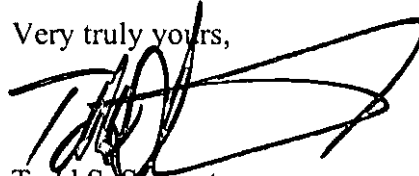


Rosemary Chiavetta, Secretary
Pennsylvania Public Utility Commission
October 7, 2019
Page 2

Thank you for your attention to this matter. Please contact this office at the above-listed number with any questions you may have.

Very truly yours,

Todd S. Stewart
Counsel to EMEX, LLC

TSS/jld
Enclosures

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PA PUC
SECRETARY'S BUREAU
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OCT - 7 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ATTACHMENT 8.A.1

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

EMEX Power, LLC :
 :
 :
 Application for Licensure of :
 Agents, Brokers and Consultants : 10-0455
 under Section 16-115C of the :
 Public Utilities Act. :

ORDER

By the Commission:

I. PRELIMINARY MATTERS

On July 21, 2010, EMEX Power, LLC ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454 ("Part 454"). The Administrative Law Judge requested clarification of certain matters contained in the Application. The Applicant filed a verified response providing the required organizational chart. Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

II. REQUIREMENTS FOR ALL APPLICANTS UNDER SECTION 16-115C OF THE ACT

Applicant is a business organized under the laws of Texas and licensed to transact business in Illinois. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data.

III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant submitted an original surety bond in satisfaction of Section 454.80.

Applicant is required by Part 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Part 454.60, as demonstrated in Attachment A. The response to the Administrative Law Judge contains an exhibit containing a corporate organizational chart and identifying the persons who are being used to meet the requirements of Part 454.60(a). Attachment A includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.60(a).

Applicant is required by Part 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Part 454.70 with the information provided, including the information included in Attachment A. Attachment A includes an exhibit containing occupational background information on the person or persons who are being used to meet the requirements of Part 454.70(a).

Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

IV. COMMISSION CONCLUSION AND CERTIFICATE OF SERVICE AUTHORITY

The Commission has reviewed the application and attachments along with the supplementary information provided by Applicant regarding the technical and managerial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements.

The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that EMEX Power, LLC is granted service authority to operate as an agent, broker or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) EMEX Power, LLC, a business organized under the laws of Texas, seeks a certificate of service authority to operate as an agent, broker or consultant under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Applicant has demonstrated that it possesses sufficient financial, managerial and technical resources and abilities to provide services as an agent, broker or consultant for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Applicant has complied with Section 16-115C of the Act and Part 454; and
- (6) EMEX Power, LLC should be granted the certificate of service authority to operate as an agent, broker or consultant as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that EMEX Power, LLC is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that EMEX Power, LLC shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 Ill. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By order of the Commission this 9th day of September, 2010.

(SIGNED) MANUEL FLORES

Acting Chairman

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ATTACHMENT 8.A.2

State of New Jersey



Board of Public Utilities

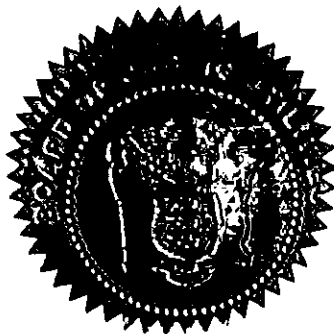
44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

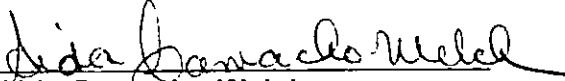
HEREBY REGISTERS

EMEX, LLC
d/b/a EMEX Power, LLC
410 River View Plaza, 1st Floor
Trenton, New Jersey 08611

To conduct business in the State of New Jersey as an

Energy Consultant




Aida Camacho-Welch
Secretary to the Board

Registration No. EC-0024
Effective Date: May 22, 2018
Expiration Date: May 21, 2019

State of New Jersey



Board of Public Utilities

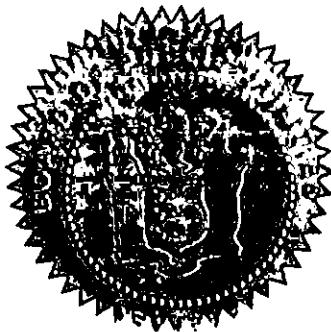
44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

HEREBY REGISTERS

EMEX, LLC
d/b/a EMEX Power, LLC
410 River View Plaza, 1st Floor
Trenton, New Jersey 08611

To conduct business in the State of New Jersey as an

Energy Agent




Aida Camacho-Welch
Secretary to the Board

Registration No. EA-0083
Effective Date: May 22, 2018
Expiration Date: May 21, 2019

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ATTACHMENT 8.A.3

COMMISSIONERS

W. KEVIN HUGHES
CHAIRMAN

HAROLD D. WILLIAMS
LAWRENCE BRENNER
KELLY SPEAKES-BACKMAN

STATE OF MARYLAND



PUBLIC SERVICE COMMISSION

#9, 7/17/13 AM; ML# 147523
License Reference No.: IR-3115

July 17, 2013

Todd Segmond, President and CEO
EMEX, LLC
2825 Wilcrest Drive, Suite 450
Houston, TX 77042

Dear Mr. Segmond:

On May 23, 2013, EMEX, LLC ("Company") filed an Application for a license to supply natural gas or natural gas supply services in Maryland under COMAR 20.54. The Company proposes to provide natural gas supplier services in Maryland for residential, commercial and industrial customers as described in the application.

After considering this matter at the July 17, 2013 Administrative Meeting, the Commission granted the Company a license to supply natural gas or natural gas supplier services in Maryland in accordance with its Application (License Reference Number IR-3115). The license granted by the Commission under this Letter Order is limited solely to natural gas supplier broker and aggregator services to residential, commercial and industrial customers. Additionally, the Company is directed to provide marketing and training materials specific to its Maryland operations upon development for Commission Staff and Office of People's Counsel review prior to the time the Company provides service to residential, commercial and industrial customers.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

/s/ David J. Collins

David J. Collins
Executive Secretary

DJC/st
cc: Gas Division

COMMISSIONERS

STATE OF MARYLAND

DOUGLAS R. M. NAZARIAN
CHAIRMAN

AROLD D. WILLIAMS
SUSANNE BROGAN
LAWRENCE BRENNER
THERESE M. GOLDSMITH



PUBLIC SERVICE COMMISSION

#59, 10/13/10 AM; ML# 125481
License Reference No.: IR-2065

October 13, 2010

Todd Segmond, President
2825 Wilcrest Drive, Suite 656
Emex, LLC
2825 Wilcrest Drive, Suite 656
Houston, TX 77042

Dear Mr. Segmond:

On September 21, 2010, Emex, LLC filed an Application for a license to supply electricity or electric generation services in Maryland under COMAR 20.51. The Company proposes to provide electricity broker services in Maryland for commercial and industrial customers as described in the application.

After considering this matter at the October 13, 2010 Administrative Meeting, the Commission granted Emex, LLC a license to supply electricity or electric generation services in Maryland in accordance with its Application (License Reference Number IR-2065). The license granted by the Commission under this Letter Order is limited solely to electricity broker services to commercial and industrial customers.

Finally, Emex, LLC is reminded that it is under a continuing obligation to notify the Commission of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

Handwritten signature of Donald P. Eveleth in black ink.

Donald P. Eveleth
Deputy Executive Secretary

DPE/gjd
c: Phil VanderHeyden, Electricity Division

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAUL STREET • BALTIMORE, MARYLAND 21202-6806

410-767-8000

Toll Free: 1-800-492-0474

FAX: 410-333-6495

MDRS: 1-800-735-2258 (TTY/Voice)

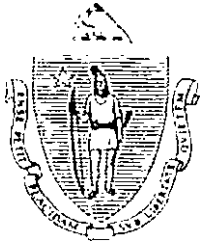
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OCT - 7 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ATTACHMENT 8.A.4



THE COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER
GOVERNOR

KARYN E. POLITO
LIEUTENANT GOVERNOR

MATTHEW A. BEATON
SECRETARY OF ENERGY
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION
BOSTON, MA 02110
(617) 305-3500

ANGELA M. O'CONNOR
CHAIRMAN

ROBERT E. HAYDEN
COMMISSIONER

CECILE M. FRASER
COMMISSIONER

July 11, 2017

Philip T. Golden
EMEX Power LLC
11011 Richmond Ave., Suite 500
Houston, TX 77042

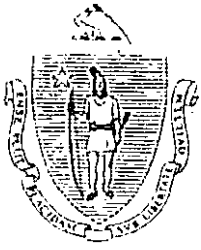
Mr. Golden,

The Department of Public Utilities has reviewed EMEX, LLC's ("EMEX") application for renewal of its Gas Retail Agent license to serve commercial and industrial customers in the Commonwealth of Massachusetts. I am pleased to inform you that the application has been approved. EMEX's license number is **RA-101**.

As a condition of maintaining its license, EMEX must file updated information within 30 days of any material or organic change in the information required by 220 C.M.R. § 14.04(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations thereunder, including 220 C.M.R. §§ 12.00, 14.00 *et seq.* If you decide to request renewal of EMEX's license next year, please submit a renewal application that includes audited financial statements, at least 30 days prior to the expiration of this license.

Sincerely,


Mark D. Marini, Secretary



THE COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER
GOVERNOR

KARYN E. POLITO
LIEUTENANT GOVERNOR

MATTHEW A. BEATON
SECRETARY OF ENERGY
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION
BOSTON, MA 02110
(617) 305-3500

ANGELA M. O'CONNOR
CHAIRMAN

ROBERT E. HAYDEN
COMMISSIONER

CECILE M. FRASER
COMMISSIONER

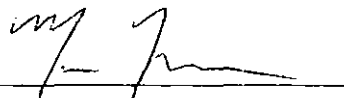
January 2, 2018

Pamela Segmond, Treasurer
EMEX
11011 Richmond Ave, Suite 500
Houston, TX 77042

Dear Ms. Segmond,

The Department of Public Utilities ("Department") has reviewed your application for renewal of your **Electricity Broker License, EB-176**, and is pleased to inform you that your application for renewal has been approved.

As a condition of maintaining this license, you must file updated information within 30 days of any material or organic change in the information required by 220 CMR 11.05(2), and you must comply with all relevant requirements of G.L. c. 164 and the regulations promulgated thereunder, including 220 CMR 11.00, 12.00. If you decide to request renewal of your license next year, please submit a renewal application no later than **January 1, 2019**. The renewal application is available at: <https://www.mass.gov/information-for-competitive-suppliers-and-electricity-brokers>.



Matthew Nelson
Director, Electric Power Division

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ATTACHMENT 8.A.5

EA - 11 - 7 - 5

PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA
1333 H STREET N.W., 2ND FLOOR, WEST TOWER
WASHINGTON, D.C. 20005

ORDER

May 5, 2011

**FORMAL CASE NO. EA 11-7, IN THE MATTER OF THE APPLICATION OF
EMEX, LLC D/B/A EMEX POWER, LLC FOR AN ELECTRICITY LICENSE,
Order No. 16355**

1. By this Order, the Public Service Commission of the District of Columbia ("Commission") grants a license to EMEX, LLC d/b/a EMEX Power, LLC ("EMEX" or "Applicant") to function as an electricity supplier in the District of Columbia.¹ Specifically, EMEX intends to operate as a broker of electricity for commercial and industrial customers in the District of Columbia, pursuant to Section 34-1505 of the "Retail Electric Competition and Consumer Protection Act of 1999 ("Act")."²

2. On March 24, 2011, EMEX filed its license application.³ Pursuant to the Commission's licensing requirements as set forth in Order No. 11796,⁴ EMEX has provided the following information:

- a) Proof of technical and managerial competence;
- b) An affidavit of compliance with applicable Federal and District of Columbia environmental laws and regulations dated February 16, 2011;
- c) Proof of financial integrity to include financial statements;
- d) Proof that EMEX has registered with the Department of Consumer and Regulatory Affairs to do business in the District of Columbia;
- e) An affidavit that EMEX is subject to all applicable taxes;
- f) An affidavit dated February 16, 2011, stating that EMEX will comply with all the requirements of all orders and regulations of the Commission; and

¹ D.C. Official Code § 34-1501(17)(2010 Supp.) defines, in part, an electricity supplier as "a person, including an aggregator, broker, or marketer, who generates electricity; sells electricity; or purchases, brokers, arranges or markets electricity for sale to customers."

² D.C. Official Code § 34-1505; *see generally* §§ 34-1501-1520.

³ *Formal Case No. EA 11-7, In the Matter of the Application of EMEX, LLC d/b/a EMEX Power, LLC for an Electricity License*, Application of EMEX, LLC d/b/a EMEX Power, LLC filed March 24, 2011. EMEX LLC submitted supplemental information regarding this application on April 18, 2011.

⁴ *Formal Case No. 945, In the Matter of the Investigation into Electric Service Market Competition and Regulatory Practices*, Order No. 11796 at Attachment C, rel. September 20, 2000.

g) Applicant's website address: www.emexpower.com

3. After a complete review of the application, the Commission finds that granting the application of EMEX will serve the public interest. The information contained in its application demonstrates that the Applicant has the ability and the financial integrity to serve electricity customers in the District of Columbia.

THEREFORE, IT IS ORDERED THAT:

4. The application of EMEX, LLC d/b/a EMEX Power, LLC for a license to conduct business in the District of Columbia as an electricity supplier is hereby **GRANTED**.

A TRUE COPY:

BY DIRECTION OF THE COMMISSION:

CHIEF CLERK:



**DOROTHY WIDEMAN
COMMISSION SECRETARY**

PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA
1333 H STREET N.W., 2ND FLOOR, WEST TOWER
WASHINGTON, D.C. 20005

ORDER

July 18, 2013

**FORMAL CASE NO. GA 2013-05, IN THE MATTER OF THE APPLICATION
OF EMEX, LLC D/B/A EMEX POWER, LLC FOR A NATURAL GAS SUPPLIER
LICENSE; Order No. 17189**

1. By this Order, the Public Service Commission of the District of Columbia (“Commission”) grants a license to EMEX, LLC d/b/a EMEX Power, LLC (“EMEX” or “Applicant”) to function as a natural gas supplier in the District of Columbia.¹ Specifically, EMEX intends to operate as a broker of natural gas for distribution to residential, commercial and industrial customers in the District of Columbia, pursuant to the licensing requirements set forth in GT 96-3, Order No. 12903.²

2. On May 22, 2013,³ EMEX filed its license application. Pursuant to the Commission’s licensing requirements as set forth in Order Nos. 12709 and 12903 in GT 96-3,⁴ EMEX provided the following information:

- a) Proof of technical and managerial competence;
- b) Affidavit of compliance with applicable Federal and District of Columbia environmental laws and regulations dated May 20, 2013;
- c) Proof of financial integrity to include financial statements;
- d) Proof that EMEX has registered with the Department of Consumer and Regulatory Affairs to do business in the District of Columbia;
- e) Affidavits stating that EMEX is subject to all applicable taxes;
- f) Affidavits dated May 20, 2013, stating that EMEX will comply with all the requirements of all orders and regulations of the Commission; and

¹ D.C. Code § 34-1671.02(12) (2001) defines a natural gas supplier as “ a person including an aggregator, broker, or marketer, who sells natural gas or purchases, brokers, arranges or, markets natural gas for sale to customers.”

² D.C. Code § 34-1671.05 (2001); *see also* GT 96-3, Order No. 12709, as revised by Order No. 12903.

³ *Formal Case No. GA 2013-05, In the Matter of the Application of EMEX, LLC d/b/a EMEX Power, LLC for a Natural Gas Supplier License*, Application of EMEX, LLC d/b/a EMEX Power, LLC filed May 22, 2013. The Applicant filed supplemental information on June 28, 2013.

⁴ GT 96-3, Order No. 12709, as revised by Order No. 12903, at Attachment C.

- g) Applicant's website address: www.emexpower.com and www.energymarketexchange.com.

3. After a complete review of the application, the Commission finds that granting the application of EMEX, LLC d/b/a EMEX Power, LLC will serve the public interest. The information contained in its application demonstrates that the Applicant has the ability and the financial integrity to serve natural gas customers in the District of Columbia.

THEREFORE, IT IS ORDERED THAT:

4. The application of EMEX, LLC d/b/a EMEX Power, LLC for a license to conduct business in the District of Columbia as a broker of natural gas is hereby **GRANTED**.

A TRUE COPY:

BY DIRECTION OF THE COMMISSION:



CHIEF CLERK:

**BRINDA WESTBROOK-SEDGWICK
COMMISSION SECRETARY**

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OCT - 7 2019

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ATTACHMENT 8.A.6

The Public Utilities Commission of Ohio
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate
Renewal

Issued pursuant to Case Number(s):
13-1228-GA-AGG

is
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number:
13-309G (4)

Granted to:
EMEX Power, LLC

Whose office or principal place of business is located at:
11011 Richmond Avenue, Suite 500, Houston, TX 77042

And is hereby certified to provide:
Retail Natural Gas Aggregator/Broker Services
within the state of Ohio, for a two-year period.

Certification Effective:
June 21, 2019 through June 21, 2021

The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

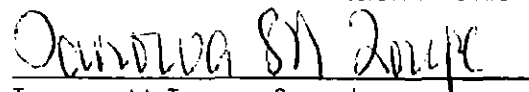
This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio

Dated: **July 3, 2019**

By Order of
The Public Utilities Commission of Ohio



Tanowa M. Troupe, Secretary
Susan Patterson, Acting Secretary
Beverly Hoskinson, Acting Secretary



**Public Utilities
Commission**

Asim Z. Haque, Chairman

Commissioners
M. Beth Trombold
Thomas W. Johnson
Lawrence K. Friedeman
Daniel R. Conway

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL

Certificate Number:

11-414E (4)

Issued Pursuant to Case Number(s):

11-5707-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **EMEX Power, LLC** whose office or principal place of business is located at **11011 Richmond Ave., Suite 500, Houston, TX 77042** to provide **aggregation and power broker** services within the State of Ohio effective **December 9, 2017**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **December 11, 2017**

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Susan Patterson, Acting Secretary
Beverly Hoskinson, Acting Secretary

Certificate Expires: December 9, 2019

State of New Jersey



Board of Public Utilities

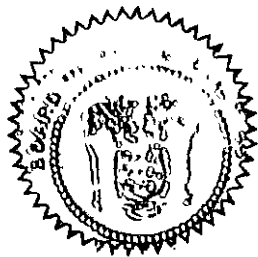
44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

HEREBY REGISTERS

EMEX, LLC
d/b/a EMEX Power, LLC
410 River View Plaza, 1st Floor
Trenton, New Jersey 08611

To conduct business in the State of New Jersey as an

Energy Consultant



Aida Camacho-Welch
Aida Camacho-Welch
Secretary to the Board

Registration No. EC-0024
Effective Date: May 22, 2018
Expiration Date: May 21, 2019

State of New Jersey



Board of Public Utilities

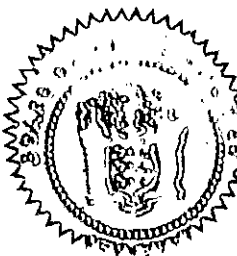
44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

HEREBY REGISTERS

EMEX, LLC
d/b/a EMEX Power, LLC
410 River View Plaza, 1st Floor
Trenton, New Jersey 08611

To conduct business in the State of New Jersey as an

Energy Agent




Aida Camacho-Welch
Secretary to the Board

Registration No. EA-0083
Effective Date: May 22, 2018
Expiration Date: May 21, 2019

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ATTACHMENT 8.A.7



**STATE OF DELAWARE
PUBLIC SERVICE COMMISSION**

861 SILVER LAKE BOULEVARD
CANNON BUILDING, SUITE 100
DOVER, DELAWARE 19904

TELEPHONE: (302) 736-7500
FAX: (302) 739-4849

May 16, 2012

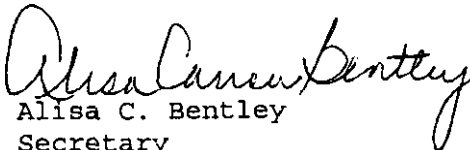
Mr. Todd Segmond, President
EMEX, LLC
2825 Wilcrest Dr., Ste. 656
Houston, TX 77042

Re: IN THE MATTER OF THE APPLICATION
OF EMEX, LLC D/B/A ENERGY MARKET EXCHANGE
FOR A CERTIFICATE TO PROVIDE ELECTRIC
SUPPLY SERVICES WITHIN THE STATE OF
DELAWARE (FILED APRIL 18, 2012) -
PSC DOCKET NO. 12-199

Dear Mr. Segmond:

Enclosed are two (2) Certified Copies of Commission Order No. 8147 in the above-captioned matter, which are self-explanatory.

Very truly yours,


Alisa C. Bentley
Secretary

ACB/dn
Enclosures: 2
Certified Mail #000052216241
Cc: Julie Donoghue, Esq. (w/encl)
Malika Davis (w/encl)
Michael Sheehy (w/encl)

State of Delaware



The Public Service Commission

STATE OF DELAWARE

COUNTY OF KENT

} ss.

Alisa C. Bentley

I, _____, Secretary
of the PUBLIC SERVICE COMMISSION OF DELAWARE, do hereby certify that
I have compared the attached Order with the original adopted by said Commission,
and that it is a true and correct transcript of Order No. 8147,
Docket No. 12-199.

IN WITNESS WHEREOF, I have hereunto subscribed my hand and affixed
the seal of the Commission this sixteenth day of May A.D.,
2012.



Alisa C. Bentley
Secretary

BEFORE THE PUBLIC SERVICE COMMISSION
OF THE STATE OF DELAWARE

IN THE MATTER OF THE APPLICATION)
OF EMEX POWER, LLC D/B/A ENERGY)
MARKET EXCHANGE FOR A CERTIFICATE) PSC DOCKET NO. 12-199
TO PROVIDE ELECTRIC SUPPLY)
SERVICES WITHIN THE STATE OF)
DELAWARE)
(FILED APRIL 18, 2012))

ORDER NO. 8147

ELECTRIC SUPPLIER CERTIFICATE

This 15th day of May 2012, the Commission determines and orders the following:

WHEREAS, on April 18, 2012, EMEX Power, LLC d/b/a Energy Market Exchange ("EMEX" or the "Company") filed an application seeking certification as a retail electric supplier in order to broker the sale or purchase of Electric Supply Service¹ (the "Application"). See 26 Del. C. §§1001(3), 1001(14), and 1012. Under the Electric Utilities Restructuring Act of 1999 (the "Act"),² "Electric Suppliers," which include Brokers, are not "public utilities," and, accordingly, the resulting sales of Electric Supply Service to Retail Electric Customers are not subject to traditional forms of rate regulation. See 26 Del. C. §§102(2) and 202(f);³ and

WHEREAS, in its Application, the Company states that it is a Broker that will utilize appropriate energy procurement strategies to meet the needs of commercial customers in Delaware. Staff reports

¹ Unless otherwise noted, capitalized terms shall have the meanings ascribed to them in 26 Del. C. §1001 and 26 Del. Admin. C. §3001.

² See 26 Del. C. Ch. 10.

³ Under the Act, a "Broker" means a person or entity that acts as an agent or intermediary in the sale or purchase of, but that does not take title to, electricity for sale to Retail Electric Customers. 26 Del. C. §1001(3). Although a Broker never takes "title" to the electric supply product, the Act deems a Broker an "Electric Supplier." See 26 Del. C. §§1001(3) and (14) (c).

that the Company's Application fulfills all of the requirements for an Electric Supplier Certificate to act as a Broker as set forth in the Commission's Rules for Certification and Regulations of Electric Suppliers, 26 Del. Admin. C. §3001 (the "ES Rules"). In particular, Staff reports that the Company appears to possess the financial, operational, managerial, and technical capabilities to operate as a Broker of electric supply service. Therefore, Staff recommends that the Commission issue to EMEX an Electric Supplier Certificate that authorizes it to broker sales and customer purchases of Electric Supply Services in the State of Delaware, consistent with the scheme adopted by the Act and the ES Rules;

**NOW, THEREFORE, IT IS HEREBY ORDERED BY THE AFFIRMATIVE
VOTE OF NOT FEWER THAN THREE COMMISSIONERS:**

1. That EMEX Power, LLC d/b/a Energy Market Exchange (the "Company") is hereby granted, under 26 Del. C. §1012(a) and 26 Del. Admin. C. §3001, a certificate ("Certificate") to do business and operate as an "Electric Supplier" (Broker) within the State of Delaware. Under this Certificate, the Company is authorized to broker Electric Supply Service within this State in accordance with 26 Del. C. Ch. 10.

2. That the Company shall at all times provide its brokerage of Electric Supply Service in accordance with the requirements imposed by 26 Del. C. Ch. 10 and 26 Del. Admin. C. §3001 as presently adopted, or as may be hereafter amended or supplemented. The Company is hereby notified that a violation of any applicable statute, rule, or other controlling authority may be enforced by the sanctions

permitted by 26 Del. C. §§1012(a) and 1019 and 26 Del. Admin. C. §3001-10.2.

3. That the Company shall file, pursuant to the provisions of 26 Del. C. §1012(c) (2) and 26 Del. Admin. C. §§3001-3.9 and 3.10, such reports and pay such fees and assessments as may be required or imposed by 26 Del. C. §§114 and 115.

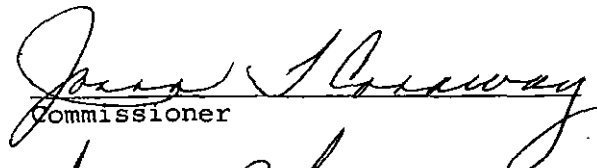
4. That the Company consents to the jurisdiction of the courts of the State of Delaware for all acts or omissions related to its offering of Electric Supply Services in this State.

5. That the Company is hereby placed on notice that the costs of the proceedings will be charged to it under the provisions of 26 Del. C. §114(b) (1).

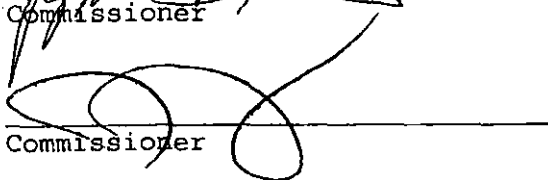
6. That the Commission reserves the jurisdiction and authority to enter such further Orders in this matter as may be deemed necessary or proper.

BY ORDER OF THE COMMISSION:

Chair


Commissioner

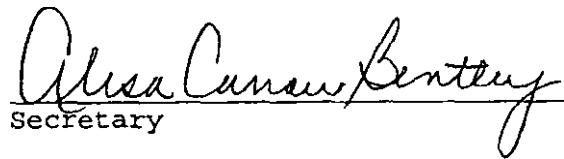

Commissioner


Commissioner



Commissioner

ATTEST:



Secretary

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ATTACHMENT 8.A.8

STATE OF MAINE
PUBLIC UTILITIES COMMISSION

Docket No. 2012-163

April 27, 2012

EMEX, LLC
Application for License to Operate as a
Competitive Electricity Provider

ORDER GRANTING LICENSE

WELCH, Chairman; VAFIADES and LITTELL, Commissioners

I. SUMMARY

In this Order, we license EMEX, LLC (EMEX) d/b/a Energy Market Exchange and EMEX Power to operate as a competitive electricity provider furnishing aggregator/broker services to the non-residential customer classes throughout Maine pursuant to Chapter 305 of the Commission's Rules.

II. APPLICATION AND DECISION

On April 17, 2012, EMEX applied to the Commission to operate in Maine as a competitive electricity provider pursuant to Chapter 305. EMEX proposes to provide aggregator/broker services to the non-residential customer classes throughout Maine. EMEX met all the Chapter 305 filing requirements. As a licensed competitive electricity provider, EMEX is required to comply with all applicable requirements and regulations, including all conditions of licensing, customer protection rules, and the filing of annual reports.

Pursuant to a Delegation Order dated April 23, 2008 in Docket No. 2008-185, *PUBLIC UTILITIES COMMISSION, Delegation of Authority to License Competitive Electricity Providers*, the Commission delegated to the Director of Technical Analysis the authority under 35-A M.R.S.A., § 3203 (1)(2) and Chapter 305, §2 to license competitive electricity providers. On September 12, 2009, in Docket No. 2009-260, statutory changes took effect that change the title of this position. P.L. 2009, ch. 122. The Director of Technical Analysis will be the Director of Electric and Gas Utility Industries. All existing delegation orders that delegate Commission authority to the Director of Technical Analysis will now read that we delegate our authority to the Director of Electric and Gas Utility Industries.

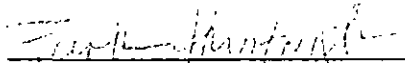
Accordingly, it is

ORDERED

1. That EMEX, LLCX d/b/a Energy Market Exchange and EMEX Power is hereby issued a license to operate as a competitive electricity provider pursuant to Chapter 305 of the Commission's Rules, to provide aggregator/broker services to the non-residential customer classes throughout Maine; and
2. That this license is effective on the date of this Order and valid until revoked or suspended by the Commission pursuant to section 3(A)(4) of Chapter 305, or abandoned by the licensee pursuant to sections 2(D)(10) and 2(D)(11) of Chapter 305 of the Commission's Rules.

Dated at Hallowell, Maine, this 27th day of April, 2012.

BY ORDER OF THE DIRECTOR OF ELECTRIC AND GAS UTILITY INDUSTRIES



Faith Huntington

NOTICE OF RIGHTS TO REVIEW OR APPEAL

5 M.R.S.A. § 9061 requires the Public Utilities Commission to give each party to an adjudicatory proceeding written notice of the party's rights to review or appeal of its decision made at the conclusion of the adjudicatory proceeding. The methods of review or appeal of PUC decisions at the conclusion of an adjudicatory proceeding are as follows:

1. Reconsideration of the Commission's Order may be requested under Section 1004 of the Commission's Rules of Practice and Procedure (65-407 C.M.R.110) within 20 days of the date of the Order by filing a petition with the Commission stating the grounds upon which reconsideration is sought. Any petition not granted within 20 days from the date of filing is denied.
2. Appeal of a final decision of the Commission may be taken to the Law Court by filing, within 21 days of the date of the Order, a Notice of Appeal with the Administrative Director of the Commission, pursuant to 35-A M.R.S.A. § 1320(1)-(4) and the Maine Rules of Appellate Procedure.
3. Additional court review of constitutional issues or issues involving the justness or reasonableness of rates may be had by the filing of an appeal with the Law Court, pursuant to 35-A M.R.S.A. § 1320(5).

Note: The attachment of this Notice to a document does not indicate the Commission's view that the particular document may be subject to review or appeal. Similarly, the failure of the Commission to attach a copy of this Notice to a document does not indicate the Commission's view that the document is not subject to review or appeal.

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SECRETARY'S BUREAU

ATTACHMENT 8.A.9

THE STATE OF NEW HAMPSHIRE



PUBLIC UTILITIES COMMISSION

21 S. Fruit Street, Suite 10
Concord, N.H. 03301-2429

CHAIRMAN
Amy L. Ignatius

COMMISSIONERS
Michael D. Harrington
Robert R. Scott

EXECUTIVE DIRECTOR
Debra A. Howland

TDD Access: Relay NH
1-800-735-2964

Tel. (603) 271-2431

FAX (603) 271-3878

Website:
www.puc.nh.gov

June 29, 2012

Todd Segmond
EMEX, LLC
2825 Wilcrest Drive, Suite 656
Houston, TX 77042

Re: DM 12-099, Notification of EMEX, LLC d/b/a Energy Market Exchange and EMEX Power's Intent to Provide Electric Aggregation Service

Dear Mr. Segmond:

This is to confirm that the New Hampshire Public Utilities Commission (Commission) has received EMEX, LLC d/b/a Energy Market Exchange and EMEX Power (EMEX)'s notice of intent to provide electric aggregation service in the State of New Hampshire, pursuant to New Hampshire Administrative Rules Puc 2003.05. Staff has reviewed the application provided and believes that it meets the requirements of Puc 2003.05. Based on Staff's recommendation and the Commission's review, the Commission approves EMEX's application to provide electric aggregation service in New Hampshire.

Please note the following provision of Puc 2003.05 (f): "[E]ach approved aggregator shall re-notify the commission after the initial 2 year period, or after the 5 year renewal period as applicable, by filing with the commission an application for renewal at least 60 days prior to the expiration of the currently effective registration." Therefore it is required to refile an up-to-date written notice on or before **April 29, 2014** if it continues to offer and provide electric aggregation service. In addition, EMEX is subject to the provisions of Puc 2004.03, telephone solicitation of customers, and Puc 2004.08, customer protections provided by aggregators. Finally, aggregators must comply with the requirements set out in RSA 362-F relative to the renewable portfolio standard (RPS) and RSA 362-F relative to disclosure of electric service energy sources and environmental characteristics. Please refer to Puc 2500 for further details regarding RPS reporting requirements. Commission Order No. 25,264 provides guidance as to the appropriate format for environmental disclosure.

If you have any questions regarding these provisions, please contact the Commission. Thank you for your cooperation in this matter.

Sincerely,

A handwritten signature in black ink that reads "Debra A. Howland".

Debra A. Howland
Executive Director

CERTIFICATE OF SERVICE

I hereby certify that I have this day served a true copy of the foregoing document upon the parties, listed below, in accordance with the requirements of 52 Pa. Code § 1.54 (relating to service by a party).

VIA FIRST CLASS MAIL

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth of Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
PO Box 138
Wellsboro, PA 16901

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
1 UGI Drive
Denver, PA 17517

Office of Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Duquesne Light Company
Regulatory Affairs
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Office of Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

PPL
Office of General Counsel
Attn: Kimberly A. Klock
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

West Penn
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

First Energy
Legal Department
2800 Pottsville Pike
Reading, PA 19612

PECO Energy Company
Manager Energy Acquisition
2301 Market Street
Philadelphia, PA 19101-8699

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

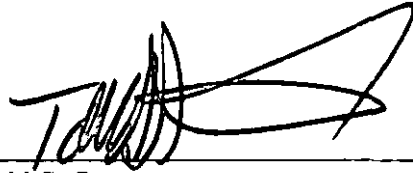
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Pike County Light & Power Company
Vice President - Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

DATED: October 7, 2019



Todd S. Stewart

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