Back-up/Emergency Generation
- Cogeneration

Exhibit #8(a) – Technical Fitness – Experience, Plan, and Structure (cont.)

Representative List of Customers

Customers Served

In Illinois, in 2018, Applicant's affiliate Biofuels Technology, LLC d/b/a Energy Connection brokered retail electricity supply service on behalf of the alternative retail electricity suppliers it represents to 846 non-residential customers and 485 residential customers.

Exhibit #8(a) – Technical Fitness – Experience, Plan, and Structure (cont.)

State Licenses of Applicant

State	License Type	License Number	Status
DC	Electric and Gas Broker	TBD	Application Pending
DE	Electric Broker	TBD	Application Pending
MA	Electric Broker and Gas Retail Agent	TBD	Application Pending
MD	Electric and Gas Broker	TBD	Application Pending
ME	Electric and Gas Broker	TBD	Application Pending
NH	Electric and Gas Aggregator	TBD	Application Pending
OH	Gas Broker	TBD	Application Pending
PA	Electric and Gas Broker	TBD	Application Pending

State Licenses of Affiliate Biofuels Technology, LLC d/b/a Energy Connection

State	License Type	License Number	Status
IL	Agent, Broker, Consultant	Docket No. 11-0489	Active
OH	Electric Broker	License No. 15-1018E	Active
NJ	Energy Agent and Energy Consultant	License Nos. EA-0552 and EC-0181	Active
TX	Broker	N/A	License not required
CA	Broker	N/A	License not required
NY	Broker	N/A	License not required
DC	Electric and Gas Broker	TBD	Application Pending
DE	Electric Broker	TBD	Application Pending
MA	Electric Broker and Gas Retail Agent	TBD	Application Pending
MD	Electric and Gas Broker	TBD	Application Pending
ME	Electric and Gas Broker	TBD	Application Pending
NH	Electric and Gas Aggregator	TBD	Application Pending
OH	Gas Broker	TBD	Application Pending
PA	Electric and Gas Broker	TBD	Application Pending

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Exhibit #8(e)
Officer & Operations Staff Resumes

EDUCATION

University of Texas — **Austin, TX**
Major: History — *1981-1985*

EXPERIENCE

Brachman Companies — **Fort Worth, TX**
Managing Director — *1985-1987*

Engaged in commercial real estate, oil and gas drilling and production, investment management, and operations for a manufacturer in Haiti.

Chicago Mercantile Exchange — **Chicago, IL**
Member — *1987-1989*

Proprietary trader in the S and P, Eurodollar, and currencies. Taught CME trading courses serving on various CME committees.

JP Morgan Chase — **Chicago, IL**
Senior Managing Director — *1989-1991*

Traded and brokered public equities.

Rice Opportunity Fund, LLC — **Chicago, IL**
Owner, Operator — *1992-Present*

Originally the Dot Com Fund - a technology hedge fund investing in private and public equities.

Biofuels Technology, LLC — **Chicago, IL**
2005- Present

Biodiesel, specialty chemicals manufacturing and distribution.

LEADERSHIP

Northshore Griffin Football — **Glencoe, IL**
Head Coach — *2004- 2013*

- Developed practice schedules for 6-9-year-old team; 8-2 record and finished 2nd place overall in 2015
- Met with each player to discuss their reasons for playing and to discuss the skills they wanted to develop

ADDITIONAL INFORMATION

Skills: Business & Marketing strategy, relationship management, organization

Modeling: Trained in valuation techniques through *Training The Street* self-study course; covered Comparable Companies, Discounted Cash Flow Analysis and Leveraged Buyout Analysis

Proficiencies: Salesforce, Microsoft Excel, PowerPoint, presentation skills, financial analysis

Interests: Game Theory, Government Theory, Human Behavior, Financial Markets, Fibonacci Sequence

Nathan Rice

Energy Connection, Vice President
May 2016 – Present

Founded in 2005, Energy Connection is an energy advisory firm that procures the lowest electric and natural gas prices for its customers and provides value-add services to deliver a holistic energy experience.

EC's decades of experience, purchasing power, full market coverage, and reverse auction platform gives customers access to the lowest real-time energy prices available from our national supplier network (70+). EC offers a wide range of energy management and sustainable services for a one-stop shop for all your energy needs.

Kitchen Cycle, Head of Business Operations
Jan 2015 – May 2016

We are an open box appliance dealer that specializes in wholesale transactions of discounted appliances and cabinets to contractors, remodelers, designers, and apartment developers.

Sterling Partners, Private Equity Intern
Jun 2015 – Aug 2015

Big Ten Network, Marketing Intern
Jun 2014 – Aug 2014

The Dot Com Fund, Hedge Fund Analyst Intern
Jan 2014 – Feb 2014

JDI Realty, Business Analyst Intern
Jun 2013 – Aug 2013

EDUCATION
DePauw University, B.A., Economics
2012-2016

MAX RICE

EXPERIENCE

Energy Connection
Operations Manager
Aug 2011 - Present

Operations management and support.

Chicago Film Series/Weekend
Co-Founder/Event Coordinator
Nov 2013 – Present

A professionally judged competitive film event.

Pious Pythias Productions
Producer and Director
Feb 2013 – Present

Non-for-profit documentaries, interviews, PSA's, and other educational content.

CrGenius Creative Consulting
Creative Consultant
Aug 2012 – Present

On an hourly or equity equivalent basis, we can help you in strategy, press, video, legal, auditing, negotiation, investigation, perspective, and/or open ears to vent on.

The New Modern Jazz Matrix
Co-Founder
Oct 2013 – Oct 2015

A majority veteran's organization, we provide entertainment for external and internal fundraising events. Additionally, proliferating copies of the Declaration of Independence and Constitution.

EDUCATION
Columbia College Chicago
2012-2013
Film and Fiction

University of Texas at Austin
2010 – 2012
Communications

MILES RICE

EXPERIENCE

Energy CX
Director of Energy Sustainability
Mar 2019 – Present
Developed a suite of Energy Sustainable products & services for forward thinking, energy conscious companies. We deliver creative & profitable green-tech solutions to our customers.

Energy Connection
Account Representative
Dec 2012 – Feb 2019
Providing energy solutions to lower commercial customers' and end users' energy consumption and cost.

Lupo Futures
Equity Derivatives Trading Analyst
Sep 2017 – Present

Stoic Equity
Founder
Jul 2017 – Present
Investment fund focused on working with small growth companies in the Chicagoland area.

Max Rice for Congress 2018 (9th District)
Treasurer
Apr 2017 – Present

Kitchen Cycle, LLC
Founder
Feb 2015 – Dec 2017
Kitchen Cycle is a whole distributor of unsold inventory for appliance manufacturers. Partners include Sub Zero - Wolf, LG, Blue Star, Whirlpool Corp and Samsung.

Melvin Realty, LLC
Founder
Feb 2015 – Sep 2016
Flipping and renovating homes in the surrounding areas of Chicago.

Sellvage One LLC
Managing Partner
Jun 2014 – Jan 2015
Specialized in reselling remodelers clients old household items.

EDUCATION

Northwestern University
Economics - 2016

Hope Lerner

EXPERIENCE

Energy Connection, Senior Pricing Analyst / Sales
Feb 2018 – Present

Color Communications, Inc., Account Manager/Coordinator
Feb 2011 – Feb 2018

EDUCATION

National Louis University, B.S. Elementary Education, English and Social Studies, Grade K-9
1989 – 1992

No._____ Term,_____

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

17 of May, 2019

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PG Publishing Company

Sworn to and subscribed before me this day of:
May 17, 2019

Commonwealth of Pennsylvania - Notary Seal
Elizabeth R. Chmura, Notary Public
Allegheny County
My commission expires February 8, 2022
Commission number 1326781
Member, Pennsylvania Association of Notaries

RECEIVED
JUL 2 2019
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

STATEMENT OF ADVERTISING COSTS

P.R. QUINLAN
1012 14TH ST NW STE 903
ATTN: Frank Caliva III
WASHINGTON DC 20005-3414

To PG Publishing Company

Total ---------- $472.75

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforsaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By Samuel J. Arbutina

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

Attorney For

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of Energy CX, LLC For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Energy CX, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. Energy CX, LLC will also be filing an application with the PUC for a license to provide electric supply or electric generation services as a broker/marketer engaged in the business of providing electric supply or electric generation services. Energy CX, LLC proposes to broker and market electricity services and natural gas services in all eligible natural gas and electric distribution company territories in the Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Energy CX, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Energy CX, LLC at the address listed below.

Energy CX, LLC
3501 Woodhead Dr. #5
Northbrook, IL 60062
(847) 509-2290 (Phone)
(847) 509-2295 (Fax)

PA MEDIA GROUP

The Patriot News

LEGAL AFFIDAVIT

AD#: 0009166073

Commonwealth of Pennsylvania,) ss
County of Cumberland)

Charles Crewsaw being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 05/21/2019

Principal Clerk of the Publisher

Sworn to and subscribed before me this 22th day of May 2019

Notary Public

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Crystal B. Rosenstoel, Notary Public
Susquehanna Twp., Dauphin County
My Commission Expires June 27, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Energy CX, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. *You should send copies of any protest to* Energy CX, LLC at the address listed below.

Energy CX, LLC
3501 Woodhead Dr. #5
Northbrook, IL 60062
(847) 509-2290 (Phone)
(847) 509-2295 (Fax)

RECEIVED
JUL 2 2019
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS

COMBINATION EDITION

P.R. Quinlan
7982 Tyson Oaks Circle
Vienna VA 22182

REFERENCE: 88279 362958
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:
Jennifer L. Trott, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 05/18/19

TOTAL COST: $543.00 AD SPACE: 0 Lines

FILED ON: 05/18/19

RECEIVED
JUL 2 2019
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Applications of **Energy CX, LLC** *For Approval To Offer, Render, or Furnish Services as a* **Marketer/Broker** *Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.*

Energy CX, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. **Energy CX, LLC** will also be filing an application with the PUC for a license to provide electric supply or electric generation services as a broker/marketer engaged in the business of providing electric supply or electric generation services. **Energy CX, LLC** proposes to broker and market electricity services and natural gas services in all eligible natural gas and electric distribution company territories in the Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Energy CX, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Energy CX, LLC** at the address listed below.

Energy CX, LLC
3501 Woodhead Dr. #5
Northbrook, IL 60062
(847) 509-2290 (Phone)
(847) 509-2295 (Fax)

EP362958

Sworn to and subscribed before me this 20th day of May 2019

Affiant: Jennifer L Trott

NOTARY: Barbara J Moore

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Barbara J. Moore, Notary Public
City of Erie, Erie County
My Commission Expires March 23, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

May 20, 2019

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Helene Sweeney

Sworn to and subscribed before me this 20th day of May, 2019.

Cindy Jakubowski
Notary Public

My Commission Expires:

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
CINDY JAKUBOWSKI, Notary Public
City of Philadelphia, Phila. County
My Commission Expires November 30, 2020

Copy of Notice of Publication

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Applications of **Energy CX, LLC** For Approval To Offer, Render, or Furnish Services as a **Marketer/Broker** Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Energy CX, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any *protest to Energy CX, LLC at the address listed* below.

Energy CX, LLC
3501 Woodhead Dr. #5
Northbrook, IL 60062
(847) 509-2290 (Phone)
(847) 509-2295 (Fax)

RECEIVED
JUL 2 2019
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA
County of Cambria } SS

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

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The PUC may consider this application without a hearing. *Protests directed to the technical or financial fitness of* Energy CX, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Energy CX, LLC at the address listed below.

Energy CX, LLC
3501 Woodhead Dr. #5
Northbrook, IL 60062
(847) 509-2290 (Phone)
(847) 509-2295 (Fax)

On this 7th day of June A.D. 2019, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Sales Manager / Major Accounts of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

publi *County of Cambria, and Commonwealth of Pennsylvania and*
that *above matter published in said publication in the regular issues*
of Th *, PA, on May 17, 2019; and that the Affiant is not interested in*
the s *d that all of the allegations as to time, place and character of*
said

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Signed and sworn to before me on
7th day of June, 2019,
by Christine Marhefka making the statement.

0.00 Lines @ $2.50 per line	0.00
7.5 Inches @ $25.00 per inch	187.50
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	195.00

Commonwealth of Pennsylvania - Notary Seal
Vivian Ohs, Notary Public
Cambria County
My commission expires December 6, 2020
Commission number 1123017
Member, Pennsylvania Association of Notaries

To The Tribune-Democrat, Johnstown, PA
For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

_____
(Name of Newspaper)

By_____

RECEIVED
JUL 2 2019
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

The Scranton Times (Under act P.L. 877 No 160. July 9,1976)
Commonwealth of Pennsylvania, County of Lackawanna

P.R. QUINLAN
FRANK CALIVA III
7982 TYSON OAKS CIRCLE VIENNA VA 22182

RECEIVED
JUL 2 2019
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Account # 613586
Order # 82338121
Ad Price: 283.00

LEGAL NOTICE PENNSYLVANIA
Ann Marie Fortese
Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

05/16/2019

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true. Ann Marie Fortese

Sworn and subscribed to before me
this 12th day of June A.D., 2019

Sharon Venturi
(Notary Public)

Commonwealth of Pennsylvania - Notary Seal
Sharon Venturi, Notary Public
Lackawanna County
My commission expires February 12, 2022
Commission number 1254228
Member, Pennsylvania Association of Notaries

LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
*Applications of **Energy CX, LLC** For Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.*

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Energy CX, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Energy CX, LLC** at the address listed below.

Energy CX, LLC
3501 Woodhead Dr. #5
Northbrook, IL 60062
(847) 509-2290 (Phone)
(847) 509-2295 (Fax)

PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT

SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING SS:

Robert O. Rolley, Jr., *Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the* Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

May 18, 2019

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

*Applications of **Energy CX, LLC** For Approval To Offer, Render, or Furnish Services as a **Marketer/Broker** Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.*

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Energy CX, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Energy CX, LLC** at the address listed below.

Energy CX, LLC
3501 Woodhead Dr. #5
Northbrook, IL 60062
(847) 509-2290 (Phone)
(847) 509-2295 (Fax)

x [signature]

SUN-GAZETTE LLC

Sworn to and subscribed before me

The 23rd day of May 20 19

[signature]

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL
BETH A MILLER
Notary Public
CITY OF WILLIAMSPORT, LYCOMING COUNTY
My Commission Expires Apr 18, 2020

Notary Public

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates.....$ 292.36

Probated same.....$

Total.....$292.36

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Robert O. Rolley, Jr.

RECEIVED

JUL 2 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

CERTIFICATE OF SERVICE

On this the 03 day of June 2019 I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

RECEIVED

JUL - 2 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Office of Consumer Advocate **5th Floor, Forum Place** **555 Walnut Street** **Harrisburg, PA 17120**	**Office of the Attorney General** **Bureau of Consumer Protection** **Strawberry Square, 14th Floor** **Harrisburg, PA 17120**
Office of the Small Business Advocate **Commerce Building, Suite 202** **300 North Second Street** **Harrisburg, PA 17101**	**Commonwealth of Pennsylvania** **Department of Revenue** **Bureau of Compliance** **Harrisburg, PA 17128-0946**
Columbia Gas of PA, Inc. Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: mcaddell@nisource.com	**Bureau of Investigation & Enforcement** **Pennsylvania Public Utility Commission** **Commonwealth Keystone Building** **400 North Street, 2 West** **Harrisburg, PA 17120**
Peoples Natural Gas Company LLC – Equitable Division Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com	**National Fuel Gas Distribution Corp.** Joanne E. Maciok 6363 Main Street Williamsville, NY 14221 PH: 716.857.7670 FAX: 716.857.7479 e-mail: maciokj@natfuel.com
Peoples Natural Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com	**PECO** Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com
Peoples Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com	**Philadelphia Gas Works** Ryan Reeves, Director Supply Transportation & Control 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.787.5103 email: pgwchoicesupply@pgworks.com

Valley Energy Inc. Ed Rogers 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: erogers@ctenterprises.org	**UGI Utilities, Inc. – Gas Division** David Lahoff 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com

Mark Rice, President, ENERGY CX, LLC

RECEIVED

JUL 2 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

FROM:

P

Eressy
ct

U.S. POSTAGE
$18.35
PM 2-DAY
22182 0006
Date of sale
07/02/19
06 2S SSK
11488173
0072907021456651

PRIORITY MAIL 2-DAY®

EXPECTED DELIVERY DAY: 07/05/19

0006

SHIP TO:
Rosemary Chiavetta, Secretary
PA PUC
400 North Street
Keystone Building
HARRISBURG PA 17120

USPS SIGNATURE TRACKING NUMBER

9506 1066 8751 9183 0380 13

EP14 July 2013
OD: 11.625 x 15.125

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