



COMMONWEALTH OF PENNSYLVANIA
PENNSYLVANIA PUBLIC UTILITY COMMISSION
400 NORTH STREET, HARRISBURG, PA 17120

IN REPLY PLEASE
REFER TO OUR FILE

July 10, 2019

Docket No. A-2019-3011200

Utility Code: 1122349

CERTIFIED

DEAN WILLIAMSON
EGS ADVANCED ENERGY SOLUTIONS INC
2106 FORT HILL ROAD
PHELPS NY 14532

RE: Electric Generation Supplier License Application

Dear Mr. Williamson:

On June 27, 2019, the Public Utility Commission accepted EGS Advanced Energy Solutions, Inc.'s application for an Electric Generation Supplier license. The application was incomplete. In order for us to complete our analysis of your application, the Energy Industry Group requires answers to the attached question(s).

Please be advised that you are directed to forward the requested information to the Commission within **30** days from the date of this letter. Failure to respond may result in the application being denied. As well, if EGS Advanced Energy Solutions, Inc. has decided to withdraw its application, please reply notifying the Commission of such a decision.

Please forward the information to the Secretary of the Commission at the address listed below. When submitting documents, all documents requiring notary stamps must have original signatures. Please note that some responses may be e-filed to your case, <http://www.puc.pa.gov/efiling/default.aspx>. A list of document types allowed to be e-filed can be found at <http://www.puc.pa.gov/efiling/DocTypes.aspx>.

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
400 North Street
Harrisburg, PA 17120

Your answers should be verified per 52 Pa Code § 1.36. Accordingly, you must provide the following statement with your responses:

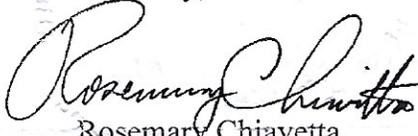
I, _____, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the

statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

The blank should be filled in with the name of the appropriate company representative, and the signature of that representative should follow the statement.

In addition, to expedite completion of the application, please also e-mail the information to Lee Yalcin at lyalcin@pa.gov. Please direct any questions to Lee Yalcin, Bureau of Technical Utility Services, at lyalcin@pa.gov (preferred) or (717) 787-6723.

Sincerely,



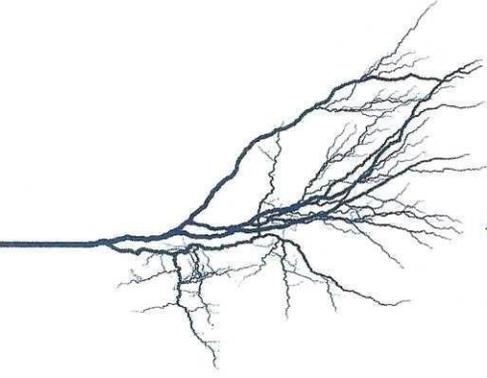
Rosemary Chiavetta
Secretary

Enclosure

Docket No. A-2019-3011200
EGS Advanced Energy Solutions, Inc.
Data Requests

1. Reference Application, Section 1.e, Customer Complaints Contact Info – Applicant failed to indicate the address for their primary customer contact person. The application requires the full contact information for a primary and an alternate contact. Please provide an updated application page with the appropriate corrections.
2. Reference Application, Section 5.a, Customer/Regulatory/Prosecutory Actions – Applicant failed to provide a satisfactory response to demonstrate compliance. Please provide a detailed response to Question 5.a.
3. Reference Application, Section 5.c, Customer/Regulatory/Prosecutory Actions – Applicant failed to provide a satisfactory response to demonstrate compliance. Please file an updated Application page with the requested information.
4. Reference Application, Section 7.f, Taxation – Applicant provided an incorrect Corporate Box Number instead of a Revenue ID Number on its Tax Certification Statement. Any company registered in the Commonwealth of Pennsylvania after March 2013 must contact the Pennsylvania Department of Revenue for its 10-digit Revenue ID Number. Please submit a corrected Tax Certification Statement.

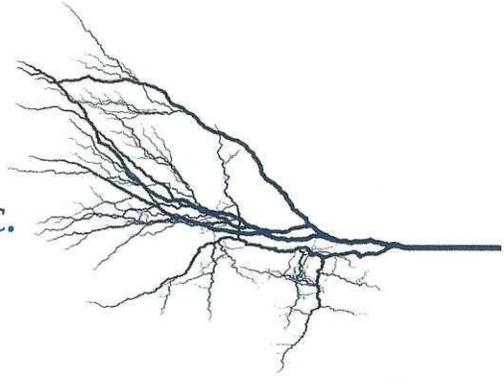
Confidential document submitted directly to PA PUC



EGS

ADVANCED ENERGY SOLUTIONS, INC.

COMPLETE ENERGY MANAGEMENT

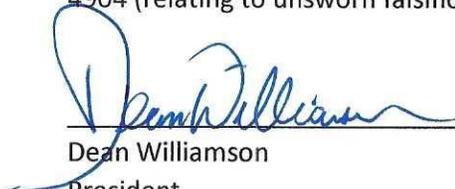


Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
400 North Street
Harrisburg, PA 17120

Docket no. A-2019-3011200
Utility Code: 1122349

In accordance to 52 Pa Code 1.36

I, Dean Williamson, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa C.S. 4904 (relating to unsworn falsification to authorities).



Dean Williamson

President

EGS Advanced Energy Solutions, Inc.

ADVANCEDENERGYELECTRICGAS.COM

2106 FORT HILL ROAD, PHELPS, NEW YORK 14532
(518) 240-8747 / OFFICE (888) 228-2905 / FAX

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of EGS ADVANCED Energy Solutions Inc., d/b/a N/A, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

EGS Advanced Energy Solutions Inc.
315-297-7777
2106 Fort Hill Rd
Phelps NY 14532
<https://advancedenergyelectricgas.com/>

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

PARACORP INCORPORATED:
600 North Second Street
Harrisburg, PA 17101
Dauphin County

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Dean Williamson, Partner
2106 Fort Hill Road Phelps, NY 14532
Telephone: 315-523-1284
Fax: 1-888-228-2905
Email: dean@egs-aes.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

N/A- applicant is not using an attorney

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Justin Pence, Broker Operations Coordinator.
Telephone number: 623-230-3693
Fax Number: 1-888-228-2905
Email: justin@egs-aes
**15294 W. Brookside Lane
Suite 110
Surprise, AZ 85374**

Alternate Person: Jeffrey Sapirman, VP
13416 W. Acapulo Lane
Surprise, AZ 85379
jeffrey@egs-aes.com
315-297-7777
Fax Number: 1-888-228-2905

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

The applicant, affiliate, predecessor or person identified in this application is not or has not been the defendant of a criminal or civil proceeding within the last 5 years.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not applicable

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC, EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

The applicant, an affiliate, predecessor or person identified in this application has not had formal or escalated actions or complaints in PA or any state filed with or by a customer, regulatory agency or prosecutory agency against them.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not applicable