



DAVID A SHEFFER  
Principal

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Harrisburg, PA 17110  
717.763.9890 • Tel

dsheffer@ausconsultants.com

Secretary, Pennsylvania Public Utility Commission  
400 North Street, 2<sup>nd</sup> Floor  
Harrisburg, PA 17120

Dear Secretary:

Please find enclosed the verification the Utility Valuation Expert application was hand delivered to the Office of Small Business Advocate and the Office of Consumer Advocate on December 19, 2019 at Docket No. A-2016-2566251.

*I, David Sheffer, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).*

Signature   
DAVID A. SHEFFER  
AUS Consultants

Title Principal

Date 1-10-2020

Enclosure

Cc: Janelle Eckman [jacckman@pa.gov](mailto:jacckman@pa.gov)  
(w/encl.)

RECEIVED  
2020 JAN 10 AM 9:57  
PA PUC  
SECRETARY'S BUREAU  
FRONT DESK

Bureau of Technical Utility Services  
Water/Wastewater Division  
Data Request Set 1

Application of AUS Consultants, Inc. to Renew Registration as a Utility Valuation Expert  
at Docket No. A-20 16-2566251

Note: Please restate the data request prior to providing a response. In addition, provide the name and title of the person(s) providing the response and/or information for each data request.

- A-I. Section G of the instructions accompanying the Application for Registration as a Utility Valuation Expert requires applicants to provide a complete copy of the Application including exhibits to the Office of Consumer Advocate and the Office of Small Business Advocate (Statutory Advocates). The Application does not indicate that a copy was provided to the Statutory Advocates. Please verify that a complete copy of the renewal Application was served on the Statutory Advocates

**RESPONSE:**

**This is in response to the Utility Valuation Expert Application not indicating a copy was provided to the Office of Small Business Advocate and the Office of Consumer Advocate.**

**Please find attached copies of the notarized Affidavit, along with date stamped (December 19, 2019) copies of the complete renewal Application for Registration as a Utility Valuation Expert was provided to the Office of Consumer Advocate and the Office of Small Business Advocate.**

**PROVIDED BY: David A. Sheffer, Principal**

RECEIVED

JAN 10 2020

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**Application for Registration as a Utility Valuation Expert (UVE)**

APPENDIX A

**AFFIDAVIT**

[Commonwealth/State] of Pennsylvania \_\_\_\_\_ :

County of Dauphin \_\_\_\_\_ :

ss.

David Sheffer \_\_\_\_\_, Affiant, being duly sworn or affirmed according to law, deposes and says that:

Affiant is the Principal \_\_\_\_\_ (Office of Affiant) of AUS Consultants, Inc. \_\_\_\_\_ (Name of Applicant);

That Affiant is authorized to and does make this affidavit for said Applicant;


That AUS Consultants, Inc. \_\_\_\_\_, the Applicant herein, has the burden of producing information and supporting documentation demonstrating technical fitness to be registered as a Utility Valuation Expert pursuant to Section 1329. 66 Pa. C.S. § 1329.

That AUS Consultants, Inc. \_\_\_\_\_, the Applicant herein, acknowledges that it has answered the questions on the application correctly, truthfully and completely and has provided supporting documentation as required.

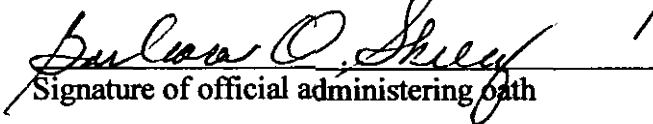
That AUS Consultants, Inc. \_\_\_\_\_, the Applicant herein, acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That AUS Consultants, Inc. \_\_\_\_\_, the Applicant herein, verifies that neither the UVE nor the UVE's firm, including affiliates, have a conflict of interest that would compromise, or have the appearance of compromising, the UVE's professional judgement and ability to perform the valuation in an unbiased manner.

That the facts above set forth are true and correct to the best of Affiant's knowledge, information, and belief, and that Affiant expects said Applicant to be able to prove the same at hearing.

  
Signature of Affiant

Sworn and subscribed before me this 10<sup>th</sup> day of January 2020.

  
Signature of official administering oath

My commission expires: 06/12/2021.

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
BARBARA O. SKELLY, Notary Public  
Susquehanna Twp., Dauphin County  
My Commission Expires June 12, 2021

**RECEIVED**

**DEC 19 2019**

**OFFICE OF  
CONSUMER ADVOCATE**

**APPLICATION FOR REGISTRATION  
AS A  
UTILITY VALUATION EXPERT**

**Office of Consumer Advocate  
555 Walnut Street 5<sup>th</sup> Floor Forum Place  
Harrisburg, PA**

**RECEIVED**

**JAN 10 2020**

**PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU ,**



BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

In Re: Application of AUS Consultants Inc. to register as an Utility Valuation Expert (UVE) in the Commonwealth of Pennsylvania

Docket No: M-2016-2543193

Check one:

Fee: X \$125 fee enclosed

Initial Application

X Renewal Application

1. Legal Name of the Applicant: AUS Consultants, Inc.

Attach proof of compliance with appropriate Pennsylvania Department of State filing requirements.

2. Trade or Commercial or Fictitious Names Used by Applicant (d/b/a):

X Check this box if the Applicant will not be using a fictitious name.

Check this box if the Applicant will be using a fictitious name or using a d/b/a (doing business as) another name and identify names below. If more space is needed, list names on the back of this page or append list to completed application.

3. Applicant Address:

Street Name & Number: 3601 North Progress Avenue, Suite 202
Post Office Box:
City: Harrisburg
State: PA
Zip Code: 17110
Telephone Number: 717-763-9890
Email Address: Dsheffer@ausconsultants.com
Website Address: www.ausinc.com

A copy of any document from the Pennsylvania Department of State (Pa. Dept. of State) documenting the Applicant's Pa. Dept. of State entity number is adequate. However, the document must indicate that the Applicant's Pa. Dept. of State registration is "active." Certified copies of Pa. Dept. of State documents are not required.

**RECEIVED**  
DEC 19 2019  
Office of Small Business Advocate

**APPLICATION FOR REGISTRATION  
AS A  
UTILITY VALUATION EXPERT**

Office of Small Business Advocate  
555 Walnut Street, 1<sup>st</sup> Floor, Forum Place  
Harrisburg, PA

**RECEIVED**

JAN 10 2020

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU



BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

RECEIVED

JAN 10 2020

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

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Check this box if the Applicant will be using a fictitious name or using a d/b/a (doing business as) another name and identify names below.

3. Applicant Address:

Street Name & Number: 3601 North Progress Avenue, Suite 202
Post Office Box:
City: Harrisburg
State: PA
Zip Code: 17110
Telephone Number: 717-763-9890
Email Address: Dsheffer@ausconsultants.com
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