To Whom it may concern:

Please find enclosed a copy of the application for Satori Enterprises dba Satori Energy (Satori) to be licensed as an Electric Generation Supplier (EGS) in the state of Pennsylvania. Satori will not be taking title to the electricity and will not be supplying electricity to any customers, but will be operating as a broker/ consultant in assisting customers in navigating through the procurement decision making process. In accordance with Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, please find a copy of the signed and verified Application with attachments.



RECEIVED

MAR **31** 2010

PA PUBLIC UTILITY COMMISSION SECRETARY'S PUREAU



### BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of **Satori Enterprises LLC**, d/b/a **Satori Energy**, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) <u>electricity consultant</u>/ broker to the public in the Commonwealth of Pennsylvania.

To the Pennsylvania Public Utility Commission:

1. **IDENTITY OF THE APPLICANT:** The name, address, telephone number, and FAX number of the Applicant are:

Satori Enterprises dba Satori Energy 815 W. Superior St. #1 Chicago, IL 60642 Phone 312-850-2300 Fax 312-264-6200

Please identify any predecessor(s) of the Applicant and provide other names under which the Applicant has operated within the preceding five (5) years, including name, address, and telephone number.

N.A.

2. a. **CONTACT PERSON:** The name, title, address, telephone number, and FAX number of the person to whom questions about this Application should be addressed are:

RECEIVED

David C. Wiers President 815 W. Superior St. #1 Chicago, IL 60642 Phone 312-850-2300 Fax 312-264-6200

MAR 31 2010

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

b. **CONTACT PERSON-PENNSYLVANIA EMERGENCY MANAGEMENT AGENCY:** The name, title, address telephone number and FAX number of the person with whom contact should be made by PEMA:

David C. Wiers President 815 W. Superior St. #1 Chicago, IL 60642 Phone 312-850-2300 Fax 312-264-6200

3.a. **ATTORNEY:** If applicable, the name, address, telephone number, and FAX number of the Applicant's attorney are:

N.A.

b. REGISTERED AGENT: If the Applicant does not maintain a principal office in the Commonwealth, the required name, address, telephone number and FAX number of the Applicant's Registered Agent in the Commonwealth are:

National Registered Agents, Inc. 600 North Second Street - Suite 401 Harrisburg, PA 17101 (Dauphin County) Phone: 800-550-6724 Fax: 913-851-0713

- 4. FICTITIOUS NAME: (select and complete appropriate statement)
  - The Applicant will be using a fictitious name or doing business as ("d/b/a"):

Attach to the Application a copy of the Applicant's filing with the Commonwealth's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

#### Currently pending with the Department of State - please see attachment 1 for a copy of the filed document.

#### or

The Applicant will not be using a fictitious name.

BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS: (select and complete appropriate statement)

The Applicant is a sole proprietor.

If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

#### or

The Applicant is a:

5

- domestic general partnership (\*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
  - foreign limited liability general partnership (15 Pa. C.S. §8211)
  - foreign limited liability limited partnership (15 Pa. C.S. §8211)

Provide proof of compliance with appropriate Department of State filing requirements as indicated above.

Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.

\* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

#### or

The Applicant is a :

domestic corporation (none) foreign corporation (15 Pa. C.S. §4124)



Other

domestic limited liability company (15 Pa. C.S. §8913)

foreign limited liability company (15 Pa. C.S. §8981)

Provide proof of compliance with appropriate Department of State filing requirements as indicated above. Additionally, provide a copy of the Applicant's Articles of Incorporation.

### Currently pending with the Department of State - please see attachment 2 for a copy of the filed document.

#### Please see attachment 3 for a copy of the Articles of Incorporation.

Give name and address of officers.

David C. Wiers President 815 W. Superior St. #1 Chicago, IL 60642 Phone 312-850-2300 Fax 312-264-6200

The Applicant is incorporated in the state of ILLINOIS.

6. **AFFILIATES AND PREDECESSORS WITHIN PENNSYLVANIA:** (select and complete appropriate statement)

Affiliate(s) of the Applicant doing business in Pennsylvania are:

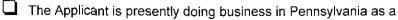
Give name and address of the affiliate(s) and state whether the affiliate(s) are jurisdictional public utilities.

If the Applicant or an affiliate has a predecessor who has done business within Pennsylvania, give name and address of the predecessor(s) and state whether the predecessor(s) were jurisdictional public utilities.

#### or

The Applicant has no affiliates doing business in Pennsylvania or predecessors which have done business in Pennsylvania.

7. **APPLICANT'S PRESENT OPERATIONS:** (select and complete the appropriate statement)



- vertically-integrated provider of generation, transmission, and distribution services.
  - municipal electric corporation providing service outside its municipal limits.
- electric cooperative
- local gas distribution company
- nonintegrated provider of electric generation, transmission or distribution services.
  - Other. (Identify the nature of service being rendered.)

#### or

The Applicant is not presently doing business in Pennsylvania.

#### 8. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a:

- Generator and supplier of electric power.
- Municipal generator and supplier of electric power.
  - Electric Cooperative and supplier of electric power
  - Broker/Marketer engaged in the business of supplying electricity.
  - Aggregator engaged in the business of supplying electricity
- 🖾 Other (Describe):

Satori will operate as a consultant/ broker advising its current clients with locations in Pennsylvania on procurement options in Pennsylvania. Satori will not actually supply the electricity or take title to the electricity.

9. **PROPOSED SERVICES:** Generally describe the electric services or the electric generation services which the Applicant proposes to offer.

The main service offerings are based around the supply-side procurement of electricity as well as the implementation of risk management strategies. Additional services include budgeting, forecasting, grossing-up, market monitoring, contract administration, and outsourced energy management.

10. SERVICE AREA: Generally describe the geographic area in which Applicant proposes to offer services.

Satori will operate where our existing clients have locations in Pennsylvania – initially in the PECO Energy, West Penn Power, Metropolitan-Edison, and Pennsylvania Electric service territories.

· 1

	Residential Customers
	Commercial Customers - (25 kW and Under)
$\boxtimes$	Commercial Customers - (Over 25 kW)
$\boxtimes$	Industrial Customers
	Governmental Customers
	All of above
	Other (Describe):

12 **FERC FILING**: Applicant has:

Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.



Received approval from FERC to be a Power Marketer at Docket or Case Number

Not applicable

13. **START DATE:** The Applicant proposes to begin delivering services on:

#### (as soon as application is approved).

14. **NOTICE:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, serve a copy of the signed and verified Application with attachments on the following:

Irwin A. Popowsky Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

William R. Lloyd, Jr. Commerce Building, Suite 1102 Small Business Advocate 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Any of the following Electric Distribution Companies through whose transmission and distribution facilities the applicant intends to supply customers:

Gary A. Jack, Assistant General Counsel **Duquesne Light Company** 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219

(Metropolitan Edison Company or Pennsylvania Electric Company) Blaine W. Uplinger, Jr., Director of Governmental and Regulatory Affairs **FirstEnergy** 100 APC Building 800 North third Street Harrisburg, PA 17102-2025

Carlo L. Ciabattoni, Manage Energy Acquisition **PECO Energy Company** 2301 Market Street Philadelphia, PA 19101-8699 215.841.4210 carlo.ciabattoni@exeloncorp.com John P. Litz, Division Controller UGI Utilities, Inc. Electric Division 400 Stewart Road P.O. Box 3200 Hanover Industrial Estates Wilkes-Barre, PA 18773-3200

Paul E. Russell, Associate General Counsel PPL Two North Ninth Street Allentown, PA 18108-1179

Stephen L. Feld, Attorney **Pennsylvania Power Company First Energy Corporation** 76 South Main Street Akron, OH 44308

John L. Munsch, Attorney Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, attach Proof of Service of the Application and attachments upon the above named parties. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14.

- 15. **TAXATION:** Complete the <u>TAX CERTIFICATION STATEMENT</u> attached as Appendix B to this application.
- 16. **COMPLIANCE:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application has been convicted of a crime involving fraud or similar activity. Identify all proceedings, by name, subject and citation, dealing with business operations, in the last five (5) years, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent. Provide a statement as to the resolution or present status of any such proceedings.

Neither the Applicant, an affiliate, nor a predecessor has been convicted of a crime involving fraud or similar activity.

- 17. STANDARDS, BILLING PRACTICES, TERMS AND CONDITIONS OF PROVIDING SERVICE AND CONSUMER EDUCATION: Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.
  - a. Contacts for Consumer Service and Complaints: Provide the name, title, address, telephone number and FAX number of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission or other agencies.

	(Alternate)
David C. Wiers	Heeseon Ko
President	Energy Analyst
815 W. Superior St. #1	815 W. Superior St. #1
Chicago, IL 60642	Chicago, IL 60642
Phone 312-850-2300	Phone 312-850-2300
Fax 312-264-6200	Fax 312-264-6200

b. Provide a copy of all standard forms or contracts that you use, or propose to use, for service provided to residential customers.

#### N.A.

c. If proposing to serve Residential and/or Small Commercial (under 25 kW) customers, provide a disclosure statement. A sample disclosure statement is provided as Appendix C to this Application.

N.A.

- 18. **BONDING:** In accordance with 66 PA. C.S. Section 2809(C) (1)(I), the Applicant is:
  - Furnishing a copy of initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
  - Furnishing proof of other initial security for Commission approval, to ensure financial responsibility.
  - Filing for a modification to the \$250,000 and furnishing a copy of an initial bond, letter of credit or proof of bonding to the Commission for the amount of **\$10,000**. Applicant is required to provide information supporting an amount less than \$250,000.

### Satori will not be taking customer deposits, will not be taking title to the electricity, and will not be supplying electricity to any customers.

At the conclusion of Applicant's first year of operation it is the intention of the Commission to tie security bonds to a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. The amount of the security bond will be reviewed and adjusted on an annual basis.

#### 19. FINANCIAL FITNESS:

#### Please see attachment 4.

- B. Applicant must provide the following information:
- Identify Applicant's chief officers including names and their professional resumes.
- Provide the name, title, address, telephone number and FAX number of Applicant's custodian for its accounting records.

#### Please see attachment 5.

- 20. **TECHNICAL FITNESS:** To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
  - The identity of the Applicant's officers directly responsible for operations, including names and their professional resumes.
  - Proposed staffing and employee training commitments
  - Business plans
  - Documentation of membership in ECAR, MAAC or other regional reliability councils shall be submitted if applicable to the scope and nature of the applicant's proposed services.
  - An affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service.

#### Please see attachment 6.

- 21. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.
- 22. **ASSESSMENT:** The Applicant acknowledges that Title 66, Chapter 5, Section 510 grants to the Commission the right to make assessments to recover regulatory expenses and that as a supplier of electricity or an electric generation supplier it will be assessed under that section of the Pennsylvania Code. The Applicant also acknowledges that the continuation of its license as a supplier of electricity or an electric generation supplier to make assessment of all prior years assessments.
- 23. UNIFORM STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission.
- 24. **<u>REPORTING REQUIREMENTS</u>**: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
  - a. Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.

- b. The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- c. Applicant shall report to the Commission the following information on an annual basis:
- the percentages of total electricity supplied by each fuel source

Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

- 25. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur in the information upon which the Commission relied in approving the original filing.
- 26. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.
- 27. **FEE:** The Applicant has enclosed the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

Applicant:	Satori Enterprises
ву:	and A. Wrens

Title:\_\_\_\_\_President\_\_\_\_\_

# ATTACHMENT 1

x

.

,

.

•

I.

#### PENNSYLVANIA DEPARTMENT OF STATE **CORPORATION BUREAU**

(00)	FILED
OPY Opibinal Opibinal	NG
& PENDI	
	$\geq$

i

	Re Li Li		General Partnership (§ 8211) Limited Partnership (§ 8211) )
Name Satori Enterpr	ises LLC	·	Document will be returned to the name and address you enter to
Address 815 W. Super	ior St. Suite 1		the left.
City Chicago	State IL	Zip Code 60642	·

Fee: \$250

In compliance with the requirements of the applicable provisions (relating to registration), the undersigned, desiring to register to do business in this Commonwealth, hereby states that:

1. The name to be registered is: Satori Enterprises LLC

2. (If the name set forth in paragraph 1 is not available for use in this Commonwealth, complete the following):

The name under which the limited liability company/limited liability partnership/limited partnership proposes to register and do business in this Commonwealth is:

Satori Energy

3. The name of the jurisdiction under the laws of which it was organized and the date of its formation:

Jurisdiction: Illinois	Date of Formation: July 2003
------------------------	------------------------------

4. The (a) address of its initial regis office provider and the county of		mmonwealth or (	b) name of its	s commercial registered
(a) Number and street	City	State	Zip	County
(b) Name of Commercial Regist National Registered Agents, I				County Dauphin

5. Check and complete one of the following the address of the office requirements of the office requir		a inviduation of its o	reganization by the laws	of
The address of the office require that jurisdiction is:	u to be maintained by it in th	e junsuiciton of its o	iganization by the laws	101
Number and street	City	State	Zip	
It is not required by the laws of of its principal office is:	its jurisdiction of organizatio	on to maintain an offici	ce therein and the addre	SS
815 W. Superior St. #1	Chicago	IL	60642	•
Number and street	City	State	Zíp	

6. For Restricted Professional Limited Liability Company Only. Strike out if inapplicable: The company is a restricted professional company organized to render the following professional service(s):

#### Limited Liability Partnership and Limited Partnership: Complete paragraphs 7 and 8

7. The na Name	me and busin	ess address of eac	ss address of each general partner. Business Address				
	<u> </u>			···			

he address of the office at what apital contribution is:	ich is kept a list of the na	ames and addresses	s of the limite	d partners and their
Number and street	City	State	Zip	County
The registered partnership her Commonwealth is canceled or	• •	ose records until it	ts registration	to do business in th

IN TESTIMONY WHEREOF, the undersigned has caused this Application for Registration to be signed by a duly authorized officer/member or manager thereof this
15 day of <u>March</u> , 2010.
Satori Enterprises LLC
Name of Partnership/Company
Signature
Title

ρp

.

# Copy

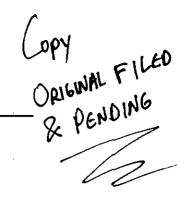
BUREAU USE ONLY: Dept. of State Entity #			
Dept. of Rev. Box #			
Filing Period Date 3 4 5			
SIC/NAICSReport Code			
Foreign Entities         State/Country Date			
······································			

David C. Wiers	815 W. Sup	erior St. #1	Chicago	IL .	60642
Name	Number and	street	City	State	Zip
3. Description of business act Electricity Consulting	ivity:				
4. Specified effective date, if a	any:	5. EIN (Em 14-18851	ployer Identifica 56	tion Number)	, if any:
month/day/year ho	ur, if any				
6. Fiscal Year End: December 31					
	]			ļ	

# ATTACHMENT 2

.

#### PENNSYLVANIA DEPARTMENT OF STATE CORPORATION BUREAU



# Application for Registration of Fictitious Name 54 Pa.C.S. § 311

Name Satori Enterpri	ses LLC		Docu name the le
Address 815 W. Superi	or St. #1		÷
City Chicago	State IL	Zip Code 60642	

Document will be returned to the name and address you enter to the left.

Fee: \$70

In compliance with the requirements of 54 Pa.C.S. § 311 (relating to registration), the undersigned entity(ies) desiring to register a fictitious name under 54 Pa.C.S. Ch. 3 (relating to fictitious names), hereby state(s) that:

1. The fictitious name is: Satori Energy

 A brief statement of the character or nature of the business or other activity to be carried on under or through the fictitious name is: Electricity Consulting

3. The address, including number and street, if any, of the principal place of business (P.O. Box alone is not acceptable):

815 W. Superior St. #1	Chicago	IL	60642	Cook
Number and street	City	State	Zip	County

4. The name and address, in	ncluding number and street, if any, of eac	ch individual intereste	ed in the business is:	
Name	Number and Street	City	State	
David C. Wiers	815 W. Superior St. #1	Chicago	IL	
			ļ	
	-			
· · · · · · · · · · · · · · · · · · ·		<u> </u>		—
	· · · · · · · · · · · · · · · · · · ·			
		<u> </u>		

Name	Form of Organization	Organizing Jurisdiction
Principal Office Address		<u></u>
PA Registered Office, if any		<u></u>
Name	Form of Organization	Organizing Jurisdictior
Principal Office Address		

pp

• 、

- 6. The applicant is familiar with the provisions of 54 Pa.C.S. § 332 (relating to effect of registration) and understands that filing under the Fictitious Names Act does not create any exclusive or other right in the fictitious name.
- 7. Optional): The name(s) of the agent(s), if any, any one of whom is authorized to execute amendments to, withdrawals from or cancellation of this registration in behalf of all then existing parties to the registration, is (are):

IN TESTIMONY WHEREOF, the undersigned Name to be executed this	have caused this Application for Registration of Fictitious
<u>15</u> day of <u>March</u> , 2010.	
Individual Signature	Individual Signature
Individual Signature	Individual Signature
Satori Enterprises LLC	
Entity Name	Entity Name
Signature	Signature
President	
Title	Title

# ATTACHMENT 3

·

/13/2	006 21:21 3128502623	190		
Fr	Damuary 2000	Limited Liabili	nois ty Company Act	This space for use by Scoretary of State
S	esse White ecretary of State	Articles of	Organization	FILED: 7/8/2003
D	epartment of Business Services	Musibe	lypewritten	JESSE WHITE
r P	LC0137207	This space for use	by Secretary of State	SECRETARY OF STATE
to at or	ayment must be made by certified heck, cashler's check, Illinols tomey's check, Illinols C.P.A.'s check money order, payable to 'Secretary i State."	Date 7/8/2003 Assigned File # 009 Filing Fee \$40 Approved: JFP		
1.	Limited Liability Company	Name:		·
1.	Satori Enterprises LL			
	(The LLC name must contain the wo	ords limited liability company, L.L	.C. or LLC and cannot contain th	a terms corporation, corp., incorporated,
-	inc., ltd., co., limited partnership, or l	L.P.)		
2.	If transacting business und	jer an assumed name, o	somplete and attaon ro	
З.	The address of its principa	I place of business: (Po	st office box alone and	c/o are unacceptable.)
•••				
•••				
	3739 N. Pine Grove #G	; Chicago, IL 60613		
	· · · · · · · · · · · · · · · · · · ·		·····	<u></u>
4.	The Articles of Organizatio	n are effective on: (Che	ck one)	
	The Articles of Organizatio	n are effective on: (Che r b)another dat	ck one) te later than but not moi date:	re than 60 days subsequent
	The Articles of Organizatio	n are effective on: (Che r b)another dat	ck one)	
	The Articles of Organizatio	n are effective on: (Che or b) another dat to the filing	ck one) te later than but not mo date:	
4.	The Articles of Organizational and the filing date, on the filing date, on the filing date, on the registered agent's name	n are effective on: (Che or b) another dat to the filing	ck one) te later than but not mo date:	
4.	The Articles of Organizational and the filing date, o	on are effective on: (Che or b) another dat to the filing ne and registered office Robert First Name	ck one) te later than but not moi date: (month, day, address is: Danny <i>Middle Intual</i>	year)
4.	The Articles of Organizational the filing date, on the filing date, on The registered agent's name Registered agent: Registered Office:	on are effective on: (Che or b) another dat to the filing ne and registered office Robert <i>First Name</i> 1019 West Newpo	ck one) te later than but not mot date:	year) Lattas Lasi Name
4.	The Articles of Organizational the filing date, of the filing date, of the registered agent's name registered agent:	on are effective on: (Che or b) another dat to the filing ne and registered office Robert First Name	ck one) te later than but not moi date: (month, day, address is: Danny <i>Middle Intual</i>	Jattas
4.	The Articles of Organizational the filing date, on the filing date, on The registered agent's name Registered agent: Registered Office:	n are effective on: (Che or b) another dat to the filing ne and registered office Robert First Name 1019 West Newpo Number	ck one) te later than but not moi date: (month, day, address is: Danny <i>Middle Initial</i> ort Avenue Street	year) Lattas Lasi Name Suile #
4.	The Articles of Organizatio a) the filing date, of The registered agent's nam- Registered agent: Registered Office: (P.O. Box and c/o are unacceptable) Purpose or purposes for w (If not sufficient space to cover this purposes for w	on are effective on: (Che or b) another dat to the filing ne and registered office Robert First Name 1019 West Newpo Number Chicago City which the LLC is organi	ck one) te later than but not mot date: 	year) Lattas Lasi Name Suite # Cook County ess code # (IRS Form 1065).
4. 5.	The Articles of Organizatio a) the filing date, of The registered agent's nam- Registered agent: Registered Office: (P.O. Box and c/o are unacceptable) Purpose or purposes for w (If not sufficient space to cover this purposes for w	on are effective on: (Che or b) another dat to the filing ne and registered office Robert First Name 1019 West Newpo Number Chicago City which the LLC is organi	ck one) te later than but not mot date: 	year) Lattas Lasi Name Suite # Cook County ess code # (IRS Form 1065).
4. 5.	The Articles of Organizational	on are effective on: (Che or b) another dat to the filing ne and registered office Robert First Name 1019 West Newpo Number Chicago City which the LLC is organi	ck one) te later than but not mot date: 	year) Lattas Lasi Name Suite # Cook County ess code # (IRS Form 1065).
4. 5.	The Articles of Organizatio a) the filing date, of The registered agent's nam Registered agent: Registered Office: (P.O. Box and c/o are unacceptable) Purpose or purposes for w (If not sufficient space to cover this purposes for w (If not sufficient sp	on are effective on: (Che or b) another dat to the filing ne and registered office Robert First Name 1019 West Newpo Number Chicago City which the LLC is organi	ck one) te later than but not mot date: 	year) Lattas Lasi Name Suite # Cook County ess code # (IRS Form 1065).
4. 5.	The Articles of Organizatio a) the filing date, of The registered agent's nam Registered agent: Registered Office: (P.O. Box and c/o are unacceptable) Purpose or purposes for w (If not sufficient space to cover this purposes for w (If not sufficient sp	on are effective on: (Che or b) another dat to the filing ne and registered office Robert First Name 1019 West Newpo Number Chicago City which the LLC is organi	ck one) te later than but not mot date: 	year) Lattas Lasi Name Suile # Cook County
4. 5.	The Articles of Organizatio a) the filing date, of The registered agent's nam Registered agent: Registered Office: (P.O. Box and c/o are unacceptable) Purpose or purposes for w (If not sufficient space to cover this purposes for w (If not sufficient sp	on are effective on: (Che or b) another dat to the filing ne and registered office Robert First Name 1019 West Newpo Number Chicago City which the LLC is organi	ck one) te later than but not mot date: 	Lattas Lasi Name Suite # Cook County ess code # (IRS Form 1085). npanies may be organized und
4. 5.	The Articles of Organizatio a) the filing date, of The registered agent's nam Registered agent: Registered Office: (P.O. Box and c/o are unacceptable) Purpose or purposes for w (If not sufficient space to cover this purpose "The transaction of any or a this Act." See Attachment One	on are effective on: (Che or b) another dat to the filing ne and registered office <u>Robert</u> First Name 1019 West Newpo Number Chicago City which the LLC is organi olnt, add one or more sheets of t all lawful business for w	ck one) te later than but not mot date: 	year) Lattas Lasi Name Suite # Cook County ess code # (IRS Form 1065).
4. 5.	The Articles of Organizatio a) the filing date, of The registered agent's nam- Registered agent: Registered Office: (P.O. Box and c/o are unacceptable) Purpose or purposes for v (If not sufficient space to cover this purpose "The transaction of any or a this Act." See Attachment One The latest date, if any, upor	n are effective on: (Che n b) another dat to the filing ne and registered office <u>Robert</u> <u>First Name</u> <u>1019 West Newpo</u> <u>Number</u> <u>Chicago</u> <u>City</u> which the LLC is organi olnt, add one or more sheets of t all lawful business for w	ck one) te later than but not mod date:	Lattas Lasi Name Suite # Cook County ess code # (IRS Form 1085). npanies may be organized und
4. 5.	The Articles of Organizatio a) the filing date, of The registered agent's nam Registered agent: Registered Office: (P.O. Box and c/o are unacceptable) Purpose or purposes for w (If not sufficient space to cover this purpose "The transaction of any or a this Act." See Attachment One	n are effective on: (Che n b) another dat to the filing ne and registered office <u>Robert</u> <u>First Name</u> <u>1019 West Newpo</u> <u>Number</u> <u>Chicago</u> <u>City</u> which the LLC is organi olnt, add one or more sheets of t all lawful business for w	ck one) te later than but not mod date:	Lattas Lasi Name Suite # Cook County ess code # (IRS Form 1065). npanies may be organized und

#### LLC-5.5

- 8. Other provisions for the regulation of the internal affairs of the LLC per Section 5-5 (a) (8) included as attachment *if yes, state the provisions(s) from the ILLCA*. Yes X No
- 9. a) Management is by manager(s): Yes X No If yes, list names and business addresses.

b) Management is vested in the member(s): A Yes No If yes, list names and addresses.

David C. Wiers 3739 N. Pine Grove; #G Chácago, IL 60613

10. I affirm, under penalties of perjury, having authority to sign hereto, that these articles of organization are to the beside of my knowledge and belief, true, correct and complete.

(Month/Day) Dated

	Signature(s) and Name(s) of Organizer(s)		Busin	ess Address(es)	
1	Danol Nut Signature ORGAN 12EB	1. <u></u>	<u>3739 N</u> Number CHILKGO	PINE GROUS AG	
-	(Type or print name and title)			City/Town	_
			16	606 13	
-	(Name if a corporation of other entity)		State	ZIP Code	
2	-	2		· · · · · · · · · · · · · · · · · · ·	
	Signature		Number	Street	
-	(Type or print name and title)			City/Town	<b>.</b>
-	(Name If a corporation or other entity)	••• •	State	ZIP Code	
З.		3.			
_	Signature		Number	Ştreet	
	(Typc or print name and tille)			CityyTown	
	(Name if a corporation or other entity)	••••	State	ZIP Code	

(Signatures must be in ink on an original document. Carbon copy, photocopy or rubber stamp signatures may only be use on conformed copies.)

## **ATTACHMENT 4**

### **INCOME STATEMENT & BALANCE SHEET**

# ARE CONFIDENTIAL DOCUMENTS

# **ATTACHMENT 5**

# Officer & Accounting Records Custodian DAVID C. WIERS PRESIDENT 815 W. SUPERIOR ST. #1 CHICAGO, IL 60642 (P) 312-850-2300 (F) 312-264-6200

### David C. Wiers

815 W. Superior St. Suite 1 Chicago, IL 60642 Phone: 312-850-2300

**PHILOSOPHY FOR PERFORMANCE SUCCESS:** To achieve success, one must be honestly dedicated to performing the finest job possible as well as having courage to adapt to constantly changing environments while possessing an enthusiastic attitude.

#### **BUSINESS EXPERIENCE:**

PresidentSatori Enterprises LLC dba Satori EnergyChicago, IL 2006 - Present\* Satori Energy provides supply-side procurement, risk management, market monitoring services, and overall energy<br/>management solutions to clients in deregulated markets nationwide.Chicago, IL 2006 - Present

Vice-PresidentPower Brokers LP - Dallas, TX 8/03 - 12/05 / Choice Energy Services Retail 12/05 - 10/06\*Responsible for nationwide procurement of electricity and natural gas for large commercial and industrial clients. Additional<br/>responsibilities include: supervision of internal resources, formulation and refining of mass market approach, establishing risk<br/>management parameters and benchmarks, modification and elaboration of business plan, developing adapted scope of services<br/>for large individual clients, delivery of customized solutions to various clients, overseeing company newsletter, developing and<br/>supervising mentoring program.

#### Project Manager Sieben Energy Associates (SEA)

\*Led various projects focused on the supply-side issues of large commercial and industrial clients (focused in states which have deregulated electric markets) ~ Illinois, Michigan, Ohio, Pennsylvania, New York, Massachusetts, Maryland, Washington DC., Texas, California, and Oregon. Supervised the collection and organization of data. Completed data analysis, opportunity assessment, and strategic assessment of transaction parameters for each individual client. Created and developed Request for Proposals catered to the needs of each client, conducted pricing proposal analysis and presented the results and recommendations to client.

#### Consultant

#### ant Accenture (Formerly Andersen Consulting)

\*Served as a business analyst on four different projects with increasing roles on each project. Intricate part of a team atmosphere on every project. Responsibilities varied project depending, but major accomplishments were attained in each situation. Marketed to end users, devised customer research mechanisms and conducted surveys. Strategically analyzed approaches to selling and marketing while making improvements in processes and assisting in implementing change management techniques. Documented best practices, conceived "quick wins" and constructed long-term forecasting for identified areas of business. Managed relationships between parent company and dealers/ distributors while assisting in implementing new strategic programs.

#### **EDUCATION:**

Carthage College Bachelor of Arts Degree Majors: Business Administration and Marketing \* 3.88 GPA

#### **PROFESSIONAL HONORS AND ACTIVTIES:**

- Board Member Om Life Yoga studio, Dallas Texas 2004 2006
- President Texas Electric Professionals Association (TEPA) 2004- 2007
- Vice-President Illinois Energy Professionals Association (ILEPA) 2007-Present
- Selected Speaker at various conferences including: AEE, EUCI, TEPA
- Completed coursework and passed examination for Certified Energy Procurement Professional (CEP) 2000

Kenosha, WI Date of graduation: May 1998 Minors: Economics and English \* All-College Honors

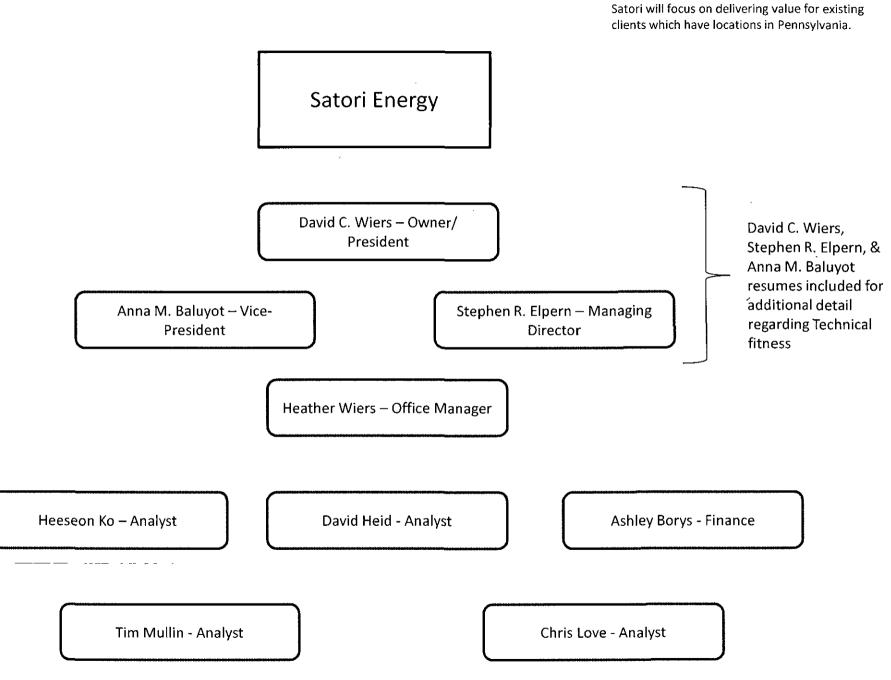
Chicago, IL 7/00 - 7/03

Milwaukee, WI 8/98 – 7/00

### i |

# ATTACHMENT 6

,



Page 1 - March 2010

#### David C. Wiers, President – Satori Energy Past-President – Texas Electricity Professionals Association (TEPA) Founding Member– Illinois Energy Professionals Association (ILPEA)

With over 11 years of consulting experience, including over 9 years in the energy business, Mr. Wiers has participated in many deregulated markets from the inception of electric deregulation. Wiers launched his professional career as a consultant for Andersen Consulting. He moved into the deregulated power markets in 2000 with Chicago-based Sieben Energy Associates. There, he conducted and supervised supplier negotiations, reviewed contracts, performed rate optimization studies and undertook billing audits for a variety of clients. Shortly after the retail electricity market deregulated in Texas, Wiers became Vice President and a minority partner in Dallas based Power Brokers L.P., where he helped create a full-service electricity brokering firm. Mr. Wiers was responsible for constructing business plans for entering new markets, formulating and refining mass market approaches for specific markets, establishing risk management parameters and benchmarks, developing and adapting service offerings for large individual clients, and delivering customized solutions to various clients.

In 2006, Mr. Wiers started his own customer centric energy consulting firm, **Satori Energy**. Based in Chicago, Satori Energy provides supply-side procurement, risk management, market monitoring services, and overall energy management solutions to clients in deregulated markets nationwide.

Mr. Wiers is founding member and Past-President of the **Texas Electricity Professionals Association (TEPA)**, a non-profit organization created in 2005. TEPA's mission statement and goals are aimed at upholding the integrity and maintaining honor in the deregulated electricity business in Texas (<u>www.tepatexas.org</u>). Under his leadership, TEPA has grown from nine founding members to a roster of more than 35 aggregators, brokers, consultants and retail electric providers. In 2007, Mr. Wiers became a founding member the **Illinois Energy Professionals Association (ILEPA)**, a non-profit organization similar to the Texas organization (<u>www.ilepa.org</u>).

Wiers is a Magna Cum Laude graduate of Carthage College, where he earned degrees in business administration and marketing with an emphasis in economics. He is President of the Carthage College Alumni Association and is a former board member of the Young Executives Club of Chicago.

### David C. Wiers

815 W. Superior St. Suite 1 Chicago, IL 60642 Phone: 312-850-2300

**PHILOSOPHY FOR PERFORMANCE SUCCESS:** To achieve success, one must be honestly dedicated to performing the finest job possible as well as having courage to adapt to constantly changing environments while possessing an enthusiastic attitude.

#### **BUSINESS EXPERIENCE:**

PresidentSatori Enterprises LLC dba Satori EnergyChicago, IL 2006 - Present\* Satori Energy provides supply-side procurement, risk management, market monitoring services, and overall energy<br/>management solutions to clients in deregulated markets nationwide.Chicago, IL 2006 - Present

Vice-President Power Brokers LP - Dallas, TX 8/03 – 12/05 / Choice Energy Services Retail 12/05 – 10/06 \*Responsible for nationwide procurement of electricity and natural gas for large commercial and industrial clients. Additional responsibilities include: supervision of internal resources, formulation and refining of mass market approach, establishing risk management parameters and benchmarks, modification and elaboration of business plan, developing adapted scope of services for large individual clients, delivery of customized solutions to various clients, overseeing company newsletter, developing and supervising mentoring program.

#### Project Manager Sieben Energy Associates (SEA)

\*Led various projects focused on the supply-side issues of large commercial and industrial clients (focused in states which have deregulated electric markets) ~ Illinois, Michigan, Ohio, Pennsylvania, New York, Massachusetts, Maryland, Washington DC., Texas, California, and Oregon. Supervised the collection and organization of data. Completed data analysis, opportunity assessment, and strategic assessment of transaction parameters for each individual client. Created and developed Request for Proposals catered to the needs of each client, conducted pricing proposal analysis and presented the results and recommendations to client.

#### Consultant Accenture (Formerly Andersen Consulting)

\*Served as a business analyst on four different projects with increasing roles on each project. Intricate part of a team atmosphere on every project. Responsibilities varied project depending, but major accomplishments were attained in each situation. Marketed to end users, devised customer research mechanisms and conducted surveys. Strategically analyzed approaches to selling and marketing while making improvements in processes and assisting in implementing change management techniques. Documented best practices, conceived "quick wins" and constructed long-term forecasting for identified areas of business. Managed relationships between parent company and dealers/ distributors while assisting in implementing new strategic programs.

#### **EDUCATION:**

Carthage College Bachelor of Arts Degree Majors: Business Administration and Marketing \* 3.88 GPA

#### **PROFESSIONAL HONORS AND ACTIVTIES:**

- Board Member Om Life Yoga studio, Dallas Texas 2004 2006
- President Texas Electric Professionals Association (TEPA) 2004- 2007
- Vice-President Illinois Energy Professionals Association (ILEPA) 2007-Present
- Selected Speaker at various conferences including: AEE, EUCI, TEPA
- Completed coursework and passed examination for Certified Energy Procurement Professional (CEP) 2000

Kenosha, WI Date of graduation: May 1998 Minors: Economics and English \* All-College Honors

Chicago, IL 7/00 – 7/03

Milwaukee, WI 8/98 – 7/00

#### ANNA M. BALUYOT

2226 W. Charleston Chicago, IL. 312.404.5308 anna.baluvot@comcast.net

#### EXECUTIVE PROFILE

Proven executive and leader with a proven track record in executive client sales and management, business development, marketing and delivery of technical solutions, team leadership, P&L management, budgeting, and corporate strategic planning. Solid record of consistently accessing and selling at executive management levels and client-retention. Keen analytical problem-solving skills with a solution-focused approach to complex challenges. Leader and consensus-builder with a genuine talent for building relationships, building cohesive and productive sales teams, strong communication across all levels of the organization, and fostering a collaborative teamwork environment. Experience in the following areas:

- Executive Level Sales
- Executive Client Management
- Business Development
- PROFESSIONAL EXPERIENCE

.

**Business Process Reengineering** 

Product & Service Development

Corporate Strategic Planning

#### Satori Energy, Chicago, IL

Satori Energy partners with clients to assist in managing the procurement and commodity management portion of electric and natural gas expenditures. Satori Energy offers a full suite of energy procurement services including market analysis by region, energy supplier bid comparison and analysis, pricing structure evaluation and development, bill auditing, contract review and negotiation.

#### Vice President

Responsible for business development and general business management.

#### Juice Energy, Chicago, IL

Juice Energy is an independent electricity supplier working solely on behalf of its clients. Operating in deregulated markets, Juice tailors rate structures and products to improve clients' financial performance and carbon footprint. Juice provides energy portfolio management, transparency and new accountability measures, so its clients are able to achieve the highest return at the lowest cost.

#### Senior Energy Advisor

Hired to launch Juice's presence in the Illinois retail electricity market in July 2008. Responsible for delivering tailored electricity supply and customized carbon emission reduction strategies for governmental, commercial and industrial customers.

#### Strategic Energy (acquired by Direct Energy), Chicago, IL

Strategic Energy is a leading competitive supplier of retail electricity operating in ten states with deregulated energy markets including California, Connecticut, Illinois, Maryland, Massachusetts, Michigan, New Jersey, New York, Ohio, Pennsylvania and Texas. Strategic Energy acts as an energy manager in deregulated markets on behalf of commercial and manufacturing customers. Strategic Energy serves approximately 11 percent of the Fortune 500 companies, and is the 4<sup>th</sup> largest retail supplier in the country.

Page 1 of 4

Anna M. Baluyot

2008 – Present

2008 - 2008

2006 - 2008

#### Senior Business Development Manager

Launched Strategic Energy's presence in the Illinois retail electricity market in November 2006. Responsible for structuring, negotiating, and closing fixed and managed commodity products as well as demand response and renewable bundled options to the governmental, industrial, and institutional sectors.

- Structured, negotiated, and closed largest transaction in 2007 company-wide, amounting to \$40M.
- Exceeded sales goal by 50%
- Took the Illinois sales from entry to 3<sup>rd</sup> largest market for the Company
- Retained, negotiated renewal terms, and closed complex transaction won in 2007
- Attained 2008 sales goal in first quarter of 2008.

#### Lime Energy (formerly Electric City Corp.), Chicago, IL

2003 - 2006

Electric City is a leading developer, manufacturer and integrator of energy conservation technologies and building automation systems. Using its patented technologies, the Company has developed the electric industry's first known competitive, dispatchable, measurable and verifiable demand response system.

#### Senior Vice President

Reported directly to Chief Executive Officer. Key member of executive management team. Senior level management responsibilities for business development, corporate strategic planning and sales training development. Responsible for structuring and negotiating transactions with electric utilities and corporate clients. Leading integration activities resulting from recent acquisition. Promoted from VP position in late 2004.

- Structured, negotiated, and closed complex transactions amounting to \$15M. These contracts were with
  electric utilities to develop large scale demand response systems.
- Built a pipeline of pending transactions totaling \$31.2M for 2006
- Serve as chief integration officer for overall enterprise following the acquisition of a company in May 2005. Developed an integration framework, business process to integrate duplicative business systems, and a continuity business plan.
- Responsible for designing and implementing an enterprise-wide consultative sales training program -aimed at both developing a sales process and sales professionals.

#### MWH Global, Chicago, IL

2001 - 2003

MWH Global is an energy and environmental engineering, construction, and water resource management firm. MWH Global offers design, construction, finance, operation, and maintenance services for infrastructure projects in more than 30 countries in the Americas, Europe, the Middle East, and the Asia/Pacific Rim.

#### Senior Consultant

Reported directly to the Vice President of Management Consulting and Financial Services. Key assignments were focused on business process benchmarking, strategic analysis and planning, and competitive market analysis.

- Led consulting engagement and delivered study aimed at determining the optimal dispatch and operation
  of generation plants for a Midwest utility. Factors considered in the study included: market conditions,
  locational marginal pricing, and system operational requirements. Contract value: \$200K.
- Led consulting engagement and evaluated sites for the potential installation of disbursed generation units in major load centers in the Western United States. Led efforts in the identification of transmission constraints with the aim of designing optimum interconnection schemes resulting in congestion relief. Contract value: \$750K.
- Led consulting engagement, designed and implemented benchmarking project methodology for a leading, global independent power company resulting in improved productivity, efficiency and effectiveness. Contract value: \$100K.
- Led consulting engagement focused on business process reengineering effort for an international energy concern. Redesigned core business processes by implementing analytical techniques including mapping

Anna M. Baluyot

Page 2 of 4

process workflow and root cause analysis. New processes led to a more streamlined and efficient business approach. Contract value: \$250K.

- Championed strategic direction and planning, led competitive analysis, and business development for start-up of new business practice resulting in new market opportunities for MWH.
- Participated in corporate strategic executive team for the investigation and identification of new and noncore markets for MWH.

#### EXELON CORPORATION, Chicago, IL

1998-2001

Exelon Corporation is one of the nation's largest electric utilities with approximately 5 million customers and more than \$15 billion in annual revenues.

#### Wholesale Energy Trader (1998-2001)

Reported to the Director of the Wholesale Energy Trading Organization. Responsible for making optimum use of the corporation's generation assets, and expanding and serving the nationwide market for wholesale energy.

- Purchased and sold energy and transmission products for the native load requirements of the largest electric utility in the Midwest. Gathered market intelligence, directed company's reserve requirements in most economical manner.
- Interacted with term trading team for evaluation of market conditions and assistance in development of trading strategies for portfolio optimization.
- Created additional margins through optimization of knowledge of least-cost transmission and displacement opportunities.

#### UNICOM CORPORATION, Chicago, IL

#### 1994-1998

Prior to 1998, Unicom Corporation was the parent company of several energy-related subsidiaries including ComEd, the nation's largest nuclear utility.

#### Merger and Acquisition Analyst (1996-1998)

Reported directly to the Vice President of Marketing. Member of team responsible for evaluating firms for potential merger or acquisition strategies.

- Performed economic analyses of project and financial structures to screen and evaluated M&A candidates. This provided the company with an unregulated, nationwide competitive presence.
- Assisted in development of a standard process used to evaluate acquisition candidates, which reduced evaluation cycle time by 50%.
- Reviewed legal, contractual and financial documentation. Prepared reports and studies for functional, as well as senior management use, including reports for Senior Board of Directors.

#### Product and Services Development Manager (1994-1996)

Reported directly to the Director of Product and Services Development. Responsible for identifying new business opportunities for both regulated and unregulated companies.

- Applied an effective internal product development process: focused on idea exploration, concept development and screening, and business case preparation resulting in successful launches that contributed to the Company's overall competitive position.
- Assembled, managed, and led cross-functional product/project teams focused on creating and developing new services and products.
- Received an Outstanding Performance Award for accomplishments in the Product and Services business area.

Anna M. Baluyot

#### COMMONWEALTH EDISON, Chicago, IL

1989-1994

One of the largest electric utilities in the country; generated, transmitted, and delivered electricity to 3.4 million customers in Northern Illinois.

#### Strategic Accounts Manager (1989-1994)

Reported to the Energy Services Organization Manager. Responsible for the relationship, retention, and consultative selling of company products and services to the top-tier clients in the company's portfolio.

- Established and maintained strong business relationships at the executive-level of clients' organizations
  resulting in the retention of 3 at-risk clients representing \$20 million.
- Conceived and implemented account management model that maximized client contact with key
  accounts and uncovered client issues and opportunities resulting in improved client relationship and
  customer satisfaction.
- Selected to executive task force tasked with reengineering of the sales and marketing business units. Efforts resulted in improved operations and creating opportunity for operational excellence.
- Through the design and implementation of client survey, developed innovative program to better anticipate and satisfy clients' needs and promote better client relationships.
- Received an Outstanding Performance Award for strategic account management and sales results.
- Recipient of the Presidential Territory Award for retaining an at-risk client, preventing the loss of \$1 million in annual electric service revenue.
- Recipient of an Outstanding Performance Award for accomplishments in the sales engineering business unit.

#### EDUCATION

- Master of Public Affairs (Finance and Systems Administration) Indiana University, 1990
- Bachelor of Science (Biomedical Engineering) Loyola University, 1983.

#### LANGUAGES

Fluent Spanish, conversational French

### Stephen R. Elpern

1158 W. Armitage Avenue Chicago, IL 60614 (773) 771-4365 srelpern@satorienergy.com

### Satori Energy

Managing Director

• Broker for electricity and natural gas

#### **Access Power Management**

#### President

• Independent broker for electricity

#### **Various Real Estate Companies**

• Broker, owner, manager of commercial real estate

EDUCATION:

University of Michigan Ann Arbor, MI.
Bachelor of Science: Engineering
University of Pennsylvania Philadelphia, PA.
Master of Science: Engineering
University of Wisconsin Madison, WI.
Master of Science: Real Estate

Chicago, IL (2007-Present)

Chicago, IL (Jan. 2001-Dec. 2006)

Chicago, IL (1976-2000)

### AFFIDAVIT

#### State of \_Illinois\_ :

SS.

#### County of \_Cook\_

\_David C. Wiers\_, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He is the \_President\_ (Office of Affiant) of \_Satori Enterprises dba Satori Energy\_ (Name of Applicant);]

[That he is authorized to and does make this affidavit for said Applicant;]

That \_Satori Enterprises dba Satori Energy\_ the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That \_ Satori Enterprises dba Satori Energy \_, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That \_ Satori Enterprises dba Satori Energy \_, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. \$2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That \_ Satori Enterprises dba Satori Energy \_, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his her knowledge, information, and belief.

Signature of Affiant

Sworn and subscribed before me this 29 day of March, 2010.

"OFFICIAL SEAL" HEESEON McDONOUGH NOTARY PUBLIC, STATE OF ILLINOIS MY COMMISSION EXPIRES 10/6/2013

Signature of official administering oath

My commission expires October 6,2013

### AFFIDAVIT

State of \_Illinois :

SS.

County of \_Cook\_\_\_

\_David C. Wiers\_, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He is the \_President\_ (Office of Affiant) of \_Satori Enterprises dba Satori Energy\_ (Name of Applicant);]

[That he is authorized to and does make this affidavit for said Applicant;]

That \_ Satori Enterprises dba Satori Energy \_, the Applicant herein certifies that it plans to have caused the notice of the filing of its electric generation license application to be published in the following newspapers in the future.

That \_ Satori Enterprises dba Satori Energy \_, the Applicant will submit to the Commission the proof of publication from each newspaper in which notice of the application filing was published as soon as it is available.

That the facts above set forth are true and correct to the best of his her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Signature of Affiant

Sworn and subscribed before me this 29 day of Marc 2010



Signature of official administer

My commission expires OCtober 6,2013

### AFFIDAVIT

State of \_Illinois\_\_ :

SS.

County of \_Cook\_\_\_

\_David C. Wiers\_, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He is the \_President\_ (Office of Affiant) of \_Satori Enterprises dba Satori Energy\_ (Name of Applicant);]

[That he is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein \_ Satori Enterprises dba Satori Energy \_ has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein \_ Satori Enterprises dba Satori Energy \_ has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein \_ Satori Enterprises dba Satori Energy \_ acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein \_ Satori Enterprises dba Satori Energy \_ acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best othis her knowledge, information, and belief, and that the same at hearing.

Signature of Affiant

Sworn and subscribed before me this 29 day of March 2010



Signature of official administering oath

My commission expires October 6,2013

To Whom it may concern:

This document verifies that each one of these companies below have been sent a copy of the signed and verified Satori Application with attachments.

Duquesne Light Company UGI Utilities, Inc. FirstEnergy PPL PECO Energy Company Pennsylvania Power Company First Energy Corporation Allegheny Power

all Mrs



PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU To Whom it may concern:

This document verifies that each one of these companies below have been sent a copy of the signed and verified Satori Application with attachments.

Irwin A. Popowsky Office of Consumer Advocate

555 Walnut Street

5th Floor, Forum Place

Harrisburg, PA 17120

William R. Lloyd, Jr. Commerce Building, Suite 1102 Small Business Advocate 300 North Second Street Harrisburg, PA 17101 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance

Harrisburg, PA 17128-0946

RECEIVED

MAR 31 2010

PA PUBLIC UTILITY COMMISSION DECRETARY'S BUREAU

