



A-2019-3013214

Phone: 330 995-2675
Toll Free: 888 862-6060
Fax: 800-574-4508
naturalgas-electric.com

Dear Secretary Chiavetta,

Please see the enclosed requested corrections to Independent Energy Consultants, Inc.'s application for an Electric Generation Supplier License.

Per 52 Pa Code § 1.36 please see the following verification statement regarding the enclosed documents.

I, Mark R. Burns, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).

A handwritten signature in cursive script that reads 'Mark R Burns'.

Mark R. Burns
President
Independent Energy Consultants, Inc.

Enclosure

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Section 7A "Sales Tax License" is not applicable to applicant as they do not offer for sale any tangible personal property or services which would require them to obtain a Sales Tax License.

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PA PUBLIC UTIL. COMMISSION
SECRETARY'S BUREAU

Section 8. a – Technical Fitness

Applicant failed to provide a license for Ohio, Illinois, Texas, and Virginia. Please see the relevant state licenses to provide electric aggregation/brokerage services.

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Ohio

**Public Utilities
Commission**

Asim Z. Haque, Chairman

Commissioners
M. Beth Trombold
Thomas W. Johnson
Lawrence K. Friedeman
Daniel R. Conway

4

FILE

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL

Certificate Number:

04-116E (8)

Issued Pursuant to Case Number(s):

04-592-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **Independent Energy Consultants, Inc.** whose office or principal place of business is located at **215 W. Garfield Road, Suite 210, Aurora, OH 44202** to provide **aggregation and power broker** services within the State of Ohio **effective May 23, 2018.**

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated May 25, 2018

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO



Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Susan Patterson, Acting Secretary
Beverly Hoskinson, Acting Secretary

Certificate Expires: May 23, 2020

180 East Broad Street
Columbus, Ohio 43215-3793

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STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

Independent Energy Consultants, Inc. :
: :
Application for Licensure of Agents, :
Brokers and Consultants under : 11-0535
Section 16-115C of the Public :
Utilities Act. :

ORDER

By the Commission:

I. INTRODUCTION

On July 20, 2011, Independent Energy Consultants, Inc. ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker, or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454, "Licensure of Retail Electric Agents, Brokers and Consultants" ("Part 454"). Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of Ohio and licensed to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data. Applicant reports that it has never had any complaints filed against it in any state.

III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Applicant is required by Section 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Section 454.60, as demonstrated in Attachment 1. Attachment 1 includes an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Section 454.60(a). Attachment 1 includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Section 454.60(a).

Applicant is required by Part 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Section 454.70 with the information provided, including the information included in Attachment 2. Attachment 2 includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Section 454.70(a).

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant submitted an original surety bond in satisfaction of Section 454.80. Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the application and attachments concerning the technical, managerial, and financial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements. The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that Independent Energy Consultants, Inc. is granted service authority to operate as an agent, broker, or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) Applicant, a business organized under the laws of Ohio, seeks a certificate of service authority to operate as an ABC under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Applicant has demonstrated that it possesses sufficient financial, managerial, and technical resources and abilities to provide services as an ABC for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Applicant has complied with Section 16-115C of the Act and Part 454; and
- (6) Applicant should be granted a certificate of service authority to operate as an ABC as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that Independent Energy Consultants, Inc. is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker, or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that Independent Energy Consultants, Inc. shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 Ill. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By order of the Commission this 23rd day of August, 2011.

(SIGNED) DOUGLAS P. SCOTT

Chairman

Paul Hudson
 Chairman
Julie Caruthers Parsley
 Commissioner
Barry T. Smitherman
 Commissioner
W. Lane Lanford
 Executive Director



Public Utility Commission of Texas

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 2007 JUN 21 PM 1:52
 PUBLIC UTILITY COMMISSION

TO: Mark R. Burns
 820 Deepwoods Drive
 Aurora, OH 44202

All parties of record

RE: PROJECT NO. 34292 – *Application of Independent Energy Consultants, Inc. for Registration as an Aggregator, Pursuant to P.U.C. SUBST. R. 25.111*

NOTICE OF APPROVAL

On May 14, 2007, Independent Energy Consultants, Inc. filed an application for registration as an Aggregator, pursuant to P.U.C. SUBST. R. 25.111. An amendment to the application was filed on June 13, 2007.

On June 21, 2007, Commission Staff filed its recommendation stating that, based on the information provided in the application, Independent Energy Consultants, Inc. is capable of fulfilling the customer protection provisions, disclosure requirements, and marketing guidelines of PURA.¹ Commission Staff recommended that Independent Energy Consultants, Inc. be registered for the aggregation service for which it has applied.

Based on that recommendation, Independent Energy Consultants, Inc.'s application for registration as an Aggregator is approved.² Independent Energy Consultants, Inc. is granted registration number 80252.

SIGNED AT AUSTIN, TEXAS the 27th day of June 2007.

PUBLIC UTILITY COMMISSION OF TEXAS



ANDREW KANG
ADMINISTRATIVE LAW JUDGE

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¹ The Public Utility Regulatory Act, TEX. UTIL. CODE ANN. §§ 11.001 – 66.017 (Vernon 1998 & Supp. 2006) (PURA).

² Administrative approval of this uncontested application has no precedential value in a future proceeding.

COMMONWEALTH OF VIRGINIA
STATE CORPORATION COMMISSION

LICENSE NO. A-17

Independent Energy Consultants, Inc.

is hereby granted a license pursuant to the Code of Virginia to provide only the following products and services:

Aggregation Service

throughout the Commonwealth of Virginia as the Commonwealth opens up to retail access and customer choice to the following customer classes:

Commercial
Industrial
Residential

under the following terms, conditions, or restrictions:

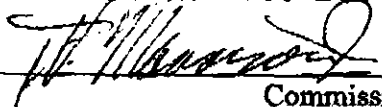
N/A

This license is not valid authority for the provision of any product or service not identified herein to any customer.

Failure of the licensee to comply with any applicable Federal Energy Regulatory Commission or State Corporation Commission Orders and/or Rules and all state and federal laws may result in the revocation, suspension or modification of this license, the imposition of appropriate fines and/or penalties, or additional actions may be taken as necessary to protect the public interest.

Dated at Richmond, Virginia June 11, 2004

STATE CORPORATION COMMISSION

By 
Commissioner

Section 8. e – Technical Fitness

Applicant failed to provide a detailed professional resume for Mark R. Burns, including detailed descriptions on all experiences, activities, and date ranges. Relevant date ranges have been added to the resume provided for Mark R. Burns.

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S OFFICE

Mark R. Burns

President, Independent Energy Consultants, Inc.

Education:

- Master of Business Administration - Baldwin-Wallace College, 1993.
- Bachelor of Electrical Engineering Technology - Youngstown State University, 1982.
- 30+ years extensive training and professional development.

Certifications and Licenses:

- Public Utilities Commission of Ohio Electric Broker and Aggregator (04-116(5)).
- Public Utilities Commission of Ohio Natural Gas Broker and Aggregator (04-078(5)).
- Virginia State Corporation Commission Electric and Natural Gas Aggregator (A-17).
- Public Utilities Commission of Texas Aggregator Registration (80-252)
- Illinois Commerce Commission licensed Agent/Broker/Consultant
- Formerly licensed Senior Reactor Operator from the Nuclear Regulatory Commission (SOP-30781)
- Certified Energy Manager (CEM) – Association of Energy Engineers
- Certified Energy Procurement Professional (CEP) - Association of Energy Engineers
- Certified Thermographer

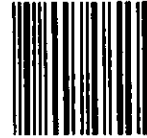
Experience:

- Owner & Founder of two successful energy consulting services companies.
 - Independent Energy Consultants, Inc. **2004 – Present**
 - Buckeye Energy Brokers, Inc. **2000 - 2004**
- Possesses 30-years broad background experience in engineering and management in the Electric and Natural Gas industries.
- Expertise includes providing energy brokerage and aggregation services for governments, and commercial and industrial customers.
- Conduct facility energy audits and recommending efficiency projects.
- Utility tariff analysis and utility bill auditing.
- Operational, financial, and credit risk management.
- Designed, implemented and administered 100+ Governmental Aggregation Programs (natural gas and electric) since the deregulation of Ohio's utilities in 2001.
- Risk Control oversight of a \$1 Billion Gas and Electric trading group (FirstEnergy Corporation) **1998-2000**
- Utility Company Strategic Planning Manager (Centerior Energy) **1990-1998**
- Shift Manager Davis-Besse Nuclear Power Station (Toledo Edison) **1986-1990**
 - Trained Company Spokesperson (Toledo Edison) **1988**
- Instrument and Control Engineer Davis-Besse Nuclear Power Station (Toledo Edison) **1984-1986**
- Electrical Test Engineer of Naval Nuclear Submarines (Newport News Shipbuilding) **1982 - 1984**

Independent Energy Consultants
215 W. Garfield Road Suite 210
Aurora, OH 44202



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Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
400 North Street
Harrisburg, PA 17120

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