



NRG Retail Northeast
3711 Market Street, 10th Floor
Philadelphia, PA 19104

January 16, 2020

Via UPS

Ms. Rosemary Chiavetta
Secretary
Pennsylvania Public Utility Commission
Keystone Building
400 North Street
2nd Floor RM N201
Harrisburg, PA 17120

RECEIVED

JAN 16 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

**RE: Green Mountain Energy Company's Annual Compliance Filing
for Continuation of 5% Reduction in Security Requirements
Docket No. A-2011-2229050; Utility Code 1113241**

Dear Secretary Chiavetta:

Please accept this Annual Compliance Filing on behalf Green Mountain Energy Company ("GME") to renew its required level of financial security at the reduced level of 5%.

GME's contact person for this Annual Compliance Filing is as follows:

Leah Gibbons
Director, Regulatory Affairs
3711 Market St. Suite 1000
Philadelphia, PA 19104
Tel: 301-509-1508
Email: lgibbons@nrg.com

This renewal request is made consistent with the directives of the Commission's September 20, 2018 Tentative Opinion and Order and the Secretarial Letter issued November 1, 2018,¹ and is being submitted at least 90 days prior to the expiration date of GME's current security (April 26, 2020). GME's reduction in financial security to 5% was initially approved by the Commission through the Secretarial Letter dated March 19, 2018, at the above referenced docket

¹ *Petition of the Retail Energy Supply Association to Simplify the Financial Security 5% Renewal Process*; Docket No. P-2017-2608078, dated November 1, 2018; *Petition of the Retail Energy Supply Association to Simplify the Financial Security 5% Renewal Process*; Docket No. P-2017-2608078. Tentative Opinion and Order entered September 20, 2018.

number. GME is compliant with Alternative Energy Portfolio Standard requirements and the Commission's annual and supplemental fee requirements.

The following attachments support this Annual Compliance Filing:

- 1) GME's gross receipts in Pennsylvania for the four most-recent quarters and its 5% gross receipt calculation is attached hereto as ATTACHMENT A (CONFIDENTIAL);
- 2) GME is current with its tax liabilities to the Commonwealth of Pennsylvania and is providing Form REV-423 Specialty Tax Estimated Payment Form and a Tax Status Letter from the Pennsylvania Department of Revenue, which are both attached hereto as ATTACHMENT B (CONFIDENTIAL); and
- 3) GME's original bond in an amount equal to 5% of its most recent 12-months of gross receipts (CONFIDENTIAL).

GME understands that the Commission will treat the confidential attachments as proprietary information that will not be released to the public. GME has marked the attachments as "CONFIDENTIAL" and requests that the Commission grant GME's attachments with confidential and proprietary treatment. These attachments contain commercially sensitive information that GME does not make public in any of our corporate reporting.

Enclosed please find a verification attesting to the truthfulness of facts set forth in this Annual Compliance Filing. The statutory advocates, the Commission's Bureau of Investigation & Enforcement, the Office of Attorney General, the Pennsylvania Department of Revenue and the pertinent electric distribution companies have been served with a copy of this Annual Compliance Filing as evidenced by the enclosed Certificate of Service.

Please feel free to contact me at 301.509.1508 or via Email at lgibbons@nrg.com if you have any questions or require additional information.

Sincerely,



Leah Gibbons
Director Regulatory Affairs

Enclosure

RECEIVED

JAN 16 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

VERIFICATION

I, Mark Parsons, hereby state that I am Vice President of Green Mountain Energy Company and am authorized to make this verification on its behalf. The facts set forth in the foregoing Annual Compliance Filing are true and correct to the best of my knowledge, information and belief and that I expect to be able to prove the same at a hearing in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa.C .S. § 4904 (relating to unsworn falsification to authorities).

January 7, 2020
Dated



RECEIVED

JAN 16 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

CERTIFICATE OF SERVICE

I hereby certify that this day I served a non-confidential copy of Green Mountain Energy Company's Annual Compliance Filing for Continuation of 5% Reduction in Security Requirements upon the persons listed below in the manner indicated in accordance with the requirements of 52 Pa. Code Section 1.54.

Bureau of Investigation & Enforcement
Pennsylvania Public Utility
Commission Commonwealth Keystone
Building
400 North Street, 2 West
Harrisburg, PA 17120

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
P.O. Box
Harrisburg, PA 17128

Office of Consumer Advocate
5th floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of Attorney General
Bureau of Consumer
Protection
Strawberry Square, 14th Floor
393 Walnut Street
Harrisburg, PA 17120

Office of Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Legal Department
West Penn Power d/b/a Allegheny
Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Regulatory Affairs
Duquesne Light Company
411 Seventh Ave., MD 16-4
Pittsburgh, PA 15219

Met-Ed, Penelec, and Penn Power:
Legal Department
First Energy
2800 Pottsville Pike
Reading, PA 19612

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street, P.O. Box 138
Wellsboro, PA 16901

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19103

Office of General Counsel
Attn: Kimberly Klock
PPL
Two North Ninth Street
Allentown, PA 18108-1179

UGI Utilities, Inc.
Attn: Rates Dept. - Choice Coordinator
2525 N. 12th Street, Suite 360
P.O. Box 12677
Reading, PA 19605

Pike County Light & Power Company
Vice President - Energy Supply
Coming Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

Leah Gibbons 1/16/20
Leah Gibbons, Director Regulatory Affairs

RECEIVED

JAN 16 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

UPS CampusShip: View/Print Label

1. **Ensure there are no other shipping or tracking labels attached to your package.** Select the Print button on the print dialog box that appears. Note: If your browser does not support this function select Print from the File menu to print the label.
2. **Fold the printed label at the solid line below.** Place the label in a UPS Shipping Pouch. If you do not have a pouch, affix the folded label using clear plastic shipping tape over the entire label.

3. GETTING YOUR SHIPMENT TO UPS Customers with a Daily Pickup

Your driver will pickup your shipment(s) as usual.

Customers without a Daily Pickup

Take your package to any location of The UPS Store®, UPS Access Point™(TM) location, UPS Drop Box, UPS Customer Center, Staples® or Authorized Shipping Outlet near you. Items sent via UPS Return Services(SM) (including via Ground) are also accepted at Drop Boxes. To find the location nearest you, please visit the Resources area of CampusShip and select UPS Locations.

Schedule a same day or future day Pickup to have a UPS driver pickup all your CampusShip packages. Hand the package to any UPS driver in your area.

UPS Access Point™
 THE UPS STORE
 3720 SPRUCE ST
 PHILADELPHIA PA 19104

UPS Access Point™
 PROCLAIM ENTERPRISES COMPUTERS
 3713 HAVERFORD AVE
 PHILADELPHIA PA 19104

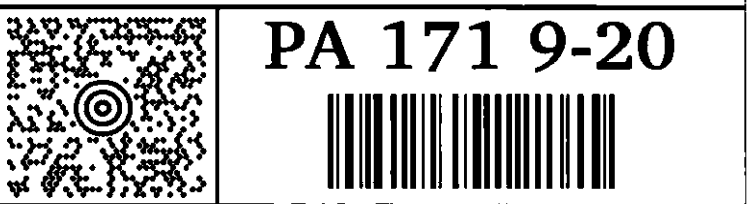
UPS Access Point™
 CVS STORE # 2755
 4314 LOCUST ST
 PHILADELPHIA PA 19104

FOLD HERE

0.0 LBS LTR 1 OF 1

ERICA BRAY
 267-295-5752
 HRG RETAIL
 3711 MARKET STREET
 PHILADELPHIA PA 19104

SHIP TO:
 ROSEMARY CHIAVETTA, SECRETARY
 PA PUC
 400 NORTH STREET
 HARRISBURG PA 17120-0200



UPS NEXT DAY AIR **1**
 TRACKING #: 1Z 078 3WE 01 9481 8410



BILLING: P/P



CS 02 0.11 WNTNV56 20 0A 10/2019