|  |  |  |
| --- | --- | --- |
| PUC logo | COMMONWEALTH OF PENNSYLVANIAPENNSYLVANIA PUBLIC UTILITY COMMISSION400 NORTH STREET, HARRISBURG, PA 17120 | **IN REPLY PLEASE REFER TO OUR FILE** |

September 24, 2019

Docket No. A-2019-3012217

Utility Code: 1222501

**CERTIFIED**

NEIL PANDEY LEGAL DIRECTOR

FINANCE GURU LLC

525 W MONROE STE 900

CHICAGO IL 60661

RE: Natural Gas Supplier License Application

Dear Mr. Pandey:

On August 16, 2019, the Public Utility Commission accepted Finance Guru LLC’s application for a Natural Gas Supplier license. The application was incomplete. In order for us to complete our analysis of your application, the Energy Industry Group requires answers to the attached question(s).

Please be advised that you are directed to forward the requested information to the Commission within **30** days of receipt of this letter. Failure to respond may result in the application being denied. As well, if Finance Guru LLC has decided to withdraw its application, please reply notifying the Commission of such a decision.

Please forward the information to the Secretary of the Commission at the address listed below. When submitting documents, all documents requiring notary stamps must have original signatures. Please note that some responses may be e-filed to your case, <http://www.puc.pa.gov/efiling/default.aspx>. A list of document types allowed to be e-filed can be found at <http://www.puc.pa.gov/efiling/DocTypes.aspx>.

Rosemary Chiavetta, Secretary

Pennsylvania Public Utility Commission

400 North Street

Harrisburg, PA 17120

**Your answers should be verified per 52 Pa Code § 1.36.** Accordingly, you must provide the following statement with your responses:

I, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter.  I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

The blank should be filled in with the name of the appropriate company representative, and the signature of that representative should follow the statement.

In addition, to expedite completion of the application, please also e-mail the information to Jeff McCracken at jmccracken@pa.gov. Please direct any questions to Jeff McCracken, Bureau of Technical Utility Services, at jmccracken@pa.gov (preferred) or (717) 783-6163.

 Sincerely,

 Rosemary Chiavetta

 Secretary

Enclosure

Docket No. A-2019-3012217

Finance Guru LLC

Data Requests

1. Reference Application, Section 1.a, Identity of Applicant – Applicant failed to provide its web address. Please provide an updated application page with the appropriate corrections.

2. Reference Application, Section 1.b, Registered Agent – Applicant failed to provide a telephone number for its Registered Agent. Please provide an updated application page with the appropriate corrections.

3. Reference Application, Section 7.b, Financial Fitness – Applicant provided minimal documentation to demonstrate financial fitness. Please provide additional financial fitness documentation that may include two recent consecutive years of financial statements, two recent consecutive years of income tax filings, and three recent consecutive months of bank statements.

4. Reference Application, Section 7.f, Taxation – Applicant failed to provide a Revenue ID Number. Please resubmit a complete Tax Certification Statement.

5. Reference Application, Section 8.a, Technical Fitness – Applicant failed to provide contracts for any consultant(s) used to demonstrate Technical Fitness. Please provide the missing documentation.

6. Reference Application, Section 8.b, Proposed Marketing Method – Applicant failed to check the boxes next to Affiliate and External Third-Party. Please provide an updated application page with the appropriate corrections.

7. Reference Application, Section 8.d, Oversight of Marketing – Applicant failed to explain its processes, including any verification procedures, used to ensure its employees and/or subcontractors operate ethically and do not slam customers. Please provide the missing documentation.

8. Reference Application, Section 12, Notarized Proofs – Applicant failed to provide a notarized proof of publication for the Harrisburg Patriot-News. Please provide the notarized proof of publication for the above newspaper.