

Pennsylvania Public Utility Commission  
Secretary  
400 North Street  
Keyston Building  
Harrisburg PA 17120

RE: Connect Energy Resources, LLC  
Docket No. A-2019-3013174

Please see attached responses to the enclosed requested for additional information.

I, Charles Daniels, hereby state that the fact above set forth are true and correct to the best of my knowledge, information and believe and that I expect to be able to provide the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 PA C.S. § 4904 (relating to unsworn falsification to authorities.)

Thank you,



Charles Daniels  
President  
Connect Energy Resources, LLC

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of Connecticut :

ss. Colchester

County of New London :

Charles Daniels, Affiant, being duly [sworn/affirmed] according to law, deposes and says

that: Charles Daniels  
President

Connect Energy Resources, LLC

[He/she is the President (Office of Affiant) of \_\_\_\_\_ (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Charles Daniels has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Charles Daniels has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Charles Daniels acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Charles Daniels acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

[Signature]  
Signature of Affiant

Sworn and subscribed before me this 5 day of Nov, 2019.

[Signature]  
Signature of official administering oath



**ALICYNE D. GORDON**  
Notary Public, State of Connecticut  
My Commission Expires Dec. 31, 2023

My commission expires \_\_\_\_\_.

APPLICATION FOR

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ALYDRE D. GORDON  
Notary Public, State of Connecticut  
My Commission Expires Dec. 31, 2022





Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of \_\_\_\_\_ :

SS.

County of \_\_\_\_\_ :

Charles Daniels

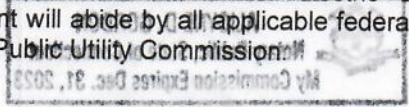
\_\_\_\_\_, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President \_\_\_\_\_ (Office of Affiant) of Connect Energy Resources, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Charles Daniels, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Charles Daniels, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.



That Charles Daniels, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

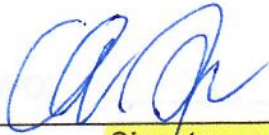
As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

**Appendix B (Continued)**

That Charles Daniels, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

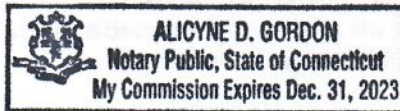


**Signature of Affiant**

Sworn and subscribed before me this 5 day of Nov, 2019.



**Signature of official administering oath**



My commission expires \_\_\_\_\_



## 5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

**No Criminal/Civil Proceedings**

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

n/a

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

None

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

n/a

Good afternoon,

Thank you for contacting the PA Department of Revenue. Please review the questions below to determine if you need a **Revenue ID** number.

1. What is your Incorporation Date or Certificate of Authority date with the Pennsylvania Department of State? \_\_\_\_\_
2. What is your Business Structure with the Pennsylvania Department of State?
  - o Sole Proprietor (DOS filing is not needed except for fictitious Name)
  - o Limited Liability Company (Please indicate whether single or multi member)
  - o Corporation
3. If you checked Sole Proprietor, skip to the Section marked **Sole Proprietors** at the end of this document.
4. Have you completed the IRS 8832 Form and received approval to be classified as an association taxable as a corporation? If so, please provide a copy of your IRS approval letter along with the **Revenue ID Registration Request Form**.
5. For any other selection, how do you file your federal taxes?
  - o Partnership (Federal Form 1065)
  - o S Corporation (Federal Form 1120S)
  - o Disregarded entity (no separate federal filing)
  - o C Corporation (Federal Form 1120)

### **Partnerships**

Partnerships generally do not have a corporate tax filing obligation with the PA-DOR. However, in situations where a partnership has a non-compliant corporate partner, the partnership is required to withhold Corporate Net Income tax on behalf of any non-compliant corporate partner. Such partnerships will need a Revenue ID number and should fill out the attached form in its entirety. Please note that we will not process your form without an Employer Identification Number (EIN). For processing, include a copy of your SS-4 response letter showing the name of your business along with the EIN and e-mail both back to us

### **S Corporations or Limited Liability Companies taxed federally as a Partnership or S Corporation**

If your Incorporation or Certificate of Authority date is AFTER 12/31/2015, you do not have a corporate tax filing obligation with the PA-DOR and do not need to fill out the attached form. You can review any exceptions found [HERE](#)

If your Incorporation or Certificate of Authority date is PRIOR to 12/31/2015, you will need a Revenue ID number. Please fill out the attached form in its entirety. Please note that we will not process your form without an EIN. For processing, include a copy of your SS-4 response letter showing the name of your business along with the EIN and e-mail both back to us.



If you need to register for Sales, Employer, Cigarette or any other taxes administered by the PA Department of Revenue, please go [HERE](#) and file a PA-100. Use the EXACT same name and EIN that you used on the Revenue ID number request form or it will delay processing.

**Limited Liability Companies treated as a Disregarded Entity for federal purposes**

If your Incorporation or Certificate of Authority date is AFTER 12/31/2015, you do not have a corporate tax filing obligation with the PA-DOR and do not need to fill out the attached form. You can review any exceptions [HERE](#).

If your Incorporation or Certificate of Authority date is PRIOR to 12/31/2015, you will need a Revenue ID number. Please fill out the attached form in its entirety. Please note that we will not process your form without an EIN. For processing, include a copy of your SS-4 response letter showing the name of your business along with the EIN and e-mail both back to us.

If you need to register for Sales, Employer, Cigarette or any other taxes administered by the PA Department of Revenue, please go [HERE](#) and file a PA-100. Use the EXACT same name and EIN that you used on the Revenue ID number request form or it will delay processing.

**Corporations taxed federally as a C Corporation**

Regardless of your Incorporation or Certificate of Authority Date, you will need a Revenue ID number. Please fill out the attached form in its entirety. Please note that we will not process your form without an EIN. For processing, include a copy of your SS-4 response letter showing the name of your business along with the EIN and e-mail both back to us.

If you need to register for Sales, Employer, Cigarette or any other taxes administered by the PA Department of Revenue, please go [HERE](#) and file a PA-100. Use the EXACT same name and EIN that you used on the Revenue ID number request form or it will delay processing.

**Sole Proprietors**

If you need to register for Sales, Employer, Cigarette or any other taxes administered by the PA Department of Revenue, please go [HERE](#) and file a PA-100. You DO NOT need to file the attached form. Once your application is processed, you will receive a Revenue ID number, along with your account, license and /or certificate numbers.

Sincerely,

Pennsylvania Department of Revenue

Tax Registration Office