

## BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Connect Energy Resources, LLC, d/b/a \_\_\_\_\_, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) [as specified in item #4b below] <sup>Broker</sup> to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

### 1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Connect Energy Resources, LLC  
11 Settlement Road  
Amston, CT 06231  
www.connectenergyresources.com  
877-778-4556

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Connect Energy Resources c/o InCorp Services Inc, 7208 Red Top Rd, Hummelstown PA 17036  
877-778-4556 ph  
800-529-7134 fax

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Laurie Henrikson, Account Manager  
2151 River Plaza Dr.  
Sacramento, CA 95833  
800 292-0909 x421  
lhenrikson@licenselogix.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

None

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Charles Daniels, President  
11 Settlement Rd.  
Amston, CT 06231  
877 778-4556  
charles@connectenergyresources.com

Michael Talbott, Vice President  
11 Settlement Rd.  
Amston, CT 06231  
860 331-9959  
mike@connectenergyresources.com

## 2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

**or**

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

*(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)*

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

**or**

The Applicant is a:

- domestic general partnership (\*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

**or**

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

Michael Talbott, VP Sales and Operations 14 Arlington Rd West Hartford, CT 06107  
Mark Longhi VP Sales 797 Meadow St Agawam MA 01001  
Charles Daniels, President- 11 Settlement Rd, Amston, CT 06231

### 3. AFFILIATES AND PREDECESSORS

*(both in state and out of state)*

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

None

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

None

#### 4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

- The Applicant is presently doing business in Pennsylvania as a
- municipal electric corporation
  - electric cooperative
  - local gas distribution company
  - provider of electric generation, transmission or distribution services broker/
  - marketer engaged in the business of supplying electricity services Other;
  - Identify the nature of service being rendered.

**or**

- The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
  - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Electricity and Natural Gas Broker Services  
Broker/marketer engaged in the business of supplying electricity services  
Generate competitive electricity procurement events for businesses and municipalities.

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- |   |   |
|---|---|
| <input type="checkbox"/> Citizens' Electric | <input type="checkbox"/> Pike                                 |
| <input type="checkbox"/> Duquesne Light     | <input type="checkbox"/> PPL                                  |
| <input type="checkbox"/> Met-Ed             | <input type="checkbox"/> UGI Utilities                        |
| <input type="checkbox"/> PECO               | <input type="checkbox"/> Wellsboro                            |
| <input type="checkbox"/> Penelec            | <input type="checkbox"/> West Penn                            |
| <input type="checkbox"/> Penn Power         |   |
|   | <input checked="" type="checkbox"/> Entire Commonwealth of PA |

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Residential and Small Commercial as Mixed Meter **ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)**
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

Upon Licensure

5. **COMPLIANCE**

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

No Criminal/Civil Proceedings

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

N/A

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

## 6. PROOF OF SERVICE

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**  
*(Example Certificate of Service is attached at Appendix C)*

- a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Office of the Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

- b. **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

**Pike County Light & Power Company:**  
Vice President – Energy Supply  
Corning Natural Gas Holding Corporation  
330 West William Street  
Corning, NY 14830

**West Penn:**  
Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

**PECO:**  
Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

**Duquesne Light:**  
Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

**PPL:**  
Office of General Counsel  
Attn: Kimberly A. Klock  
PPL  
Two North Ninth Street (GENTW3)  
Allentown, PA 18101-1179

**Met-Ed, Penelec, and Penn Power:**

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

**Citizens' Electric Company:**

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

**UGI:**

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, Pa 19612-2677

**Wellsboro Electric Company:**

Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901

**7. FINANCIAL FITNESS**

a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
- For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

**CRITICAL BONDING NOTES:**

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at [sjakab@pa.gov](mailto:sjakab@pa.gov) to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond, fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

**b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

**c. SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

**d. BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

We are paid by the winning supplier if an agreement is awarded based on our efforts

**e. ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Charles Daniels, President, 11 Settlement Rd, Amston CT 06231, Phone 860-930-8880, Fax: 800-529-7134; charles@connectenergyresources.com

**f. TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.

*All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.*

*Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.*

## 8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

b. **PROPOSED MARKETING METHOD** (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Ongoing best practices and training for employees and subcontractors following the code of conduct set forth by the industry and suppliers

- e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Charles Daniels, President  
Michael Talbott, Vice President

- f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number \_\_\_\_\_ .
- Not applicable

## 9. DISCLOSURE STATEMENTS:

**Disclosure Statements:** If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

***Not applicable for an applicant applying for a license exclusively as a broker/marketer.***

## 10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
- proof of registration as a PJM Load Serving Entity (LSE), or
  - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

*(Select only one of the following)*

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

**b. STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

AGREED

**c. REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on [http://www.puc.pa.gov/consumer\\_info/electricity/alternative\\_energy.aspx](http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx). Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

- d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

AGREED

- e. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

- f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

AGREED

- g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

- h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.

AGREED

- k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

## 11. AFFIDAVITS

**Must be notarized before filing.**

- a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

## 12. NEWSPAPER PUBLICATIONS

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

*(Example Publications are provided at Appendices K and L)*

**13. SIGNATURE**



Applicant: Connect Energy Resources, LLC

By: Charles Daniels

Title: President

**14. CHECKLIST**

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: Connect Energy Resources, LLC

Applicant's Use	<input checked="" type="checkbox"/>	<b>Signature</b>	
	<input checked="" type="checkbox"/>	<b>Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)</b>	
	<input checked="" type="checkbox"/>	<b>Application Affidavit</b>	
	<input checked="" type="checkbox"/>	<b>Operations Affidavit</b>	
	<input checked="" type="checkbox"/>	<b>Proof of Publication</b>	
	<input checked="" type="checkbox"/>	<b>Bond, Letter of Credit, or Parental/Affiliate Guarantee</b>	
	<input checked="" type="checkbox"/>	<b>Tax Certification Statement</b>	
	<input checked="" type="checkbox"/>	<b>Commonwealth Department of State Verification</b>	
	<input checked="" type="checkbox"/>	<b>Certificate of Service</b>	

PUC Secretary's Bureau Use

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of CONNECTICUT :

County of TOLLAND :

ss.

Charles Daniels, Affiant, being duly [sworn/affirmed] according to law, deposes and says that

Connect Energy Resources, LLC

(He/she is the President (Office of Affiant) of \_\_\_\_\_ (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

Connect Energy Resources, LLC

That the Applicant herein \_\_\_\_\_ has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

Connect Energy Resources, LLC

That the Applicant herein \_\_\_\_\_ has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

Connect Energy Resources, LLC

That the Applicant herein \_\_\_\_\_ acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

Connect Energy Resources, LLC

That the Applicant herein \_\_\_\_\_ acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

*[Handwritten Signature]*

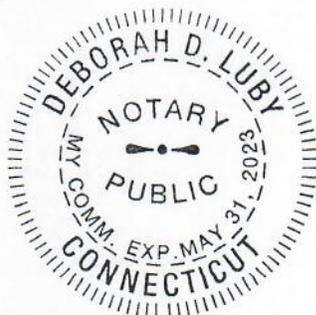
Signature of Affiant

Sworn and subscribed before me this 18 day of JUNE, 2019.

*[Handwritten Signature]*

Signature of official administering oath

My commission expires May 31, 2023



Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of CONNECTICUT :

County of TOWAND : SS.

Connect Energy Resources, LLC , Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of Connect Energy Resources, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Connect Energy Resources, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Connect Energy Resources, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Connect Energy Resources, LLC, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2 ), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

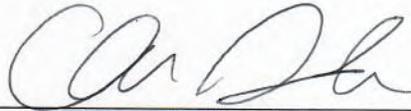
As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

**Appendix B (Continued)**

That Connect Energy Resources, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



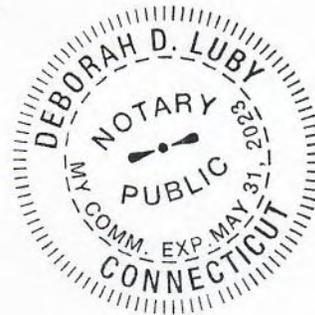
\_\_\_\_\_  
Signature of Affiant

Sworn and subscribed before me this 18 day of JUNE, 2019.



\_\_\_\_\_  
Signature of official administering oath

My commission expires May 31, 2023.



## Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

### Example CERTIFICATE OF SERVICE

On this the 6 day of September 2019, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

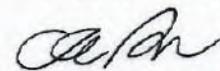
Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Vice President – Energy Supply  
Corning Natural Gas Holding Corporation  
330 West William Street  
Corning, NY 14830

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

Office of General Counsel  
Attn: Kimberly A. Klock  
PPL  
Two North Ninth Street (GENTW3)  
Allentown, PA 18101-1179  
UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, Pa 19612-2677

Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901



→ **Charles Daniels, President Connect Energy Resources, LLC**

**APPENDIX G**  
**NOTICE FOR COMBINED EGS AND NGS**

**PENNSYLVANIA**  
**PUBLIC UTILITY COMMISSION**  
**NOTICE**

*Applications of **Connect Energy Resources, LLC** For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.*

**Connect Energy Resources, LLC** will be filing an application with the Pennsylvania Public Utility Commission (“PUC”) for a license to provide natural gas supply services a broker/marketer engaged in the business of providing natural gas services. **Connect Energy Resources, LLC** will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. **Connect Energy Resources, LLC** proposes to sell electricity, natural gas, and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Connect Energy Resources, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Connect Energy Resources, LLC** at the address listed below.

**Connect Energy Resources, LLC**  
**11 Settlement Road**  
**Amston CT 06231**  
**877-778-4556**  
**800-529-7134**



AD#: 0009218094

Commonwealth of Pennsylvania,) ss  
County of Cumberland)

Victoria Soto being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 06/25/2019

*Victoria Soto*

Principal Clerk of the Publisher

Sworn to and subscribed before me this 26th day of June 2019

*Crystal B. Rosensteel*

Notary Public

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Crystal B. Rosensteel, Notary Public  
Susquehanna Twp., Dauphin County  
My Commission Expires June 27, 2020  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**PENNSYLVANIA PUBLIC  
UTILITY COMMISSION  
NOTICE**

Applications of **Connect Energy Resources, LLC** For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

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**Connect Energy Resources, LLC**  
11 Settlement Road  
Amston CT 06231  
877-778-4556  
800-529-7134

PROOF OF PUBLICATION  
In  
THE ERIE TIMES-NEWS  
COMBINATION EDITION

LICENSE LOGIX  
140 GRAND ST SUITE 300  
WHITE PLAINS NY 10601

REFERENCE: 122994 370194  
PUC Notice

STATE OF PENNSYLVANIA)  
COUNTY OF ERIE ) SS:

Brenda L. Learn, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 07/13/19

TOTAL COST: \$610.00 AD SPACE: 0 Lines

FILED ON: 07/13/19

PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE

*Applications of Connect Energy Resources, LLC For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.*

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Connect Energy Resources, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Connect Energy Resources, LLC at the address listed below.

Connect Energy Resources, LLC  
11 Settlement Road  
Amston CT 06231  
877-778-4556 • 800-529-7134

EP-370194

Sworn to and subscribed before me this 15<sup>th</sup> day of July 2019

Affiant: Brenda L. Learn

NOTARY: Barbara J. Moore

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL

Barbara J. Moore, Notary Public  
City of Erie, Erie County

My Commission Expires March 23, 2020

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT  
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Robert O. Rolley, Jr., Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

July 15, 2019

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

**PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE**

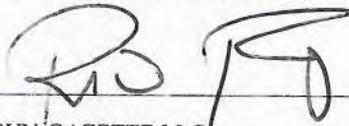
Applications of Connect Energy Resources, LLC For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

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throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Connect Energy Resources, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Connect Energy Resources, LLC at the address listed below.

Connect Energy Resources, LLC  
11 Settlement Road  
Amston CT 06231  
877-778-4556  
800-529-7134

  
SUN-GAZETTE LLC

Sworn to and subscribed before me  
The 19<sup>th</sup> day of July 20 19

Beth A. Miller  
COMMONWEALTH OF PENNSYLVANIA Notary Public

NOTARIAL SEAL  
BETH A MILLER  
Notary Public  
CITY OF WILLIAMSPORT, LYCOMING COUNTY  
My Commission Expires Apr 18, 2020

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached  
Hereto on the above state dates.....\$ 278.00

Probated same.....\$

Total.....\$ 278.00

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC  
BY Robert O. Rolley, Jr.

The Scranton Times (Under act P.L. 877 No 160. July 9,1976)  
Commonwealth of Pennsylvania, County of Lackawanna

LICENSELOGIX  
SUITE 300  
140 GRAND STREET WHITE PLAINS NY 10601

Account # 629558  
Order # 82349413  
Ad Price: 283.00

CONNECT ENERGY RESOURCES,

Ann Marie Fortese

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

07/12/2019

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Ann Marie Fortese

Sworn and subscribed to before me  
this 12th day of July A.D., 2019

Sharon Venturi

(Notary Public)

Commonwealth of Pennsylvania - Notary Seal  
Sharon Venturi, Notary Public  
Lackawanna County  
My commission expires February 12, 2022  
Commission number 1254228  
Member, Pennsylvania Association of Notaries

LEGAL NOTICE

**PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE**  
Applications of **Connect Energy Resources, LLC** For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Connect Energy Resources, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Connect Energy Resources, LLC** at the address listed below.

**Connect Energy Resources, LLC**  
11 Settlement Road  
Amston, CT 06231  
877-778-4556  
800-529-7134

COMMONWEALTH OF PENNSYLVANIA }  
 County of Cambria } SS

**PENNSYLVANIA  
 PUBLIC UTILITY COMMISSION  
 NOTICE**

*Applications of Connect Energy Resources, LLC For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.*

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**Connect Energy Resources, LLC**  
 11 Settlement Road  
 Amston CT 06231  
 877-778-4556  
 800-529-7134

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On this 15th day of July A.D. 2019, before me, the subscriber, a Notary Public in and for said County and State, personally appeared **Mary Anne Rizzo**, who being duly sworn according to law, deposes and says as Advertising Director of the *Tribune-Democrat, Johnstown, PA*, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the *Johnstown Tribune*, established December 7, 1853; and of the *Johnstown Democrat*, established March 5, 1863, County of Cambria, and Commonwealth of Pennsylvania and above matter published in said publication in the regular issues, PA, on July 13, 2019; and that the Affiant is not interested in and that all of the allegations as to time, place and character of

*Mary Anne Rizzo*  
 \_\_\_\_\_  
**STATEMENT OF ADVERTISING COSTS**

Signed and sworn to before me on  
 15th day of July, 2019,  
 by **Mary Anne Rizzo** making the statement.

*Vivlan Ohs*  
 \_\_\_\_\_

0.00 Lines @ \$2.50 per line	0.00
7.5 Inches @ \$25.00 per inch	187.50
Notary Fee	5.00
<u>Clerical Fee</u>	<u>2.50</u>
<b>Total Cost</b>	<b>195.00</b>

Commonwealth of Pennsylvania - Notary Seal  
 Vivlan Ohs, Notary Public  
 Cambria County  
 My commission expires December 6, 2020  
 Commission number 1123017  
 Member, Pennsylvania Association of Notaries

To The *Tribune-Democrat, Johnstown, PA*  
 For publishing the notice or publication  
 attached hereto on the above stated dates.

**PUBLISHER'S RECEIPT FOR ADVERTISING COSTS**

\_\_\_\_\_ for publisher of \_\_\_\_\_  
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid  
 and publication costs and certifies that the same has been duly paid.

\_\_\_\_\_  
 (Name of Newspaper)

By \_\_\_\_\_

### Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

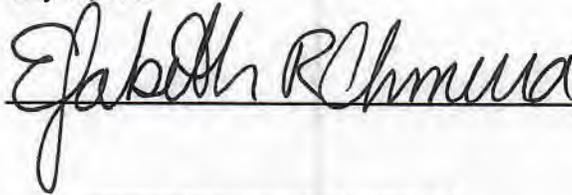
Commonwealth of Pennsylvania, County of Allegheny, ss D. Rullo, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the \_\_\_\_\_ regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

**15 of July, 2019**

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

  
\_\_\_\_\_  
PG Publishing Company

Sworn to and subscribed before me this day of:  
July 15, 2019

  
\_\_\_\_\_  
Elizabeth R. Chmura

Commonwealth of Pennsylvania - Notary Seal  
Elizabeth R. Chmura, Notary Public  
Allegheny County  
My commission expires February 8, 2022  
Commission number 1326781  
Member, Pennsylvania Association of Notaries

#### COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA  
PUBLIC UTILITY  
COMMISSION  
NOTICE  
Applications of Connect Energy Resources, LLC For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.  
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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Connect Energy Resources, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Connect Energy Resources, LLC at the address listed below.  
Connect Energy Resources, LLC  
11 Settlement Road  
Amston CT 06231  
877-778-4556  
800-529-7134

**STATEMENT OF ADVERTISING COSTS**  
LicenseLogix LLC  
140 GRAND ST STE 300  
ATTN: Shayna Desai  
WHITE PLAINS NY 10601-4840

To PG Publishing Company

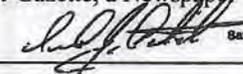
Total ----- \$488.25

#### Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office  
2201 Sweeney Drive  
CLINTON, PA 15026  
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of  
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By  Samuel J. Arbutina

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

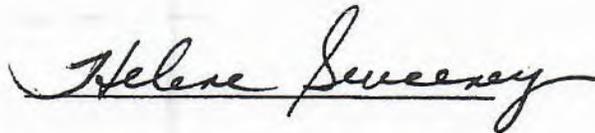
**Proof of Publication in The Philadelphia Daily News  
Under Act. No 587, Approved May 16, 1929**

**STATE OF PENNSYLVANIA  
COUNTY OF PHILADELPHIA**

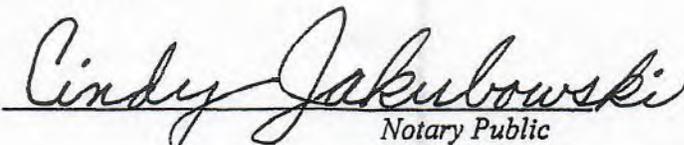
Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

July 15, 2019

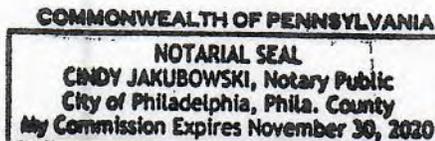
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



Sworn to and subscribed before me this 15th day of July, 2019.

  
Notary Public

My Commission Expires:



**Copy of Notice of Publication**

**PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
NOTICE**

Applications of **Connect Energy Resources, LLC** For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

**Connect Energy Resources, LLC** will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services a broker/marketer engaged in the business of providing natural gas services. **Connect Energy Resources, LLC** will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. **Connect Energy Resources, LLC** proposes to sell electricity, natural gas, and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Connect Energy Resources, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to **Connect Energy Resources, LLC** at the address listed below.

**Connect Energy Resources, LLC**  
11 Settlement Road  
Ameton CT 06231  
877-778-4556  
800-529-7134

Support for Item 2

Connecticut Articles of Organization

Pennsylvania Department of State Filing

**PENNSYLVANIA DEPARTMENT OF STATE  
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS**

Return document by mail to:

Name

Address

City

State

Zip Code

Return document by email to: megan.bessey@incorp.com

Certificate of Organization Domestic  
Limited Liability Company  
DSCB:15-8821(rev. 2/2017)



TFA190529JF1422

Read all instructions prior to completing. This form may

Fee: \$125.00

I qualify for a veteran/reservist-owned small business fee exemption (see instructions)

In compliance with the requirements of 15 Pa.C.S. § 8821 (relating to certificate of organization), the undersigned desiring to organize a limited liability company, hereby certifies that:

1. The name of the limited liability company (designator is required, i.e., "company", "limited" or "limited liability company" or abbreviation):  
Connect Energy Resources, LLC

2. Complete part (a) or (b) - not both:

(a) The address of the limited liability company's initial registered office in this Commonwealth is:  
(post office box alone is not acceptable)

Number and Street	City	State	Zip	County
-------------------	------	-------	-----	--------

(b) name of its commercial registered office provider and the county of venue is:

<u>c/o: INCORP SERVICES, INC.</u>	<u>Dauphin</u>
Name of Commercial Registered Office Provider	County

3. The name of each organizer is (all organizers must sign on page 2):

Name	Address
<u>Megan Bessey</u>	<u>3773 Howard Hughes Pkwy. Suite 500S, Las Vegas, Out Of State, NV, United States, 89169-6014</u>

4. Effective date of Statement of Registration (check, and if appropriate complete, one of the following):

The Certification of organization shall be effective upon filing in the Dept of State.

The Certification of organization shall be effective \_\_\_\_\_ at \_\_\_\_\_ on:

MAY 28 2019

Date(MM/DD/YYYY)

Hour (if any)

DSCB: 15-8821-2

5. **Restricted professional companies only.**

*Check the box if the limited liability company is organized to render a restricted professional service and check the type of restricted professional service(s).*

**The company is a restricted professional company organized to render the following restricted professional service(s):**

- Chiropractic**
- Dentistry**
- Law**
- Medicine and surgery**
- Optometry**
- Osteopathic medicine and surgery**
- Podiatric medicine**
- Public accounting**
- Psychology**
- Veterinary medicine**

6. **Benefit companies only.**

*Check the box immediately below if the limited liability company is organized as a benefit company:*

**This limited liability company shall have the purpose of creating general public benefit**

*Optional specific public benefit purpose. Check the box immediately below if the benefit company is organized to have one or more specific public benefits and supply the specific public benefit(s).*

*See instructions for examples of specific public benefit.*

**This limited liability company shall have the purpose of creating the enumerated specific public benefit(s):**

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7. **For additional provisions of the certificate, if any, attach an 8½ x 11 sheet.**

**IN TESTIMONY WHEREOF, the organizer(s) has (have) signed this Certificate of Organization this 03 day of May, 2019.**

Megan Bessey

\_\_\_\_\_  
**Signature**



### SECRETARY OF THE STATE OF CONNECTICUT

MAILING ADDRESS: COMMERCIAL RECORDING DIVISION, CONNECTICUT SECRETARY OF THE STATE, P.O. BOX 150470, HARTFORD, CT 06115-0470  
DELIVERY ADDRESS: COMMERCIAL RECORDING DIVISION, CONNECTICUT SECRETARY OF THE STATE, 30 TRINITY STREET, HARTFORD, CT 06108  
PHONE: 860-509-6003 WEBSITE: [www.concord-sofs.ct.gov](http://www.concord-sofs.ct.gov)

## ARTICLES OF ORGANIZATION LIMITED LIABILITY COMPANY - DOMESTIC

C.G.S. §§34-120, 34-121

FILING #0005295304 PG 01 OF 02 VOL B-02044

FILED 03/11/2015 08:30 AM PAGE 01935

USE INK. COMPLETE ALL SECTIONS. PRINT OR TYPE. ATTACH 81/

SECRETARY OF THE STATE  
CONNECTICUT SECRETARY OF THE STATE

<b>FILING PARTY</b> (CONFIRMATION WILL BE SENT TO THIS ADDRESS)	
NAME: Cheyenne Moseley, Assistant Secretary, Legalzoom.com, Inc.	MAKE CHECKS PAYABLE TO "SECRETARY OF THE STATE"
ADDRESS: 100 W. Broadway, Suite 100	
CITY: Glendale	
STATE: CA ZIP: 91210	

**1. NAME OF LIMITED LIABILITY COMPANY - REQUIRED:** (MUST INCLUDE BUSINESS DESIGNATION I.E. L.C, L.L.C., ETC.)  
Connect Energy Resources, LLC

**2. DESCRIPTION OF BUSINESS TO BE TRANSACTED OR PURPOSE TO BE PROMOTED - REQUIRED:**  
ATTACH 8 1/2 X 11 SHEETS IF NECESSARY.  
Wholesale - agent/broker - Electricity and Natural Gas

**3. LLC'S PRINCIPAL OFFICE ADDRESS - REQUIRED:** (NO P.O. BOX) PROVIDE FULL ADDRESS. "SAME AS ABOVE" NOT ACCEPTABLE.  
ADDRESS: 11 Settlement Road  
CITY: Amston  
STATE: Connecticut ZIP: 06231

**4. MAILING ADDRESS, IF DIFFERENT THAN #3:** PROVIDE FULL ADDRESS. "SAME AS ABOVE" NOT ACCEPTABLE.  
ADDRESS:  
CITY:  
STATE: ZIP:

**5. APPOINTMENT OF STATUTORY AGENT FOR SERVICE OF PROCESS - REQUIRED:** (COMPLETE A OR B NOT BOTH)  
 **A. IF AGENT IS AN INDIVIDUAL.**  
PRINT OR TYPE FULL LEGAL NAME:  
Charles Daniels

BUSINESS ADDRESS (P.O. BOX NOT ACCEPTABLE) IF NONE, MUST STATE "NONE"	CONNECTICUT RESIDENCE ADDRESS (P.O. BOX NOT ACCEPTABLE)
ADDRESS: 11 Settlement Road CITY: Amston STATE: Connecticut ZIP: 06231	ADDRESS: 11 Settlement Road CITY: Amston STATE: Connecticut ZIP: 06231

**SIGNATURE ACCEPTING APPOINTMENT:**

FILING #0005295304 PG 02 OF 02 VOL B-02044  
FILED 03/11/2015 08:30 AM PAGE 01936  
SECRETARY OF THE STATE  
CONNECTICUT SECRETARY OF THE STATE

B. IF AGENT IS A BUSINESS:

PRINT OR TYPE NAME OF BUSINESS AS IT APPEARS ON OUR RECORDS:

**CT BUSINESS ADDRESS** (P.O. BOX UNACCEPTABLE)

ADDRESS:

CITY:

STATE:

ZIP:

SIGNATURE ACCEPTING APPOINTMENT ON BEHALF OF AGENT:

PRINT NAME & TITLE OF PERSON SIGNING:

**6. MANAGER OR MEMBER INFORMATION-REQUIRED:** (MUST LIST AT LEAST ONE MANAGER OR MEMBER OF THE LLC.)  
ATTACH 8 1/2 X 11 SHEETS IF NECESSARY.

NAME	TITLE	BUSINESS ADDRESS (No. P.O Box) IF NONE, MUST STATE "NONE"	RESIDENCE ADDRESS: (No. P.O Box)
Charles Daniels	Member	11 Settlement Road, Amston, CT 06231	11 Settlement Road, Amston, CT 06231

**7. MANAGEMENT - PLACE A CHECK NEXT TO THE FOLLOWING STATEMENT ONLY IF IT APPLIES**

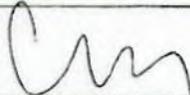
MANAGEMENT OF THE LIMITED LIABILITY COMPANY SHALL BE VESTED IN A MANAGER OR MANAGERS

**8. ENTITY EMAIL ADDRESS-REQUIRED:** (IF NONE, MUST STATE "NONE.")

charleswdaniels@hotmail.com

**9. EXECUTION: (SUBJECT TO PENALTY OF FALSE STATEMENT)**

DATED THIS 8 DAY OF 3, 2015

NAME OF ORGANIZER (PRINT OR TYPE)	SIGNATURE
Cheyenne Moseley, Assistant Secretary, Legalzoom.com, Inc.	

AN ANNUAL REPORT WILL BE DUE YEARLY IN THE ANNIVERSARY MONTH THAT THE ENTITY WAS FORMED/REGISTERED AND CAN BE EASILY FILED ONLINE @ [WWW.CONCORD-SOFS.CT.GOV](http://www.concord-sofs.ct.gov)  
CONTACT YOUR TAX ADVISOR OR THE TAXPAYER SERVICE CENTER AT THE DEPARTMENT OF REVENUE SERVICES AS TO ANY POTENTIAL TAX LIABILITY RELATING TO YOUR BUSINESS, INCLUDING QUESTIONS ABOUT THE BUSINESS ENTITY TAX.  
TAX PAYER SERVICE CENTER: (800) 382-9463 OR (860) 297-5952 OR GO TO [WWW.CT.GOV/DRS](http://www.ct.gov/drs)

Support for Item 7a

Bond

**The original bond has been overnighted on 9/6/2019**

## Appendix M

### Electronic Data Interchange and Internet Requirements

Prior to doing business in an EDC service territory, all EGS suppliers, and any broker/marketers that wish to use the Electronic Data Interchange (EDI), must meet the EDI certification requirements of the EDC. Certification is a testing process using the Commission approved Internet protocol. To initiate this process, the EGS is encouraged to contact the EDC as early as possible after filing an application for a license with the Commission, since certification may require as many as four months to complete and customer service contract dates may not commence prior to certification. EDC requirements of new suppliers may be found on the respective EDC home web page. Pennsylvania's industry stakeholder group the Electronic Data Exchange Working Group (EDEWG) develops and maintains the EDI transactions and related business practices, which are found on the Pa. PUC website at [http://www.puc.pa.gov/filing\\_resources/issues\\_laws\\_regulations/electronic\\_data\\_exchange.aspx](http://www.puc.pa.gov/filing_resources/issues_laws_regulations/electronic_data_exchange.aspx). The EDEWG meets telephonically the first Thursday of each month at 2:00pm ET to discuss EDI change control requests and other issues.

To keep current with Pennsylvania EDI practices and policies, a newly licensed EGS is strongly encouraged to participate in the EDEWG by contacting the following:

#### PA EDEWG EDI Contacts

Entity Name	Contact Name	Telephone	Email	Preference
PA PUC	Jeff McCracken	717-783-6163	<a href="mailto:jmccracken@pa.gov">jmccracken@pa.gov</a>	Email
PA PUC	Lee Yalcin	717-787-6723	<a href="mailto:lyalcin@pa.gov">lyalcin@pa.gov</a>	Email
PA EDEWG EDC Co-Chair	Ernie Mathie	330-384-5757	<a href="mailto:mathiee@firstenergycorp.com">mathiee@firstenergycorp.com</a>	Email
PA EDEWG ESP Co-Chair	Monica Neibert	781-347-9039	<a href="mailto:mneibert@energyservicesgroup.net">mneibert@energyservicesgroup.net</a>	Email
PA EDEWG Secretary & Regional EDI Change Control Manager	Brandon Siegel	412-817-8004	<a href="mailto:brandon.siegel@intelometry.com">brandon.siegel@intelometry.com</a>	Email

#### PA EDC EDI Contacts

Company Name	Contact Name	Telephone	Email	Preference
Citizens' Electric	John Kelchner	570-524-2231	<a href="mailto:kelchnerj@citizenselectric.com">kelchnerj@citizenselectric.com</a>	Email
	Chrissy DeLoughrey	781-347-9022	<a href="mailto:Implementation@EnergyServicesGroup.net">Implementation@EnergyServicesGroup.net</a>	Email
Duquesne Light Co	Supplier Service Center	412-393-6282	<a href="mailto:DLC_SSC@duqlight.com">DLC_SSC@duqlight.com</a>	Email
FirstEnergy - Metropolitan Edison Co, Pennsylvania Electric, Penn Power, JCP&L, WPP & Potomac Edison	Supplier Support	330-761-4348	<a href="mailto:SupplierSupport@firstenergycorp.com">SupplierSupport@firstenergycorp.com</a>	Email
PECO	Electric & Gas Choice Hotline	215-841-3700	<a href="mailto:egc@exeloncorp.com">egc@exeloncorp.com</a>	Email
PPL Electric Utilities	Supplier Support	610-774-6396	<a href="mailto:PPLUtilitiesSupplier@pplweb.com">PPLUtilitiesSupplier@pplweb.com</a>	Email
	EDI Team	610-774-5757	<a href="mailto:EDIUtilAdm@pplweb.com">EDIUtilAdm@pplweb.com</a>	Email
UGI Utilities Inc.	EDI Technical Support	610-736-5784	<a href="mailto:edi@ugi.com">edi@ugi.com</a>	Email
Wellsboro Electric	Danette Kerestes	570-724-3516	<a href="mailto:danettek@ctenterprises.org">danettek@ctenterprises.org</a>	Email
	Chrissy DeLoughrey	781-347-9022	<a href="mailto:Implementation@EnergyServicesGroup.net">Implementation@EnergyServicesGroup.net</a>	Email

Support for Item 8a

Business Description

Resume

Experience



Charles Daniels, CMA, MBA

Charles Daniels has been the President of Connect Energy Resources since March 2015. Prior to this he was the owner of a construction company and a small business consultancy. He also has experience as Chief Financial Officer and Operations Manager in industries as diverse as manufacturing, hospitality and finance.

He has a Master's in Business Administration with a concentration in Accounting and a Bachelor's degree in Hospitality Management. In 2017 he was honored to be awarded CFO of the Year by the Hartford Business Journal. Charles is active in workforce development, manufacturing industry groups, his church's leadership, town government and is a youth mentor.

Charles is a Certified Management Accountant, Certified Continuous Improvement Champion. His primary duties at Connect Energy Resources are strategic planning, financial management and business analysis.

Charles lives in Hebron, CT with his wife Amy and three children.



**CONNECT ENERGY**  
RESOURCES



# Your Energy Partner

**Mike Talbott**

*Vice President, Sales & Operations*

c: 860.331.9959

[mike@connectenergyresources.com](mailto:mike@connectenergyresources.com)

[www.connectenergyresources.com](http://www.connectenergyresources.com)

**Mike Talbott** is a 12-year veteran of the deregulated energy industry serving commercial, industrial and municipal customers. Mike specializes in medium and large manufacturing, property management and non-profit organizations.

Mike serves his customers by locating the most favorable electricity and natural gas supply products, terms and rates. As a Connect Energy Resources customer you'll be provided with market intelligence reports, quarterly pricing alerts and annual budgets. Our people and process will ensure security for your energy budget now and over the long term. While our pricing is always competitive, our strength is absolutely the personalized service we provide.

Prior to Connect Energy Resources, Mike served as an Energy Procurement Account Manager for a global energy services firm. Mike holds a Bachelor's Degree in Psychology from the University of Massachusetts at Amherst and resides in West Hartford, Conn. with his wife and two daughters.

## About Connect Energy Resources

We educate customers while navigating the ever-changing and complex energy markets. We locate the most favorable pricing, products and contract terms that best fit our customer's energy strategy. We've built long term relationships by providing a superior experience for our customers, employees and partners.

Our team has over 40 years combined experience in the energy procurement space serving commercial, industrial, institutional and municipal customers. We operate in states that have approved the deregulation of energy supply.

We know these markets so you can run your business.



# Your Energy Partner

Mark Longhi

*Vice President, Sales*

c: 860.331.9669

o: 413.478.4096

mark@connectenergyresources.com

[www.connectenergyresources.com](http://www.connectenergyresources.com)

**Mark Longhi** is Connect Energy Resources' most experienced and knowledgeable team members with 19 years of industry experience. His steadfast approach to managing his customers energy budgets has led to a successfully consistent and reliable career. Mark's energy intelligence and straight forward demeanor play a key role in establishing many decade long customer relationships.

Prior to Connect Energy Resources, Mark was a Senior Energy Consultant for a global energy services firm where her served for 15 years.

Prior to his career in energy, Mark was a Professional Jai-Alai player for 15 years for Hartford (CT) Jai-Alai, Milford (CT) Jai-Alai and in Europe. Mark was raised and still resides in Agawam, Massachusetts.

## About Connect Energy Resources

We educate customers while navigating the ever-changing and complex energy markets. We locate the most favorable pricing, products and contract terms that best fit our customer's energy strategy. We've built long term relationships by providing a superior experience for our customers, employees and partners.

Our team has over 40 years combined experience in the energy procurement space serving commercial, industrial, institutional and municipal customers. We operate in states that have approved the deregulation of energy supply.

We know these markets so you can run your business.

**Energy Procurement Experts**

# Michael Talbott

14 Arlington Road | West Hartford, CT 06107  
(860) 331-9959 | [mike@connectenergyresources.com](mailto:mike@connectenergyresources.com)

## Experience

---

### Vice President, Sales & Operations

2018 - present

#### [Connect Energy Resources LLC](#)

*West Hartford, Connecticut*

Manage day to day operations for Regional Energy Brokerage Firm. Responsibilities include electricity and natural gas request for quotes from Licensed Suppliers, Track Revenue, Channel Partner management, Customer service and sales support.

### Account Manager, Electricity & Natural Gas

2006 – 2018

#### [EnerNOC](#)

*Enfield, Connecticut*

(EnerNOC Acquired [World Energy Solutions Inc](#) in 2015)

(World Energy Solutions acquired Northeast Energy Partners in 2012)

Cultivate, educate and provide superior customer service to new and renewal business clients; representing the client's procurement needs for electricity and natural gas supply agreements, budget forecasting and contract negotiation.

- Managed structured procurement events for electricity and natural gas customers
- Recipient of the 2014 President's Club Award
- Developed and supervised a five-person outbound marketing team aimed at penetrating new markets
- Approachable and relied upon resource for peers seeking solutions

### Claims Adjuster, Auto Physical Damage & Bodily Injury

2002 – 2006

#### [Infinity Insurance Company](#)

*Meriden, Connecticut*

Investigated, managed and fairly negotiated settlement of accident claims by personally meeting face to face with involved party to reach an agreement.

- Sharpened professional written and verbal communication skills with claimants, attorneys and automotive professionals while always upholding the contractual duty and integrity of the policyholder's agreement

### Project Coordinator, Operations & Purchasing

2000 – 2002

#### [Office Furniture Warehouse, Inc](#)

*Pompano Beach, Florida*

Innovated, developed and coordinated office space plans vital to sales staff reaching a closed sale agreement. Managed and assisted installation team of five to ten employees per project.

- Realized a 125% return on investment involving the purchase, transport and re-sale of property in just eight months
- Initiated and maintained a quantifiable inventory of used office furniture

## Education

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### University of Massachusetts

1996 – 2000

*Bachelor of Arts: Psychology*

*Amherst, Massachusetts*

## Related Skills

---

- Microsoft Word, Excel and PowerPoint; Adobe Reader/Writer
- SalesForce.com

# Charles W. Daniels, CMA, MBA

11 Settlement Rd. Amston, CT 06231  
Charleswdaniels@hotmail.com

(860)984-4667

[www.linkedin.com/in/charleswdaniels](http://www.linkedin.com/in/charleswdaniels)

## **HIGHLIGHTS OF QUALIFICATIONS:**

- 2017 CFO of the Year (Awarded by the Hartford Business Journal)
- Certified Management Accountant (License 56579)
- Continuous Improvement Champion (Certified by ConnStep)
- Six Sigma Green Belt
- Active volunteer with leadership roles in several community, educational and business organizations
- Speaker, mentor, facilitator and advisor

## **BUSINESS EXPERIENCE:**

### **Connect Energy Resources, LLC Amston, CT**

*February 2015-Present*

#### **President**

- Startup business that focuses on energy management (procurement, budgeting, forecasting and strategic planning) for businesses, institutions and municipalities.
- Concentrations includes supplier relations, sales and financial strategy, general operations.

### **Wepco Plastics, Inc., Middlefield, CT**

*July 2013-Present*

#### **Chief Financial Officer**

- Executive-level leader at successful manufacturing company.
- Develop and continuously assess a short and long term financial strategy by monitoring budgets, economic climate and industry outlook.
- Driven to grow business by focusing on improving operational and financial processes, cost containment, asset management and building strong internal and external relationships.

### **Win-Win Financial, LLC, Amston, CT**

*January 2009-June 2017*

#### **Small Business Consultant/Owner**

- Mentor small businesses in budgeting, debt elimination, benefits, bookkeeping, improving credit, setting and reaching financial goals, financial statements, marketing, employee engagement and achieving exceptional customer service.
- Provide retirement and insurance benefit enrollment training in seminars or at benefit fairs.
- Design and present workshops, lectures and seminars for small and large groups.
- Work with small businesses to improve their overall operational efficiency and profitability.

### **Marriott International, Bethesda, MD**

*September 2008-August 2018*

#### **Manager - Project Coordination & Training**

- Responsible for more than 60 successful openings and conversions of international full service brands in over 30 states and 3 countries.
- Specialize in hands-on and classroom training of staff in areas such as customer focus, company specific culture and standards, operational excellence, sales and profit enhancement.
- Advise owners and managers on how to implement procedures, processes and behaviors that will ensure sustained success.

**D. Rich Stonework, LLC, Killingworth, CT**

*September 2003-July 2013*

**President/Co-Owner**

- Developed and maintained extremely successful masonry company, with a focus on residential construction and service.
- Responsible for accounting, marketing, business development and human resource functions.
- Championed reciprocal partnerships with several organizations and local businesses to drive sales and improve customer value.

**Bank of America, Vernon, CT**

*June 2005-November 2006*

**Banking Center Manager**

- Responsible for coaching a diverse team to consistently exceed aggressive sales goals by 125%.
- Accountable for achieving world class success as it relates to operational excellence, customer and associate delight.
- Managed the daily operation in the banking center while “leading from the lobby” to anticipate client needs and provide proactive solutions.

**Four Points Sheraton, Meriden, CT**

*January 2005-July 2005*

**Restaurant General Manager**

- Directly responsible for entire Food and Beverage experience.
- Member of Executive Committee responsible for hotel’s success, with emphasis on training.
- Development of 2 assistant managers and 1 supervisor.
- Accountable for 10 full time Associates and 15 part time staff.
- Accountable for all aspects of Profit and Loss statements.

**Farmington Marriott, Farmington, CT**

*June 2004-January 2005*

**Director of Event Operations**

- Responsible for all day to day operations and organization of 14,000 square feet of banquet space, which generated \$4.2 million annually.
- Development of 2 assistant managers and 4 supervisors.
- Implemented several changes in par levels and inventory controls in order to reduce cost.
- Accountable for 25 full time Associates and 30 part time staff.
- Responsible for all aspects of Profit and Loss statements.

**EDUCATION:**

University of Phoenix, Phoenix, AZ

**Master of Business Administration (MBA) with concentration in Accounting**

-Graduated with 3.87 GPA

Johnson and Wales University, Providence, RI

**B.S. Hospitality Management & A.S. Recreation/Leisure Management**

-Graduated Magna Cum Laude (B.S.) and Cum Laude (A.S.)

**OTHER:**

Chairman, Advanced Manufacturing Employer Partnership	2015-Present
Chairman, Town of Hebron Capital Improvement Plan Committee	2013-Present
Chairman, Gilead Congregational Church Board of Missions	2011-2016
Member, Goodwin College Advanced Manufacturing Advisory Council	2017-Present
Member, Town of Hebron Parks and Recreation Commission	2011-Present
Lantern’s Mentoring Program Youth Mentor	2009-Present

## CONNECT ENERGY RESOURCES

### Experience

Our team has over 40 years combined experience in the energy procurement space serving small, medium commercial and large commercial, industrial, institutional and municipal customers.

### Plan

As an independent consultant we represent the buyer, not the supplier, when choosing an electricity or natural gas supplier. Our experienced team will educate the customer on the opportunities available in the market while discovering what the customer wants from an energy agreement. Once our pre-RFP exploration with the customer is completed and agreed upon we move to executing an highly competitive and transparent procurement event.

Our staff invites only top-tier, licensed and reputable suppliers to competitively bid on our customer's energy supply. We validate all bids to assure they represent the product terms requested by our customer. We evaluate the supplier agreement with the customer and confirm that the price is secured once the agreement is completed. We manage the relationship between the customer and supplier during the term of the agreement. We support our customer before, during and after the completion of an agreement.

Our analysts monitor the energy market daily so our customers always have a reliable and reputable resource when exploring and planning their energy budget. Customers receive updates on the energy market from our experienced energy analysts.

After the completion of a supplier agreement our staff will set up target pricing for the customers next agreement. The market update may include indicative pricing for their next energy agreement so customers are always informed and ready to take advantage of the opportunities in the market. This market update helps customers stay within their budget for future years.

Our customers need to know what they will spend on energy now and in the future. We provide detailed energy budgets and forecasts to our customer so we can proactively work together as a team to meet their energy goals.

We support our customers, whether it be through a charitable donation, a corporate sponsorship to a golf outing, membership to trade organizations, volunteering our time or buying a booth at a trade show. Further, we provide a single point of contact so our customers always know who to contact when questions arise. Our best way to generate new business is from word of mouth referrals from happy customers who are proactively telling others about their experience with Connect Energy Resources.

### Structure

Charles Daniels -  
President

Michael Talbott – Vice  
President, Sales &  
Operations

Mark Longhi – Vice  
President, Sales

# State of New Jersey



## Board of Public Utilities

44 South Clinton Avenue, 3<sup>rd</sup> Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

### HEREBY REGISTERS

**Connect Energy Resources, LLC**  
11 Settlement Road  
Amston, CT 06231

To conduct business in the State of New Jersey as an

**Energy Agent**

  
Aida Camacho-Welch  
Secretary of the Board

Registration No. EA-0373  
Effective Date: October 29, 2018  
Expiration Date: October 28, 2019