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September 25, 2019

VIA HAND DELIVERY

Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2nd Floor (filing room)
PO Box 3265
Harrisburg, PA 17105-3265

RE: Application of US Grid Energy, LLC d/b/a US Grid Wholesale
Docket No. A-2019-_____; **Contains Confidential Materials**

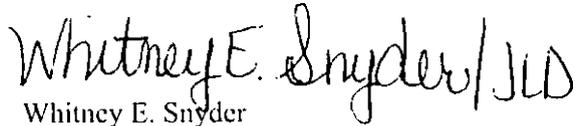
Dear Secretary Chiavetta:

Enclosed for filing with the Commission is a public version of the Application of US Grid Energy, LLC d/b/a US Grid Wholesale (US Grid) for approval to offer, render, furnish, or supply electricity or electric generation services as a Broker/Marketer in the Commonwealth of Pennsylvania. Enclosed in the envelope marked CONFIDENTIAL are confidential materials that should not be placed in the public file. For the Commission's convenience, a copy of this Application is also being provided on the accompanying CD. Also enclosed is a check in the amount of \$350.00 to cover the filing fee for this Application. Copies of this Application have been served as indicated on the attached Certificate of Service.

On this date US Grid will also be filing a Petition for Protective Order with attached proposed Protective Order seeking further protection of the Confidential Materials.

If you have any questions concerning this filing, please contact me.

Very truly yours,


Whitney E. Snyder

Counsel for
US Grid Energy, LLC d/b/a
US Grid Wholesale

WES/jld
Attachment
cc: Per Certificate of Service

PA PUC
SECRETARY'S BUREAU
FRONT DESK

2019 SEP 25 PM 1:00

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BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of US Grid Energy LLC, d/b/a US Grid Wholesale, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) Broker/Marketer to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

US Grid Energy LLC dba US Grid Wholesale
18 Abbott Road
Hamilton, NJ 08690
www.usgridenergy.com
#855-756-7283

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

National Registered Agents, Inc.
600 North Second Street, Suite 401
Harrisburg, PA 17101
Customer Service Phone: #855-756-RATE(7283)
Customer Service Fax: #855-277-6566

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Michael Lento
18 Abbott Road
Hamilton, NJ 08690
Phone: #609-508-3078
Fax: #855-277-6566
Email: michael.lento@usgridenergy.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Michael Lento
18 Abbott Road
Hamilton, NJ 08690
Phone: #609-508-3078
Fax: #855-277-6566
Email: michael.lento@usgridenergy.com

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

SEP 25 2013

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- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Michael Lento, Principal Member
18 Abbott Road
Hamilton, NJ 08690
Phone: #609-508-3078
Fax: #855-277-6566
Email: michael.lento@usgridenergy.com

Alternate: Lawrence Jinks
18 Abbott Road
Hamilton, NJ 08690
Phone: #443-415-8754
Fax: #855-277-6566
Email: lawrence.jinks@usgridwholesale.com

2. **BUSINESS ENTITY FILINGS AND REGISTRATION**

- a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

or

The Applicant will not be using a fictitious name.

- b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**
(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)

foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

OR

X The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- X foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

See Exhibit 2B.

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

See Exhibit 2B.

- Give name and address of officers.

Michael Lento, Esq.
Principal and Founding Member
18 Abbott Road
Hamilton, NJ 08690

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

None.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

None.

4. OPERATIONS

- a. **APPLICANT'S PRESENT OPERATIONS:** (select and complete the appropriate statement)

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

- The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- broker/marketer engaged in the business of supplying electricity services
- Other; Identify the nature of service being rendered.

OR

- X The Applicant is not presently doing new business in Pennsylvania.

- b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a (may check multiple):

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- X Broker/Marketer engaged in the business of supplying electricity services
 - X Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

See Exhibit 4C.

d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- Citizens' Electric
- Duquesne Light
- Met-Ed
- PECO
- Penelec
- Penn Power

- Pike
- PPL
- UGI Utilities
- Wellsboro
- West Penn

X Entire Commonwealth of PA

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Residential and Small Commercial as Mixed Meter **ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)**
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- X All of above (Except Mixed Meter)
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

Upon Approval.

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

None.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not Applicable.

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

- Previously held a Pennsylvania PUC EGS license, reference Docket A-2013-2346222. License was cancelled by the Commission since the *Duick* standards, *supra*, had not been met and failed to comply with the *December 2018 Tentative Order* and the Commission Regulations at 52 Pa. Code §§ 54.40(a).
- Company has no formal complaints from customers.
- For detailed listing of actions, please reference Exhibit 5C.
- Currently holds a Pennsylvania PUC NGS license.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

See Exhibit 5D and 5E.

6. PROOF OF SERVICE

***Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)***

- a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

- b. **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company:
Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

West Penn:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

Met-Ed, Penelec, and Penn Power:
Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:
UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
Post Office Box 12677
Reading, Pa 19612-2677

Citizens' Electric Company:
Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company:
Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

7. FINANCIAL FITNESS

a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

- Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
- Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
- x **For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

See Exhibit 7A.

CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at sjakab@pa.gov to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond, fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

See Exhibit 7B

c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

Only Broker/Marketer.

d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Fees are collected from energy suppliers.

e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Lawrence Jinks
18 Abbott Road
Hamilton, NJ 08690
Phone: #443-415-8754
Fax: #855-277-6566
Email: lawrence.jinks@usgridwholesale.com

f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

See Exhibit 8A.

b. **PROPOSED MARKETING METHOD** (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

See Exhibit 8D.

e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

See Exhibit 8E.

f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- X Not applicable

9. DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- X ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

X AGREED

c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx. Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

X AGREED

d. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

X AGREED

e. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

X ACKNOWLEDGED

f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

X AGREED

g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

X AGREED

h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

X AGREED

i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

X AGREED

j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.

X AGREED

k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

X PAYMENT ENCLOSED

11. AFFIDAVITS

Must be notarized before filing.

a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

- b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

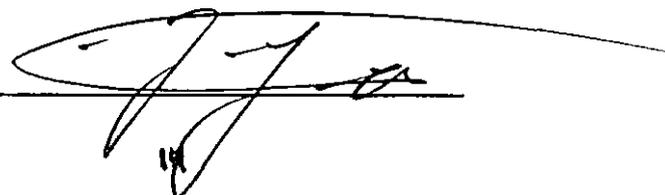
The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices K and L)

13. SIGNATURE

Applicant: _____



By: Michael Lento

Title: Principal Member

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: US Grid Energy LLC

Applicant's Use	X	Signature	
	X	Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)	
	X	Application Affidavit	
	X	Operations Affidavit	
	X	Proof of Publication	
	X	Bond, Letter of Credit, or Parental/Affiliate Guarantee	
	X	Tax Certification Statement	
	X	Commonwealth Department of State Verification	
	X	Certificate of Service	

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of New Jersey :

ss.

County of Mercer :

Michael Lento, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Principal Member (Office of Affiant) of US Grid Energy LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;] hereafter, "US Grid"

That the Applicant herein US Grid has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein US Grid has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein US Grid acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein US Grid acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.


Signature of Affiant

Sworn and subscribed before me this 5th day of September, 2019.


Signature of official administering oath

My commission expires 6-7-2022

Samantha A Levy
Notary Public
New Jersey
My Commission Expires 6-7-2022
No. 50081883

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Appendix B

OPERATIONS AFFIDAVIT

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SECRETARY OF REVENUE

[Commonwealth/State] of New Jersey :

ss.

County of Mercer :

US Grid Energy LLC, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Principal Member (Office of Affiant) of US Grid Energy LLC, "US Grid" (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That US Grid, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That US Grid, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That US Grid, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

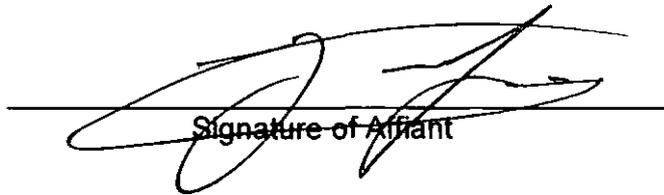
As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That US Grid, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.


Signature of Affiant

Sworn and subscribed before me this 5th day of September, 2019.


Signature of official administering oath

My commission expires 6-7-2022.

Samantha A Levy
Notary Public
New Jersey
My Commission Expires 6-7-2022
No. 50061883

Exhibit 2(b)

- 15 Pa. C.S. 8981. Proof of compliance with the Department of State filing requirements.
- Copy of Applicant's charter documentation from the State of Delaware.
- Name and Address of Officers:

Michael J Lento, Esp.
Principal and Foudning Member
18 Abbott Road
Hamilton, NJ 08690

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "PJM WHOLESALE BROKERS, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTIETH DAY OF NOVEMBER, A.D. 2012.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "PJM WHOLESALE BROKERS, LLC" WAS FORMED ON THE SIXTEENTH DAY OF NOVEMBER, A.D. 2012.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE NOT BEEN ASSESSED TO DATE.

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JEFFREY W. BULLOCK
SECRETARY OF STATE

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You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 0001370

DATE: 11-20-12

PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

Application for Registration - Foreign

(15 Pa.C.S.)

- Registered Limited Liability General Partnership (§ 8211)
- Registered Limited Liability Limited Partnership (§ 8211)
- Limited Partnership (§ 8582)
- Limited Liability Company (§ 8981)

Name PJM Wholesale Brokers, LLC		
Address 18 Abbott Rd		
City Hamilton	State N.J.	Zip Code 08690

Document will be returned to the name and address you enter to the left.

Commonwealth of Pennsylvania
APPLICATION FOR REGISTRATION 3 Page(s)



Foc: \$250

In compliance with the requirements of the applicable provisions (relating to registration), the undersigned, desiring to register to do business in this Commonwealth, hereby states that:

1. The name of the limited liability company/limited liability partnership/limited partnership in the jurisdiction in which it is formed:
PJM Wholesale Brokers, LLC

2. The name under which the limited liability company/limited liability partnership/limited partnership proposes to register and do business in this Commonwealth is:
PJM Power Brokers, LLC

3. The name of the jurisdiction under the laws of which it was organized and the date of its formation:
Jurisdiction: **DE** Date of Formation: **11/15/12**

4. The (a) address of its initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and street	City	State	Zip	County
(b) Name of Commercial Registered Office Provider				County
National Registered Agents, Inc.				Dauphin

PA DEPT. OF STATE
NOV 30 2012

5. Check and complete one of the following:

The address of the office required to be maintained by it in the jurisdiction of its organization by the laws of that jurisdiction is:

Number and street	City	State	Zip

It is not required by the laws of its jurisdiction of organization to maintain an office therein and the address of its principal office is:

18 Abbott Road	Hamilton	NJ	08890
Number and street	City	State	Zip

6. For Restricted Professional Limited Liability Company Only, Strike out if Inapplicable: The company is a restricted professional company organized to render the following professional service(s):

XX

Limited Liability Partnership and Limited Partnership: Complete paragraphs 7 and 8

7. The name and business address of each general partner.

Name	Business Address

8. The address of the office at which is kept a list of the names and addresses of the limited partners and their capital contribution is:

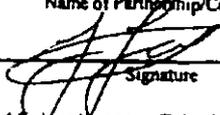
Number and street	City	State	Zip	County

The registered partnership hereby undertakes to keep those records until its registration to do business in the Commonwealth is canceled or withdrawn.

IN TESTIMONY WHEREOF, the undersigned has caused this Application for Registration to be signed by a duly authorized officer/member or manager thereof this

26 day of November, 2012

PJM Wholesale Brokers, LLC
Name of Partnership/Company


Signature

Michael Lento, Principal Member
Title

Rev. 9/12

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE SAID "PJM WHOLESALE BROKERS, LLC", FILED A CERTIFICATE OF AMENDMENT, CHANGING ITS NAME TO "US GRID ENERGY, LLC", THE SEVENTEENTH DAY OF JUNE, A.D. 2013, AT 12:48 O'CLOCK P.M.

5243739 8320

130838519

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 0556612

DATE: 07-01-13

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "US GRID ENERGY, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-FOURTH DAY OF JUNE, A.D. 2013.



5243739 8300

130809405

You may verify this certificate online
at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 0537373

DATE: 06-24-13

COMMONWEALTH OF PENNSYLVANIA

DEPARTMENT OF STATE

09/19/2019

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

US Grid Energy, LLC

is duly registered to do business under the laws of the Commonwealth of Pennsylvania and remains a registered Foreign Limited Liability Company so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT this Certificate of Registration shall not imply that all fees, taxes and penalties owed to the Commonwealth of Pennsylvania are paid.

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SECRETARY'S OFFICE



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written

Katly Bookman

Acting Secretary of the Commonwealth

Certification Number: TSC190919110844-1

Verify this certificate online at <http://www.corporations.pa.gov/orders/verify>

Exhibit 4(c)

Applicant, US Grid Energy LLC, intends to offer its end-use customers within the Commonwealth, the most comprehensive options available in the deregulated electricity market. The Company intends on accomplishing this goal by analyzing each customer's specific historical data and needs, and pairing that customer with a licensed EGS whose terms and rates best fit such needs.

Exhibit 5(c)

The following is a detailed list of actions between the Commission and US Grid Energy LLC in reference to Docket A-2013-2346222 as well as a listing of the daily actions.

- On February 4, 2013, US Grid, formerly known as PJM Wholesale Brokers LLC,⁴ filed an application seeking a license from the Commission to provide EGS services as a broker/marketer in all electric distribution company service territories throughout the Commonwealth of Pennsylvania (Application).
- By Order entered on September 12, 2013,⁵ the Commission approved US Grid's Application and concurrently issued US Grid a license as an Electric Generation Supplier to provide "electric generation supplier service as a broker/marketer to residential, small commercial (25kW and under demand), large commercial (over 25 kW demand), industrial, and governmental customers in all electric distribution company service territories within the Commonwealth of Pennsylvania."⁶ As noted in the *September 2013 Order*, the Commission reduced the bond and security amount for US Grid from the usual \$250,000 to \$10,000 because US Grid averred that "it will not be collecting any gross receipts from its customers, paying customer bills or taking title to electricity."⁷ The Commission found that the reasoning on which US Grid based its request for a bond reduction from \$250,00 to \$10,000 was similar to that offered by the other EGSs that successfully petitioned for a bonding level of \$10,000.⁸ Consequently, the Commission reduced US Grid's bond to \$10,000. In addition, the Commission directed US Grid that if it planned to change its business model, it shall notify the Commission forty-five days prior to the change.¹⁹
- As proof of financial security, US Grid subsequently posted a bond of \$10,000 issued through the Philadelphia Indemnity and Insurance Company, valid from December 7, 2013 to December 7, 2014.

⁴ US Grid initially filed its application under the name "PJM Wholesale Brokers LLC," but on July 29, 2013, it changed its name to "US Grid, LLC."

⁵ See, *License Application of US Grid Energy, LLC for Approval to Offer, Render, Furnish or Supply Electricity or Electric Generation Services as a Broker/Marketer*, Docket No. A-2013-2346222, (Order entered September 12, 2013) (*September 2013 Order*).

⁶ See, *License for Electric Generation Supplier at Docket No. A-2013-2346222*, effective September 12, 2013.

⁷ *September 2013 Order* at 4-5.

⁸ *Id.*

⁹ *Id.* at 5.

- On April 2, 2014, US Grid filed a Bond Continuation Certificate that was valid from December 7, 2014 to December 7, 2015.
- On October 6, 2014, US Grid was notified by the Bureau of Technical Utility Services (TUS), via a sixty-day Bond Renewal Notice letter, to provide proof to the Commission, within sixty-days of the date of the letter, that it had obtained a bond or other approved security to maintain its status as a licensed electric supplier in Pennsylvania. The letter admonished US Grid that its license may be revoked if it failed to provide such proof to the Commission.
- On March 3, 2015, the Commission issued a second notification letter informing US Grid that it still had not submitted the required proof of bond or approved security and directed it to forward the requested information to the Commission within ten days of receipt of the letter.
- Subsequently, on May 11, 2015, US Grid filed a Bond Continuation Certificate with an effective date of December 7, 2014 until December 7, 2015.
- On August 20, 2015, TUS sent US Grid another sixty-day Bond Renewal Notice letter in which it indicated that US Grid's bond, or other approved security, was set to expire on December 7, 2015. The letter advised US Grid that it must file with the Commission any documentation that a bond or other approved security had been obtained before December 7, 2015. The letter also stated that failure to do so may cause the initiation of a formal proceeding which could result in the cancellation of US Grid's EGS license.
- US Grid did not file a Bond Renewal Certificate or other approved security document by its bond expiration date of December 7, 2015. Accordingly, by Tentative Order entered on December 17, 2015, at Docket No. M-2490383, the Commission tentatively approved cancellation of US Grid's EGS license. The Tentative Order was served on the company and published in the Pennsylvania Bulletin.
- On December 23, 2015, US Grid filed a Bond Renewal Certificate valid from December 7, 2015 until December 7, 2016.
- By letter dated October 6, 2016, TUS reminded US Grid that its approved security was set to expire on December 7, 2016, and that it was required to file renewal of the current security at least thirty days prior to its expiration date.
- On December 15, 2016, US Grid filed a Bond Renewal Certificate valid from December 7, 2016 till December 7, 2017.
- On September 18, 2018, TUS sent a letter to US Grid that warned the Company that its bond would expire on December 7, 2018 (*September 2018 Renewal Notice*). TUS also advised the Company to file a new or updated financial security instrument with the Commission before the expiration date in order to maintain its license. The *September 2018 Renewal Notice* further advised US Grid of the consequences of its failure to file an approved security with the Commission in a timely manner.

Failure to file the requested financial security at least thirty (30) days prior to the expiration date (highlights removed) of the financial security may cause Commission staff to initiate a formal proceeding, that may lead to cancellation of US Grid Energy LLC's electric supplier license, fines and penalties, removal of the company's information from the Commission's website and notification to all electric distribution companies, in which US Grid energy LLC is licensed to do business. If US Grid Energy LLC has decided to abandon its license, please notify the Commission of such decision.

- US Grid never provided a new or updated financial security instrument in response to the *September 2018 Renewal Notice*.
- On December 20, 2018, the Commission provided a second warning to US Grid via a Tentative Order cancelling the Company's EGS license due to its failure to maintain a bond or other financial security approved by the Commission.¹⁰ The *December 2018 Tentative Order* initiated a cancellation process for US Grid as well as six other EGSs for non-compliance with Commission Regulations at 52 Pa. Code §§ 54.40(a) and (d). The Commission informed the six EGSs that, absent the filing of adverse public comment, or the filing of an approved financial security by the identified EGSs, within thirty days after publication in the *Pennsylvania Bulletin*, the Commission would issue a Final Order cancelling US Grid's EGS license. Notably, the *December 2018 Tentative Order* contained the same disclaimer language as the *September 2018 Renewal Notice* advising US Grid of the potential consequences for failure to comply with the Tentative Order:

Failure to file before the financial security's expiration date may cause Commission staff to initiate a formal proceeding that may lead to the following: cancellation of each company's electric supplier license, removal of each company's information from the Commission's website and notification to all electric distribution companies, in which each company is licensed to do business, of the cancellation of the license.
- The Commission served the *December 2018 Tentative Order* on all of the Electric Generation Suppliers in the Supplier Table, including US Grid, the Office of Consumer Advocate, the Office of Small Business Advocate, the Commission's Bureau of Investigation & Enforcement, the Pennsylvania Department of Revenue, and all jurisdictional electric distribution companies. The *December 2018 Tentative Order* was also published in the *Pennsylvania Bulletin* on Saturday, January 5, 2019, at 49 Pa.B. 81, with a thirty-day comment period.

¹⁰ See, *Electric Generation Supplier Cancellation of Companies with an Expired Financial Security or Insufficient Financial Security Amount*, Docket No. M-2018-2640827 (*December 2018 Tentative Order*).

- US Grid did not file adverse comments; nor did it timely file an approved financial security instrument with the Commission. No other parties filed comments regarding the tentative cancellation of US Grid's license by the due date. Therefore, on March 1, 2019, the Commission entered the *March 2019 Final Order* at Docket No. M-2018-2640827 that declared US Grid's failed to provide a bond or an approved financial security in response to the *December 2018 Tentative Order*. The *March 2019 Final Order* further noted that the *December 2018 Tentative Order* became final by operation of law, and that US Grid's EGS license therefore had been canceled because US Grid failed to comply with the *December 2018 Tentative Order* and the Commission Regulations at 52 Pa. Code §§ 54.40(a).

Summary of Applicant, US Grid Energy LLC:

Daily Actions

Action Date	Description	Posted Date
2/4/2013	Case Created - A-2013-2346222 - PJM Wholesale Brokers LLC filed application to become a broker/marketer to supply electric service in Pennsylvania.	2/4/2013
2/4/2013	Document Published on Web - Document 'PJM Wholesale Brokers LLC License Application' is made public	2/4/2013
2/4/2013	Document Published on Web - Document 'Motion for Protective Order - PJM Wholesale Brokers LLC' is made public	2/4/2013
2/5/2013	Assigned Responsible Bureau - FUS	2/5/2013
2/5/2013	Document Published on Web - Document 'PJM Wholesale Brokers LLC EGS Ack Ltr.doc' is made public	2/5/2013
3/7/2013	Document Served - Other - See Comments - SEC LETTER SERVED	3/7/2013
3/7/2013	Document Published on Web - Document 'PJM Wholesale Extension Letter.docx' is made public	3/7/2013
3/27/2013	Document Attached to Case - Ltr/Request to Deny any License Appl from using PJM in their Name - PJM Interconnection LLC - PJM Interconnection LLC filed a letter requesting the PUC deny any license application from using PJM in their name or doing business as name.	3/27/2013
3/27/2013	Document Published on Web - Document 'Ltr/Request to Deny any License Appl from using PJM in their Name - PJM Interconnection LLC' is made public	3/27/2013
4/12/2013	Document Published on Web - Document 'update to tax certification' is made public	4/12/2013
4/30/2013	Document Attached to Case - Reply to Data Request use of PJM Trademark- PJM Wholesale Brokers LLC - PJM Wholesale Brokers filed Reply to Data Request on resolving use of PJ Mtrademark.	4/30/2013

5/7/2013	Document Attached to Case - Ltr Adv Data Response Satisfies Concerns - PJM Interconnection - PJM Interconnection LLC filed Letter advising entered into settlement agreement with PJM Wholesale Brokers LLC and data response does satisfy concerns.	5/7/2013
5/7/2013	Document Published on Web - Document 'Ltr Adv Data Response Satisfies Concerns - PJM Interconnection' is made public	5/7/2013
8/7/2013	Document Attached to Case - Bond-US GRID ENERGY LLC-\$7,500,000.00 - PJM Wholesale Brokers filed Name Change request to US Grid Energy LLC and Bond in amount of \$7,500,000.00.	8/7/2013
8/7/2013	Document Attached to Case - Name Change-US GRID ENERGY LLC - PJM Wholesale Brokers filed Name Change request to US Grid Energy LLC and Bond in amount of \$7,500,000.00.	8/7/2013
8/7/2013	Document Published on Web - Document 'Name Change-US GRID ENERGY LLC' is made public	8/7/2013
9/12/2013	Document Served - Other - See Comments	9/12/2013
9/12/2013	Document Published on Web - Document 'Order - US Grid Energy.docx' is made public	9/12/2013
9/12/2013	Document EServed to POR - Document 'Order - US Grid Energy.docx' is eServed - {04E4C07B-B915-4200-99F8-4E71F1DE8D25}	9/12/2013
4/3/2014	Document Attached to Case - Continuation Bond - US Grid Energy, LLC - US Grid Energy, LLC filed Continuation Certificate.	4/3/2014
7/28/2014	Document Published on Web - Document 'US GRID ENERGY LLC RETURN REG CONTACT UPDATE-ORIGINAL SIG' is made public	7/28/2014
3/3/2015	Document Served - Other - See Comments - SEC LETTER SERVED 3/3/15	3/3/2015
3/3/2015	Document Published on Web - Document 'US Grid Energy A-2013-2346222 Bond Renewal Warning Letter Feb 2015.docx' is made public	3/3/2015
5/20/2015	Document Attached to Case - Continuation Certificate to Bond - Effective Until 12/7/15 - US Grid Energy LLC - US Grid Energy LLC filed continuation certificate to bond, effective until December 7, 2015.	5/20/2015
12/17/2015	Document Published on Web - Document 'Tentative Order License Cancellation EGS Surety 12.17.15.docx' is made public	12/17/2015
12/17/2015	Document EServed to POR - Document 'Tentative Order License Cancellation EGS Surety 12.17.15.docx' is eServed - {CF6BD761-7406-4422-9069-2F9ACBA9C1F8}	12/17/2015
12/29/2015	Document Attached to Case - Bond Continuation 12/7/15 to 12/7/16 - US Grid Energy LLC - US Grid Energy LLC filed bond continuation.	12/29/2015
3/4/2016	Document Published on Web - Document 'Letter re name conflict - US Grid Energy' is made public	3/4/2016
3/14/2016	Document Published on Web - Document 'Final Order License Cancellation EGS Surety-tent order 12.17.15.docx' is made public	3/14/2016
3/14/2016	Document EServed to POR - Document 'Final Order License Cancellation EGS Surety-tent order 12.17.15.docx' is eServed - {97A403B0-4022-4893-8468-74199846B162}	3/14/2016
12/22/2016	Document Attached to Case - Bond continuation 12-7-2016 to 12-7-2017 - US Grid Energy LLC - US Grid Energy LLC filed Bond continuation.	12/22/2016
3/28/2017	Document Attached to Case - Bond exp 12-7-2017 - US Grid Energy LLC - US Grid Energy LLC filed updated Bond.	3/28/2017
11/20/2017	Document Attached to Case - Bond Continuation 12-7-2017 to 12-7-2018 - US Grid Energy LLC - US Grid Energy LLC filed Bond Continuation.	11/20/2017

12/20/2018	Document Published on Web - Document 'Tentative Order License Cancellation EGS Surety 12.20.18.docx' is made public	12/20/2018
12/20/2018	Document EServed to POR - Document 'Tentative Order License Cancellation EGS Surety 12.20.18.docx' is eServed - {685D4215-ACCD-C833-8514-67CB9B200000}	12/20/2018
3/1/2019	Case ReOpened - ReOpened - FUS	3/1/2019
3/1/2019	Document Published on Web - Document 'Final Order License Cancellation EGS Surety 12.20.18.docx' is made public	3/1/2019
3/1/2019	Document EServed to POR - Document 'Final Order License Cancellation EGS Surety 12.20.18.docx' is eServed - {B9807595-4CCC-C877-849B-69399FF00000}	3/1/2019
3/12/2019	Document Attached to Case - CONFIDENTIAL Petition for Reconsideration (Bond Continuation) - US Grid Energy LLC - US Grid Energy LLC filed Bond Continuation deemed Petition for Reconsideration.	3/12/2019
3/12/2019	Document Attached to Case - Petition for Reconsideration - US Grid Energy - US Grid Energy LLC filed Bond Continuation deemed Petition for Reconsideration.	3/12/2019
3/12/2019	Document Published on Web - Document 'Petition for Reconsideration - US Grid Energy' is made public	3/12/2019
4/2/2019	Document Attached to Case - Bond Name Change effective 6-17-2013 - PJM Whole Sale Brokers LLC / US Grid Energy LLC	4/2/2019
5/9/2019	Document Served - Other - See Comments - ORDER ENTERED 5/9/19	5/9/2019
5/9/2019	Document Published on Web - Document 'Opinion and Order - 2346222-OSA - 5-9-19 PM - Petition for Reconsideration of Order Cancelling Order- Application of US Grid Energy' is made public	5/9/2019
5/9/2019	Document EServed to POR - Document 'Opinion and Order - 2346222-OSA - 5-9-19 PM - Petition for Reconsideration of Order Cancelling Order- Application of US Grid Energy' is eServed - {31461653-9D59-CE84-8458-6A9C69300000}	5/9/2019
5/10/2019	Document Served - Other - See Comments - SEC LETTER RETURNING FINANCIAL INSTRUMENTS SERVED 5-10-19	5/10/2019
5/10/2019	Document Published on Web - Document 'RETURN OF EGS BOND OR LETTER OF CREDIT - CANCELLATION OF LICENSE- 5-10-19.docx' is made public	5/10/2019

Exhibit 5(d)

Applicant, US Grid Energy LLC, formally filed the Petition for Reconsideration filed on March 8, 2019 including current Financial Security which reflected no lapse in coverage, but our response did not address that we failed to respond directly to the notices provided by the Commission. US Grid Energy LLC had a miscommunication with our Bond Company who maintained that they were sending all original Bonds in directly on our behalf however this miscommunication lead to a failure in the Commission receiving the required documents in the allotted time. Ultimately US Grid Energy, LLC received an Opinion and Order served May 9, 2019 denying our petition for Reconsideration and requirement of refilling for our PA License.

Consequently, our present status is our company's PA EGS license is cancelled and we have attached hereto a reapplication as recommended by the PUC.

US Grid Energy LLC acknowledges the consequence for failing to timely file an approved Financial Security with the Commission and in response to this and moving forward, US Grid Energy LLC has established new internal procedures for all communication and documents, requiring all documents to flow through two US Grid Energy, LLC staff for redundancy so any notices given by the Commission will be responded to with immediate attention, and outside vendors such as our Bond Company now have a requirement to flow all documents to our internal management team to ensure our deadlines with the Commission are met. US Grid Energy, LLC is committed to communicate and file the required Financial Security, Renewal Bond Certificate, and all other documents in a timely manner and in accordance to the Commission's requirements.

For the record US Grid Energy LLC has never lapsed on coverage for the Financial Security required by the Commission ever since US Grid Energy, LLC's was first granted its license and up to the present date but recognizes that our failure to provide that information to the Commission in a timely manner and in accordance to Commission's requirements put our EGS license in jeopardy resulting in it being cancelled. We will and are committed to file according to the Commission requirements in a timely manner and apologize for this miscommunication.

Exhibit 7(b)

Applicant, US Grid Energy LLC ("Applicant"), was formed in November 2012. It is solely owned and funded by Michael J Lento, the principal. No parent, affiliated or subsidiary companies exist with relation to the Applicant.

To demonstrate financial capability, the Applicant herein provides the following:

- Published Applicant's financial and credit information (i.e. 10Q or 10K), (SEC/EDGAR web addresses are sufficient)

- Applicant's accounting statements, including balance sheet and income statements for the past two years.

- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.

- Audited financial statements exhibiting accounts over a minimum two year period.

- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

Exhibit 8(a)

Applicant, US Grid Energy LLC ("Applicant"), was duly formed under the laws of the State of Delaware in November 2012. Originally filed as PJM Wholesale Brokers, LLC and officially changed its name to US Grid Energy LLC in June 2013. Since that time, Applicant has similar license to broker electricity and natural gas, where applicable in California, Connecticut, New Jersey, New York, Delaware, The District of Columbia, Maryland, Michigan, Ohio, New Hampshire, Rhode Island, Maine, Illinois and Texas. At no time will applicant be taking title to electric energy and will only act as Broker in the Commonwealth upon licensure.

Applicant intends to offer service in all areas of Pennsylvania as an electricity Broker and will not be supplying or taking title to any commodity. US Grid Energy LLC's mission is to assist residential, commercial and industrial end-use consumers effectively manage their energy costs through effective purchasing strategies.

Applicant's officer brings over 11 years of experience in the electricity industry.

Since Applicant does not take title to electric energy, it is not required to have active membership in any regional reliability councils.

The Company currently has two employees full time and is in the process of expanding and hiring additional C-level executives as well as sales managers and directors.

Please see attached business plans for operations within the Commonwealth.

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

SEP 25 2019

RECEIVED

US Grid Energy, LLC

Business Plan

Michael J Lento, Esquire
Founder and Principal
18 Abbott Road
Hamilton, NJ 08690

Phone: #609-508-3078

Fax: #855-277-6566

Email: michael.lento@usgridenergy.com

www.usgridenergy.com

Executive Summary

US Grid Energy LLC is an independent energy marketer delivering industry-best procurement and portfolio strategies. The company's goal is to become a leading energy broker within the deregulated marketplace by helping end-use customers make informed choices based on current market condition, historical data, and future estimated needs. As an independent energy marketer, we work to secure the lowest-possible energy costs while prioritizing quality service. Fusing our progressive energy vision with custom procurement strategies, US Grid Energy works diligently to manage energy expenditures, simplify utility bills and help customers navigate the evolving energy market.

US Grid Energy LLC specializes in custom energy procurement and management programs for all size commercial and industrial facilities. Versed in the complexities of carrying utility tariffs and state jurisdictions, US Grid Energy helps clients understand energy costs, manage energy use and control bills to maximize cost reduction.

Business Strategy

US Grid Energy's mission is to assist residential, commercial and industrial consumers control energy costs and optimize budgets to achieve long-term energy goals. Our strategy is to service the following deregulated markets:

California, Connecticut, Delaware, District of Columbia, Illinois, Maine, Maryland, Michigan, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island and Texas.

As a leading energy broker within the competitive market, US Grid Energy tailors its approach to the unique operational needs of our customers' businesses and residences. Drawing on strategic supplier relationships, we develop and implement efficient, cost-effective energy programs for all customer classes and sizes. Expertise includes:

- Residential Customers, where applicable
- Small and Mid-Size Retail Business
- Large Industrial and Manufacturing
- Governmental and Municipal Accounts
- National Accounts – Multi-State and Multi-Unit

Marketing Summary

US Grid Energy's marketing will rely on strategic partnerships with experienced power marketers, third party suppliers and agents. The company plans on recruiting and employing industry experts to manage, train and drive business through a direct, internal sales force and exclusive channel partner relationships.

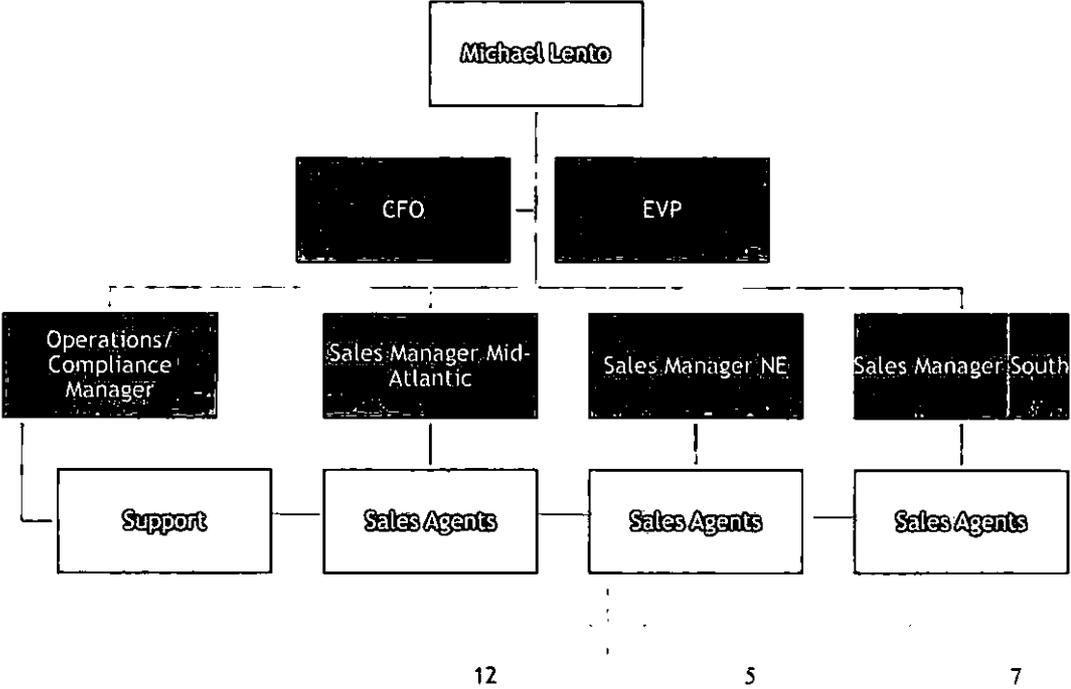
Key Personnel

Michael J Lento, Esquire, Founder and Principal

Michael.lento@usgridenergy.com

Mr. Lento has over sixteen years' experience in litigation and contract law. Once becoming partner, the level of services offered to clients included reviewing energy agreements as well as explaining the difference in energy options. Mr. Lento's familiarity with Real Time and Day Ahead markets grew extensively over the past nine years as this expertise was required to service his commercial clients and their needs better. Lento's expertise through key relationships in the energy business has grown to the point where these strategic associations will allow for rapid growth in the energy procurement footprint.

US Grid Energy



Please see the following list of states through which US Grid Energy, LLC is licensed to broker electricity:

1. California
2. Connecticut
3. Delaware
4. District of Columbia
5. Illinois
6. Maine
7. Maryland
8. Michigan
9. New Hampshire
10. New Jersey
11. New York
12. Ohio
13. Pennsylvania
14. Rhode Island
15. Texas

As such, no formal complaints have been filed in any jurisdiction.

Alex Padilla
California Secretary of State

201334710100 US GRID ENERGY LLC

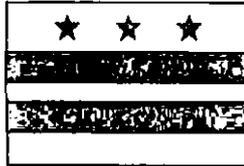
Registration Date: 12/09/2013
Jurisdiction: DELAWARE
Entity Type: FOREIGN
Status: FTB FORFEITED
Agent for Service of Process: **NATIONAL REGISTERED AGENTS, INC.**
(C1941323)
 To find the most current California registered Corporate Agent for Service of Process address and authorized employee(s) information, click the link above and then select the most current 1505 Certificate.

Entity Address: 18 ABBOTT ROAD
 HAMILTON NJ 08690
Entity Mailing Address: 18 ABBOTT ROAD
 HAMILTON NJ 08690
LLC Management *

Document Type	⇅ File Date	⇅ PDF
SI-NO CHANGE	01/16/2018	
SI-COMPLETE	10/19/2015	
REGISTRATION	12/09/2013	

* Indicates the information is not contained in the California Secretary of State's database.

GOVERNMENT OF THE DISTRICT OF COLUMBIA
DEPARTMENT OF CONSUMER AND REGULATORY AFFAIRS
CORPORATIONS DIVISION



C E R T I F I C A T E

THIS IS TO CERTIFY that all applicable provisions of the District of Columbia Business Organizations Code have been complied with and accordingly, this **CERTIFICATE OF AMENDED REGISTRATION** is hereby issued to:

US GRID ENERGY, LLC

Effective Date: 7/31/2013

IN WITNESS WHEREOF I have hereunto set my hand and caused the seal of this office to be affixed as of 7/31/2013 3:33 PM

Business and Professional Licensing Administration

PATRICIA E. GRAYS
Superintendent of Corporations
Corporations Division



Vincent C. Gray
Mayor

Tracking #: 8ODYSzsq

RECEIVED
2019 SEP 25 PM 2:27
SECRETARY'S BUREAU

Department of State: Division of Corporations

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- [Submitting a Request](#)
- [How to Form a New Business Entity](#)
- [Certifications, Apostilles & Authentication of Documents](#)

Entity Details

File Number: 5243739 **Incorporation Date / Formation Date:** 11/16/2012 (mm/dd/yyyy)

Entity Name: US GRID ENERGY, LLC

Entity Kind: Limited Liability Company **Entity Type:** General

Residency: Domestic **State:** DELAWARE

REGISTERED AGENT INFORMATION

Name: AGENTS FOR DELAWARE CORPORATIONS, INC.

Address: 310 ALDER RD PO BOX 841

City: DOVER **County:** Kent

State: DE **Postal Code:** 19804

Phone: 302-734-5143

[View Search Results](#)

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OFFICE OF THE ILLINOIS SECRETARY OF STATE



JESSE WHITE
SECRETARY OF STATE

LLC FILE DETAIL REPORT

File Number	04098331		
Entity Name	US GRID ENERGY, LLC		
Status	ACTIVE	On	10/02/2018
Entity Type	LLC	Type of LLC	Foreign
File Date	12/06/2012	Jurisdiction	DE
Agent Name	NATIONAL REGISTERED AGENTS INC	Agent Change Date	02/25/2015
Agent Street Address	208 SO LASALLE ST, SUITE 814	Principal Office	18 ABBOTT ROAD HAMILTON, NJ 086900000
Agent City	CHICAGO	Managers	View
Agent Zip	60604	Duration	PERPETUAL
Annual Report Filing Date	10/02/2018	For Year	2018
Assumed Name	ACTIVE - US GRID WHOLESALE		
Old LLC Name	08/26/2013 - PJM WHOLESALE BROKERS, LLC		
Series Name	NOT AUTHORIZED TO ESTABLISH SERIES		

➔ Maryland Business Express

US GRID ENERGY, LLC: Z14985261

[General Information](#)[Filing History](#)[Annual Report/Personal Property](#)

General Information

Department ID Number:
Z14985261

Business Name:
US GRID ENERGY, LLC

Principal Office:
310 IADER RD.
DOVER DE 19904

Resident Agent:
NATIONAL REGISTERED AGENTS,
INC. OF MD.
2405 YORK ROAD
SUITE 201
LUTHERVILLE TIMONIUM MD
21093-2264

Status:
ACTIVE

Good Standing:
THIS BUSINESS IS IN GOOD
STANDING

Business Type:
FOREIGN LLC

Business Code:

20 ENTITIES OTHER THAN
CORPORATIONS

MARYLAND SOS CONT'D

Date of Formation/ Registration:

12/14/2012

State of Formation:

DE

Stock Status:

N/A

Close Status:

N/A



MAINE
 Department of the Secretary of State
 Bureau of Corporations, Elections and Commissions

This record contains information from the CEC database and is accurate as of: Tue Jun 11 2019 13:22:15. Please print or save for your records.

Legal Name	Charter Number	Filing Type	Status
US GRID ENERGY, LLC	20130294FC	LIMITED LIABILITY COMPANY (FOREIGN)	GOOD STANDING

Filing Date	Expiration Date	Jurisdiction
11/30/2012	N/A	DELAWARE

Other Names (A=Assumed ; F=Former)

US GRID WHOLESALE LLC	A
PJM WHOLESALE BROKERS, LLC	F

Clerk/Registered Agent

NATIONAL REGISTERED AGENTS, INC.
 128 STATE ST #3
 AUGUSTA, ME 04330

RECEIVED
 2019 SEP 25 PM 2:27
 SECRETARY OF STATE BUREAU

LARA Corporations
Online Filing System
 Department of Licensing and Regulatory Affairs

ID Number: 801878733

Summary for: US GRID ENERGY, LLC

The name of the FOREIGN LIMITED LIABILITY COMPANY: US GRID ENERGY, LLC

Entity type: FOREIGN LIMITED LIABILITY COMPANY

Identification Number: 801878733 **Old ID Number:** D92531

Date of Qualification in Michigan: 06/27/2013

Organized under the laws of: the state of Delaware

Purpose:

Term: Perpetual

The name and address of the Resident Agent:

Resident Agent Name: NATIONAL REGISTERED AGENTS, INC.

Street Address: 40600 ANN ARBOR RD E STE 201

Apt/Suite/Other:

City: PLYMOUTH **State:** MI **Zip Code:** 48170

Registered Office Mailing address:

P.O. Box or Street Address:

Apt/Suite/Other:

City: **State:** **Zip Code:**

Business Information

Business Details

Business Name: US GRID ENERGY, LLC	Business ID: 685029
Business Type: Foreign Limited Liability Company	Business Status: Good Standing
Business Creation Date: 01/11/2013	Name in State of Formation: US GRID ENERGY, LLC
Date of Formation in Jurisdiction: 01/11/2013	
Principal Office Address: 18 Abbott Rd., Hamilton, NJ, 08690, USA	Mailing Address: 18 Abbott Rd., Hamilton, NJ, 08690, USA
Citizenship / State of Formation: Foreign/Delaware	
	Last Annual Report Year: 2019
	Next Report Year: 2020
Duration: Perpetual	
Business Email: michael.lento@usgridwholesale.com	Phone #: 732-766-3446
Notification Email: michael.lento@usgridwholesale.com	Fiscal Year End Date: NONE

Principal Purpose

S.No	NAICS Code	NAICS Subcode
1	OTHER / Electricity and natural gas broker, any lawful purpose.	

Principals Information

Name/Title

Michael J Lento / Manager

Business Address

18 Abbott Road, Hamilton, NJ, 08690, USA

Page 1 of 1, records 1 to 1 of 1

Registered Agent Information

Name: National Registered Agents, Inc.

Registered Office 2 1/2 Beacon Street, Concord, NH, 03301 - 4447, USA

Address:

Registered Mailing 2 1/2 Beacon Street, Concord, NH, 03301 - 4447, USA

Address:

Trade Name Information

Business Name

NEISO Power Brokers
 (/online/BusinessInquire/TradeNameInformation? 685030
 businessID=520628)

Business ID
Business Status

Expired

Trade Name Owned By

Name
Title
Address

Trademark Information

Trademark Number
Trademark Name
Business Address
Mailing Address

No records to view.

State of New Jersey



Board of Public Utilities

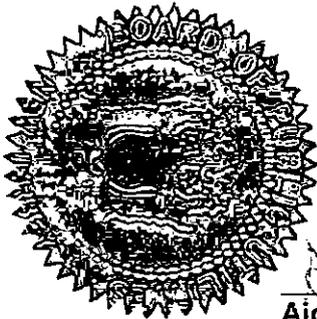
44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

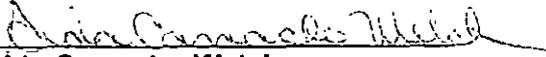
HEREBY REGISTERS

**US Grid Energy, LLC
d/b/a US Grid Wholesale LLC
18 Abbott Road
Hamilton, New Jersey 08690**

To conduct business in the State of New Jersey as an

Energy Agent




Aida Camacho-Welch
Secretary of the Board

Registration No. EA-0217
Effective Date: December 18, 2018
Expiration Date: December 17, 2019

State of New Jersey



Board of Public Utilities

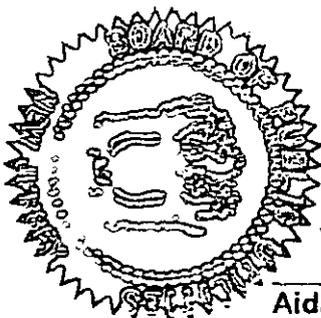
44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

HEREBY REGISTERS

**US Grid Energy, LLC
d/b/a US Grid Wholesale LLC**
18 Abbott Road
Hamilton, New Jersey 08690

To conduct business in the State of New Jersey as a

Private Aggregator



Aida Camacho-Weich
Aida Camacho-Weich
Secretary of the Board

Registration No. PA-0127
Effective Date: December 18, 2018
Expiration Date: December 17, 2019

State of New Jersey



Board of Public Utilities

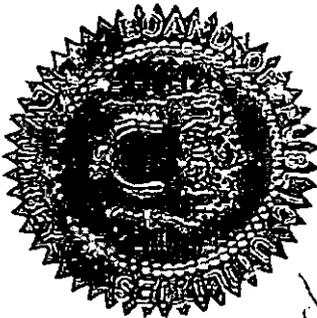
44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

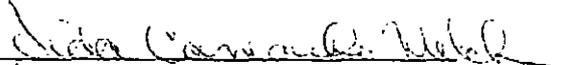
HEREBY REGISTERS

**US Grid Energy, LLC
d/b/a US Grid Wholesale LLC**
18 Abbott Road
Hamilton, New Jersey 08690

To conduct business in the State of New Jersey as an

Energy Consultant




Aida Camacho-Welch
Secretary of the Board

Registration No. EC-0060
Effective Date: December 18, 2018
Expiration Date: December 17, 2019

NYS Department of State

Division of Corporations

Entity Information

The information contained in this database is current through September 13, 2019.

Selected Entity Name: US GRID ENERGY, LLC

Selected Entity Status Information

Current Entity Name: US GRID ENERGY, LLC

DOS ID #: 4360668

Initial DOS Filing Date: FEBRUARY 14, 2013

County: NEW YORK

Jurisdiction: DELAWARE

Entity Type: FOREIGN LIMITED LIABILITY COMPANY

Current Entity Status: ACTIVE

Selected Entity Address Information

DOS Process (Address to which DOS will mail process if accepted on behalf of the entity)

US GRID ENERGY, LLC

111 EIGHTH AVENUE

13TH FLOOR

NEW YORK, NEW YORK, 10011

Registered Agent

NONE

# of Shares	Type of Stock	\$ Value per Share
-------------	---------------	--------------------

No Information Available

*Stock information is applicable to domestic business corporations.

Name History

Filing Date	Name Type	Entity Name
SEP 16, 2013	Actual	US GRID ENERGY, LLC
FEB 14, 2013	Actual	PJM WHOLESALE BROKERS, LLC

A **Fictitious** name must be used when the **Actual** name of a foreign entity is unavailable for use in New York State. The entity must use the fictitious name when conducting its activities or business in New York State.

NOTE: New York State does not issue organizational identification numbers.



Entity#: 2154435
Filing Type: FOREIGN LIMITED LIABILITY COMPANY
Original Filing Date: 11/30/2012
Location: --
Business Name: US GRID ENERGY, LLC

Status: Active
Exp. Date: -

Agent/Registrant Information

NATIONAL REGISTERED AGENTS, INC.
 4400 EASTON COMMONS WAY
 SUITE 125
 COLUMBUS OH 43219
 04/17/2017
 Active

RECEIVED
 2019 SEP 25 PM 2:27
 PA FILE
 SECRETARY'S BUREAU

Filings

Filing Type	Date of Filing	Document ID
REG. OF FOR. PROFIT LIM. LIAB. CO.	11/30/2012	201233500844
AGENT ADDRESS CHANGE/LIMITED/LIABILITY/PARTNERS	02/06/2013	201303700038
TRADE NAME/ORIGINAL FILING	03/14/2013	201307400516
CORRECT REGISTRATION/FOREIGN LIMITED LIABILITY CO	06/28/2013	201317900984
AGENT ADDRESS CHANGE/LIMITED/LIABILITY/PARTNERS	03/31/2017	201710204270
AGENT ADDRESS CHANGE/LIMITED/LIABILITY/PARTNERS	04/17/2017	201710702856
TRADE NAME RENEWAL	11/30/2017	201733401316

Prior Business Names

Prior Business Name	Effective Date
PJM POWER BROKERS, LLC (PJM WHOLESALE BROKERS, LLC)	06/28/2013



UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF SECRETARY OF STATE

I, Frank Larose, Secretary of State of the State of Ohio, do hereby certify that this is a list of all records approved on this business entity and in the custody of the Secretary of State.



Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 11th of June, A.D. 2019

Ohio Secretary of State

A handwritten signature in cursive script that reads "Frank Larose".

Order Business Documents

Business Name History

Name	Name Type
PJM Wholesale Brokers, LLC	Prior Name
US Grid Energy, LLC	Current Name

Business Entity Details Officers

Name	US Grid Energy, LLC
Entity Number	4149147
Entity Type	Limited Liability Company
Status	Active
Citizenship	Foreign
Entity Creation Date	11/30/2012
Effective Date	11/30/2012
State Of Inc	DE
Address	%NATIONAL REGISTERED AGENTS, INC. Dauphin



Entity Summary

ID Number: 000795784

Summary for: US GRID ENERGY, LLC

The exact name of the Foreign Limited Liability Company: US GRID ENERGY, LLC		
The name used to transact business in Rhode Island: US Grid Energy, LLC		
The name was changed from: PJM WHOLESALE BROKERS, LLC on 06-28-2013		
The fictitious name of: US Grid Wholesale was filed on 03-08-2013		
Entity type: Foreign Limited Liability Company		
Identification Number: 000795784		
Date of Registration in Rhode Island: 01-11-2013		Effective Date: 01-11-2013
Organized under the laws of: State: DE Country: USA		
The location of the Principal Office:		
Address: 18 ABBOTT ROAD		
City or Town, State, Zip, Country: HAMILTON, NJ 08690 USA		
The mailing address or specified office:		
Address: 18 ABBOTT ROAD		
City or Town, State, Zip, Country: HAMILTON, NJ 08690 USA		
Agent Resigned: N		Address Maintained: Y
The name and address of the Resident Agent:		
Name: NATIONAL REGISTERED AGENTS, INC.		
Address: 450 VETERANS MEMORIAL PARKWAY, SUITE 7A		
City or Town, State, Zip, Country: EAST PROVIDENCE, RI 02914 USA		
The limited liability company is to be managed by its Members		
The name and business address of each Manager:		
Title	Individual name	Address
Purpose:		
ENERGY BROKER		
North American Industry Classification System Code(NAICS):		
425120 Wholesale Trade Agents and Brokers		

Taxable Entity



Found for the string : 32049886958

If you have questions about the search results, send an email to tax.help@cpa.texas.gov or call 1-800-252-1386.

Name	US GRID ENERGY, LLC
Taxpayers ID#	32049886958
Zip	08690

USGRIDENERGY, LLC

Texas Taxpayer Number	32049886958
Mailing Address	18 ABBOTT RD HAMILTON, NJ 08690-1902
Right to Transact Business in Texas	ACTIVE
State of Formation	DE
Effective SOS Registration Date	01/09/2013
Texas SOS File Number	0801713888
Registered Agent Name	NATIONAL REGISTERED AGENTS, INC.
Registered Office Street Address	1999 BRYAN ST., STE. 900 DALLAS, TX 75201

Exhibit 8(d)

Marketing Methods:

US Grid Energy LLC will rely on strategic partnerships with experienced power marketers, third party suppliers and agents. The company plans will recruit and employ industry experts to manage, train and drive business through a direct, internal sales force and exclusive channel partner relationships.

Exhibit 8(e)

- Name and Address of Officers:

Michael J Lento, Esp.
Principal and Foudning Member
18 Abbott Road
Hamilton, NJ 08690

- Please see summaries of officers of Applicant and accompanying resumes.

Key Technical Personnel

Michael J Lento, Esquire, Founder and Principal

Michael.lento@usgridenergy.com

#609-508-3078

Mr. Lento has over sixteen years' experience as a successful attorney in the State of New Jersey and over nine years experience in the energy market. As a Partner of Kalavruzos, Mumola, Hartman & Lento, LLC, Mr. Lento immersed himself into the energy markets and developed a product description and risk assessment toll cataloging the multitude of energy products available as well as the inherent risk associated with each. Mr. Lento has helped develop energy contract language on behalf of his clients that focuses on ensuring their needs and interest were protected and met. His vast knowledge of contract law, risk assessment as well as the latest commodity product offering and hedging strategies has made his services sought after. As the founder, Mr. Lento brings a wealth of industry knowledge and legal background to the US Grid Energy LLC executive team.

Michael J. Lento, Esquire

18 Abbott Rd., Hamilton, NJ 08690

Phone: (609) 508-3078

Email: mlento@kmhlawyers.com

Detail-oriented and analytical legal professional offering a solid track record of litigation and experience in various facets of law including personal injury, criminal, family, real estate, and workers' compensation. Motivated and articulate professional who thrives in a courtroom.

Key Competencies Include:

- Personal Injury Law
- Trial Preparation
- Litigation Strategy
- Criminal Law
- Energy Consulting
- Research
- Family Law
- Discovery
- Legal Briefs
- Contract Law
- Negotiations
- Motions & Pleadings

EDUCATION

May 2002 Juror Doctorate
Widener School of Law, Wilmington, DE
Associate Articles Editor of Symposium Law Journal
Moe Levine Moot Court Honor Society

May 1996 BA Political Science
Pennsylvania State University, University Park

EXPERIENCE SUMMARY

US Grid Energy
Hamilton, NJ

Principal

Responsible for the operations and management of the entire energy brokerage business. Serving and maintaining customer relationships and existing relationships.

2013-Present

Kalavruzos, Mumola, Hartman & Lento, LLC
Hamilton, NJ

Equity Partner

September, 2008 – Present

Responsible for establishing and managing the entire Energy Advisement and Family Law practice including supervising on-staff attorneys and per diem attorneys. Drafted and argued motions, pleadings, and briefs. Represents a full and active client base consisting of Energy Advisement, Business, Criminal, Family, Contract and Personal Injury.

Daniel J. Graziano & Associates
Hamilton, NJ

Staff Attorney

October, 2005 – September, 2008

Practiced litigation for Family, Criminal Law, and Landlord/Tenant. Interpreted and applied laws, court decisions and other legal evidence in trial preparation, opinions and briefs. Provided legal and non-legal research and memorandum writing.

Superior Court of New Jersey
Chancery Division
Mercer County, NJ

Law Clerk for Judge Audrey P. Blackburn

September, 2002 – August, 2003

Assisted the Honorable Audrey P. Blackburn in crafting decisions for motions, trial opinions, managing and scheduling hearings and mediating cases.

ORGANIZATION / TRAINING / VOLUNTEER

Mercer County Bar Association

AOC Mediation & Conciliation Training

United States Peace Corps

Exhibit 11

Affidavits of Publication

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2019 SEP 25 PM 2: 28

PA.PUP
SECRETARY'S OFFICE
BUREAU

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

US Grid Energy LLC
18 Abbott Road
Hamilton NJ 08690

REFERENCE: 98488 365782
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Jennifer L. Trott, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 06/08/19

TOTAL COST: \$543.00 AD SPACE: 0 Lines

FILED ON: 06/08/19

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Application of **US Grid Energy LLC** (d/b/a "**US Grid Wholesale**") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

US Grid Energy LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. **US Grid Energy LLC** proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **US Grid Energy LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **US Grid Energy LLC's** attorney at the address listed below.

By and through Counsel: Michael J. Lento
US Grid Energy LLC
18 Abbott Road
Hamilton, NJ 08690
609-508-3078
855-277-6566

EP-365782

Sworn to and subscribed before me this 10th day of June 2019

Affiant: Jennifer L. Trott
NOTARY: Barbara J. Moore

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Barbara J. Moore, Notary Public
City of Erie, Erie County
My Commission Expires March 23, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES



AD#: 0009300294

Commonwealth of Pennsylvania,) ss
County of Cumberland)

Victoria Soto being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 08/27/2019

Victoria Soto

Principal Clerk of the Publisher

Sworn to and subscribed before me this 28th day of August 2019

Crystal B. Rosensteel

Notary Public

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Crystal B. Rosensteel, Notary Public
Susquehanna Twp., Dauphin County
My Commission Expires June 27, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Application of US Grid Energy LLC
(d/b/a " **US Grid Wholesale** ") For
Approval To Offer, Render, Furnish Or
Supply Electricity Or Electric
Generation Services As A Generator
And Supplier Of Electric Power, A
Marketer/Broker Engaged In The
Business Of Supplying Electricity, And
An Aggregator Engaged In The
Business Of Supplying Electricity, To
The Public In The Commonwealth Of
Pennsylvania.

US Grid Energy LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. US Grid Energy LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of US Grid Energy LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to US Grid Energy LLC's attorney at the address listed below.

By and through Counsel:
Michael J. Lento
US Grid Energy LLC
18 Abbott Road
Hamilton, NJ 08690
609-508-3078
855-277-6566

Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

June 10, 2019

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

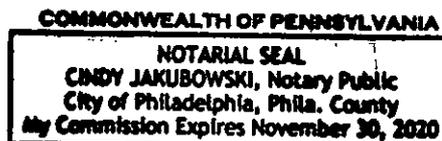


Sworn to and subscribed before me this 10th day of
June, 2019.



Notary Public

My Commission Expires:



Copy of Notice of Publication

PENNSYLVANIA
PUBLIC UTILITY COMMISSION

NOTICE

Application of US Grid Energy LLC (d/b/a "US Grid Wholesale") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.
US Grid Energy LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. US Grid Energy LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of US Grid Energy LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to US Grid Energy LLC's attorney at the address listed below.
By and through Counsel: Michael J. Lento
US Grid Energy LLC
18 Abbott Road
Hamilton, NJ 08690
609-608-3078
609-277-6566

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss D. Rullo, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

12 of June, 2019

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



PG Publishing Company

Sworn to and subscribed before me this day of:
June 12, 2019



Commonwealth of Pennsylvania - Notary Seal
Elizabeth R. Chmura, Notary Public
Allegheny County
My commission expires February 8, 2022
Commission number 1326781
Member, Pennsylvania Association of Notaries

STATEMENT OF ADVERTISING COSTS

US Grid Energy LLC
18 Abbott Road
Hamilton New Jersey 08690

To PG Publishing Company

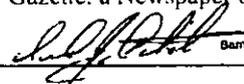
Total ----- \$457.25

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By  Barnuel J. Arbutine

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Application of US Grid Energy LLC (d/b/a "US Grid Wholesale") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.
US Grid Energy LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. US Grid Energy LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of US Grid Energy LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to US Grid Energy LLC's attorney at the address listed below.
By and through Counsel:
Michael J. Lentio
US Grid Energy LLC
18 Abbott Road
Hamilton, NJ 08690
609-508-3078
855-277-6566

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

US GRID ENERGY LLC
18 ABBOTT RD
HAMILTON NJ 08690

Account # 634315
Order # 82346901
Ad Price: 981.35

LOCAL RETAIL

Ann Marie Fortese

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

06/14/2019

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Ann Marie Fortese

Sworn and subscribed to before me
this 14th day of June A.D., 2019

Sharon Venturi

(Notary Public)

Commonwealth of Pennsylvania - Notary Seal
Sharon Venturi, Notary Public
Lackawanna County
My commission expires February 12, 2022
Commission number 1254228
Member, Pennsylvania Association of Notaries

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Application of **US Grid Energy LLC** (d/b/a "**US Grid Wholesale**")
For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric
Generation Services As A Generator And Supplier Of Electric Power,
A Marketer/Broker Engaged In The Business Of Supplying Electricity, And
An Aggregator Engaged In The Business Of Supplying Electricity, To The
Public In The Commonwealth Of Pennsylvania.

US Grid Energy LLC will be filing an application with the Pennsylvania
Public Utility Commission ("PUC") for a license to supply electricity or
electric generation services as (1) a generator and supplier of electric power,
(2) a broker/marketer engaged in the business of supplying electricity, and
(3) an aggregator engaged in the business of supplying electricity.
US Grid Energy LLC proposes to sell electricity and related services
throughout all of Pennsylvania under the provisions of the new Electricity
Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests
directed to the technical or financial fitness of **US Grid Energy LLC** may
be filed within 15 days of the date of this notice with the Secretary of the
PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of
any protest to **US Grid Energy LLC's** attorney at the address listed below.

By and through Counsel: Michael J. Lento

US Grid Energy LLC
18 Abbott Road, Hamilton, NJ 08690
609-508-3078 • 855-277-6566

PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Robert O. Rolley, Jr., Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

June 14, 2019

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

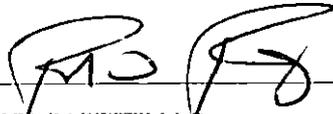
**PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
NOTICE**

Application of US Grid Energy LLC (d/b/a "US Grid Wholesale") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of US Grid Energy LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to US Grid Energy LLC's attorney at the address listed below.

By and through Counsel:
Michael J. Lento
US Grid Energy LLC
18 Abbott Road
Hamilton, NJ 08690
609-508-3078
855-277-6568

US Grid Energy LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. US Grid Energy LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.



SUN-GAZETTE LLC

Sworn to and subscribed before me
The 24th day of June 20 19



BETH A. MILLER
Notary Public

NOTARIAL SEAL
BETH A. MILLER
Notary Public
CITY OF WILLIAMSPORT, LYCOMING COUNTY
My Commission Expires Apr 18, 2020

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates.....\$ 260.16

Probated same.....\$

Total.....\$ 260.16

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC
BY Robert O. Rolley, Jr.

COMMONWEALTH OF PENNSYLVANIA }
County of Cambria } SS

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Application of **US Grid Energy LLC** (d/b/a "**US Grid Wholesale**") For Approval To Offer, Render, Furnish Or Supply Electricity Or Electric Generation Services As A Generator And Supplier Of Electric Power, A Marketer/Broker Engaged In The Business Of Supplying Electricity, And An Aggregator Engaged In The Business Of Supplying Electricity, To The Public In The Commonwealth Of Pennsylvania.

US Grid Energy LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. US Grid Energy LLC proposes to sell electricity and related services throughout all of Pennsylvania under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of US Grid Energy LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to US Grid Energy LLC's attorney at the address listed below.

By and through Counsel: Michael J. Lento
US Grid Energy LLC
18 Abbott Road,
Hamilton, NJ 08690
609-508-3078
855-277-6566

On this 19th day of June A.D. 2019, before me, the subscriber, a Notary Public in and for said County and State, personally appeared **Christine Marhefka**, who being duly sworn according to law, deposes and says as Sales Manager / Major Accounts of the **Tribune-Democrat**, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the **Johnstown Tribune**, established December 7, 1853; and of the **Johnstown Democrat**, established March 5, 1863,

of Cambria, and Commonwealth of Pennsylvania and matter published in said publication in the regular issues on June 7, 2019; and that the Affiant is not interested in all of the allegations as to time, place and character of

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Signed and sworn to before me on 19th day of June, 2019, by Christine Marhefka making the statement.

Vivian Ohs

0.00 Lines @ \$2.50 per line	0.00
7 Inches @ \$25.00 per inch	175.00
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	182.50

Commonwealth of Pennsylvania - Notary Seal
Vivian Ohs, Notary Public
Cambria County
My commission expires December 6, 2020
Commission number 1123017
Member, Pennsylvania Association of Notaries

To The **Tribune-Democrat**, Johnstown, PA
For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____ a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

By _____

CERTIFICATE OF SERVICE

I hereby certify that I have this day served a true copy of the foregoing document upon the parties, listed below, in accordance with the requirements of 52 Pa. Code § 1.54 (relating to service by a party).

VIA FIRST CLASS U.S. MAIL

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Legal Department
First Energy
2800 Pottsville Pike
Reading, PA 19612

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Vice President – Energy Supply
Corning Natural Gas Holding Corp.
330 West William Street
Corning, NY 14830

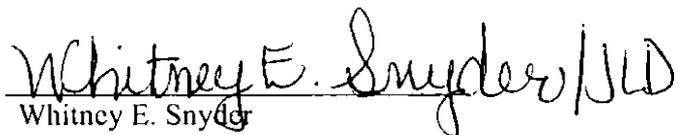
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
2525 N. 12th Street, Suite 360
PO Box 12677
Reading, PA 19612-2677

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
PO Box 138
Wellsboro, PA 16901

RECEIVED
2019 SEP 25 PM 2:26
MAIL ROOM
SEP 25 2019 2:26 PM


Whitney E. Snyder

Dated: September 25, 2019