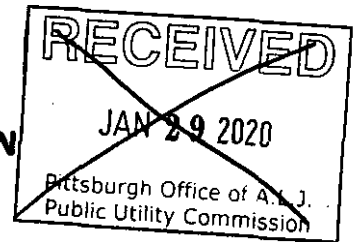


BEFORE THE
PENNSYLVANIA PUBLIC UTILITY COMMISSION



LIPSOSS CORP CONSTRUCTORS

Complainant,

Vs

No. C-2019-3012350

DUQUESNE LIGHT COMPANY

Respondent

RECEIVED
2020 JAN 31 AM 10:02
P.A.P.U.C.
SECRETARY'S BUREAU

**EXCEPTIONS FILED BY COMPLAINANTS ANASTASIOS SMALIS AND LIPSOSS CORP
CONSTRUCTORS TO THE INITIAL DECISION FROM KATRINA L. DUNDERDALE
ADMINISTRATIVE LAW JUDGE DATED JANUARY 21, 2020 FOR ABUSE OF
DISCRETION BY THE COMMISSION AND ADMINISTRATIVE LAW JUDGE KATRINA
L. DUNDERDALE**

Filed on behalf of Complainant Lipsoss
Corp and Anastasios Smalis pro-se

6652 Northumberland St
Pittsburgh PA 15217
412 303 5564
email: smalisanastasios18@gmail.com

RECEIVED

2020 JAN 31 AM 10:02

P. U. C.
SECRETARY'S BUREAU

PENNSYLVANIA PUBLIC UTILITY COMMISSION

LIPSOSS CORP CONSTRUCTORS

Complainant,

Vs

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DISCRETION BY THE COMMISSION AND ADMINISTRATIVE LAW JUDGE KATRINA
L. DUNDERDALE**

AND NOW, comes Complainant Anastasios Smalis and Lipsoss Corp Constructors to file Exceptions to the INITIAL DECISION before Katrina L. Dunderdale Administrative Law Judge dated January 21, 2020. Exceptions are as follow.

1. On August 8, 2019 Anastasios Smalis and Lipsoss Corp Constructors filed a Formal complaint against Respondent Duquesne Light Company. Complainants filed Individually Anastasios Smalis and Lipsoss Corp constructors on the formal complaint document. (Exceptions No. 1) to Administrative Law Judge

and the commission abuse of discretion to deny Anastasios Smalis as a complainant filed with addendum 1, 2, and 3 is a blatant abuse of discretion.

(Exception No.2) Complainant filed addendum 1, 2, and 3, with documentation and photos as to 2 poles behind complainants building with distance from back of building less than 1 foot. Photos also indicate the pole behind building with the cross member over complainants roof with high tension bare wires over 4500 volts less than 6 feet on top of roof. In addition photos indicate secondary high tension bare wires less than 1 foot from back of building in violation of federal laws (OSHA) 29 CFR 1910 Subpart S-Electrical enacted September 8, 2008 and 29 CFR 1926 Subpart L enacted 1996 which outlines requirements for work that is performed near overhead lines and minimum approach distance from energized power lines for qualified and non-qualified workers. OSHA regulations states any worker including DLC workers must stay a minimum 10 feet away from any high tension overhead or approach distance.

Complainants Anastasios Smalis and Lipsoss Corp believes DLC may have received right away from City of Pittsburgh on property of the City but did not have easement permission from complainants to place overhang of cross member over plaintiffs roof with overhang high tension bare wires over complainants roof which amounts to a taking in violation of U S Constitutional 5th and 14th Amendment of the US Constitution due to DLC is service electrical utility for public use governed by the PA PUC. In addition to high-tension wires over complainants roof respondent placed secondary high-tension wires less than 1 foot from back of building in violation of (OSHA) laws and (NESC). Complainant believes respondent has misled the commission.

Complainants served the formal complaint with additional addendum 1, 2, and 3 which included copy of deeds to building 4073 Liberty Avenue and the 7 lots on Ewing Street dating back to 1800's well before the assignment Notice of administrative Law Judge dated October 2, 2019.

On October 17, 2019 The Sommer Law Group Craig E. Maravich filed an Entry of Appearance with the Commission on behalf of Lipsoss Corp Constructors and not for Anastasios Smalis which has filed pro se and is permitted under the law. Complainant believes counsel for DLC and the commission has misled

complainant in not include pro se Anastasios Smalis due to in an email attached as in complainants "Exhibit A" and "Exhibit B" where the Pennsylvania Public Utility Commission generally encourages resolving Formal Complaint before hearings are conducted.

In the settlement telephone conference on October 18, 2019 counsel for DLC has misled and misread the commission's general encouragement in resolving Formal Complaints before hearings are conducted. "Exhibit A" Email from Counsel for DLC Emily Farah has acknowledge addendum 1, 2, and 3 due to submitting costs to move poles on Ewing Street which was rejected due to the poles and cross members with high tension wires are on private property as was demonstrated in copy of deeds dating back to 1800's submitted in the formal complaint. Counsel for DLC neglected any settlement as to back of building and over roof violations in a fraud manner, and noncompliance with polices for ethical conduct as in complainants "Exhibit C".

Complainants "Exhibit C 1-10" Policies for Ethical Conduct for Corporate compliance for DLC Effective Date August 9, 2019 enacted by and signed by Steven Malnight President and CEO dated August 6, 2019. Mr. Malnight is sued in federal complaint in his official capacity due to false compliance in DLC in the policies for ethical conduct by DLC and by violating federal laws as well as **Compliance with Laws and Regulations** as in complainants "Exhibit C page 6" "We are committed to compliance with all of the laws, rules regulations that govern our industry and business dealings and expect all personnel to be familiar with and abide by such laws, rules and regulations. As a public utility, we are also regulated under federal and state law. Among other things, be mindful of the prohibition on ex parte or unilateral contact or communications on issues presently before the Federal Energy Regulation Commission ("FERC") and the Pennsylvania Public Utility Commission ("PUC).

All personnel must perform their duties in accordance with the applicable laws and regulations and are expected to ask their supervisors, Legal Services or Corporate Compliance for guidance if they have questions or are uncertain of their legal obligations.

These Policies for ethical Conduct enacted by the president and CEO are good intentions due to PG&E with the electrical utility deaths which Steven Malnight

was a corporate executive prior to taking over DLC as president and CEO. Complainant believes the DLC personnel and especially Donna Murphy statements that DLC was here first in reference to complainants wire over complainant's roof and 2 poles with cross members in the 7 lots on Ewing St are on private property. Complainants believes personnel treating the policies for Ethical Conduct for DLC as in smoke and mirrors in violation of PA and Federal Laws.

COMPLAINANTS FILING EXCEPTIONS TO FINDINGS OF FACT FILED BY
ADMINISTRATIVE LAW JUDGE KATRINA L. DUNDERDALE

Answer as to No. 1 Denied Lipsoss Corp is not listed as ratepayer of record at 4073 Liberty Avenue as in complainants "Exhibit D".

Answer as to No.2 admitted.

Answer as to No. 3 admitted with correction, withdrawn the Formal Complaint without prejudice as to correction.

Answer as to No. 4 admitted with correction, Respondent has no objections as to withdraw of formal Complaint without prejudice but reserves to any new allegations, complainant denies this statement. All allegations were addressed in addendum 1, 2, and 3.

Complainants Anastasios Smalis and Lipsoss Corp Constructors believes Respondent has acted in a corrupt manner in handling of the formal complaint as to their unfounded allegations that "they were here first". Complainant has complained to respondent DLC, City of Pittsburgh right away department and the PUC since 2010 when notice to DLC was submitted in written form as included in the formal complaint in addendum 1, 2, and 3. Complainant just found recently by admission of Supervisor for DLC Carr which has corrected the statement that "DLC was here first is incorrect". His statement and the tag on the pole indicate the pole and the cross member was erected in 2012 or 2013. The cross member erected over complainant's roof with over 4500 volts bare wires is a clear violation of (OSHA) regulation 29 CFR 1910 Subpart S enacted September 8, 2008 and 29 CFR 1926 Subpart L enacted 1996. Respondent erected pole behind complainant's building and erected cross member over complainants roof with bare wire of over 4500 volts in 2012 or 2013 in violation of the (OSHA) laws which

amounts to a blatant and intentional negligence. Complainant was assured by respondent in 2010 that DLC was here first and denied complainants request to remove wires over complainants' roof and property and assured complainant that the wires were in compliance with the law. Respondent again advised complainant in the email to Attorney Craig Maravich during settlement phone call On October 18, 2019 as in attached complainants "Exhibit A". This is the second time respondent advised complainant the wires were erected in compliance of the law.

Complainant believes the process of respondent and the PUC court is flawed and the Administrative Law Judge of no response as to addendum 1, 2, and 3 is unfounded according to the definition of addendum. The formal complaint filed by Anastasios Smalis and Lipsoss Corp Constructors was recognized by the PUC but yet the Administrative Law Judge not recognizing Anastasios Smalis as a complainant amounts to an Abuse of discretion by this Court. Under PA Law when a judicial or personnel of state agency abuse their discretion can be sued in individual and official capacity due to their immunity has been left at the door.

The Courts and law have defined an abuse of discretion as "not merely an error of judgment, but if in reaching a conclusion the law is overridden or misapplied, or the judgment exercised is manifestly unreasonable, or the result of partiality, prejudice, bias or ill will, as shown by the evidence or the record, discretion is abused." Not only an abuse of discretion has been committed by this Court but a violation of Due Process Clause of the 14th Amendment of the US Constitution has been violated due to the process of this Court.

The Formal complaint filed by Anastasios Smalis and Lipsoss Corp Constructors is not a complaint that respondent shut off electricity, it is a serious manner due to property taking Clause in violation of the 5th and 14th Amendment of the U S Constitution, destruction of property due to no access of the back of building, trespass and electrical shock injury received by Anastasios Smalis due to DLC persistence that we were here first and that wires were in compliance as indicated by DLC counsel email in "Exhibit A" quote statement (to be Clear, Duquesne Light Company position is that the existing condition of its facilities along Ewing Street and behind Mr. Smalis Property on Liberty Avenue comply with all Pennsylvania Public Utility Commission regulations, including the standard set forth by the National Electric Safety Code. Complainant believes DLC has committed intentional negligence and is supported by violations of policies

for ethical conduct for corporate compliance for DLC as in complainants' "Exhibit C".

Counsel for DLC has neglected her duties in complying with the documents submitted which the Bureau of investigations by the PUC has initiated an investigation and the right away has been established that DLC is in violation of PUC laws and Federal (OSHA) laws which counsel for DLC as a steward and DLC has neglected to comply. The compliance of distance of high tension wires by the NESC are same as OSHA and greater as to distance.

Dated: January 28, 2020

Respectfully Submitted

Anastasios Smalis

Lipsoss Corp Constructors

Anastasios Smalis (Complainant)

Lipsoss Corp Constructors (Complainant)

6652 Northumberland Street

Pittsburgh PA 15217

412 303 5564

Email:Smalisanastasios18@gmail.com



Emily M. Farah
Counsel, Regulatory

411 Seventh Avenue
Mail drop 15-7
Pittsburgh, PA 15219

Tel: 412-393-6431
efarah@duqlight.com

September 11, 2019

RECEIVED

Via First-Class Mailing

Lipsooss Corp Constructors
6652 Northumberland Street
Pittsburgh, PA 15217

JAN 31 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU


**RE: Lipsooss Corp Constructors v. Duquesne Light Company
Docket No. C-2019-3012350**

Dear Mr. Smalis:

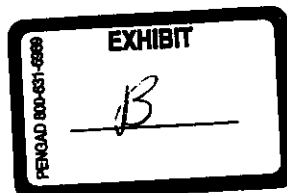
Enclosed you will find Duquesne Light Company's Answer and New Matter to your Formal Complaint. By law, Duquesne Light is required to file a response to your Complaint. This filing is not a decision from the Pennsylvania Public Utility Commission.

The Pennsylvania Public Utility Commission generally encourages resolving Formal Complaints before hearings are conducted. The filing of this Answer does not prevent us from settling your Complaint. Please have your counsel contact me at 412-393-6431 to discuss a potential mutually agreeable settlement of your Complaint.


Sincerely,



Emily M. Farah
Counsel, Regulatory



78

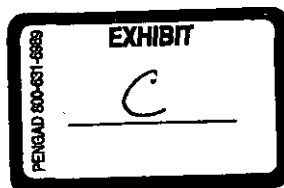
	CORPORATE COMPLIANCE	
Policies for Ethical Conduct	Policy Number:	700
	Revision Number:	5
	Effective Date:	August 9, 2019

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JAN 31 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Policies for Ethical Conduct



(1)



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PURPOSE

The purpose of the Policies for Ethical Conduct (“Policies”) is to set forth standard expectations of conduct for Company Personnel in support of the Company’s commitment to conduct its business in accordance with the highest standards of business and common sense ethics.

SCOPE

These Policies apply to all Personnel of Duquesne Light Holdings, Inc. and its business subsidiaries (collectively, the “Company”).

POLICY STATEMENT

It is the policy of the Company to conduct its business in accordance with all applicable laws, rules, regulations and the highest ethical standards. Accordingly, it is the responsibility of all Company Personnel to read, understand and adhere to the Company’s Policies for Ethical Conduct and all other Company policies at all times in carrying out their responsibilities of the business activities of the Company.

The Company is committed to complying with the laws, rules and regulations governing its activities and each of us must be familiar with those that apply to our individual jobs and work assignments. Compliance with the law, however, is just the beginning of ethical conduct.

These Policies demonstrate the Company’s commitment to maintaining the highest standards of business and common sense ethics, providing a work environment that is respectful, and conducting itself in accordance with applicable laws, rules and regulations. All Company Personnel must conduct themselves in a manner consistent with these Policies and in a manner that avoids impropriety or the appearance of impropriety.

These Policies set forth many of the rules that govern the Company’s expectations of its Personnel and provides you with resources to help when you have questions. Because these Policies cannot anticipate every situation that you might encounter, you are reminded to contact your supervisor, Corporate Compliance or Human Resources if you have any doubt as to the proper course of action in a particular situation. Remember, your supervisor, Corporate Compliance and Human Resources are available to you as a resource for any and all questions or concerns that you have about these Policies and ethical conduct at work.

To the extent that you believe that any of these Policies are inconsistent with another policy or practice of the Company, please advise Corporate Compliance immediately. Until any alleged inconsistency can be addressed, please know that these Policies prevail over any inconsistent



policy and require strict compliance. Failure to comply with, or violation of, these Policies may result in discipline, up to and including discharge.

POLICY DETAILS

1. **Corporate and Individual Responsibility** – The Company is dedicated to compliance with all applicable laws, regulations and rules. This is the foundation on which the Company's ethical conduct is built. All Personnel are critical to the Company's ability to be an ethical and responsible corporate citizen. To that end, we expect you to be committed to excellence and ethical behavior in your conduct, the performance of your job duties, and your interactions with the public, customers, contractors, suppliers, vendors and each other.

Ethics is an individual, as well as a corporate, responsibility. Each person is responsible for ensuring that his or her actions comply with these Policies. Another individual's or a group of individuals' failure to comply will not excuse or condone your failure to comply.

All Personnel are accountable for understanding and complying with these Policies and for reporting known or suspected violations of these Policies that are occurring or have occurred. Compliance with these Policies is a condition of employment.

Management is accountable for knowing and communicating the laws and regulations that affect their respective areas of operation; assessing the potential for unethical or illegal conduct and taking action to mitigate it; and supporting a system for reporting concerns about ethics and unsafe conduct that protects reporter confidentiality and anonymity to the fullest extent possible and ensures there is no retaliation against any individual for reporting a concern in good faith.

2. **Professional Conduct** – The Company is committed to providing a workplace that is safe and in which all Personnel are treated with dignity, respect and courtesy. To that end, you are expected to conduct yourself professionally in both word and deed. Professional conduct includes appropriate communications; honest and fair treatment of others, and respectful interactions and accountability for one's actions, all of which foster positive working relationships with others and a professional working environment. *Please also see the Respect in the Workplace Policy, which is part of the Policies for Equal Employment Opportunity.*
3. **Public Communications** – You are not permitted to make public statements on behalf of the Company unless you have been designated as a Company spokesperson on a particular issue. Any public statements made on behalf of the Company must be fair and accurate. Any



requests for comment received from a third party should be immediately directed to Communications and Corporate Citizenship.

4. **Environment** – Our Company is committed to protecting human health, safeguarding the environment and preserving our natural resources. The Company and its Personnel collectively and individually will take appropriate measures to protect the resources within our control and to foster responsible environmental awareness, policies and practices. We will comply with all laws, rules and regulations designated to safeguard our environment.
5. **Protecting Company Property and Assets** – We all share the responsibility for protecting Company property and assets, whether financial, physical, intellectual or otherwise. You must take proper care to safeguard against direct and indirect misuse, theft, unauthorized use or disclosure, and waste of Company resources. This includes, in addition to facilities, funds, equipment, and employee time, information concerning technologies, business and financial data pertaining to sales, earnings, balance sheet items, market forecasts, business plans, acquisition strategies, customers, suppliers, employees and other confidential information.

Company property and assets should be used efficiently, responsibly and for legitimate Company business purposes only. Theft, unauthorized use or disclosure and misuse have a negative impact on the Company and will not be tolerated. Limited personal use of the Company's electronic resources and tools/equipment (based upon receiving approval from local supervisor) is permitted so long as such use is occasional, incidental, not for outside employment, does not result in additional costs and does not interfere with an employee's job responsibilities. Such limited personal use must be in a manner consistent with all departmental and Company policies and procedures.

No employee has an expectation of privacy in his or her use of Company systems or in any messages or information composed, sent, stored or received on Company systems. Likewise, the Company reserves the right to inspect, examine, monitor and record the use of its equipment, property and systems at any time with or without notice in accordance with applicable law.

It is critical that all Company property and systems, including computer, Internet, telephone and voicemail systems, be used appropriately and in accordance with all Company policies. Sending, saving, accessing, or viewing offensive or inappropriate material is prohibited. Messages stored and/or transmitted by the Company's electronic resources, including, but not limited to, the computer, voicemail, email, text messages, or the telephone system, must not contain content that may be considered offensive by any reasonable person. Offensive



Any other Company funds used for a political purpose must have the prior written authorization of a Company Officer. You must also submit reports through the Corporate Secretary to the Audit Committee of the Board of Directors describing the amount of money spent, the reason for its expenditure, and the procedures followed.

8. **Payments or Gifts to Government Officials** – You may not attempt to improperly influence federal, state or local government officials, including any direct or indirect form of promise, bribe, kickback, gift, or loan. Similarly, you must not offer indirect payments or favors that are intended to influence a public official's judgments or decisions

9. **Compliance with Laws and Regulations** – We are committed to compliance with all of the laws, rules and regulations that govern our industry and business dealings and expect all Personnel to be familiar with and abide by such laws, rules and regulations. As a public utility, we are also regulated under federal and state law. Among other things, be mindful of the prohibition on ex parte or unilateral contact or communications on issues presently before the Federal Energy Regulatory Commission ("FERC") and the Pennsylvania Public Utility Commission ("PUC").

All Personnel must perform their duties in accordance with the applicable laws and regulations and are expected to ask their supervisors, Legal Services or Corporate Compliance for guidance if they have questions or are uncertain of their legal obligations.

10. **Gifts and Entertainment within a Business Relationship** – You may not offer, solicit or accept anything of value to improperly influence, or appear to improperly influence, any person in a business relationship with the Company. This includes, but is not limited to, bribes, kickbacks, or loans to or from any person or company with whom you do business on behalf of the Company. The offer or acceptance of cash gifts or cash equivalents (e.g., gift cards and discounts) is strictly prohibited.

This policy is applicable to all business-related meals and events, and to ordinary business courtesies that are considered generally accepted industry practices. Such meals, events and courtesies must be reasonable, infrequent and not considered lavish. You must always be accompanied by the vendor or third party hosting the business-related meal or event. You also should remember that offering or accepting many small gifts or favors from the same individual or business could appear improper over a period of time. Further, any such gifts may not be intended to influence a business decision, be conditioned on receiving anything of value in return or be in excess of generally accepted business practices. The acceptance of



anything of value, including gifts, meals or business entertainment, from any vendor being considered during the Request For Proposal (“RFP”) process, is strictly prohibited. Employees are prohibited from accepting gifts or anything of value from customers.

As a general guideline, any meal or event in excess of \$250 per person/employee and any gifts in excess of \$100 per person/employee should be presumed to be in violation of this policy absent extenuating circumstances. This limitation applies to any and all gifts including, but not limited to, meals, business courtesies and entertainment or sporting events whether received by you or a member of your immediate family. Finally, you are prohibited from providing any type of gifts to employees or representatives of regulated agencies. Any questions concerning compliance with this policy or with respect to specific situations should be directed to Corporate Compliance.

- 11. Honesty in Business Communications** – You have a responsibility for honesty and fair dealing in your relations with and on behalf of the Company. You are expected to be honest and forthright in your spoken and written communications with Company personnel and others with whom you conduct business. This expectation applies to written and verbal dealings, including internal and external reports, proposals, bids, price negotiations, and all other Company documents. You have a duty to ensure that any material issues are disclosed in a timely manner through the appropriate reporting channels so that issues may be fully considered by the Disclosure Committee.

If you are responsible for Company records and financial accounts, you must be sure that they conform to generally accepted accounting principles and regulatory accounting requirements including FERC and PUC requirements. You must not prepare, accept, transmit, or copy off-book transactions or records that intentionally or otherwise falsify, obscure, or disguise the true nature of transactions.

Further, this requirement extends to information and data provided on behalf of the Company to regulators, specifically, FERC, the North American Electric Reliability Corporation, ReliabilityFirst, and the PUC. You have a duty to ensure that all information provided to all regulated agencies is true and correct to the best of your knowledge and ability.

Our Company regularly communicates with the public (including, among other things, by making periodic filings with various governmental agencies). If you are involved in the preparation of these communications, you are responsible for ensuring that the disclosures



made are full, fair and accurate, made on a timely basis and in a manner understandable to the public. This duty also extends to disclosures made to our investors.

12. **Workplace Investigations** – You shall cooperate fully in workplace investigations and be honest and cooperative during the investigation process. You should feel comfortable reporting instances of non-compliance. Retaliation of any type will not be tolerated against an individual who truthfully and in good faith brings forward a concern or who assists in an investigation of a potential concern. Company Personnel attempting to retaliate against an individual for raising a concern or participating in an investigation will face disciplinary action, up to and including discharge.
13. **Recordkeeping** – All Company records must be accurate and appropriately detailed in accordance with applicable standards. You must not prepare, accept, approve or transmit Company records, including time, expense and work records, that intentionally or otherwise falsify or misrepresent the true nature of the transactions or work performed. If you identify a weakness or error in any Company record or recordkeeping process, immediately report it to Corporate Compliance and Finance. Similarly, you are expected to observe all applicable federal and state laws and regulations regarding record retention as well as any applicable document retention holds issued in connection with threatened or pending legal action. *For additional information, please refer to the Corporate Records Retention Policy.*
14. **Fair Dealing** – You must always deal fairly with, and not exhibit favoritism toward, the Company's customers, suppliers, competitors, affiliates and Personnel. Unreasonable preference or discrimination in rates charged or service provided is strictly prohibited. You should refrain from taking unfair advantage of anyone through manipulation, concealment, misuse or abuse of privileged or confidential information, misrepresentation of material facts or any other unfair-dealing practice.
15. **Antitrust** – You are prohibited from taking unfair advantage of others through manipulation, concealment, misuse of privileged information, misrepresentation of material facts, or any other unfair-dealing practices. You also are prohibited from engaging in activities with customers, suppliers or competitors such as bid rigging or price fixing that unfairly prevent or limit competition, or could appear to do so.
16. **Conflicts of Interest** – Conflicts of interest can take many different forms. In general, a conflict may arise when your private interests interfere with the interests of the Company or impair, or appear to impair, your ability to make objective business decisions on behalf of the



ENFORCEMENT

These Policies shall be adhered to by all Personnel. Failure to do so may result in discipline, up to and including discharge.

The Company reviews its policies regularly and reserves the right to amend, modify or terminate these Policies and any related guidelines or procedures at any time.

REFERENCES AND RELATED DOCUMENTS

- Policy No. 300 - Business Travel and Expense Reimbursement Policy
- Policy No. 601 - Policies for Equal Employment Opportunity
- Policy No. 710 - Ethical Conduct Compliance Hotline Policy
- Policy No. 711 - Acceptable Use of Electronic Resources Policy
- Policy No. CP-013 - Workplace Violence Prevention and Response Policy
- Policy No. CM-DL-1001 - FERC Standards of Conduct – Written Procedures
- Policy No. CIP-PRG-1110 - Information Protection Program
- Conflict of Interest Disclosure Form
- Duquesne Light Company Privacy Policy
- PUC Code of Conduct, 52 Pa. Code § 54.122

DEFINITION OF TERMS

Personnel	Any employee, intern, Contingent Worker or NEWA.
Contingent Worker	A third party entity or individual who is not an employee of the Company who performs paid Services for the Company on a temporary basis, usually pursuant to a contract, and requires physical or electronic access to Company facilities and secured assets.
Non-Employee With Access (“NEWA”)	Any non-employee of the Company who requires physical or electronic access to Company facilities and secured asses and does not otherwise meet the definition of Contingent Worker.
Services	Work performed for the Company by a third party entity or individual who is not an employee of the Company, usually pursuant to a contract.

8

(9)



DOCUMENT CONTROL RECORD

POLICY MANAGEMENT AND APPROVAL	
Issued By:	Corporate Compliance
Distribution:	Public
Implementation:	Communication and training will be provided to all employees
Policy Review Schedule:	This policy shall be reviewed at least every two (2) years and, if necessary, updated. A record of any changes made during this review shall be noted in the Policy Revision History table (below).

POLICY APPROVAL			
Sponsor	Title	Approval Signature	Date
E. Labriola	Managing Director and Chief Compliance Officer		8/6/19
Approved By	Title	Approval Signature	Date
S. Malnight	President and Chief Executive Officer		8/6/19

POLICY REVISION HISTORY			
Version	Prepared By	Summary of Changes	Date
0	E. Creahan and E. Labriola	Issued Guidelines for Ethical Conduct	1-1-13
1	J. DiPasquale	Review of entire document, reformatted on new Corporate Policy template. Revisions to gifts and workplace violence sections to clarify. Other minor revisions made throughout for clarification and to include references to other policies that have been updated since initial issuance.	9-1-14
2	M. McKown	Review of entire document, reformatted with new logo. Minor revisions and clarifications to maintain consistency with policy terminology.	8-9-16
3	M. McKown	Review of entire document. Minor revisions and clarifications to maintain consistency with policy terminology.	10-16-17
4	M. McKown	Review of entire document. Added language to workplace violence section regarding non-flammable pepper spray and mace.	9-19-18



Customer Name and Service Address:

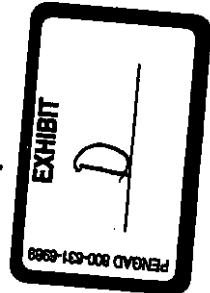
ANASTASIOS M SMALIS
 4073 LIBERTY AVE
 PITTSBURGH, PA 15224-1443
 BILL ID: 935291452886

Account Number: 9352-994-353

Rate: GS-Small Commercial

Date Prepared: 08/06/19

Usage & Demand Information		Summary	
Next Scheduled Meter Reading Date: September 4, 2019		Prior Billing Information	
kWh Usage: <ul style="list-style-type: none"> • Average Monthly Usage for the past 13 months is 1 kWh. • Total Annual Usage for the past 13 months is 8 kWh. • The average temperature for the billing period was 2 degrees warmer than last year. <p>The Price to Compare (PTC) for your rate class is 6.53 cents/kWh. It will change every June & December. Your actual PTC may differ based on your demand & usage kWh. For more information & supplier offers visit www.PAPowerSwitch.com & www.oca.state.pa.us.</p>		Total Amount of Last Bill <u>\$79.10</u> Prior Account Balance \$79.10 DLC Basic Service Charges \$13.90 TOTAL ACCOUNT BALANCE PAYABLE TO DLC <u>\$93.00</u>	
Billing Demand: 		ACTUAL METER READING BILL For more information see www.duquesnelight.com . Give to Dollar Energy Fund to help people without heat or light. Make a monthly pledge at www.duquesnelight.com or send a check to Duquesne Light Hardship Fund Donations, 411 Seventh Avenue MD 15-1, Pittsburgh, PA 15219. Your gift is tax deductible.	
Estimated PA State Taxes	Late Charge After Aug 22, 2019	Payment Due	Amount Due
\$1.71	1.25%	Aug 22, 2019	\$93.00



RECEIVED

JAN 31 2020

PA PUBLIC UTILITY COMMISSION
 SECRETARY'S BUREAU

CERTIFICATE OF SERVICE

I Complainant Anastasios Smalis and Lipsoss Corp Constructors served a true and correct copy of Exceptions to Initial Decision from Administrative Law Judge Katrina L. Dunderdale to the following individuals on January 28, 2020 to the following individuals.

First class mail Benedict S. Tarr
Bureau of investigation PUC
400 North Street
Harrisburg, PA 17120

First class mail & email Emily Farah
411 Seventh Avenue 16th floor
Pittsburgh PA 15219

Hand Delivered Katrina L. Dunderdale
Administrative Law Judge
Piatt Place Suite 220
301 5th Avenue
Pittsburgh Pa, 15222

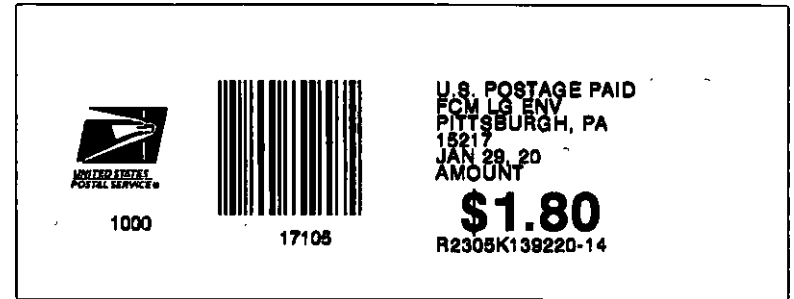
First class mail Secretary's Bureau PUC
PA PUC PO Box 3265
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LIPSOSS CORP CONSTRUCTORS
ANASTASIOS SMALIS pro se
6652 Northumberland St
Pittsburgh PA 15217
412 303 5564
Email:smalisanastasios18@gmail.com

A. Anastasio Inmate
Lipross Corp Constructors
665.2 Northumberland St
Pittsburgh, Pa 15217



Rosemary Chovetta
Secretary of PUC.
PA PUC.
P. O BOX 3265
Harrisburgh, Pa. 17105