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| PUC logo | COMMONWEALTH OF PENNSYLVANIA  PENNSYLVANIA PUBLIC UTILITY COMMISSION  400 NORTH STREET, HARRISBURG, PA 17120 | **IN REPLY PLEASE REFER TO OUR FILE** |

August 9, 2019

Docket No. A-2019-3011963

Utility Code: 1122468

**CERTIFIED**

MARK RICE PRESIDENT

ENERGY CX LLC

3501 WOODHEAD DR #5

NORTHBROOK IL 60062

RE: Electric Generation Supplier License Application

Dear Mr. Rice:

On August 5, 2019, the Public Utility Commission accepted ENERGY CX, LLC’s application for an Electric Generation Supplier license. The application was incomplete. In order for us to complete our analysis of your application, the Energy Industry Group requires answers to the attached question(s).

Please be advised that you are directed to forward the requested information to the Commission within **30** days from the date of this letter. Failure to respond may result in the application being denied. As well, if ENERGY CX, LLC has decided to withdraw its application, please reply notifying the Commission of such a decision.

Please forward the information to the Secretary of the Commission at the address listed below. When submitting documents, all documents requiring notary stamps must have original signatures. Please note that some responses may be e-filed to your case, <http://www.puc.pa.gov/efiling/default.aspx>. A list of document types allowed to be e-filed can be found at <http://www.puc.pa.gov/efiling/DocTypes.aspx>.

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| --- |
| Rosemary Chiavetta, Secretary |
| Pennsylvania Public Utility Commission |
| 400 North Street |
| Harrisburg, PA 17120 |

**Your answers should be verified per 52 Pa Code § 1.36.** Accordingly, you must provide the following statement with your responses:

I, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter.  I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

The blank should be filled in with the name of the appropriate company representative, and the signature of that representative should follow the statement.

In addition, to expedite completion of the application, please also e-mail the information to Stephen Jakab at [sjakab@pa.gov](mailto:sjakab@pa.gov). Please direct any questions to Stephen Jakab, Bureau of Technical Utility Services, at [sjakab@pa.gov](mailto:sjakab@pa.gov) (preferred) or (717) 783-6174.

**** Sincerely,

Rosemary Chiavetta

Secretary

Enclosure

Docket No. A-2019-3011963

ENERGY CX, LLC

Data Requests

1. Reference Application, Section 2.b, Formation Documents – Applicant failed to provide limited liability company formation documentation. Please provide the missing documentation.

2. Reference Application, Section 7.b, Financial Fitness – Applicant failed to provide sufficient documentation to demonstrate financial fitness for ENERGY CX, LLC. Please provide financial fitness documentation that includes up to three consecutive months of bank statements for ENERGY CX, LLC or an agreement between Energy Connection and ENERGY CX, LLC that proves that Energy Connection will provide ENERGY CX, LLC sufficient funds to operate as an Electric Generation Supplier in Pennsylvania. Please provide the missing documentation.

3. Reference Application, Section 7.f, Taxation – Applicant failed to provide a Revenue ID Number. Any company registered in the Commonwealth of Pennsylvania after March 2013 must contact the Pennsylvania Department of Revenue for its 10‑digit Revenue ID Number. Please resubmit a complete Tax Certification Statement.

4. Reference Application, Section 8.a, Technical Fitness – Applicant failed to provide a license for Ohio and New Jersey. Please provide the missing documentation.