

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of EGS Advanced Energy Solutions, Inc., d/b/a N/A, for approval to offer, render, furnish, or supply natural gas supply services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

EGS Advanced Energy Solutions, Inc.
315-297-7777
2106 Fort Hill Road
Phelps, NY 14532
<https://advancedenergyelectricgas.com>

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

PARACORP INCORPORATED
600 North Second Street
Harrisburg, PA 17101
Dauphin County

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Dean Williamson
2106 Fort Hill Road
Phelps, NY 14532
Telephone: 315-523-1284 Fax 1-888-228-2905
e-mail dean@egs-aes.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

N/A – applicant is not using an attorney

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED) responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSS.

Justin Pence, Broker Operations Coordinator
Telephone # 1-518-240-8747 ext 2
Fax 1-888-228-2905
15294 W. Brookside Lane, Suite 110
Surprise, AX 85379
justin@egs-aes.com

Jeffrey Sapirman, VP
315-297-7777
Fax 1-888-228-2905
15294 W. Brookside Lane, Suite 110
Surprise, AX 85379
jeffrey@egs-aes.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

☐ The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State
Pursuant to 54 Pa. C.S. §311.

Or

☒ The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

☐ The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

Or

☐ The Applicant is a:

- ☐ domestic general partnership (*)
- ☐ domestic limited partnership (15 Pa. C.S. §8511)
- ☐ foreign general or limited partnership (15 Pa. C.S. §4124)
- ☐ domestic limited liability partnership (15 Pa. C.S. §8201)
- ☐ foreign limited liability general partnership (15 Pa. C.S. §8211)
- ☐ foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

☒ The Applicant is a:

- ☐ domestic corporation (15 Pa. C.S. §1308)
- ☒ foreign corporation (15 Pa. C.S. §4124)
- ☐ domestic limited liability company (15 Pa. C.S. §8913)
- ☐ foreign limited liability company (15 Pa. C.S. §8981)
- ☐ Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

See attached

3. **AFFILIATES AND PREDECESSORS**

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Not Applicable

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Not Applicable

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of an natural gas distribution company
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas, but does not take title to the natural gas.

☒ The Applicant is presently doing business in Pennsylvania as a

- ☐ natural gas interstate pipeline
- ☐ municipality providing service outside its municipal limits
- ☐ local gas distribution company
- ☐ retail supplier of natural gas services in the Commonwealth
- ☐ a natural gas producer
- ☐ a broker/marketer engaged in the business of supplying natural gas services
- ☐ Other. (Identify the nature of service being rendered)

or

☒ The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- ☐ Supplier or Aggregator of natural gas services
- ☐ Municipal supplier of natural gas services
- ☐ Cooperative supplier of natural gas services
- ☒ Broker/Marketer engaged in the business of supplying natural gas services
 - ☒ Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- ☐ Other (Describe):

- c. **PROPOSED SERVICES:** Describe in detail the natural gas supply services which the Applicant proposes to offer.

Applicant intends to provide broker services to commercial and industrial accounts.

- d. **PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- | | |
|--|---|
| <input type="checkbox"/> Columbia | <input type="checkbox"/> Peoples Gas Company |
| <input type="checkbox"/> National Fuel Gas | <input type="checkbox"/> Philadelphia Gas Works |
| <input type="checkbox"/> PECO | <input type="checkbox"/> UGI Utilities – Gas Division |
| <input type="checkbox"/> Peoples Natural Gas Company | <input type="checkbox"/> Valley Energy |
| <input type="checkbox"/> Peoples Natural Gas – Equitable Div | |
| | <input checked="" type="checkbox"/> All of the above |

- e. **CUSTOMERS:** Applicant proposes to provide services to:

- | | |
|-------------------------------------|--|
| <input type="checkbox"/> | Residential Customers |
| <input checked="" type="checkbox"/> | Small Commercial Customers - (Less than 6,000 Mcf annually) |
| <input type="checkbox"/> | Residential and Small Commercial as Mixed Meter <u>ONLY</u> (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE) |
| <input checked="" type="checkbox"/> | Large Commercial Customers - (6,000 Mcf or more annually) |
| <input checked="" type="checkbox"/> | Industrial Customers |
| <input checked="" type="checkbox"/> | Governmental Customers |
| <input type="checkbox"/> | All of above (Except Mixed Meter) |
| <input type="checkbox"/> | Other (Describe): |

- f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

Upon lincasure

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Not applicable

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not applicable

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission. If the Applicant has no actions or complaints to list, explicitly state such.

Not applicable there are no such actions or complaints against us.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not applicable

6. PROOF OF SERVICE

*Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)*

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

- b.) **NGDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

Columbia Gas of PA, Inc. Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: mcaddell@nisource.com	
Peoples Natural Gas Company LLC – Equitable Division Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com	National Fuel Gas Distribution Corp. Joanne E. Maciok 6363 Main Street Williamsville, NY 14221 PH: 716.857.7670 FAX: 716.857.7479 e-mail: maciokj@natfuel.com
Peoples Natural Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com	PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com
Peoples Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com	Philadelphia Gas Works Ryan Reeves, Director Supply Transportation & Control 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.787.5103 email: pgwchoicesupply@pgworks.com
Valley Energy Inc. Ed Rogers 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: erogers@ctenterprises.org	UGI Utilities, Inc. – Gas Division David Lahoff 2525 N. 12 th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugi.com

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require. The contact information is located in Section 6.b.
- b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
 - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
 - Applicant's accounting statements, including balance sheet and income statements for the past two years.
 - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
 - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
 - Audited financial statements exhibiting accounts over a minimum two year period.
 - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.
- c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

N/A- applicant is a broker

- d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Applicant will be paid commissions directly through the suppliers.

- e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Megg VanDerMeere, Administrative Assistant
2106 Fort Hill Road
Phelps, New York 14532
315-576-4277
Fax 1-888-228-2905
megg@egs-aes.com

- f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

SEE ATTACHED

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the natural gas industry.
- Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.
See Attached business plan

- b. **PROPOSED MARKETING METHOD** (check all that apply)

- ☒ Internal – Applicant will use its own internal resources/employees for marketing
- ☐ External NGS – Applicant will contract with a **PUC LICENSED NGS**
- ☐ Affiliate – Applicant will use a **NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant**
- ☒ External Third-Party – Applicant will contract with a **NON-NGS third party nontraditional marketer and/or non-selling marketer**
- ☐ Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

☐
☒

Yes
No

If yes, will the Applicant be using verification procedures?

☐
☒

Yes
No

If yes, describe the Applicant's verification procedures.

d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Applicant will follow the laws to perform activities in an ethical and moral manner. We will never misrepresent our products and services in any of our offerings. Employees and subcontractors will be trained Jeffrey Sapirman, Vice President of EGS Advanced Energy Solutions, Inc., who has over twenty years of energy sales experience. Jeffrey will be the individual who will finalize all sales and make sure that all processes in the sales were done correctly.

e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Dean Williamson, President, 2106 Fort Hill Road, Phelps, NY 14532
Jeffrey Sapirman, Vice President, 13416 W. Acapulco Lane, Surprise, AZ 85379

Resumes attached

9. DISCLOSURE STATEMENT:

(Not applicable for an applicant applying for a license exclusively as a broker/marketer.)

DISCLOSURE STATEMENTS: If proposing to serve Residential and/or Small Commercial (less than 5,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

- Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable – applicant is a broker

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.

☒ AGREED

- b. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission:
- Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30th following the end of the calendar year per 52 Pa. Code § 62.110.

☒ AGREED

- c. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.

☒ AGREED

- d. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling natural gas in the Commonwealth of PA, and a supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

☒ ACKNOWLEDGED

- e. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.

☒ AGREED

- f. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

☒ AGREED

- g. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.

☒ AGREED

- h. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

☒ AGREED

- i. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

☒ PAYMENT ENCLOSED

11. AFFIDAVITS

(All affidavits must be notarized before filing.)

- a.) **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

See attached

- b.) **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

See attached

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each NGDC. For example, an applicant that wants to operate in Peoples – Equitable would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times- News	Harrisburg Patriot- News	Philadelphia Daily News	Pittsburgh Post- Gazette	Scranton Times- Tribune	Williamsport Sun-Gazette	Johnstown Tribune- Democrat
Columbia Gas	X	X		X		X	X
National Fuel Gas	X			X			
PECO			X				
Peoples - Equitable	X			X			
Peoples Natural Gas	X			X			X
Peoples Gas Company				X			
Philadelphia Gas Works			X				
UGI Utilities – Gas Div.	X	X	X	X	X	X	X
Valley Energy					X	X	
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices F and G)

13. SIGNATURE

Applicant: 

By: Dean Williamsor

Title: President

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

Applicant: EGS Advanced Energy Solutions, Inc.

Applicant's Use	X	Signature	
	X	Filing Fee (ONLY CERTIFIED CHECK OR MONEY ORDER)	
	X	Application Affidavit	
	X	Operations Affidavit	
	X	Proof of Publication	
	X	Tax Certification Statement	
	X	Commonwealth Department of State Verification	
	X	Certificate of Service	

PUC Secretary's Bureau Use

Exhibit A

Business information

**Electronic Articles of Incorporation
For**

P18000057733
FILED
June 28 11:2018
Sec. Of State
ndmccleessam

EGS ADVANCED ENERGY SOLUTIONS INC.

The undersigned incorporator, for the purpose of forming a Florida profit corporation, hereby adopts the following Articles of Incorporation:

Article I

The name of the corporation is:

EGS ADVANCED ENERGY SOLUTIONS INC.

Article II

The principal place of business address:

2106 FT. HILL ROAD
PHELPS,, NY. 14532

The mailing address of the corporation is:

2106 FT. HILL ROAD
PHELPS,, NY. 14532

Article III

The purpose for which this corporation is organized is:

ANY AND ALL LAWFUL BUSINESS.

Article IV

The number of shares the corporation is authorized to issue is:

20,000 SHARES/\$1.00 PAR VALUE PER SHARE

Article V

The name and Florida street address of the registered agent is:

UNITED CORPORATE SERVICES, INC.
9200 SOUTH DADELAND BLVD.
SUITE 10
MIAMI, FL. 33156

I certify that I am familiar with and accept the responsibilities of registered agent.

Registered Agent Signature: MICHAEL A. BARR, PRESIDENT

P18000057733

FILED

June 28i-.2018

Sec. Of State
ndmccleessam

Article VI

The name and address of the incorporator is:

DEAN WILLIAMSON
2106 FT. HILL ROAD

PHELPS, NEW YORK 14532

Electronic Signature of Incorporator: DEAN WILLIAMSON

I am the incorporator submitting these Articles of Incorporation and affirm that the facts stated herein are true. I am aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S. I understand the requirement to file an annual report between January 1st and May 1st in the calendar year following formation of this corporation and every year thereafter to maintain "active" status.

Article VII

The initial officer(s) and/or director(s) of the corporation is/are:

Title: P

DEAN WILLIAMSON
2106 FT. HILL ROAD
PHELPS, NY. 14532

Title: S

JEFFREY L SUPIMAN
13416 W. ACAPULCO LANE
SURPRISE, AZ. 85379

BY-LAWS
OF
EGS ADVANCED ENERGY SOLUTIONS INC.

Preliminary Note: References in these By-Laws to Sections of the "BCA" are to the respective Sections of the Florida Business Corporation Act and to any successor provisions of law. These By-Laws are intended to supplement the provisions of the BCA and shall be interpreted to be consistent with it, but in the case of any unavoidable inconsistency, the provisions of the BCA shall prevail. This Preliminary Note is a part of the By-Laws.

ARTICLE I: SHAREHOLDERS

Section 1. *Annual Meetings.* The annual meeting of the shareholders for the election of directors and the transaction of other business shall be held each year on such day and at such hour in January or February as shall be fixed by the Board of Directors.

Section 2. *Special Meetings.* A special meeting of the shareholders may be called at any time by the holders of a majority of the outstanding shares or by the Board of Directors or by the President and shall be held on such day and at such hour as is fixed in the call of the meeting. At any special meeting only such business may be transacted which is related to the purpose or purposes set forth in the notice of the meeting as provided in Section 5 below.

Section 3. *Place of Meetings.* Meetings of shareholders shall be held at the principal office of the Corporation or at such other place, within or without the State of New York, as may be fixed by the Board of Directors.

Section 4. *Shareholder Record Date.* The Board of Directors may fix, in advance, a date as the record date for the purpose of determining the shareholders entitled to notice of or to vote at any meeting of shareholders, or for any other purpose, as set forth in BCA Section 607.0707.

Section 5. *Notice of Meetings.* Notice of each meeting of shareholders shall be in writing and shall state the place, date, and hour of the meeting. Notice of a special meeting also shall state the purpose or purposes for which the meeting is called and shall indicate who called the meeting. A copy of the notice of any meeting shall be given, personally or by mail, to each shareholder entitled to vote at the meeting. The copy shall be given within the deadlines and otherwise in compliance with the requirements of Section 607.0705 of the BCA. Notice of a meeting of the shareholders need not be given to any shareholder who submits a signed waiver of notice, in

person or by proxy, whether before or after the meeting, or who, in accordance with BCA Section 607.0706, by his attendance at the meeting without proper protest, waives such notice.

Section 6. *Organization.* At each meeting of shareholders, the President, or in the President's absence a Vice President, shall preside and the Secretary, or in the Secretary's absence an Assistant Secretary, shall act as the secretary of the meeting. If none of those designated to preside or to act as secretary of the meeting shall be present, the shareholders present in person or by proxy and entitled to vote at the meeting shall select someone to preside or to act as secretary, as may be needed.

Section 7. *Quorum.* At each meeting of shareholders, the holders of a majority of the shares entitled to vote, present in person or by proxy, shall constitute a quorum for the transaction of business.

Section 8. *Voting.* Except as otherwise provided by law or the Certificate of Incorporation, at each meeting of shareholders (a) every shareholder of record shall be entitled to cast one vote for every share of stock standing in his or her name on the record of shareholders and (b) all matters shall be determined by a majority of the votes cast, except that directors shall be elected by a plurality of the votes cast.

Section 9. *Proxies.* Every shareholder entitled to vote at a meeting of shareholders or to express consent or dissent without a meeting may authorize another person or persons to act for him or her by proxy. Every proxy must be signed by the shareholder or the shareholder's attorney-in-fact. No proxy shall be valid after the expiration of eleven months from the date thereof unless otherwise provided in the proxy. Every proxy shall be revocable at the pleasure of the shareholder executing it, except as otherwise provided by law.

Section 10. *List of Shareholders at Meetings.* A list of shareholders as of the record date, certified by the corporate officer responsible for its preparation or by a transfer agent, shall be produced at any meeting of shareholders upon the request thereat or prior thereto of any shareholder.

Section 11. *Action Without a Meeting.* Whenever shareholders are required or permitted to take any action by vote, such action may be taken without a meeting on written consent, setting forth the action so taken, signed by the holders of all outstanding shares entitled to vote thereon.

ARTICLE II: BOARD OF DIRECTORS

Section 1. *General Powers.* Except as otherwise provided in the Certificate of Incorporation, the business, property, and affairs of the Corporation shall be managed under the direction of its Board of Directors.

Section 2. *Number*. The number of directors constituting the entire Board of Directors shall be such number not less than three as may be fixed from time to time by vote of a majority of the entire Board of Directors, provided that no decrease in the number of directors shall shorten the term of any incumbent director, and provided further that, if all the shares of the Corporation are owned beneficially and of record by less than three shareholders, the number of directors may be less than three but not less than the number of shareholders.

Section 3. *Election and Term of Directors*. Directors shall be elected at the annual meeting of shareholders. Each director shall hold office until the next annual meeting and until a successor has been elected and qualified.

Section 4. *Meetings of the Board*. An annual meeting of the Board of Directors shall be held in each year as promptly as may be practicable after the annual meeting of shareholders. Other regular meetings of the Board shall be held at such times as may from time to time be fixed by resolution of the Board. Special meetings of the Board may be held at any time upon the call of the President or a majority of the directors then in office. Meetings of the Board of Directors shall be held at such place, within or without the State of New York, as from time to time may be fixed by resolution of the Board or by order of the President. If no place is so fixed, meetings of the Board shall be held at the principal office of the Corporation.

Section 5. *Notice of Meetings*. Notice of regular meetings of the Board of Directors need not be given, provided the time and place of such meetings have been fixed by the Board. Notice of each special meeting shall be mailed to each director, addressed to the address last given by the director to the Secretary or, if none has been given, to the director's residence or usual place of business, at least three days before the day on which the meeting is to be held, or shall be sent to the director by telegraph, cable, wireless, facsimile or similar means so addressed or shall be delivered personally or by telephone, at least twenty-four (24) hours before the time the meeting is to be held. Each notice shall state the time and place of the meeting but need not state the purposes thereof except as expressly provided by law. Notices of any such meeting need not be given to any director who submits a signed waiver of notice, whether before or after the meeting, or who attends the meeting without protesting, prior thereto or at its commencement, the lack of notice.

Section 6. *Quorum and Manner of Acting*. At each meeting of the Board of Directors the presence of a majority of the entire Board shall constitute a quorum for the transaction of business, and the vote of a majority of the directors present at the time of the vote, if a quorum is present at the time, shall be the act of the Board.

Section 7. *Action Without a Meeting*. Any action required or permitted to be taken by the Board of Directors or any committee thereof may be taken without a meeting if all members of the Board or the committee consent in writing to the adoption of a resolution authorizing the action. The resolution and the written consents thereto by the members of the Board or committee shall be filed with the minutes of the proceedings of the Board or committee.

Section 8. *Participation in Board Meetings by Conference Telephone.* Any one or more members of the Board of Directors or any committee thereof may participate in a meeting of such Board or committee by means of a conference telephone or similar communications equipment allowing all persons participating in the meeting to hear each other at the same time. Participation by such means shall constitute presence in person at a meeting.

Section 9. *Executive and Other Committees of Directors.* The Board of Directors, by resolution adopted by a majority of the entire Board, may designate from among its members an executive committee and other committees, and each of which, to the extent provided in the resolution, shall have all the authority of the Board, except for matters as to which the BCA precludes committee authority. Unless a greater proportion is required by the resolution designating a committee, a majority of the entire authorized number of members of the committee shall constitute a quorum for the transaction of business or of any specified item of business, and the vote of a majority of the members present at the time of any vote, if a quorum is present at the time, shall be the act of the committee. The Board may designate one or more directors as alternate members of any committee, who may replace any absent member or members at any meeting of the committee.

Section 10. *Resignation and Removal.* Any director may resign at any time by giving written notice thereof to the President or to the Secretary. Any such resignation shall take effect at the time specified therein or, if no time is specified, then on delivery; and, unless otherwise specified therein, the acceptance of the resignation by the Board of Directors shall not be needed to make it effective. Any or all of the Directors may be removed, at any time, with or without cause, by vote of the shareholders at a special meeting of shareholders, and any vacancy thereby created may be filled at that meeting by vote of the shareholders and, if not so filled, then by the directors as provided in Section 11 of this Article.

Section 11. *Vacancies.* Newly created directorships resulting from an increase in the number of directors and vacancies occurring in the Board of Directors for any reason may be filled by vote of a majority of the directors then in office, even if less than a quorum exists. A director elected to fill a newly created directorship or a vacancy shall hold office until the next annual meeting of shareholders and until a successor has been elected and qualified.

ARTICLE III: OFFICERS

Section 1. *Officers Enumerated.* The officers of the Corporation shall be a President, one or more Vice Presidents, a Secretary, and a Treasurer, and such other officers as the Board of Directors may in its discretion elect. Any two or more offices may be held by the same person, except that the offices of President and Secretary may not be held by the same person unless all of the issued and outstanding stock of the Corporation is owned by that person.

Section 2. *Election and Term of Office.* All officers shall be elected by the Board of Directors at its first meeting held after the annual meeting of shareholders. The officers need not

be directors. Unless elected for a lesser term, and subject always to the right of the Board of Directors to remove an officer with or without cause, each officer shall hold office until the election of officers held after the next annual meeting of shareholders and until a successor has been elected and qualified.

Section 3. *The President.* The President shall be the chief executive officer of the Corporation and, subject to the determinations of the Board of Directors, shall have general control and management of the business, property, and affairs of the Corporation. The President shall preside at all meetings of shareholders and, if the President is a director, of the Board. In the absence or incapacity of any other officer of the Corporation, the President shall have the authority and may perform the duties of that officer.

Section 4. *The Vice Presidents.* Each Vice President shall, in the absence or incapacity of the President and in order of seniority as fixed by the Board, have the authority and perform the duties of the President, and each shall have such other authority and perform such other duties as the Board of Directors may prescribe.

Section 5. *The Secretary.* The Secretary (a) shall attend all meetings of the Board of Directors and all meetings of the shareholders and shall record all votes and the minutes of all proceedings in books to be kept for that purpose, (b) shall perform like duties for committees of the Board when required, (c) shall give, or cause to be given, notice of all meetings of the shareholders and special meetings of the Board of Directors, and (d) shall have such other authority and perform such other duties as usually pertain to the office or as may be prescribed by the Board of Directors. The Secretary shall keep in safe custody the seal of the Corporation and, when authorized by the Board of Directors or the President, shall affix it to any instrument requiring it, and when so affixed, it shall be attested by the signature of the Secretary or Treasurer.

Section 6. *The Treasurer.* The Treasurer (a) shall have the care and custody of all the moneys and securities of the Corporation, (b) shall keep or cause to be kept complete and accurate books of account of all moneys received and paid on account of the Corporation, (c) shall sign such instruments as require the Treasurer's signature, and (d) shall have such other authority and perform such other duties as usually pertain to the office or as the Board of Directors may prescribe.

Section 7. *Assistant Officers.* Any Assistant Vice President, Assistant Secretary, or Assistant Treasurer elected by the Board of Directors (a) shall assist the Vice President, Secretary, or Treasurer, respectively, as the case may be, (b) shall possess that officer's authority and perform that officer's duties in his or her absence or incapacity, and (c) shall have such other authority and perform such other duties as the Board of Directors may prescribe.

Section 8. *Appointed Officers.* The Board of Directors may delegate to any officer or committee the power to appoint and to remove any subordinate officer, agent, or employee.

Section 9. *Securities of Other Corporations, Etc.* The President or the Treasurer may exercise voting and similar rights on behalf of the Corporation, and may execute proxies for that purpose, with respect to any shares of stock or other securities held by the Corporation which were issued by any other corporation or other business organization. In addition, either of those officers may endorse for sale or transfer and may sell or transfer for and on behalf of the Corporation any such stock or other securities and may appoint proxies or attorneys for that purpose.

ARTICLE IV: SHARES AND THEIR TRANSFER

Section 1. *Certificates of Stock.* Every shareholder shall be entitled to have one or more certificates, in such form as the Board of Directors may from time to time prescribe, representing in the aggregate the number of shares of stock of the Corporation owned by the shareholder, which certificates shall be signed by the President or a Vice President and by the Treasurer or an Assistant Treasurer or the Secretary or an Assistant Secretary.

Section 2. *Transfers.* Shares of stock of the Corporation shall be transferable on the books of the Corporation by the registered holder thereof in person or by the holder's duly authorized attorney, but, except as hereinafter provided in the case of loss or destruction of certificates, no transfer of shares shall be entered until the previously issued certificate representing those shares shall have been surrendered and cancelled. Except as otherwise required by law, the Corporation shall be entitled to treat the person registered as the holder of shares on its books as the owner thereof for all purposes regardless of any notice or knowledge to the contrary.

Section 3. *Lost, Destroyed or Mutilated Certificates.* The Corporation may issue a new certificate representing shares of stock of the same tenor and the same number of shares in place of a certificate theretofore issued by it that is alleged to have been lost, destroyed, or mutilated; provided, however, that the Board of Directors may require the owner of a lost, destroyed, or mutilated certificate, or the owner's legal representative, to give the Corporation a bond or indemnity, in form and with one or more sureties satisfactory to the Board, sufficient to indemnify the Corporation against any claim that may be made against it on account of the alleged loss, destruction, or mutilation or the issuance of the new certificate.

ARTICLE V: INDEMNIFICATION

Section 1. *Indemnification of Directors and Officers.* To the full extent authorized or permitted by law, the Corporation shall indemnify any person ("Indemnified Person") made, or threatened to be made, a party to any action or proceeding, by reason of the fact that the person, or his or her testator or intestate ("Responsible Person") (a) is or was a director or officer of the Corporation or (b) is serving or served in any capacity, at the request of the Corporation, any other corporation, or any partnership, joint venture, trust, employee benefit plan or other enterprise. Such indemnification shall be against all judgments, fines, penalties, amounts paid in settlement, and reasonable expenses, including attorneys' fees and costs of investigation, incurred by the

Indemnified Person with respect to any such threatened or actual action or proceeding, and any appeal therein, provided that:

- (a) in the case of any settlement, the Corporation shall have consented to the settlement or shall have unreasonably withheld its consent,
- (b) there has not been a determination that acts of the Responsible Person which were material to the cause of action so adjudicated or otherwise disposed of were committed in bad faith or were the result of active and deliberate dishonesty, and
- (c) there has not been a determination that the Responsible Person personally gained in fact a financial profit or other advantage to which he or she was not legally entitled.

Actions or proceedings for which such indemnification shall be made include, without limitation, any action or proceeding that is civil, at law, in equity, criminal, administrative, or investigative, including any action by or in the right of the Corporation.

Section 2. Advancement of Expenses. All expenses reasonably incurred by an Indemnified Person in connection with a threatened or actual action or proceeding with respect to which the Person is or might be entitled to indemnification under this Article shall be advanced or promptly reimbursed by the Corporation to the Indemnified Person in advance of the final disposition of the action or proceeding, upon receipt of an undertaking by the Person or on his or her behalf to repay the amount of such advances, if any, as to which he or she is ultimately found not to be entitled.

Section 3. Procedure for Indemnification. The Board of Directors shall make the determinations with respect to indemnification under Section 1 of this Article in accordance with the requirements of BCA Section 724(a), except that:

- (a) the Board shall be bound by any judgment or other final adjudication adverse to the Indemnified Person of the type referred to in Section 1(b) or (c), and
- (b) if the Board makes any determination adverse to the Indemnified Person, that Person shall have the right to have the matter determined de novo by any court having jurisdiction, and the court shall finally determine the matter without regard to the Board's previous determination.

Section 4. Contractual Article. This Article shall be deemed to constitute a contract between the Corporation and each person who may be a Responsible Person hereunder, who serves as such at any time while this Article is in effect. No repeal or amendment of this Article shall reduce the indemnification for any Responsible Person provided hereunder except prospectively with respect to service as a Responsible Person thereafter and after thirty days prior written notice is given to the Person. No amendment of the BCA shall reduce the indemnification under this Article with respect to any event, act, or omission occurring or allegedly occurring prior to the effective date of such amendment.

Section 5. *Insurance.* The Corporation may, but need not, purchase insurance insuring the Corporation against its liabilities under this Article and/or insuring Indemnified Persons for their liabilities incurred in the capacities covered by this Article (including insurance for situations in which they would not be entitled to indemnification under this Article).

Section 6. *Non-Exclusivity.* The indemnification provided by this Article shall not be exclusive of any other rights to which Indemnified Persons may be entitled. To the full extent permitted by law, the Corporation is authorized to enter into agreements with any such person or persons providing them additional rights to indemnification or advancement of expenses.

ARTICLE VI: GENERAL

Section 1. *Seal.* The seal of the Corporation shall be in the form of a circle and shall bear matters deemed appropriate by the Board of Directors.

Section 2. *Fiscal Year.* The fiscal year of the Corporation shall end at the close of business on December 31 of each calendar year.

ARTICLE VII: AMENDMENTS

Section 1. *Power to Amend.* Both the shareholders entitled to vote in the election of directors and the Board of Directors shall have the power to adopt, amend, or repeal by-laws. Any by-law adopted by the Board may be amended or repealed by the shareholders at any annual or special meeting of the shareholders.

Section 2. *Amendment Affecting Election of Directors.* If any by-law regulating an impending election of directors is adopted, amended, or repealed by the Board, there shall be set forth in the notice of the next meeting of shareholders for the election of directors the by-law so adopted, amended, or repealed, together with a concise statement of the changes made.

Officer List

1. Dean Williamson, President 2106 Fort Hill Rd Phelps NY 14532
2. Jeffrey Sapiman, VP 13416 W. Acapulo Lane Surprise AZ 85379

Corporations ▾

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Order Business Documents

Date: 02/25/2019

Business Name History

Name	Name Type
EGS Advanced Energy Solutions Inc.	Current Name

Business Entity Details Officers

Name	EGS Advanced Energy Solutions Inc.
Entity Number	6834975
Entity Type	Business Corporation
Status	Active
Citizenship	Foreign
Entity Creation Date	02/07/2019
Effective Date	02/07/2019
State Of Inc	FL
Address	%PARACORP INCORPORATED Dauphin

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<input type="checkbox"/>	02/25/2019	Index and Docket Certified Report	1	<input type="text" value="1"/>	\$55.00	

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Exhibit B

Proof of Service

Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

CERTIFICATE OF SERVICE

On this the 8 day of July 20 19, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following.

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120	Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120
Office of the Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101	Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946
Columbia Gas of PA, Inc. Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: mcaddell@nisource.com	Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120
Peoples Natural Gas Company LLC – Equitable Division Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com	National Fuel Gas Distribution Corp. Joanne E. Maciok 6363 Main Street Williamsville, NY 14221 PH: 716.857.7670 FAX: 716.857.7479 e-mail: maciokj@natfuel.com
Peoples Natural Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com	PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com
Peoples Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com	Philadelphia Gas Works Ryan Reeves, Director Supply Transportation & Control 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.787.5103 email: pgwchoicesupply@pgworks.com

Valley Energy Inc.

Ed Rogers

523 South Keystone Avenue

Sayre, PA 18840-0340

PH: 570.888-9664

FAX: 570.888.6199

email: erogers@ctenterprises.org**UGI Utilities, Inc. – Gas Division**

David Lahoff

2525 N. 12th Street, Suite 360

Reading, PA 19612-2677

PH: 610.796.3520

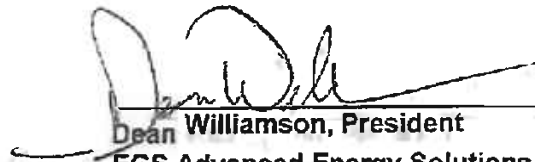
Email: dlahoff@ugl.com
Dean Williamson, President
EGS Advanced Energy Solutions, Inc.

Exhibit C

Bond Waivers

June 27, 2019

Mr. Dean Williamson, President
EGS Advanced Energy Solutions Inc.
2106 Fort Hill Road
Phelps, NY 14532

Dear Mr. Williamson:

We understand that EGS Advanced Energy Solutions Inc. has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because EGS Advanced Energy Solutions Inc. intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that EGS Advanced Energy Solutions Inc. will not be required to post a bond or other form of financial security instrument to provide these services in our service area. However, if the services provided change in the future, we reserve the right to require security from EGS Advanced Energy Solutions Inc. as deemed appropriate.

If you have any questions, please contact Jamie Levering at 570-888-9664 (Ext. 5232).

Sincerely,

Edward E. Rogers
President & CEO

EER/ss

cc: J. Levering, Valley Energy
TBitterman@licenselogix.com

June 26, 2019

Dean Williamson, President
EGS Advanced Energy Solutions Inc.
2106 Fort Hill Road
Phelps, NY 14532

Re: Broker Requirements

Dear EGS Advanced Energy Solutions Inc:

PECO is aware that EGS Advanced Energy Solutions Inc has applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

In making such an application, EGS Advanced Energy Solutions could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. EGS Advanced Energy Solutions Inc has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers, and will not take title to any delivered natural gas; nor will accept any customer payments or deposits. Therefore, PECO has determined at this time that EGS Advanced Energy Solutions Inc does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by EGS Advanced Energy Solutions Inc the creditworthiness requirement for PECO's exposure to EGS Advanced Energy Solutions Inc changes in the future, PECO reserves the right to require EGS Advanced Energy Solutions Inc to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at 215-841-6422 or myself at 215-841-6452.

Respectfully submitted,



Carlos P. Thillet
Manager, Gas Supply and Transportation
2301 Market Street
Philadelphia, Pa 19103



June 25, 2019

Dean Williamson
EGS Advanced Energy Solutions Inc.
2106 Fort Hill Road
Phelps NY 14532

Dear Dean Williamson:

We are pleased that EGS Advanced Energy Solutions Inc. has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, EGS Advanced Energy Solutions Inc. could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. EGS Advanced Energy Solutions Inc. has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that EGS Advanced Energy Solutions Inc. does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to EGS Advanced Energy Solutions Inc. changes in the future, Columbia Gas might deem it appropriate to require EGS Advanced Energy Solutions Inc. to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-4980 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

Kylia Davis

Kylia Davis
Manager of Choice and Transportation Support Services



National Fuel

June 25, 2019

Dean Williamson, President
EGS Advanced Energy Solutions Inc.
2106 Fort Hill Road
Phelps, NY 14532

Re: EGS Advanced Energy Solutions Inc.

Dear Dean,

National Fuel Gas Distribution Corporation ("NFGDC") is aware EGS Advanced Energy Solutions Inc. (EGS) has filed an application with the Pennsylvania Public Utility Commission to supply natural gas service to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, EGS must furnish acceptable security to each utility where EGS will do business. As such, under its tariff, NFGDC could require EGS to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

However, you have indicated, and it is NFGDC's understanding that EGS intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, EGS will never take title to any delivered natural gas.

Based upon your representations, NFGDC has determined that, at this time, EGS does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by EGS change in the future, NFGDC reserves the right to require security from EGS as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7541.

Yours truly,

Nicole Barker
Transportation Services Department



PHILADELPHIA GAS WORKS

800 West Montgomery Avenue • Philadelphia, PA 19122

June 25, 2019

Dean Williamson, President
EGS Advanced Energy Solutions Inc.,
2106 Fort Hill Road
Phelps, NY 14532

RE: Security Requirement Bond for EGS Advanced Energy Solutions Inc.

Dear Mr. Williamson

Philadelphia Gas Works ("PGW") is aware that EGS Advanced Energy Solutions Inc. has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, EGS Advanced Energy Solutions Inc. must furnish acceptable security to each utility where EGS Advanced Energy Solutions Inc. will do business. As such, under its tariff, Philadelphia Gas Works could require EGS Advanced Energy Solutions Inc. to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understanding, that EGS Advanced Energy Solutions Inc. intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that in performing these services EGS Advanced Energy Solutions Inc. will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, EGS Advanced Energy Solutions Inc does not need to post a bond or other form of security to operate in its service territory. If the services provided by EGS Advanced Energy Solutions Inc. should change, Philadelphia Gas Works reserves the right to require security from EGS Advanced Energy Solutions Inc. as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 215-684-6725.

Sincerely,

JOHN ZUK
Vice President, Gas Supply

NL/dls



UGI Utilities, Inc.
1 UGI Drive
Denver, PA 17517

610-796-3400

VIA E-MAIL

July 2, 2019

EGS Advanced Energy Solutions, Inc.
2106 Fort Hill Road
Phelps, NY 14532

ATTENTION: Dean Williamson, President

**RE: EGS Advanced Energy Solutions, Inc.
Application to Serve as a Natural Gas Broker**

Dear Mr. Williamson,

Based on your assertion that EGS Advanced Energy Solutions, Inc. ("EGS") is applying with the State of Pennsylvania to operate as a natural gas broker/marketer, UGI Utilities, Inc. ("UGIU") has concluded that EGS will not need to post security with UGI Utilities, Inc. – South Rate District ("UGI South"), UGI Utilities, Inc. – North Rate District ("UGI North") or UGI Utilities, Inc. – Central Rate District ("UGI Central"). This is based on the declaration that EGS will be acting in conjunction with a licensed Natural Gas Supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the respective UGIU Tariffs. If EGS wishes to directly serve Choice customers in the service territories of UGI South, UGI North and/or UGI Central in the future as a natural gas supplier, it will have to post security as specified in the respective UGI Tariffs prior to the commencement of the service.

Please feel free to contact me with any additional questions you may have.

Sincerely,

David E. Lahoff
Senior Manager
Tariff & Supplier Administration



375 North Shore Drive
Pittsburgh, Pennsylvania 15212

www.peoples-gas.com

Carol Scanlon
Manager, Rates & Regulation

Peoples Service Company LLC
Phone: 412-208-6931
Email: Carol.Scanlon@peoples-gas.com

June 25, 2019

Dean Williamson
President
EGS Advanced Energy Solutions Inc.
2106 Fort Hill Road
Phelps, NY 14532

Dear Mr. Williamson:

We are pleased that EGS Advanced Energy Solutions Inc. has applied for a license to provide natural gas services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, Peoples Natural Gas Company LLC – Equitable Division, and Peoples Gas Company LLC (formerly Peoples TWP) (“the Companies”).

Since EGS Advanced Energy Solutions Inc. is not currently serving customers on the Peoples systems, we have determined at this time that EGS Advanced Energy Solutions Inc. does not need a bond or other financial security requirement to provide these services to the Company’s customers.

If a Pool is established, and customers are enrolled which alters the creditworthiness requirement or the Company’s exposure to EGS Advanced Energy Solutions Inc. provision of services on the Peoples’ system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6931 or by email at Carol.Scanlon@peoples-gas.com.

Sincerely,

Carol Scanlon
Manager, Rates and Regulation
Peoples Natural Gas Company LLC

Cc: Stephen Kelly
Mina Speicher

Exhibit D

Financial Fitness
Confidential
Sent to PUC

Exhibit E

Tax Certification- sent directly to PA PUC

Exhibit F

Technical Fitness

Jeffrey Sapirman

Vice President Of EGS-Advanced Energy Solutions Inc.

13416 W Acapulco Lane Surprise, AZ 85379

M: 315-297-7777

E: Jeffrey.sapirman@yahoo.com

PERSONAL SUMMARY

A highly motivated, confident account manager with exceptional multi-tasking and organizational skills. Having extensive experience identifying the needs of corporate customers and running and delivering sales and marketing campaigns for key clients. Possessing a significant record of achievement in account management and able to quickly understand the mission, vision and values of an organization. Now looking for a new and challenging managerial or consultancy position; one which will make best use of my existing skills and experience and also further my personal and professional development.

WORK EXPERIENCE:

EGS-Advanced Energy Solutions Inc.
Vice President

September 2018- Current

Responsible for developing a portfolio of accounts through new business development. Also, in charge of looking for bring opportunities and for managing the full negotiation and close process with clients from start through to finish.

Duties: Managing the sales process for new prospects, from initial contact through to closure. Working with customer enquiries face to face, over the phone or via email. Contacting prospective customers and discussing their requirements. Achieving all revenue targets & objectives in line with the Area Business Plan. Working closely with the marketing team to produce any sales collateral required for the target market. Reporting business trends and area performance to the National Sales Manager. Developing & maintaining successful business relationships with all prospects. Identifying what customers want. Planning and organizing the day to ensure all opportunities are maximized. Developing a full understanding of the business market-place.

Diversegy Energy

July-2018- September 2018

- Vice President of Sales
- Energy Management with large Commercial, Industrial and National Accounts.
- Energy Professional with experience in supply and demand-side productions and solutions.
- Managed high-margin, large energy users in NY, NJ, PA and OH.

AMERIGreen Energy

October-2016- July-2018

- Development of New Clientele
- Development of Effective Energy Strategies
- Development of Marketing Materials
- Training of all New and Existing Sales People
- Top Salesman with Total Revenue of \$1,800,000 so far this year
- Retention Specialist

Constellation Energy

August-2010- Sep 2013

Upstate New York

- **Business Development Manager**
- Energy Management with large Commercial, Industrial and National Accounts.
- Energy Professional with experience in supply and demand-side productions and solutions.
- Managed high-margin, large energy users in NY, NJ, PA and OH.
- Opened up the Albany NY, market generating over \$2.5 million dollars of margin in 19 months.
- Maintained 154% of Goal.
- Secured 7 National accounts with a combined sales margin of \$650,000

Responsible for developing a portfolio of accounts through new business development. Also in charge of looking for bring opportunities and for managing the full negotiation and close process with clients from start through to finish.

Duties: Managing the sales process for new prospects, from initial contact through to closure. Working with customer enquiries face to face, over the phone or via email. Contacting prospective customers and discussing their requirements. Achieving all revenue targets & objectives in line with the Area Business Plan. Working closely with the marketing team to produce any sales collateral required for the target market. Reporting business trends and area performance to the National Sales Manager. Developing & maintaining successful business relationships with all prospects. Identifying what customers want. Planning and organizing the day to ensure all opportunities are maximized. Developing a full understanding of the business market-place.

I worked in a fast-paced team oriented and entrepreneurial environment. Managing a client portfolio and having revenue and account management responsibility for key accounts.

Duties:

Working closely with the Sales Director to prospect, pitch & close. Address client concerns and ensure the resolution of issues in a timely manner. Maintaining contact with clients - giving them regular updates. Oversee existing campaigns to grow key accounts. Delivering ongoing market analysis and assessment of competitors. Retain accounts through the development of strong relationships with key decision makers.

Account Executive

- Top Sales Performer for First, Third and Fourth quarters of 2009.
- Employee of the Month.
- Account retention.

I retained well over 150 Accounts from leaving Gateway to the competition. Which saved Gateway over three million dollars in potential loss of customer base and profit. Working closely with the sales management team and marketing staff to initiate marketing strategies that support the sales objectives of the company. Always representing the company professionally, ethically and morally at all times.

Duties:

Identifying and maximizing revenue from existing customer accounts.
Maintaining high levels of product and customer knowledge and participating in training programs as appropriate.
Submitting detailed proposals and quotations to customers.
Providing regular and accurate sales forecast updates to Senior Managers.
Preparing and delivering sales presentations.
Identifying, contacting and qualifying leads.
Monitoring competition and analyzing competitor activity.
Attending sales conferences and industry marketing events.
Aligning selling prices with company guidelines.
Travelling to the offices of potential clients for appointments.

Primestar Mortgage
Tampa, FL

February 2007- January 2008

- Loan Processor
- Telemarketing Manager
- Hiring and Training staff of 50
- Loan Closer
- Performed all aspects of Human Resources to ensure company compliance.
- Met and exceeded all sales quotas

Flagstar Bank
Columbus, OH and Tampa FL

October 2005-February 2007

- Assistant Manager
- Telemarketing Manager
- Performed all aspects of Loan Processor duties.
- Recruited office personnel
- Trained and motivated all personnel.
- Loan Closer
- Loan Placement
- Performed all aspects of Human Resources issues to ensure company compliance.

Freedom Mortgage
Columbus, OH

January 2004-October 2005

- Loan Officer
- Sold residential and commercial loans.
- Specialized in debt consolidation, refinance and new home loans.

Exelon Energy
Westerville, OH

October 2001-June 2005

- Independent Account Representative
- Developed hot leads from cold calls
- Sold natural gas to high-end energy user
- Responsible for driving aggressive growth within a specific territory and for providing clients with a world-class customer experience.

Duties:

- Being the first point of contact with customers.
- Handling all the administrative aspects of a sale.
- Planning future follow-up conversations with customers.
- Evaluating the needs of customers.
- Selling products to new and existing customers.
- Writing up customer contracts.
- Provide timely and relevant follow-up to customers.
- Carrying out periodic customer service surveys.
- Coordinating the use of marketing tools and resources.
- Generating new business through leads & referrals.
- Utilizing proven sales techniques to gain new customers.
- Scheduling fact-finding appointments with customers.

Amerada Hess
Newark, NJ

October 2000-December 2001

- Account Representative
- Maintained energy accounts throughout the state of Ohio

Responsible for actively promoting and selling the company's products to a wide range of customers in a very competitive marketplace.

Duties:

Actively seeking new accounts in a wide variety of locations.
Updating of customer information in paper records and on computer databases.
Handling the complete sales process.
Researching new market and sales opportunities.
Educating clients on the company's products and services.
Converting prospects into active clients.
Keeping in touch with customers via a range of mediums such as phone calls, letters, email and SM.
Executing an organized, efficient and structured sales process.
Making outbound sales calls.

Entrepreneurial Achievements:

Sapirman Inc.

February 1996-March 1999

Tampa, FL

- Energy Consultant
- Sold natural gas for Reliant Energy as an independent specialist; later hired by the company.

KEY SKILLS AND COMPETENCIES

- Excellent account management skills.
- Strong presentation and negotiation skills.
- Contacting and communicating with high end decision makers.
- Ability to follow up with clients in a timely professional manner.
- Good knowledge of Customer Marketing Databases and how to use them.
- A successful track record in new business development within the Energy sector.

REFERENCES – Available on request.

PERSONAL SKILLS:

Entrepreneurial

Hands-On

Pro-active

Resourceful

Gateway Energy Services Corp
Syracuse, NY

Nov 2008- August 2010

Account Executive

- Top Sales Performer for First, Third and Fourth quarters of 2009.
- Employee of the Month.
- Account retention.

I retained well over 150 Accounts from leaving Gateway to the competition. Which saved Gateway over three million dollars in potential loss of customer base and profit. Working closely with the sales management team and marketing staff to initiate marketing strategies that support the sales objectives of the company. Always representing the company professionally, ethically and morally at all times.

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Exelon Energy
Westerville, OH

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- Independent Account Representative
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- Excellent account management skills.
- Strong presentation and negotiation skills.
- Contacting and communicating with high end decision makers.
- Ability to follow up with clients in a timely professional manner.
- Good knowledge of Customer Marketing Databases and how to use them.
- A successful track record in new business development within the Energy sector.

REFERENCES – Available on request.

PERSONAL SKILLS:

Entrepreneurial

Hands-On

Pro-active

Resourceful

**Dean Williamson
2106 Fort Hill Road
Phelps, New York 14532**

In today's fast-paced deregulated energy marketplace your company deserves an energy consulting and procurement brokerage firm with a long history in the energy industry.

Our company President Dean Williamson has spent his entire career in the petroleum industry. Dean's family history roots date back to the original Palmyra Oil Company, founded in 1928 as an Esso (John D. Rockefeller Standard Oil of New Jersey) distributor.

In 1964, Wayne Williamson purchased Pal Oil Company. The business was then sold to his sons David, Larry, and Dean, in 1979. The family business saw impressive growth over the years, and in 1998, was sold to New England Electric...The operation included thirteen convenience stores, eight distribution facilities from Albany to Buffalo, New York, and North to the Canadian border—and one deep-water terminal distributing jet aviation fuel, heating oil and gasoline. In addition, they operated a lubes distribution business, a retail propane distribution operation and an HVAC mechanical contracting business.

The Williamson brothers became owners of All Energy Fuels, a deregulated subsidiary of New England Electric... In 2001, National Grid acquired New England Electric for \$7.5 Billion.

Dean Williamson is also the President of International Petroleum Trader (IPT), a 30,000 gallon deep-water terminal, located in Rensselaer, New York.

Dean Williamson has served on a local bank board, and is past President of Ducks Unlimited of New York, as well as the past President of the Oil Heat Institute of Upstate New York.

With over 38 years of experience in the energy supply and petroleum industry, Dean Williamson understands how energy suppliers operate, and can negotiate the best Deals.

In the deregulated energy marketplace, you will want to trust your business to a company with a long proven history in contract negotiations, market analysis, and supply-and-demand.

BUSINESS PLAN

EGS Advanced Energy Solutions, Inc.

2106 Fort Hill Road, Phelps, New York 14532

March 14, 2019

Executive Summary

The Ownership

The company is structured as an s corporation.

The Management

The management structure of the company is Dean Williamson handles the financial aspects of EGS Advanced Energy Solutions, Inc. while also doing some sales. Jeffrey Sapirman is in charge of Sales, the Sales Team, and training. Jeffrey is also in charge of negotiations with customers and suppliers. Jeffrey's team helps deal with account management, meter management, LOA processing, deals and contracts, payment processing, and reconciliation of accounts.

The Goals and Objectives

Our goal is to help provide all size businesses and residential customers with implemented energy strategies and to lower energy spent on electrical and natural gas services that meet the clients needs. EGS Advanced Energy Solutions, Inc. will be with the client each step of the way from account management to reconciliation of accounts.

The Product

The primary service that EGS Advanced Energy Solutions, Inc. is to try to provide our customers with an energy savings with the right supplier to meet the customer's needs.

The Target Market

EGS Advanced Energy Solutions, Inc. target market is any size commercial, industrial, and national businesses.

Pricing Strategy

EGS Advanced Energy Solutions, Inc. approach to pricing is to assist our customers in finding the best price with multiple suppliers that is offered, while also assuring that the utility suppliers are able to meet their needs.

Business Plan - EGS Advanced Energy Solutions, Inc.

The Company

Business Sector

The owners would like to start a business in the following industry:
Utility Broker.

Company Goals and Objectives

Our goal is to provide all size businesses and residential customers with cost savings electrical and natural gas services that meet their needs.

Company Ownership Structure

The company will be structured as a s corporation.

Ownership Background

Dean Williamson (shareholder):

Dean Williamson has a long history in the energy industry. In 1928 Williamson's grandfather was an Esso distributor. In 1964, Williamson's father, Wayne Williamson, purchased Pal Oil Company in Palmyra, New York. The business was then purchased by Dean and his two brothers in 1979. The family business saw impressive growth over the years and in 1998 was sold to New England Electric. The operation had grown to include 13 convenience stores, eight distribution facilities from Albany to Buffalo, New York, and north to the Canadian border, and one deep-water terminal distributing jet aviation fuel, heating oil and gasoline. Dean Williamson is also the President of the International Petroleum Trader, LLC a 30,000 barrel deep-water terminal located in Rensselaer, New York. Williamson has also served on a local bank board and is the past president of Ducks Unlimited of New York as well as the past president of the Oil Heat Institute of Upstate New York. With over 38 years of experience in the energy supply and petroleum industry, Dean Williamson understands how energy suppliers operate and can negotiate the best deals.

Jeffrey Sapirman (shareholder):

Jeffrey Sapirman has worked for over 22 years in the contract negotiations energy marketplace. He has represented companies such as Constellation Energy, Diversegy Energy, Exelon Energy, and Gateway Energy to name a few. Jeffrey Sapirman first started out with a background in loan and mortgage management, which then lead him to the energy field. Sapirman knows the energy industry and understands how the supply and demand works. What sets Sapirman apart from ordinary energy procurement brokers is that he has managed hundreds of large commercial, industrial and national energy accounts. He is professional with experience in supply and demand-side productions and solutions. Jeffrey Sapirman has managed high-margin, large energy user accounts in numerous states.

Company Management Structure

The management structure of the company is Dean Williamson handles the financial aspects of EGS Advanced Energy Solutions, Inc. while also doing some sales. Jeffrey Sapirman is in charge of Sales, the Sales Team, and training. Jeffrey is also in charge of negotiations with customers and suppliers. Jeffrey's team helps deal with account management, meter management, LOA processing, deals and contracts, payment processing, and reconciliation of accounts.

Organizational Timeline

Within five years we hope to be able to repay original investment and make over \$1,000,000 in sales, while providing great customer service and pricing.

Company Assets

EGS Advanced Energy Solutions, Inc. best assets are the knowledge and experience that both Dean Williamson and Jeffrey Sapirman bring to the table. With office in New York and Arizona and soon in Florida EGS Advanced Energy Solutions, Inc. can provide these services to a wide area throughout the country.

The Product

The Product

The primary service that EGS Advanced Energy Solutions, Inc. is providing our customers with an energy savings with the right supplier to meet the customer's needs.

Future Products

In the future we plan on offering an option of clean energy, such as wind and solar, as an

option to be offered. Energy management of our clients is another service that EGS Advanced Energy Solutions, Inc. would like to offer.

Marketing Plan

The Target Market

EGS Advanced Energy Solutions, Inc. target market is any size Commercial, Industrial, and National account business, whether small, medium or large.

Established Customers

As a new company we procured the Amerigreen customer list. The customers from this list help establish us with an existing established customer while also allowing us to bring in new customers.

Pricing

EGS Advanced Energy Solutions, Inc. approach to pricing is to help our customers find the best price that is offered while also assuring that the utility suppliers are able to meet their needs.

Advertising

EGS Advanced Energy Solutions, Inc., will rely heavily on a referral system type of marketing. It is imperative that all laws are followed to perform any marketing strategies, and that sales personal perform in an ethical and moral manner. We will never misrepresent our products and services in any of our offerings. Jeffrey Sapirman will train all sales agents and subcontractors who represent us.

Operations

Staffing

As of this time we have four managerial staff with in-house and outside sales staff working for EGS Advanced Energy Solutions, Inc.

Suppliers

We work with over twenty suppliers of natural gas and electricity in order to help our customers get the best price available.

State of New Jersey



Board of Public Utilities

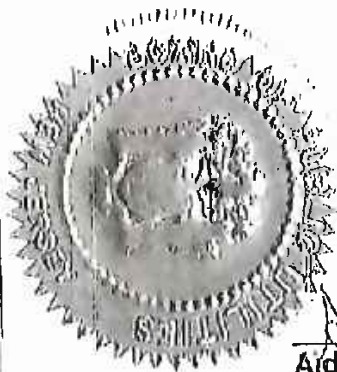
44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

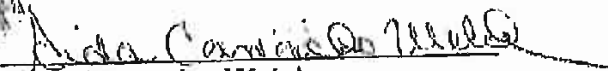
HEREBY REGISTERS

EGS Advanced Energy Solutions, Inc.
2106 Fort Hill Road
Phelps, New York 14532

To conduct business in the State of New Jersey as an

Energy Agent




Aida Camacho-Welch
Secretary of the Board

Registration No. EA-0534
Effective Date: January 17, 2019
Expiration Date: January 16, 2020

Exhibit G

Affidavits

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of New York

SS.

County of Ontario

Dean Williamson, Affiant, being duly [sworn/affirmed] according to law, deposes and says that

[He/she is the President (Office of Affiant) of EGS Advanced Energy Solutions, Inc. (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

EGS Advanced Energy Solutions, Inc.
That the Applicant herein EGS Advanced Energy Solutions, Inc. has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

EGS Advanced Energy Solutions, Inc.
That the Applicant herein EGS Advanced Energy Solutions, Inc. has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

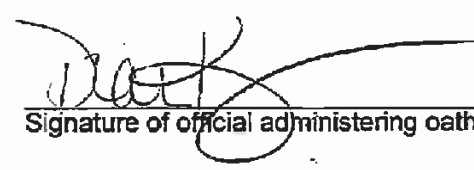
EGS Advanced Energy Solutions, Inc.
That the Applicant herein EGS Advanced Energy Solutions, Inc. acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

EGS Advanced Energy Solutions, Inc.
That the Applicant herein EGS Advanced Energy Solutions, Inc. acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.


Signature of Affiant

Sworn and subscribed before me this 20th day of March, 2019


Signature of official administering oath

My commission expires 5/20/19

DIANE L. KULIJOF
Notary Public, State of New York
No. 01KU4981801
Qualified in Ontario County
My Commission Expires May 20, 2019

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of New York :

SS.

County of Dutchess :

Dean Williamson, Affiant, being duly [sworn/affirmed] according to law,
deposes and says that:

[He/she is the President (Office of Affiant) of EGS Advanced Energy Solutions, Inc.

(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That EGS Advanced Energy Solutions, Inc., the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That EGS Advanced Energy Solutions, Inc., the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That EGS Advanced Energy Solutions, Inc., the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

EGS Advanced Energy Solutions, Inc.

That _____, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.


That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information and belief.



Signature of Affiant

Sworn and subscribed before me this 20th day of March, 2019.



Signature of official administering oath

My commission expires 5/20/19

DIANE L. KULIJOF
Notary Public, State of New York
No. 01KU4981801
Qualified in Ontario County
My Commission Expires May 20, 2019

Exhibit H

Publications

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

LICENSELOGIX
SUITE 300
140 GRAND STREET WHITE PLAINS NY 10601

Account # 629558
Order # 82331400
Ad Price: 314.85

EGS ADVANCED ENERGY SOLUT

Ann Marie Fortese

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

05/06/2019

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as to time place and character or publication are true *Ann Marie Fortese*

Sworn and subscribed to before me
this 6th day of May A.D., 2019

Sharon Venturi
(Notary Public)

Commonwealth of Pennsylvania - Notary Seal
Sharon Venturi, Notary Public
Lackawanna County
My commission expires February 12, 2022
Commission number 1254228
Member, Pennsylvania Association of Notaries

LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Applications of EGS Advanced Energy Solutions Inc. For Approval To Offer, Render, or Furnish Services as a **Supplier, Aggregator, and Marketer/Broker** Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

EGS Advanced Energy Solutions Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. EGS Advanced Energy Solutions Inc. will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. EGS Advanced Energy Solutions Inc. proposes to sell electricity, natural gas, and related services in Entire Commonwealth of PA under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of EGS Advanced Energy Solutions Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to EGS Advanced Energy Solutions Inc. at the address listed below.

EGS Advanced Energy Solutions Inc.
2106 Fort Hill Road
Pheps, NY 14532
Phone: 315-297-7777
Fax: 888-228-2905

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Robert O. Rollev, Jr., Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

May 6, 2019

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

**PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
NOTICE**

Applications of EGS Advanced Energy Solutions Inc. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services. To The Public in The Commonwealth Of Pennsylvania.

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power, (2) a broker/market engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. EGS Advanced Energy Solutions Inc. pro-

poses to sell electricity, natural gas, and related services in Entire Commonwealth of PA under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of EGS Advanced Energy Solutions Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to EGS Advanced Energy Solutions Inc. at the address listed below.

EGS Advanced Energy Solutions Inc.
2105 Fort Hill Road
Pheips, NY 14532
Phone: 315-297-7777
Fax: 688-228-2905

X ROF
SUN-GAZETTE LLC

Sworn to and subscribed before me

The 7th day of May, 2019

Beth A. Miller
COMMONWEALTH OF PENNSYLVANIA

Notary Public

NOTARIAL SEAL
BETH A MILLER
Notary Public
CITY OF WILLIAMSPORT, LYCOMING COUNTY
My Commission Expires Apr 18, 2020

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates.....\$ 309.84

Probated same.....\$

Total.....\$ 309.84

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Robert O. Rollev, Jr.

COMMONWEALTH OF PENNSYLVANIA } **SS**
County of Cambria

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**
Applications of EGS Advanced Energy Solutions Inc. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.
 EGS Advanced Energy Solutions Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. EGS Advanced Energy Solutions Inc. will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. EGS Advanced Energy Solutions Inc. proposes to sell electricity, natural gas, and related services in Entire Commonwealth of PA under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.
 The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of EGS Advanced Energy Solutions Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to EGS Advanced Energy Solutions Inc. at the address listed below.
EGS Advanced Energy Solutions Inc.
 2106 Fort Hill Road
 Phelps, NY 14532
 Phone: 315-257-7777 Fax: 888-228-2905

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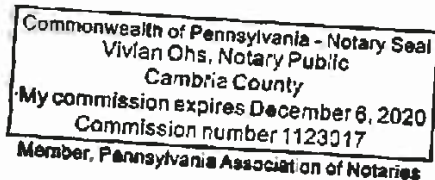
County of Cambria, and Commonwealth of Pennsylvania and above matter published in said publication in the regular issues PA, on May 4, 2019; and that the Affiant is not interested in that all of the allegations as to time, place and character of

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Signed and sworn to before me on
14th day of May, 2019,
by Christine Marhefka making the statement.

[Signature]



0.00 Lines @	\$2.50 per line	0.00
7.5 Inches @	\$25.00 per inch	187.50
Notary Fee		5.00
Clerical Fee		2.50
Total Cost		195.00

To The Tribune-Democrat, Johnstown, PA
For publishing the notice or publication
attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

By _____



AD#: 0009134747

Commonwealth of Pennsylvania,) ss
County of Cumberland)

Victoria Soto being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 05/14/2019

Victoria Soto

Principal Clerk of the Publisher

Sworn to and subscribed before me this 15th day of May 2019

Crystal B. Rosensteel
Notary Public

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL

Crystal B. Rosensteel, Notary Public
Susquehanna Twp., Dauphin County
My Commission Expires June 27, 2020

PENNSYLVANIA ASSOCIATION OF NOTARIES

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Applications of EGS Advanced Energy Solutions Inc. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

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EGS Advanced Energy Solutions Inc.
2106 Fort Hill Road
Phelps, NY 14532
Phone: 315-297-7777
Fax: 888-228-2905


Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular _____ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

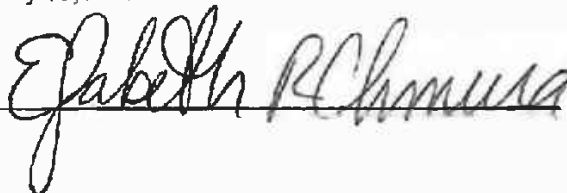
08 of May, 2019

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



PG Publishing Company

Sworn to and subscribed before me this day of:
May 08, 2019



Commonwealth of Pennsylvania - Notary Seal
Elizabeth R. Chmura, Notary Public
Allegheny County
My commission expires February 8, 2022
Commission number 1326781
Member, Pennsylvania Association of Notaries

STATEMENT OF ADVERTISING COSTS

LicenseLogix LLC
140 GRAND ST STE 300
ATTN: Shayna Desai
WHITE PLAINS NY 10601-4840

To PG Publishing Company

Total _____ \$542.50

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By _____

Samuel J. Aronson

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

**COPY OF NOTICE
OR PUBLICATION**

PENNSYLVANIA
PUBLIC UTILITY
COMMISSION NOTICE
Applications of EGS Advanced Energy Solutions Inc. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Trader Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.
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EGS Advanced Energy Solutions Inc.
2106 Fort Hill Road
Pittsburgh, PA 15222
Phone: 412-297-7777
Fax: 412-228-2905

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

LICENSE LOGIX
140 GRAND ST SUITE 300
WHITE PLAINS NY 10601

REFERENCE: 122994 360325
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Jennifer L. Trott, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 05/07/19

TOTAL COST: \$543.00 AD SPACE: 0 Lines

FILED ON: 05/07/19

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Applications of EGS Advanced Energy Solutions Inc. For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services To The Public In The Commonwealth Of Pennsylvania.

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EGS Advanced Energy Solutions Inc.
2106 Fort Hill Road
Phelps, NY 14532
Phone: 315-297-7777
Fax: 888-228-2905

Sworn to and subscribed before me this 7th day of May 2019

Affiant: Jennifer L. Trott

NOTARY: Barbara J. Moore

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL

Barbara J. Moore, Notary Public
City of Erie, Erie County

My Commission Expires March 23, 2020

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that The Philadelphia Daily News is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

May 6, 2019

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Helene Sweeney

Sworn to and subscribed before me this 6th day of May, 2019.

Cindy Jakubowski
Notary Public

My Commission Expires:

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL
CINDY JAKUBOWSKI, Notary Public
City of Philadelphia, Phila. County
My Commission Expires November 30, 2020

Copy of Notice of Publication

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

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EGS Advanced Energy Solutions Inc.

2108 Fort Hill Road

Philadelphia, PA 19132

Phone: 315-297-7777

Fax: 315-297-7777