



RECEIVED

JAN 14 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

January 13, 2020

Pennsylvania Public Utility Commission
Rosemary Chiavetta, Secretary of the Commission
400 North Street, Keystone Building
Harrisburg, PA 17120

Dear Secretary Chiavetta,

Enclosed, please find Open Market Energy, LLC's Application Form for Parties Wishing to Offer, Render, Furnish, or Supply Natural Gas Supply Services to the Public in the Commonwealth of Pennsylvania. Open Market Energy, LLC is applying to do business as gas aggregator in the Commonwealth.

As requested by the Pennsylvania Public Utility Commission, OME is providing the original Application document and a cd-rom containing a searchable PDF version. One copy of all confidential information, stamped CONFIDENTIAL, is enclosed in a separate envelope with the Application.

Please contact me with any questions or if additional information is needed. Thank you.

Sincerely,

John Gardiner
President
Open Market Energy, LLC
(240)482-4729

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Open Market Energy, LLC, d/b/a Open Market Energy, LLC, for approval to offer, render, furnish, or supply natural gas supply services as a Broker/Marketer engaged in the business of supplying natural gas services to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Open Market Energy, LLC
7625 Wisconsin Avenue, Suite 200
Bethesda, Maryland 20814
O: (240)482-4729
F: (240)496-1111
www.openmarketenergy.net

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Corporation Service Company
2440 Commerce Drive
Harrisburg, PA. 17110
O: (717) 526-4330
F: (717) 234-9055

RECEIVED

JAN 14 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

John P. Gardiner
President
7625 Wisconsin Avenue, Suite 200
Bethesda, Maryland 20814
O:(240) 482-4729
F: (240) 496-1111
John@openmarketenergy.net
www.openmarketenergy.net

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

C. Brian Carlin, Esq.
Oppenheimer, Fleischer & Quiggle, P.C.
4419 East-West Hwy
Bethesda, Maryland 20184
O: (301) 656-5700
F: (301)951-0555
carlinlaw@verizon.net

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSs.

John P. Gardiner, President
7625 Wisconsin Avenue, Suite 200
Bethesda, Maryland 20814
O: (240) 482-4729
F: (240) 496-1111
John@openmarketenergy.net

Matt Gardiner, Chief Operating Officer
7625 Wisconsin Avenue, Suite 200
Bethesda, Maryland 20184
O: (240) 482-4738
F: (240) 496-1111
Matt@openmarketenergy.net

www.openmarketenergy.net
844-278-9244

2. **BUSINESS ENTITY FILINGS AND REGISTRATION**

- a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State Pursuant to 54 Pa. C.S. §311.

Or

The Applicant will not be using a fictitious name.

- b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**
(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

Or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)

foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or



The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above. EXHIBIT 1
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation. EXHIBIT 2
- Give name and address of officers.

John P. Gardiner, President
7625 Wisconsin Avenue, Suite 200
Bethesda, Maryland 20814

Matt Gardiner, Chief Operating Officer
7625 Wisconsin Avenue, Suite 200
Washington, D.C. 20814

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Open Market Energy, LLC does not have any affiliates.

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Open Market Energy, LLC does not have any predecessors.

4. OPERATIONS

a. APPLICANT'S PRESENT OPERATIONS: *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of a natural gas distribution company
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

The Applicant is presently doing business in Pennsylvania as a

- natural gas interstate pipeline
- municipality providing service outside its municipal limits
- local gas distribution company
- retail supplier of natural gas services in the Commonwealth
- a natural gas producer
- a broker/marketer engaged in the business of supplying natural gas services
- Other. (Identify the nature of service being rendered)

Open Market Energy, LLC is licensed to operate as an electricity energy broker in the state of Pennsylvania.

OR

The Applicant is not presently doing business in Pennsylvania.

b. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a:

- Supplier or Aggregator of natural gas services
- Municipal supplier of natural gas services
- Cooperative supplier of natural gas services
- Broker/Marketer engaged in the business of supplying natural gas services
- Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.

Other (Describe):

c. PROPOSED SERVICES: Describe in detail the natural gas supply services which the Applicant proposes to offer.

Open Market Energy, LLC assists and advocates for our customers with any issues (billing, usage, taxation or energy related) that they may have with the utility or supplies as it relates to energy procurement. Customers can reach out to their account manager at any time for assistance, either contacting them directly or using our toll-free number. Open Market Energy, LLC defers to existing fair dispute resolution procedures that utilities/suppliers have in place.

d. PROPOSED SERVICE AREA: Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- | | |
|--|---|
| <input type="checkbox"/> Columbia | <input type="checkbox"/> Peoples Gas Company |
| <input type="checkbox"/> National Fuel Gas | <input type="checkbox"/> Philadelphia Gas Works |
| <input type="checkbox"/> PECO | <input type="checkbox"/> UGI Utilities – Gas Division |
| <input type="checkbox"/> Peoples Natural Gas Company | <input type="checkbox"/> Valley Energy |
| <input type="checkbox"/> Peoples Natural Gas – Equitable Div | <input checked="" type="checkbox"/> All of the above |

e. CUSTOMERS: Applicant proposes to provide services to:

- | | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | Residential Customers |
| <input checked="" type="checkbox"/> | Small Commercial Customers - (Less than 6,000 Mcf annually) |
| <input type="checkbox"/> | Residential and Small Commercial as Mixed Meter <u>ONLY</u> (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE) |
| <input checked="" type="checkbox"/> | Large Commercial Customers - (6,000 Mcf or more annually) |
| <input checked="" type="checkbox"/> | Industrial Customers |
| <input checked="" type="checkbox"/> | Governmental Customers |
| <input type="checkbox"/> | All of above (Except Mixed Meter) |
| <input type="checkbox"/> | Other (Describe): |

Open Market Energy, LLC will provide services to all commercial, Industrial and governmental customers.

f. START DATE: Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

Date: At first approval of Gas Broker application for license

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Open Market Energy, LLC, John Gardiner and Matt Gardiner were defendants in a civil case in U.S. District Court for the District of Maryland with in the last 5 years.

US District Court for the District of Maryland Case 8:17-CV-00648-GJH Filed on 3/17/17

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Open Market Energy, LLC was dismissed with prejudice from the case on a motion to dismiss. This litigation was later settled by all parties but the settlement is subject to a confidentiality agreement. The parties to settlement agreed that third parties could be informed the matter was amicably resolved.

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

Open Market Energy, LLC has no such actions or companies to list.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 1712

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Bureau of Investigation & Enforcement
 Pennsylvania Public Utility Commission
 Commonwealth Keystone Building
 400 North Street, 2 West
 Harrisburg, PA 17120

b.) **NGDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

<p>Columbia Gas of PA, Inc. Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: mcaddell@nisource.com</p>	
<p>Peoples Natural Gas Company LLC – Equitable Division Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>National Fuel Gas Distribution Corp. Joanne E. Maciok 6363 Main Street Williamsville, NY 14221 PH: 716.857.7670 FAX: 716.857.7479 e-mail: maciokj@natfuel.com</p>
<p>Peoples Natural Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com</p>
<p>Peoples Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>Philadelphia Gas Works Ryan Reeves, Director Supply Transportation & Control 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.787.5103 email: pgwchoicesupply@pgworks.com</p>
<p>Valley Energy Inc. Ed Rogers 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: erogers@ctenterprises.org</p>	<p>UGI Utilities, Inc. – Gas Division David Lahoff 1 UGI Drive Denver, PA 17517 PH: 610.796.3520 Email: dlahoff@ugi.com</p>

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require. The contact information is located in Section 6.b.

Open Market Energy, LLC provided a bond in the amount of \$10,000 as was required when the company applied for and received license as electric broker/marketer in the Commonwealth in 2015. We continue to hold that bond.

Open Market Energy, LLC will provide customers with an evaluation process to select the best positioned licensed natural gas supplier and award business. Open Market Energy, LLC does not take title to natural gas nor pay customer's bills in its broker/marketer role.

- b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years. EXHIBIT 3
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee. EXHIBIT 4
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness. EXHIBIT 5

- c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

- d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Open Market Energy, LLC does not supply or take title to gas and does not enter into any contract with customers. As gas energy brokers, the only contract is between the suppliers and the customers. We do not bill the customer for any energy procurement services and we are entirely compensated by the suppliers we represent.

- e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Mark Railey
7625 Wisconsin Avenue, Suite 300
Bethesda, Maryland. 20814
O:(240) 482-3581
F: (240) 482-3599
licensing@openmarketenergy.net

- f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the natural gas industry.
Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions. EXHIBIT 6

Delaware, Maryland, Massachusetts, Michigan, New Jersey, Ohio, Virginia, Washington, D.C.

- Type of customers and number of customers Applicant currently serves in other jurisdictions.
Open Market Energy, LLC works with small and large commercial customers and industrial and governmental customers.
- Staffing structure and numbers as well as employee training commitments.

EXHIBIT 7

- Business plans for operations within the Commonwealth.

EXHIBIT 8

- Any other information appropriate to ensure the technical capabilities of the Applicant.

b. **PROPOSED MARKETING METHOD** (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
- External NGS – Applicant will contract with a **PUC LICENSED NGS**
- Affiliate – Applicant will use a **NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant**
- External Third-Party – Applicant will contract with a **NON-NGS third party nontraditional marketer and/or non-selling marketer**
- Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Open Market Energy, LLC will not be using subcontractors for marketing. We will use our relationships with the commercial real estate and manufacturing industries with existing clients.

e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience. EXHIBIT 9

John P. Gardiner, President
Steve Pritchett, VP of Sales

Matt Gardiner, Chief Operating Officer
Kevin Clark, VP of Sales for Commercial Markets

9.
DISCLOSURE STATEMENT:

(Not applicable for an applicant applying for a license exclusively as a broker/marketer.)

DISCLOSURE STATEMENTS: If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

- Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable. Open Market Energy, LLC is an applicant for a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.



AGREED

- b. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission:

- Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30th following the end of the calendar year per 52 Pa. Code § 62.110.



AGREED

- c. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.



AGREED

- d. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling natural gas in the Commonwealth of PA, and a supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.



ACKNOWLEDGED

- e. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.



AGREED

- f. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.



AGREED

g. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.



AGREED

h. CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.



AGREED

i. FILING FEE: The Applicant has enclosed or paid the required, non-refundable filing fee by CERTIFIED CHECK OR MONEY ORDER in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.



PAYMENT ENCLOSED

11. AFFIDAVITS
(All affidavits must be notarized before filing.)

a.) APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

b.) OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each NGDC. For example, an applicant that wants to operate in Peoples – Equitable would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Columbia Gas	X	X		X		X	X
National Fuel Gas	X			X			
PECO			X				
Peoples - Equitable	X			X			
Peoples Natural Gas	X			X			X
Peoples Gas Company				X			
Philadelphia Gas Works			X				
UGI Utilities – Gas Div.	X	X	X	X	X	X	X
Valley Energy					X	X	
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices F and G)

13. SIGNATURE

Applicant: Open Market Energy, LLC

By: 

Title: President

**Pennsylvania
Public Utility Commission
NOTICE**

Application of **Open Market Energy, LLC** (d/b/a "**Open Market Energy, LLC**") For Approval to Offer, Render, or Furnish Natural Gas Services as a **Supplier, Aggregator or Marketer/ Broker** engaged in the Business of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Open Market Energy, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/ marketer engaged in the business of providing natural gas services. **Open Market Energy, LLC** proposed to sell natural gas related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider the application without a hearing. Protests directed to the technical or *financial fitness of **Open Market Energy, LLC*** may be filed within 15 days of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Open Market Energy, LLC** attorney at the address listed below.

By and through Counsel:
C. Brian Carlin, Esq.
Oppenheimer, Fleischer & Quiggle, P.C.
4419 East West Highway
Bethesda, Maryland 20814
Tel: (301) 656-3800
Fax: (301) 951-0555

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

Open Market Energy
7265 Wisconsin Ave #300
Bethesda MD 20814

REFERENCE: 80169 388502
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Jennifer L. Trott, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 12/10/19

TOTAL COST: \$409.00 AD SPACE: 0 Lines

FILED ON: 12/10/19

**Pennsylvania
Public Utility Commission
NOTICE**

Application of Open Market Energy, LLC (d/b/a "Open Market Energy, LLC")
For Approval to Offer, Render, or Furnish Natural Gas Services as a **Supplier, Aggregator or Marketer/ Broker** engaged in the Business of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Open Market Energy, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/ marketer engaged in the business of providing natural gas services. **Open Market Energy, LLC** proposed to sell natural gas related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider the application without a hearing. Protests directed to the technical or financial fitness of **Open Market Energy, LLC** may be filed within 15 days of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Open Market Energy, LLC** attorney at the address listed below.

By and through Counsel:
C. Brian Carlin, Esq.
Oppenheimer, Fleischer & Quiggle, P.C.
4419 East West Highway, Bethesda, Maryland 20814
Tel: (301) 656-3800 • Fax: (301) 951-0555

EP388502

Sworn to and subscribed before me this 10th day of January 2020

Affiant: JL Trott

NOTARY: Barbara J Moore

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Barbara J. Moore, Notary Public
City of Erie, Erie County
My Commission Expires March 23, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES



AD#: 0009438212

Commonwealth of Pennsylvania,) ss
County of Cumberland)

Jolie Winemiller being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 12/12/2019

Jolie Winemiller

Principal Clerk of the Publisher

Sworn to and subscribed before me this 13th day of December 2019

Crystal B. Rosensteel

Notary Public

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Crystal B. Rosensteel, Notary Public
Strasburg Twp., Dauphin County
My Commission Expires June 27, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**Pennsylvania
Public Utility Commission
NOTICE**

Application of Open Market Energy, LLC (d/b/a "Open Market Energy, LLC") For Approval to Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator or Marketer/ Broker engaged in the Business of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Open Market Energy, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/ marketer engaged in the business of providing natural gas services. Open Market Energy, LLC proposed to sell natural gas related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider the application without a hearing. Protests directed to the technical or financial fitness of Open Market Energy, LLC may be filed within 15 days of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Open Market Energy, LLC attorney at the address listed below.

By and through Counsel:

C. Brian Corlin, Esq.

Oppenheimer, Fleischer & Gulogole,
P.C.

4419 East West Highway
Bethesda, Maryland 20814
Tel: (301) 656-3800
Fax: (301) 951-0555

Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

December 12, 2019

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

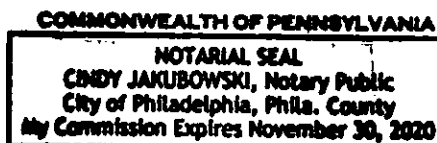


Sworn to and subscribed before me this 12TH day of
December, 2019.



Notary Public

My Commission Expires:



Copy of Notice of Publication

Pennsylvania
Public Utility Commission
NOTICE

Application of **Open Market Energy, LLC** (d/b/a "**Open Market Energy, LLC**") For Approval to Offer, Render, or Furnish Natural Gas Services as a **Supplier, Aggregator or Marketer/Broker** engaged in the Business of Supplying Natural Gas Supply Services, To The Public in The Commonwealth Of Pennsylvania. **Open Market Energy, LLC** will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/ marketer engaged in the business of providing natural gas services. **Open Market Energy, LLC** proposed to sell natural gas related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act. The PUC may consider the application without a hearing. Protests directed to the technical or financial fitness of **Open Market Energy, LLC** may be filed within 15 days of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Open Market Energy, LLC** attorney at the address listed below.

By and through Counsel:
C. Brian Carlin, Esq.
Oppenheimer, Fielacher & Quiggle, P.C.
4419 East West Highway
Bethesda, Maryland 20814
Tel: (301) 855-3800
Fax: (301) 851-0855

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss D. Rullo, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh. County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular _____ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

12 of December, 2019

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



PG Publishing Company

Sworn to and subscribed before me this day of:
December 12, 2019



Commonwealth of Pennsylvania - Notary Seal
Elizabeth R. Chmura, Notary Public
Allegheny County
My commission expires February 8, 2022
Commission number 1326781
Member, Pennsylvania Association of Notaries

STATEMENT OF ADVERTISING COSTS
Open Market Energy, LLC
7625 Wisconsin Avenue, Suite 200
Bethesda Maryland 20814

To PG Publishing Company

Total ----- \$387.50

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation. Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By  Samuel J. Arduino

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

Pennsylvania
Public Utility Commission
NOTICE
Application of Open Market Energy, LLC (d/b/a "Open Market Energy, LLC") For Approval to Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator or Marketer/ Broker engaged in the Business of Supplying Natural Gas Supply Services, To The Public in The Commonwealth Of Pennsylvania.
Open Market Energy, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/ marketer engaged in the business of providing natural gas services. Open Market Energy, LLC proposed to sell natural gas related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.
The PUC may consider the application without a hearing. Protests directed to the technical or financial fitness of Open Market Energy, LLC may be filed within 15 days of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Open Market Energy, LLC attorney at the address listed below.
By and through Counsel:
C. Brian Carlin, Esq.
Oppenheimer, Fleischer & Quiggie, P.C.
4419 East West Highway
Bethesda, Maryland 20814
Tel: (301) 656-3800
Fax: (301) 951-0555

Pittsburgh Post-Gazette

Payment Receipt

Receipt No.	34844	Receipt Date	12/10/2019	Receipt Amount
Receipt From	Open Market Energy, LLC			\$ 387.50
Payment Type	Credit card			
Check No.				
Check Date		Collector	Pam Reed	
Details				

The Scranton Times (Under act P.L. 877 No 160. July 9,1976)
Commonwealth of Pennsylvania, County of Lackawanna

OPEN MARKET ENERGY, LLC
KATE AUSBROOK
7625 WISCONSIN AVE., STE 200 BETHESDA MD 20814

Account # 636566
Order # 82402743
Ad Price: 251.15

LEGAL NOTICE PENNSYLVANIA

Gina Krushinski

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

12/11/2019

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Gina Krushinski

Sworn and subscribed to before me
this 11th day of December A.D., 2019

Sharon Venturi
(Notary Public)

Commonwealth of Pennsylvania - Notary Seal
Sharon Venturi, Notary Public
Lackawanna County
My commission expires February 12, 2022
Commission number 1254228
Member, Pennsylvania Association of Notaries

LEGAL NOTICE
Pennsylvania Public Utility
Commission

Application of **Open Market Energy, LLC** (d/b/a "**Open Market Energy, LLC**") For Approval to Offer, Render, or Furnish Natural Gas Services as a **Supplier, Aggregator or Marketer/Broker** engaged in the Business of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Open Market Energy, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/ marketer engaged in the business of providing natural gas services. **Open Market Energy, LLC** proposed to sell natural gas related services throughout all of Pennsylvania under the provisions of the new

Natural Gas Choice and Competition Act.

The PUC may consider the application without a hearing. Protests directed to the technical or financial fitness of **Open Market Energy, LLC** may be filed within 15 days of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Open Market Energy, LLC** attorney at the address listed below.

By and through Counsel:
C. Brian Carlin, Esq.
Oppenheimer, Fleischer &
Quiggle, P.C.
4419 East West Highway
Bethesda, Maryland 20814
Telephone: (301) 656-3800
Fax: (301) 951-0555

**PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929**

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Robert O. Rolley, Jr., Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

December 12, 2019

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

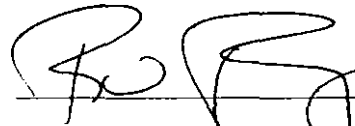
**Pennsylvania
Public Utility
Commission
NOTICE**

Application of Open Market Energy, LLC(d/b/a "Open Market Energy, LLC") For Approval to Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator or Marketer/ Broker engaged in the Business of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Open Market Energy, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/ marketer engaged in the business of providing natural gas services. Open Market Energy, LLC proposed to sell natural gas related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider the application without a hearing. Protests directed to the technical or financial fitness of Open Market Energy, LLC may be filed within 15 days of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Open Market Energy, LLC attorney at the address listed below.


By and through Counsel:
C. Brian Carlin, Esq.
Oppenheimer, Fleischer & Quiggle, P.C.
4419 East West Highway
Bethesda, Maryland
20814
Tel: (301) 656-3800
Fax: (301) 951-0555



SUN-GAZETTE LLC

Sworn to and subscribed before me

The 13th day of December 2019


COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL
BETH A MILLER
Notary Public
CITY OF WILLIAMSPORT, LYCOMING COUNTY
My Commission Expires Apr 18, 2020

Notary Public

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates.....\$ 238.32

Probated same.....\$

Total.....\$ 238.32

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Robert O. Rolley, Jr.

COMMONWEALTH OF PENNSYLVANIA }
County of Cambria } SS

**Pennsylvania
 Public Utility Commission
 NOTICE**

Application of **Open Market Energy, LLC** (d/b/a "Open Market Energy, LLC") For Approval to Offer, Render, or Furnish Natural Gas Services as a **Supplier, Aggregator or Marketer/ Broker** engaged in the Business of Supplying Natural Gas Supply Services. To The Public In The Commonwealth Of Pennsylvania.

Open Market Energy, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/ marketer engaged in the business of providing natural gas services. **Open Market Energy, LLC** proposed to sell natural gas related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider the application without a hearing. Protests directed to the technical or financial fitness of **Open Market Energy, LLC** may be filed within 15 days of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Open Market Energy, LLC** attorney at the address listed below.

*publish
 that the
 of The
 interest
 charact*

By and through Counsel:
 C. Brian Carlin, Esq.
 Oppenheimer, Fleischer & Quiggle, P.C.
 4419 East West Highway
 Bethesda, Maryland 20814
 Tel: (301) 656-3800
 Fax: (301) 951-0555

On this 19th day of December A.D. 2019, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Sales Manager / Major Accounts of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863.

ty of Cambria, and Commonwealth of Pennsylvania and e matter published in said publication in the regular issues l, on December 11, 2019; and that the Affiant is not rtising and that all of the allegations as to time, place and

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Signed and sworn to before me on
 19th day of December, 2019,
 by Christine Marhefka making the statement.

[Signature]

0.00 Lines @ \$2.50 per line	0.00
6.8 Inches @ \$25.00 per inch	170.00
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	177.50

Commonwealth of Pennsylvania - Notary Seal
 Vivian Ohs, Notary Public
 Cambria County
 My commission expires December 6, 2020
 Commission number 1123017
 Member, Pennsylvania Association of Notaries

To The Tribune-Democrat, Johnstown, PA
 For publishing the notice or publication
 attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS


_____ for publisher of _____
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
 and publication costs and certifies that the same has been duly paid.

 (Name of Newspaper)

By _____

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

Applicant: 

Applicant's Use	✓	Signature	
	✓	Filing Fee (ONLY CERTIFIED CHECK OR MONEY ORDER)	
	✓	Application Affidavit	
	✓	Operations Affidavit	
	✓	Proof of Publication	
	✓	Tax Certification Statement	
	✓	Commonwealth Department of State Verification	
	✓	Certificate of Service	

PUC Secretary's Bureau Use

Appendix A
APPLICATION AFFIDAVIT

RECEIVED

JAN 14 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

[Commonwealth/State] of Maryland :

: ss.

County of Montgomery :

John P. Gardiner, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of Open Market Energy, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Open Market Energy, LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

That the Applicant herein Open Market Energy, LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Open Market Energy, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Open Market Energy, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.



Signature of Affiant

Sworn and subscribed before me this 10TH day of JANUARY, 2020.



Signature of official administering oath

My commission expires 02/17/2020.

MARK HILLOCK RILEY
NOTARY PUBLIC - MARYLAND
MONTGOMERY COUNTY
MY COMMISSION EXPIRES
FEBRUARY 17, 2020

Appendix B

RECEIVED

JAN 14 2020

OPERATIONS AFFIDAVIT

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

[Commonwealth/State] of Maryland

: SS.

County of Montgomery :

John P. Gardiner, Affiant, being duly [sworn/affirmed] according to law,
deposes and says that:

[He/she is the President (Office of Affiant) of Open Market Energy
(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Open Market Energy, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Open Market Energy, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Open Market Energy, LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

"

Appendix B (Continued)

That Open Market Energy, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



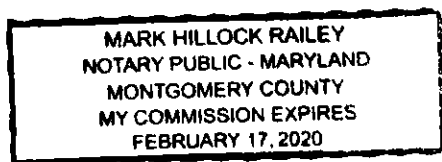
Signature of Affiant

Sworn and subscribed before me this 10TH day of JANUARY, 2020.



Signature of official administering oath

My commission expires 02/17/2020.



Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

CERTIFICATE OF SERVICE TEMPLATE

On this the 10 day of January 2020, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

<p>Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120</p>	<p>Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120</p>
<p>Office of the Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101</p>	<p>Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946</p>
<p>Columbia Gas of PA, Inc. Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: mcaddell@nisource.com</p>	<p>Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120</p>
<p>Peoples Natural Gas Company LLC – Equitable Division Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>National Fuel Gas Distribution Corp. Joanne E. Maciok 6363 Main Street Williamsville, NY 14221 PH: 716.857.7670 FAX: 716.857.7479 e-mail: macioki@natfuel.com</p>
<p>Peoples Natural Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com</p>
<p>Peoples Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>Philadelphia Gas Works Ryan Reeves, Director Supply Transportation & Control 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.787.5103 email: pqwchoicesupply@pqworks.com</p>

RECEIVED

JAN 14 2020

Valley Energy Inc. Ed Rogers 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: erogers@ctenterprises.org	UGI Utilities, Inc. – Gas Division Sherry Epler 1 UGI Drive Denver, PA 17517 PH: 610.796.3447 Email: sepler@ugi.com
---	---



John Gardiner, President

Page 23 Confidential TAX certificate

Does NOT apply

Appendix E

Sample Disclosure Statement Format for Natural Gas Suppliers

This is an agreement for natural gas services, between (NGS name) and (customer's name and full address).

Background

We at (NGS name) are licensed by the Pennsylvania Public Utility Commission to offer and supply natural gas services in Pennsylvania. Our PUC license number is (A-XXXX-XXXXXXX).

- Commodity prices and charges are set by the natural gas supplier you have chosen. The Public Utility Commission regulates distribution or delivery prices and services.

Include one of the two following options:

- You will receive a single bill from (billing agent name) that will contain (NGDC name) charges and (NGS name) charges.
- You will receive a separate bill from (NGS name) for generation charges and a separate bill from (NGDC name) for its charges.
- Right of Rescission - you may cancel this agreement at any time before midnight of the third business day after receiving this disclosure. You can contact us by phone, in writing or electronically (if available) to cancel this agreement. See Section (X) for how to contact us.

Definitions

Define any terms that may not be commonly understood by consumers. See the glossary on www.pagasswitch.com for standard definitions.

- Commodity charges: *The charges for basic gas supply service which is sold either by volume (Ccf or Mcf) or heating value (Dekatherms).*
- Nonbasic Charges - *Define each nonbasic service being offered.*

Terms of Service

1. (a) **Basic Service Prices** - *Itemize Basic Services you are billing for and their prices.*

Include one of the following options:

FIXED PRICE: You will pay (NGS Price) per (Mcf/Dth/ccf) for the commodity of natural gas.

- *Explain what is included in this price. The NGS's basic service price should include everything that is included in the NGDC's Price-to-Compare. Suggested language: "This price includes natural gas commodity charges, estimated Total State Taxes, but excludes applicable state and local Sales Tax."*

RECEIVED

VARIABLE PRICE: You will pay a variable price that can change (monthly, quarterly, etc.). The price for the first billing period will be (NGS price).

- *Explain what is included in this price. The NGS's basic service price should include everything that is included in the NGDC's Price-to-Compare. Suggested language: "This price includes natural gas commodity charges and estimated Total State Taxes, but excludes applicable state and local Sales Tax."*
- *Suppliers are to include any variable pricing conditions and limits. If there are no limits, the NGS shall clearly and conspicuously state that there is no limit on how much the price may change from one billing cycle to the next. Information on the limits of price variability, or the lack of limits, must appear in a larger type size. The customer also needs to be informed of when and how they will receive notification of price changes. For example, if the customer is not going to be notified of price changes until the new price appears on their bill, the customer should be informed of this. If the customer will be receiving advance notice of price changes, the customer should be told when and how these notices will be provided.*
- *More information about this variable price product, including what this price has been for the past 24 months, can be found at (www.companywebsite.com) or you can call (XXX XXX-XXXX). However, please remember that past prices do not indicate present or future prices.*

INTRODUCTORY PRICE: You will pay an Introductory Price of (NGS Price) per (Mcf/Dth/ccf) for the commodity of natural gas for the first XX months/billing cycles. After this Introductory Price expires, you will pay (NGS Price) per (Mcf/Dth/ccf) for the commodity of natural gas.

- *Explain what is included in this price. The NGS's basic service price should include everything that is included in the NGDC's Price-to-Compare. Suggested language: "This price includes natural gas commodity charges and estimated Total State Taxes, but excludes applicable state and local Sales Tax."*

If the price is variable, the information found above concerning VARIABLE PRICES must be included.

(b) Other Basic and Nonbasic Service Prices - Itemize other Basic and Nonbasic Services you are offering and their prices. This includes any monthly fees, if applicable.

2. Length of Agreement

Include one of the following two options:

Fixed Duration/Length Agreement — You will buy your natural gas commodity service for the above address from (NGS) beginning on the date set by your Natural Gas Distribution Company (NGDC) and will continue for (XX) months (or billing cycles).

Month-to-Month Agreement — You will buy your natural gas commodity service for the above street address from (NGS) beginning on the date set by your Natural Gas Distribution Company (NGDC) and

will continue on a month to month basis until cancelled by either the customer or (NGS). See Section (X) for how to cancel this agreement.

3. Special Terms and Conditions - List and explain all that apply.

Sign-up bonuses
Add-ons
Limited time offers
Other Sales Promotions
Exclusions

4. Special Services - Provide explanation of price, terms and conditions, if applicable.

5. Penalties, Fees and Exceptions - List any that apply, including a late payment charge.

Penalties/Fees assessed on a customer for early termination of the agreement should be in plain language and easy for the customer to understand. The penalty/fee should be listed as a dollar amount or explained so that the customer can easily determine the amount of the penalty. The print size for this section must be larger than the print in the rest of the agreement.

6. Cancellation Provisions - This category may consist of both customer initiated cancellation provisions and supplier initiated cancellation provisions.

7. Agreement Expiration/Change in Terms

If you have a fixed duration contract approaching the expiration date, or whenever we propose to change the terms of service, you will receive two separate written notifications, the first approximately 60 to 75 days in advance and the second 45 days in advance of either the expiration date or the effective date of the proposed changes. These notifications will explain your options going forward.

8. Dispute Procedures

Contact us with any questions concerning our terms of service. See Section (X) for contact information.

9. Contract Assignment

If the contract is assignable, the NGS needs to include a section informing the customer of this possibility. The customer should be informed that contract terms and conditions remain unchanged under an assignment, and that the customer will receive prior notification of any subsequent assignment. If an assignment occurs, the NGS shall provide notice to the affected customer, the affected NGDC and the Commission. The customer notice must include the name of the new NGS, the contact information for the new NGS and language informing the customer that contract terms and conditions remain unchanged. See 52 Pa. Code 62.75(j).

10. Contact Information

Supplier Name:

Address:

Phone Number:

Internet Address:

11. Information about shopping for a Natural Gas Supplier is available from:

Pennsylvania Public Utility Commission (PUC)
400 North Street, Harrisburg PA 17120
1-800-692-7380
www.pagasswitch.com

Pennsylvania Office of Consumer Advocate
www.oca.state.pa.us

Natural Gas Supplier Contract Summary

Natural Gas Supplier Information	<i>Name, telephone number, website, etc. Plain language statement that NGS is responsible for gas commodity/supply charges.</i>
Natural Gas Price Structure	<i>Fixed, variable or other. If variable, based on what? If variable, how often is the price expected to vary? If variable, give any applicable ranges/ceilings. If no ranges/ceilings, a plain language statement indicating this fact. If variable, describe when the customer will receive notification of price changes in relation to time of month, final monthly meter read, billing cycle or when the price takes effect.</i>
Natural Gas Supply Price	<i>\$/unit or ¢/unit. If variable price, the first billing cycle's rate. Full disclosure of any introductory rate. If a monthly fee, disclose the amount and that it is in addition to the usage charges.</i>
Statement Regarding Savings	<i>Plain language that the supply price may not always provide savings to the customer.</i>
Deposit Requirements	<i>Any deposit requirements necessary for a customer and any terms associated with that deposit, in plain language.</i>
Incentives	<i>Any bonuses, discounts, cashback, offers, etc. and any associated terms, criteria and conditions, in plain language.</i>
Contract Start Date	<i>Plain language regarding the approximate start of NGS service.</i>
Contract Duration/Length	<i>In months, billing cycles, etc.</i>
Cancellation/Early Termination Fees	<i>Yes or no. If yes, describe the amount of the fee and how to avoid that fee, if possible.</i>
End of Contract	<i>Treatment of customer at the end of contract in plain language.</i>

See page 16, attached



Appendix F
EXAMPLE FORM OF NOTICE FOR NGS ONLY

PENNSYLVANIA
PUBLIC UTILITY COMMISSION

NOTICE

*Application of **Company Name** (d/b/a "**Trade Name**") For Approval To Offer, Render, or Furnish Natural Gas Services as a **Supplier, Aggregator, or Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.***

Company Name will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. **Company Name** proposes to sell natural gas and related services in [LIST NGDCS HERE] under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Company Name** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Company's Name** attorney at the address listed below.

By and through Counsel: Attorney's Name

Company Name

Address

Address

Phone

FAX

RECEIVED

JAN 14 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Does NOT apply

Appendix G
EXAMPLE FORM OF NOTICE FOR COMBINED EGS AND NGS

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

*Applications of **Company Name** (d/b/a "**Trade Name**") For Approval To Offer, Render, or Furnish Services as a **Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.***

Company Name will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. **Company Name** will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a generator and supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity, and (3) an aggregator engaged in the business of supplying electricity. **Company Name** proposes to sell electricity, natural gas, and related services [LIST NGDCSs AND EDCS HERE] under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Company Name** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Company's Name** attorney at the address listed below.

By and through Counsel: Attorney's Name

Company Name

Address

Address

Phone

FAX

RECEIVED

JAN 14 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Appendix H

Electronic Data Interchange and Internet Requirements

Any NGS suppliers and/or broker/marketers that wish to use the Electronic Data Interchange (EDI), in the NGDCs where its available, must meet the EDI certification requirements of the NGDC. Certification is a testing process using the Commission approved Internet protocol. To initiate this process, the NGS is encouraged to contact the NGDC as early as possible after filing an application for a license with the Commission, since certification may require as many as four months to complete and customer service contract dates may not commence prior to certification. NGDC requirements of new suppliers may be found on the respective NGDC home web page. Pennsylvania's industry stakeholder group the Electronic Data Exchange Working Group (EDEWG) develops and maintains the EDI transactions and related business practices, which are found on the Pa. PUC website at http://www.puc.pa.gov/filing_resources/issues_laws_regulations/electronic_data_exchange.aspx. The EDEWG meets telephonically the first Thursday of each month at 2:00pm ET to discuss EDI change control requests and other issues.

To keep current with Pennsylvania EDI practices and policies, a newly licensed NGS may choose to participate in the EDEWG by contacting the following:

PA EDEWG EDI Contacts

Entity Name	Contact Name	Telephone	Email	Preference
PA PUC	Jeff McCracken	717-783-6163	jmccracken@pa.gov	Email
PA PUC	Lee Yalcin	717-787-6723	lyalcin@pa.gov	Email
PA EDEWG LDC Co-Chair	Ernie Mathie	330-384-5757	mathiee@firstenergycorp.com	Email
PA EDEWG ESP Co-Chair	Monica Neibert	781-347-9039	mneibert@energyservicesgroup.net	Email
PA EDEWG Secretary & Regional EDI Change Control Manager	Brandon Siegel	412-817-8004	brandon.siegel@intelometry.com	Email

PA NGDC EDI Contacts

Company Name	Contact Name	Telephone	Email	Preference
PGW	PGW Gas Choice		pgwchoicemarketing@pgworks.com	Email
UGI Utilities Inc.	EDI Technical Support		edi@ugi.com	Email
UGI Utilities Inc.	David Lahoff	610-796-3520	dlahoff@ugi.com	email

RECEIVED

JAN 14 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

EXHIBIT 1

RECEIVED

JAN 14 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

ARTICLES OF ORGANIZATION OF
OPEN MARKET ENERGY LLC
A Maryland Limited Liability Company

The undersigned, being authorized to execute and file these Articles, hereby certifies that:

FIRST: The name of the limited liability company (hereinafter referred to as the "Company") is "Open Market Energy LLC."


SECOND: The purposes for which the Company is formed are to (i) to serve as a broker for the sale of energy, (ii) to have and exercise all powers now or hereafter conferred by the laws of the State of Maryland on limited liability companies formed pursuant to the Act, and (iii) to do any and all things necessary, convenient or incidental to the achievement of the foregoing.

THIRD: The address of the principal office of the Company in this State is 5507 Albia Road, Bethesda, Maryland 20816.

FOURTH: The name and address of the manager and resident agent of the Company are John P. Gardiner, 5507 Albia Road, Bethesda, Maryland 20816.


FIFTH: The Company shall continue in existence perpetually.

IN WITNESS WHEREOF, I have signed these Articles of Organization and acknowledged them to be my act this 29th day of June, 2007.



John P. Gardiner, Manager

I hereby consent to act as Resident Agent in Maryland for the entity named in this instrument.



John P. Gardiner, Manager

CUST ID: 0001995835
WORK ORDER: 0001438873
DATE: 07-19-2007 02:16 PM
AMT. PAID: \$150.00

CORPORATE CHARTER APPROVAL SHEET

****EXPEDITED SERVICE****

**** KEEP WITH DOCUMENT ****

DOCUMENT CODE 40 BUSINESS CODE _____

Close _____ Stock _____ Nonstock _____

P.A. _____ Religious _____

Merging (Transferor) _____

Surviving (Transferee) _____

FEES REMITTED

Base Fee: 100

Org. & Cap. Fee: _____

Expedite Fee: 50

Penalty: _____

State Recordation Tax: _____

State Transfer Tax: _____

Certified Copies _____

Copy Fee: _____

Certificates _____

Certificate of Status Fee: _____

Personal Property Filings: _____

Mail Processing Fee: _____

Other: _____

TOTAL FEES: 150

Credit Card _____ Check Cash _____

_____ Documents on _____ Checks

Approved By: 14

Keyed By: _____

COMMENT(S):



ID # W12031902 ACK # 1000361995046285
LIBER: B01140 FOLIO: 0388 PAGES: 0002
OPEN MARKET ENERGY LLC

07/13/2007 AT 11:35 A WO # 0001438873

New Name _____

- _____ Change of Name
- _____ Change of Principal Office
- _____ Change of Resident Agent
- _____ Change of Resident Agent Address
- _____ Resignation of Resident Agent
- _____ Designation of Resident Agent and Resident Agent's Address
- _____ Change of Business Code
- _____ Adoption of Assumed Name
- _____ Other Change(s)

Code _____

Attention: _____

Mail: Name and Address

C. BRIAN CARLIN, P.A., ATTORNEY AT LAW
SUITE 800
7700 OLD GEORGETOWN RD.
BETHESDA MD 20814

CUST ID: 0001995835
WORK ORDER: 0001438873
DATE: 07-19-2007 02:16 PM
AMT. PAID: \$150.00

EXHIBIT 6
GAS LICENSES

The Public Utilities Commission of Ohio

Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate

Issued pursuant to Case Number(s):
18-1791-GA-AGG

is
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number:
19-687G(1)

Granted to:
Open Market Energy LLC

Whose office or principal place of business is located at:
7625 Wisconsin Ave, Suite 200, Bethesda, MD 20814

And is hereby certified to provide:
Retail Natural Gas Aggregator/Broker Services
within the state of Ohio, for a two-year period.

Certification Effective:
January 3, 2019 through January 3, 2021

The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio
Dated: **January 7, 2019**

RECEIVED

JAN 14 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

By Order of
The Public Utilities Commission of Ohio

Susan Patterson

Tanowa M. Troupe, Acting Secretary
Susan Patterson, Acting Secretary
Beverly Hoskinson, Acting Secretary

LICENSE NO. 2014601346 DORBL

STATE OF DELAWARE

VALID

POST CONSPICUOUSLY

DIVISION OF REVENUE

01/01/19 - 12/31/21
NOT TRANSFERABLE

DLN: 18 95426 33 BUSINESS CODE 120
GROUP CODE

LICENSED BROKER
ACTIVITY

DATE ISSUED: 12/03/18

VALIDATED

2021

LICENSE FEE: \$ 225.00

MAILING ADDRESS

BUSINESS LICENSE

BUSINESS LOCATION

OPEN MARKET ENERGY LLC
7625 WISCONSIN AVE STE 300
BETHESDA MD 20814-6565



OPEN MARKET ENERGY LLC
7625 WISCONSIN AVE STE 300
BETHESDA MD 20814-6565

IS HEREBY LICENSED TO PRACTICE, CONDUCT OR ENGAGE IN THE OCCUPATION OR BUSINESS ACTIVITY INDICATED ABOVE IN ACCORDANCE WITH THE LICENSE APPLICATION DULY FILED PURSUANT TO TITLE 30, DEL CODE.

JENNIFER R. HUDSON

DIRECTOR OF REVENUE

IMPORTANT - TEAR AT ABOVE PERFORATION AND DISPLAY IN A PUBLIC LOCATION

Federal E.I. No. or Social Security Number 1 26042 8080 001

Business Code 120
Group Code

Licensed BROKER
Activity

The State of Delaware Business License printed above must be posted in a public area at the location address listed. If you have any questions regarding this license, please call (302) 577-8778.

REPLACEMENT LICENSES

Keep this portion of your license separate, in case you need a replacement for any lost, stolen or destroyed license. A \$15 fee will be charged for the replacement of a license. Send the \$15 along with a copy of this form or provide your Federal Employer Identification Number, or Social Security Number, suffix, Business Code, Business Name and address to Delaware Division of Revenue, Attn.: Business Master File, PO Box 8750, Wilmington, DE 19899-8750. You will receive your replacement license within three to four weeks.

OTHER IMPORTANT INFORMATION

Most licensees are also required to pay either gross receipts or excise taxes in addition to the license fee. You can file these taxes online or obtain a paper form from our website at www.revenue.delaware.gov. You must submit all business tax returns filed with the Division of Revenue under the same identification number. If you are a sole-proprietor, and have a federal employer identification number, use the employer identification number, not your social security number. Only sole proprietors with no employees are allowed to file under their social security number. Inquiries regarding your coupon booklets to pay withholding, corporate tentative, and Sub Chapter "S" estimated taxes, or to make changes to your name, address, or identification number, should be directed to the Business Master File Unit at (302) 577-8778.

INTERNET SITE

The Division of Revenue web address is: www.revenue.delaware.gov. Visit our web site for tax tips, links to telephone numbers, forms that you can download, links to other State agencies, the Delaware Code, the publication "Delaware Guide for Small Business" and lots more. Internet filing of personal income tax returns via the Division of Revenue's website is available. Internet filing for Withholding, Gross Receipts and Corporate Tentative payments is also available.

DOUGLAS R. M. NAZARIAN
CHAIRMAN

HAROLD D. WILLIAMS
SUSANNE BROGAN
LAWRENCE BRENNER
THERESE M. GOLDSMITH

PUBLIC SERVICE COMMISSION

**#50, 10/20/10 AM; ML#s 124326, 125506 and 125882,
License Reference No.: IR-2013**

October 20, 2010

John Gardiner, President
Open Market Energy, LLC
7625 Wisconsin Avenue
Bethesda, MD 20814

Dear Mr. Gardiner:

On July 21, 2010, Open Market Energy, LLC filed an Application for a license to supply natural gas or natural gas supply services in Maryland under COMAR 20.54. Additional information was filed on September 22, 2010 and October 7, 2010. The Company proposes to provide natural gas broker services in Maryland for residential, commercial and industrial customers as described in the application.

After considering this matter at the October 20, 2010 Administrative Meeting, the Commission granted Open Market Energy, LLC a license to supply natural gas or natural gas supply services in Maryland in accordance with its Application (License Reference Number IR-2013). The license granted by the Commission under this Letter Order is limited solely to providing natural gas broker services to residential, commercial and industrial customers. Additionally, the Commission directed the Company to pay a civil penalty in the amount of \$100. Payment shall be made to "Maryland Public Service Commission" before November 19, 2010.

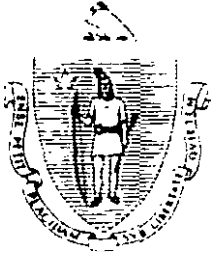
Finally, Open Market Energy, LLC is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

/s/ Terry J. Romine

Terry J. Romine
Executive Secretary

TJR/gjd



THE COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER
GOVERNOR

KARYN E. POLITO
LIEUTENANT GOVERNOR

MATTHEW A. BEATON
SECRETARY OF ENERGY
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION
BOSTON, MA 02110
(617) 305-3500

ANGELA M. O'CONNOR
CHAIRMAN

ROBERT E. HAYDEN
COMMISSIONER

CECILE M. FRASER
COMMISSIONER

June 11, 2018

John Gardiner, President
Open Market Energy, LLC
7625 Wisconsin Avenue, Suite 200
Bethesda, MD 20814

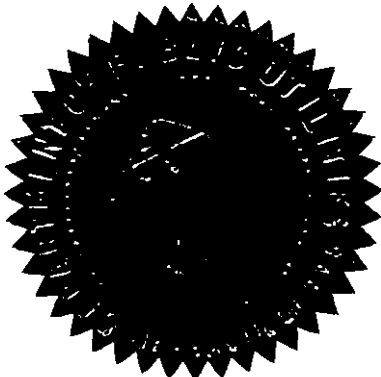
Mr. Gardiner,

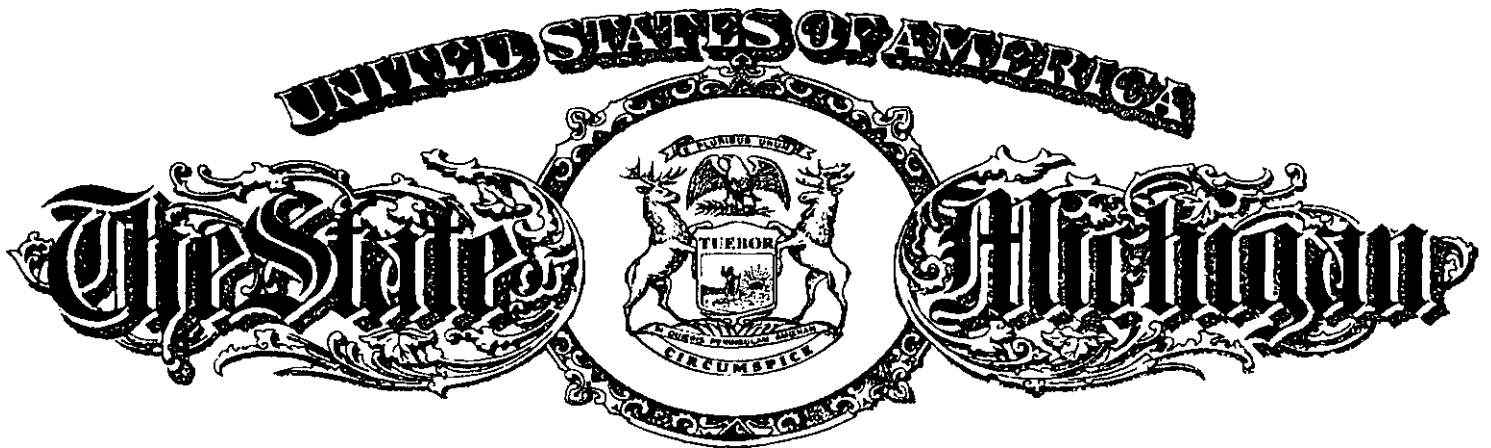
The Department of Public Utilities has reviewed Open Market Energy, LLC's ("Open Market Energy") application for a Gas Retail Agent license to serve commercial and industrial customers in the Commonwealth of Massachusetts. I am pleased to inform you that the application has been approved. Open Market Energy's license number is **RA-208**.

As a condition of maintaining its license, Open Market Energy must file updated information within 30 days of any material change in the information required by 220 CMR 14.04(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations thereunder, including 220 CMR 12.00, 14.00. If you decide to request renewal of Open Market's license next year, please submit a renewal application no later than June 1, 2019.

Sincerely,


Mark D. Marini, Secretary





Department of Licensing and Regulatory Affairs

Lansing, Michigan

This is to Certify That

OPEN MARKET ENERGY LLC

a FOREIGN LIMITED LIABILITY COMPANY existing under the laws of the state of Maryland

was validly authorized to transact business in Michigan on the 23 day of September , 2019 in conformity with 1993 PA 23.

Said company is authorized to transact in this state any business of the character set forth in its application which a domestic company formed under this act may lawfully conduct. The authority shall continue as long as the company retains its authority to transact such business in the jurisdiction of its organization, its authority to transact business in this state has not been suspended or revoked, and the company has not surrendered its authority to transact business in this state.

This certificate is in due form, made by me as the proper officer, and is entitled to have full faith and credit given it in every court and office within the United States.

*In testimony whereof, I have hereunto set my hand,
in the City of Lansing, this 24th day of September , 2019.*

Julia Dale, Director

Corporations, Securities & Commercial Licensing Bureau

State of New Jersey



Board of Public Utilities

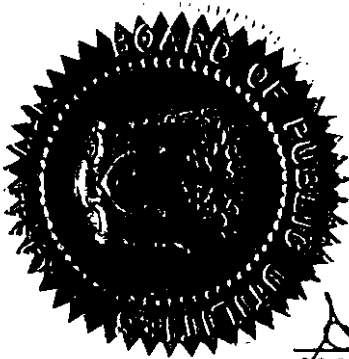
44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

HEREBY REGISTERS

Open Market Energy LLC
7625 Wisconsin Ave, Suite 200
Bethesda, MD 20814

To conduct business in the State of New Jersey as an

Energy Agent




Aida Camacho-Welch
Secretary of the Board

Registration No. EA-0559
Effective Date: May 8, 2019
Expiration Date: May 7, 2020

COMMONWEALTH OF VIRGINIA

STATE CORPORATION COMMISSION

LICENSE NO. A-43

Open Market Energy LLC

is hereby granted this license pursuant to the Code of Virginia to provide only the following products and services:

Natural Gas Aggregator

throughout the Commonwealth of Virginia as the Commonwealth opens up to retail access and customer choice to the following customer classes:

*Commercial
Industrial
Governmental*

This license is granted pursuant to the State Corporation Commission's Order Granting License dated October 6, 2015, in Case No. PUE-2015-00093, and incorporates all terms, conditions or restrictions set forth therein, or as subsequently amended.

This license is not valid authority for the provision of any product or service not identified herein to any customer.

Failure of the licensee to comply with any applicable Federal Energy Regulatory Commission or State Corporation Commission Orders and/or Rules and all state and federal laws may result in the revocation, suspension or modification of this license, the imposition of appropriate fines and/or penalties, or additional actions may be taken as necessary to protect the public interest.

Dated at Richmond, Virginia October 6, 2015

STATE CORPORATION COMMISSION

By Mark L. Christie
Commissioner



GOVERNMENT
OF THE
DISTRICT OF
COLUMBIA
Vincent C. Gray,

Department of Consumer and
Regulatory Affairs
Business License Division
1100 4th Street S.W.
Washington DC 20024

Date Issued: 1/6/2013
Category: 4003
License#: 71102232
License Period: 3/1/2013 - 2/28/2015

BASIC BUSINESS LICENSE

Billing Name and Address: OPEN MARKET ENERGY LLC	Premise/Application's Name and Address: OPEN MARKET ENERGY LLC	Registered Agent's Name and Address: TIM GARDINER
7625 Wisconsin Ave Suit/Unit# 300 Bethesda, MD 20814	7625 WISCONSIN AVE 300 BETHESDA, MD 20814	4423 35th St Nw Washington DC20008

Owner's Name
Corp. Name OPEN MARKET ENERGY LLC
Trade Name

CofO/HOP#:	SSL:	Zone:	Ward:	ANC:	PERM NO.
		UNITS: 0			

General Business - General Business Licenses

- THE LAW REQUIRES THIS LICENSE TO BE POSTED IN A CONSPICUOUS PLACE ON THE PREMISES -

Nicholas Majett

Director:
Nicholas A. Majett

*License Effective from the later of Issued or Start of License-Period Date

DC gas

EA - 10 - 19 - 5 AH

PUBLIC SERVICE COMMISSION OF THE DISTRICT OF COLUMBIA
1333 H STREET N.W., 2ND FLOOR, WEST TOWER
WASHINGTON, D.C. 20005
RECEIVED
DISTRICT OF COLUMBIA
COMMISSION
2011 APR 25 PM 3:43
AH

ORDER

April 25, 2011

FORMAL CASE NO. EA 10-19, IN THE MATTER OF THE APPLICATION OF OPEN MARKET ENERGY, LLC FOR AN ELECTRICITY LICENSE, Order No. 16335

1. By this Order, the Public Service Commission of the District of Columbia ("Commission") grants a license to Open Market Energy, LLC ("OME" or "Applicant") to function as an electricity supplier in the District of Columbia.¹ Specifically, OME intends to operate as a broker of electricity for residential, commercial and industrial customers in the District of Columbia, pursuant to Section 34-1505 of the "Retail Electric Competition and Consumer Protection Act of 1999 ("Act")."²

2. On October 18, 2010, OME filed its license application.³ Pursuant to the Commission's licensing requirements as set forth in Order No. 11796,⁴ OME has provided the following information:

- a) Proof of technical and managerial competence;
- b) An affidavit of compliance with applicable Federal and District of Columbia environmental laws and regulations dated September 29, 2010;
- c) Proof of financial integrity to include financial statements;
- d) Proof that OME has registered with the Department of Consumer and Regulatory Affairs to do business in the District of Columbia;
- e) An affidavit that OME is subject to all applicable taxes; and

¹ D.C. Official Code § 34-1501(17)(2010 Supp.) defines, in part, an electricity supplier as "a person, including an aggregator, broker, or marketer, who generates electricity; sells electricity; or purchases, brokers, arranges or markets electricity for sale to customers."

² D.C. Official Code § 34-1505; see generally §§ 34-1501-1520.

³ *Formal Case No. EA 10-19, In the Matter of the Application of Open Market Energy, LLC for an Electricity License*, Application of Open Market Energy, LLC filed October 18, 2010. Open Market Energy submitted supplemental information regarding this application on December 20, 2010 and March 29, 2011.

⁴ *Formal Case No. 945, In the Matter of the Investigation into Electric Service Market Competition and Regulatory Practices*, Order No. 11796 at Attachment C, rel. September 20, 2000.

- f) An affidavit dated September 29, 2010, stating that OME will comply with all the requirements of all orders and regulations of the Commission.
- g) Applicant's website address: www.openmarketenergy.com.

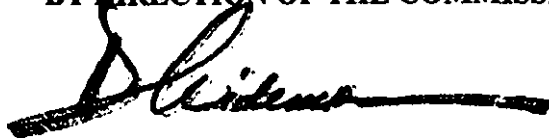
3. After a complete review of the application, the Commission finds that granting the application of Open Market Energy, LLC will serve the public interest. The information contained in its application demonstrates that the Applicant has the ability and the financial integrity to serve electricity customers in the District of Columbia.

THEREFORE, IT IS ORDERED THAT:

4. The application of Open Market Energy, LLC for a license to conduct business in the District of Columbia as an electricity supplier is hereby **GRANTED**.

A TRUE COPY:

BY DIRECTION OF THE COMMISSION:

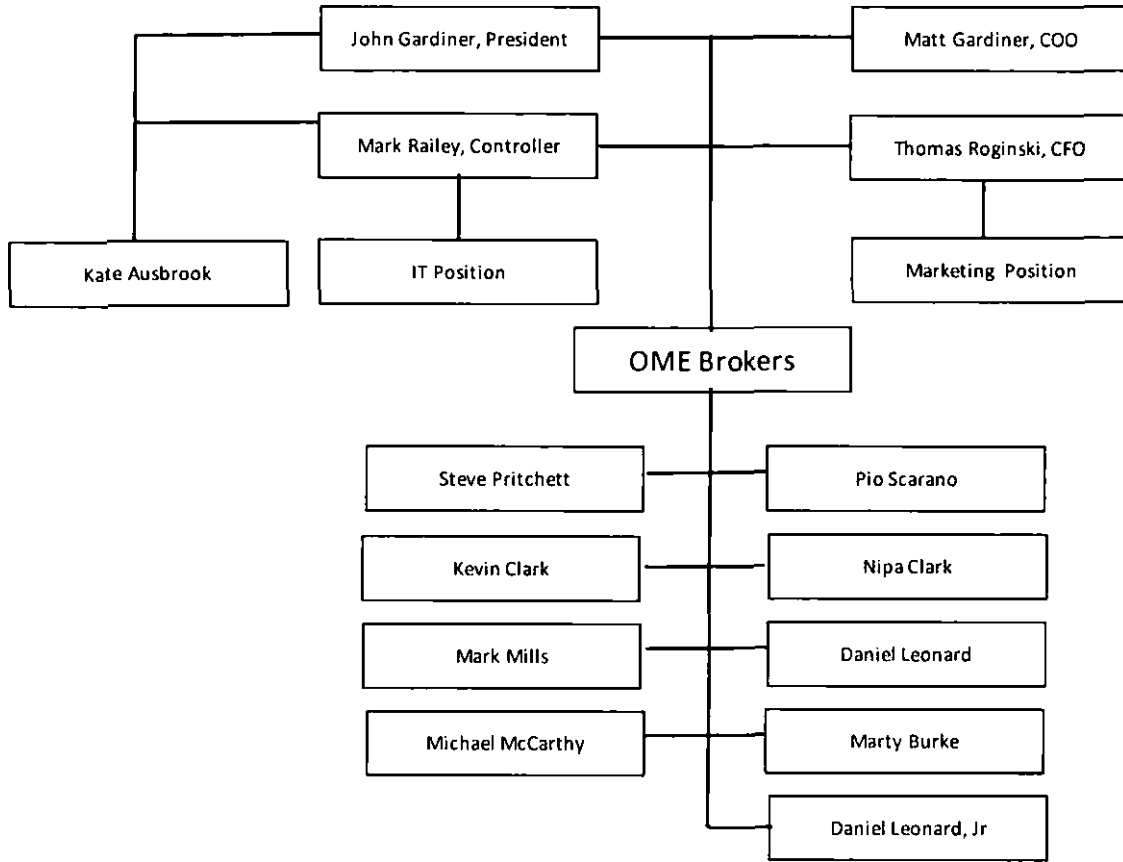


CHIEF CLERK:

**DOROTHY WIDEMAN
COMMISSION SECRETARY**

Open Market Energy, LLC

Organizational Chart



RECEIVED

JAN 14 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

OPEN MARKET ENERGY



BUISNESS PLAN

2019: JANUARY

RECEIVED

JAN 14 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

7625 WISCONSIN AVE
BETHESDA, MD 20814

OPEN MARKET ENERGY LLC

2019 BUSINESS PLAN

EXECUTIVE SUMMARY

Open Market Energy (OME) is a professional energy consulting and brokerage firm founded because of Energy Deregulation. The President is a marine and mechanical engineer with a passion for the energy market and a commitment to providing valued services to clients while maintaining the highest levels of integrity, trust, and honesty. OME consists of over 130 years of utility management, energy procurement, financial management, and enterprise solutions that offer clients the experience and confidence to recommend and manage their energy portfolio.

Open Market Energy (OME) was established in July 2007. The company employs a team with extensive backgrounds in utility, engineering, energy procurement, finance and commercial real estate. The company was formed in response to the challenges organizations were facing while trying to take advantage of the potential saving inherent in purchasing energy from alternative suppliers. Companies quickly realized the procurement process was fraught with land mines and far more complicated than originally thought. Open Market Energy provides the expertise without adding direct cost as we are compensated by our suppliers.

As energy procurement specialists, our mission is simple; we assist organization in reducing risk and energy costs by professionally managing their energy procurement and monitoring their ongoing electricity and natural gas expenses.

OME represents multiple energy suppliers guaranteeing an unbiased analysis of our customers' requirements while clearly outlining risks as it relates to market conditions, and the type and length of energy contracts. We ensure all suppliers include the same components in their bids so we can effectively compare and negotiate appropriate terms to meet our clients' procurement goals. Our suppliers offer fixed price, indexed price, hybrid pricing (a combination of fixed and indexed) and demand response.

Working with OME provides your organization with the expertise without the direct cost. OME is compensated by the companies we represent. It is similar to an insurance broker who makes recommendations regarding which Health care provider to use. The expertise and guidance is built into the cost of Healthcare.

OPEN MARKET ENERGY:

- We are **Energy Specialists**. Our Energy Procurement process is simple, swift, and effective.
- We represent **Multiple Suppliers**. This assures that you will have unbiased energy procurement recommendations.
- We are a **Dedicated Team**. We monitor and manage the energy markets daily so that you don't have to.
- In these uncertain economic times businesses need to **Focus on Their Core Business**. "Do what your business does best and outsource the rest."
- We are known for and pride ourselves for our personal accountability and integrity in all transactions.

COMPANY DESCRIPTION

Open Market Energy, a State of Maryland LLC, formed in 2007 as a result of Energy Deregulation. In 2007, one of the largest Maryland energy suppliers had to drastically increase their rates due to unforeseen market shifts; though deregulation in Maryland was passed in 1999 the market did not exist until Baltimore Gas and Electric (BGE) had to almost double their rates opening the energy market to energy procurement specialists. John Gardiner, President, is a marine and mechanical engineer that worked for BP early in his professional career and started the OME as a professional energy consulting and management firm.

OME has assembled a unique set of experiences to include mechanical engineering, information management, lobbyists, business development, financial management, commercial real estate and enterprise solutions architecture. We specialize in providing energy management solutions that measures and maps risks associated with our customer's energy expenses by professionally managing how, when and from whom their clients purchase electricity and natural gas. They have developed a goal oriented strategic energy plan for their clients that are measure and tracked on a continual basis through detailed reporting.

SERVICES

OME has developed a supplier network consisting of WGL, CNE, Direct Energy, Talen, UGI and others to ensure the very best competitive pricing and products for our clients. Our energy procurement process is simple and effective. OME engages our customers to understand their business and energy profile allowing the entire process to be transparent our clients. The local utility continues to deliver the energy and in many cases, bill you.

The process begins with the gas and electric accounts for one building or for several buildings. We have procured energy for a single account within a building, a single building with several accounts, several buildings in a campus environment and multiple locations aggregated under one procurement contract. More is generally better but many factors affect a single building rates such as quantity, load profile, location; the time of day energy is consumed and consistency of load or rate of consumption.

OME reviews and analyzes the facilities energy consumptions and load profile to determine the optimal procurement strategy. Then we assess your organization's risk tolerance and budget constraints to establish energy saving targets and goals. These targets and goals will determine the procurement options that best meet your long terms budgets by purchasing energy through fixed based contracts, indexed based contracts, or a blended approach. If green energy is required, OME will configure the optimal percentages from 10% to 100% green power that meets your sustainable goals.

OME services include existing contract review, billing validation, reviewing load profile and usage, map current expenditures and savings (if any), and the development of a strategic energy procurement plan. We work with customers to understand their business objectives to deliver a

strategic plan with the most advantageous terms, predict market changes, risk assessment, and budget for short and long-term sustainability.

SERVICES PROVIDED:

1. Budget Development
 - a. Past Usage is tracked to better inform the client of potential future costs and the load profile of all accounts.
2. Rate and Tariff Analysis
3. Market Intelligence
4. Quarterly Reporting
 - a. Each quarter every client receives a report that displays the rate OME locked the client in at in comparison to the standard Utility company rates in the area.
5. Data Management
 - a. All clients Historical Usage Data (HUD) is reviewed and observed for spikes and irregularities to assist in energy management
6. Multi-Supplier Network
 - a. To ensure competitive bidding and best pricing for our clients we have a network of suppliers to ensure lowest pricing
7. Sustainable Alternatives
 - a. For Clients interested in green or alternative energy sources we have a network of suppliers and consultants to meet those requests
8. Energy Management Alternatives
 - a. Demand response energy management
 - b. Building monitoring software and third party monitoring to assist larger scale budgeting

OME strives for not only a lowest rate possible for each client but has made recommendations that specific clients return to the Public Utility until market conditions have corrected. At times OME will advise clients to lock for one-month intervals or leverage the index for several months, rather than the typical 1-3 year rate lock, to secure the clients the best rates that the fluctuating market will offer. We will develop a detailed budget outlined monthly costs off prior years load so our clients know what to allocate during the annual planning process.

MARKET ANALYSIS SUMMARY

With the advent of utility deregulations, as with any major change, some confusion and misinformation has circulated. The one element on which most people involved with energy procurement will agree is that it is more complex than initially imagined. There are countless stories of organizations locking electric and natural gas rates at all time high prices; signing contracts with sunset clauses that automatically renew at their current contract rate or market rate, whichever is higher; committing to five year terms with no cancellation clause.

Utility pricing is complex because electricity and natural gas are commodities. They are traded on an exchange. Prices change by the minute, prices need to be monitored, usage analyzed, trends observed and exploited. It requires not just specialized expertise but constant monitoring of markets. Unlike building systems, which are fairly static, in natural energy supply costs are constantly changing.

While this is taking place on a micro level significant changes are taking place on a macro level. There has been a paradigm shift with Natural Gas. It emerged as the preferred fuel for electric power generations as well as heating. The factors contributing to this shift include completion of several natural gas pipelines across the United States moving the lower 48 closer to a single natural gas market and the development of technologies that permit the cost effective and flexible extractions of natural gas from Shale gas deposits.

STRATEGIC SUMMARY

There are two ways to manage energy procurement and take advantage of the saving associated with the introduction of energy supply competition. The first is to hire a FTE or several to become energy procurement specialists to monitor daily market conditions and the second is to procure through energy brokers like Open Market Energy. Both have their share of pluses and minuses. However, given the state of the economy, adding personnel is a significant hurdle to overcome.

Working with Open Market Energy (OME) provides your organization with the expertise without the direct cost. The suppliers we represent in our network compensate OME. It is analogous to an insurance broker who provides guidance and recommendations regarding which health care provider to use. Their expertise and guidance is built into the cost of specialist/broker.

In the Mid-Atlantic region our clients have savings ranging from 6% to 20% compared to remaining with the utility. The process begins with the gas and electric account numbers. This usually means a building per account number but can mean several account numbers for one building. It expands from there. We have done single accounts within a building, single buildings with several accounts, several buildings in a campus environment and multiple locations aggregated under one procurement contract.

In analyzing Emerald Estates' Usage over the Twelve Months beginning April 24, 2009 through April 28, 2010 the load configuration shows the majority of electricity consumption taking place during the utilities off peak hours. It averages approximately 56% over the 12-month period. If we add the usage during the Intermediate Peak Period (16.67%) we see the total non-peak consumption is running at around 73% of the total electricity consumed. This is significant because electricity is less expensive during the off peak and intermediate peak time of the day.

When organizations purchase either electricity or natural gas from an alternative suppliers they are usually motivated by the savings and cost predictability. Energy is sold at either a guaranteed on a fixed rate for a specific period of time or on a floating basis. Under a fixed contract your energy cost is fixed at an exact rate per kilowatt hour (kWh) and when purchased through Open Market Energy including transmission, capacity line loss and all the other ancillary charges. If the energy market goes up and down your cost remains constant over the term of the agreement. This type of agreement is best for organizations that have heavy peak period usage, budget driven and risk adverse.

The other type of agreement is call an index or Locational Marginal Pricing (LMP) contract. It is better for organizations that have heavy non-peak consumption of energy and are less risk adverse. Under an Index on a LMP contract a portion of the price is locked. Purchased through OME, the

locked portion includes transmission, capacity, line loss, and all the other ancillary costs associated with supplying electricity. The actual cost of the generated electricity floats with the market. The key to an index on LMP solution is monitoring the market and taking action to move to a fixed rate solution when appropriate. For example, we have a client that has 40 locations under an LMP agreement. Each spring recommend moving to a fixed agreement during the summer months in order to mitigate their risk while the demand is high. The recommendation for Emerald Estates is to implement the index/LMP agreement. With over 70% of your electric consumption taking place during non-peak time periods as properly managed LMP solutions will maximize your savings. The following analysis illustrates this conclusively.

PAST EXPERIENCE OF CHIEF OFFICER

John Gardiner, President of Open Market Energy LLC, has a BS in Mechanical Engineering from Massachusetts Maritime Academy and work in the energy transportation industry from 1987 to 1995. In this capacity, John developed thorough knowledge of facility management and hands on experience in the transportation of the natural gas, crude oil, and refine fuels through his work with on LNG and Oil tankers. For the next 12 years, John worked in the high tech industry selling enterprise solutions to the Dept of Energy, Homeland Security and other federal Agencies. In 2007, Open Market Energy was formed as a result of the deregulation of electricity and the opportunity to return to his mechanical engineering roots by providing independent consulting to property management and building owners. Since 2007, John has provided unbiased energy analysis and procurement recommendations to leverage energy wholesale providers such as Pepco Energy Services, Glacial Energy, Hess Energy, Constellation New Energy and Washington Gas Energy Services.

Open Market Energy has of decades of expertise in commercial & residential sales, leasing and property management, financial management and lending, and lobbying and law expertise. Our energy management services include energy procurement, energy risk management and utility consulting. Open Market is focused on helping clients capitalize on opportunities and implement a strategic approach to energy risk management.

CURRENT EXPERIENCE

OME has grown to over 12 brokers with an office administrator and comptroller over the past 12 years we have expanded our client base to the east coast with over 1000 customers under contract for natural gas and electricity. We scheduled bi-weekly meetings to discuss and train employees on regulations and market conditions.

Since 2014, Open Market Energy has hired 5 new brokers to the team. Each broker has over 20+ years of experience working for energy suppliers and 10 years as energy brokers.

FINANCIAL PLAN

Open Market has a two CPA's on staff to manage our financial and bookkeeping for the company. Open Market does not have any investors or have requirements to secure any outside funding or loans.

Open Market Energy, LLC
Professional Bios

John Gardiner, President & Managing Partner

John Gardiner has a BS in Mechanical Engineering from Massachusetts Maritime Academy and works in the energy transportation industry from 1987 to 1995. In this capacity, John developed a thorough knowledge of facility management and hands-on experience in the transportation of natural gas, crude oil, and refined fuels. John spent 12 years working in the technology industry supporting Federal and DOD contracts. In 2007, he formed Open Market Energy to provide unbiased energy analysis and procurement recommendations to companies in the Mid-Atlantic. Open Market Energy currently manages over 1 Billion KWH and over 100 million therms in the mid-Atlantic region.

Matt Gardiner, COO

Matt Gardiner has a BA in Finance from James Madison University. Matt was a commercial and residential lender from 1985 – 2008. From 1996 to 1999, Matt was President and CEO of First Bank Mortgage Company. First Bank was sold in August of 1999 to FCNB Bank for the largest multiple ever for a community bank of its size. In 2008, Matt joined Open Market Energy. Matt currently runs the day to day business of Open Market Energy. Under Matt's leadership, Open Market Energy has grown from a startup company to one of the largest brokers in the Mid-Atlantic Region.

Steve Pritchett, VP of Sales

Steve Pritchett, our VP of Sales, will be responsible for the operations conducted in the State of Massachusetts. Mr. Pritchett has over 30 years of experience in the energy business. His experience includes 10+ years of working for a utility company in the DC metropolitan area where he analyzed tariff classes and managed natural gas procurement for large interruptible gas accounts. Steve Pritchett's experience also includes 20 years as a broker/account manager at WGES(now WGL) for both electric and natural gas customers.

Kevin Clark, VP of Sales for Commercial Markets

Kevin Clark is an established energy professional with over 20 years of extensive industry experience and knowledge. Kevin spent 17 years working on the utility and supplier side of the industry with BGE / Constellation and Washington Gas Energy Services where he managed the energy needs of large commercial and industrial consumers. In 2014, Kevin joined Open Market Energy to broaden his scope of services and more effectively manage all his client's energy requirements. Kevin has spent extensive time on commodity procurement; solar and renewables, energy efficiency, invoice reconciliation, tariff analysis and other energy-related services. Kevin currently manages the energy needs of more than fifty clients throughout the Mid-Atlantic in a wide variety of industries ranging from food service, automotive, manufacturing, healthcare, educational, property management and multi-family, retail and more.

RECEIVED

JAN 14 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



Retail

P

US POSTAGE PAID

\$7.85

Origin: 20815
01/14/20
2306750814-14

PRIORITY MAIL 2-DAY®

1 Lb 8.30 Oz
1006

EXPECTED DELIVERY DAY: 01/16/20

SHIP TO:
HARRISBURG PA 17120

USPS TRACKING® NUMBER



9505 5110 2273 0014 3439 59

PRIORITY®
★ MAIL ★



VISIT US AT USPS.COM®
ORDER FREE SUPPLIES ONLINE

FROM: Open Market Gmry
7625 Wisconsin Ave Int 200
Bethesda, MD 20814

Pennoy Waine PUC
TO: Secretary Rosemary Chivalta
400 North Street
Keystone Bldg.
Harrisburg, PA 17120

VELOPE

To schedule free
Package Pickup,
scan the QR code.



Label 228, March 2018

FOR DOMESTIC AND INTERNATIONAL USE