



**NRG Retail Northeast**  
3711 Market Street, 10<sup>th</sup> Floor  
Philadelphia, PA 19104

March 25, 2020

**Via UPS**

Ms. Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
Keystone Building  
400 North Street  
2<sup>nd</sup> Floor RM N201  
Harrisburg, PA 17120

**RE: Stream Energy Pennsylvania, LLC's Annual Compliance Filing  
for Continuation of 5% Reduction in Security Requirements  
Docket No. A-2010-2181867; Utility Code 1112299**

Dear Secretary Chiavetta:

Please accept this Annual Compliance Filing on behalf Stream Energy Pennsylvania, LLC d/b/a Stream Energy ("Stream") to renew its required level of financial security at the reduced level of 5%.

Stream's contact person for this Annual Compliance Filing is as follows:

Leah Gibbons  
Director, Regulatory Affairs  
3711 Market St. Suite 1000  
Philadelphia, PA 19104  
Tel: 301-509-1508  
Email: [lgibbons@nrg.com](mailto:lgibbons@nrg.com)

This renewal request is made consistent with the directives of the Commission's September 20, 2018 Tentative Opinion and Order and the Secretarial Letter issued November 1, 2018<sup>1</sup>, and is being submitted at least 90 days prior to the expiration date of Stream's current security (May 6, 2020). Stream's reduction in financial security to 5% was initially approved by the Commission through the Secretarial Letter dated August 4, 2016, at the above referenced docket

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<sup>1</sup> Petition of the Retail Energy Supply Association to Simplify the Financial Security 5% Renewal Process; Docket No. P-2017-2608078, dated November 1, 2018; Petition of the Retail Energy Supply Association to Simplify the Financial Security 5% Renewal Process; Docket No. P-2017-2608078, Tentative Opinion and Order entered September 20, 2018.

number. Stream is compliant with Alternative Energy Portfolio Standard requirements and the Commission's annual and supplemental fee requirements.

The following attachments support this Annual Compliance Filing:

- 1) Stream's gross receipts in Pennsylvania for the four most-recent quarters and its 5% gross receipt calculation is attached hereto as ATTACHMENT A (CONFIDENTIAL);
- 2) Stream is current with its tax liabilities to the Commonwealth of Pennsylvania and is providing Form REV-423 Specialty Tax Estimated Payment Form and a Tax Status Letter from the Pennsylvania Department of Revenue, which are both attached hereto as ATTACHMENT B (CONFIDENTIAL);
- 3) A letter from AEPS Administrator confirming Stream Energy's compliance with AEPS requirements attached hereto as ATTACHMENT C (CONFIDENTIAL); and
- 4) Stream's electronic unsigned Decrease Rider and Verification Certificate confirming that its bond remains in effect in an amount equal to or greater than 5% of its most recent 12-months of gross receipts attached hereto as ATTACHMENT D (CONFIDENTIAL). Note: Consistent with the Emergency Order issued in Docket No. M-2020-3019262, Stream is providing its Bond Rider electronically and without signature due to the Stay at Home orders impacting key NRG personnel. The Original, signed Rider will be submitted to the Commission once the Emergency Order has been lifted and the Commission provides notice that paper filings can resume.

Stream understands that the Commission will treat the confidential attachments as proprietary information that will not be released to the public. Stream has marked the attachments as "CONFIDENTIAL" and requests that the Commission grant Stream's attachments with confidential and proprietary treatment. These attachments contain commercially sensitive information that Stream does not make public in any of our corporate reporting.

Enclosed please find a verification attesting to the truthfulness of facts set forth in Stream Energy's Annual Compliance Filing. Copies of this Annual Compliance Filing have been served in accordance with the attached Certificate of Service.

Please feel free to contact me at 301.509.1508 or via Email at [lgibbons@nrg.com](mailto:lgibbons@nrg.com) if you have any questions or require additional information.

Sincerely,

A handwritten signature in black ink that reads "Leah Gibbons". The signature is written in a cursive style with a large initial "L" and "G".

Leah Gibbons  
Director Regulatory Affairs

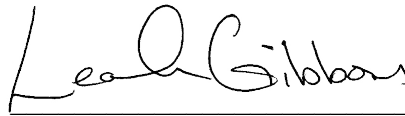
Enclosures

**VERIFICATION**

I, Leah Gibbons, hereby state that I am Director of Regulatory Affairs of Stream Energy Pennsylvania, LLC and am authorized to make this verification on its behalf. The facts set forth in the foregoing Annual Compliance Filing are true and correct to the best of my knowledge, information and belief and that I expect to be able to prove the same at a hearing in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa.C .S. § 4904 (relating to unsworn falsification to authorities).

March 25, 2020

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Dated

  
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CERTIFICATE OF SERVICE

I hereby certify that this day I served a non-confidential copy of Stream Energy Pennsylvania, LLC Initial Petition for Initial Security Reduction Request upon the persons listed below in the manner indicated in accordance with the requirements of 52 Pa. Code Section 1.54 in Docket No. M-2020-3019262.

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility  
Commission Commonwealth Keystone  
Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-  
0946

Office of Consumer Advocate  
5<sup>th</sup> floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Office of Attorney General  
Bureau of Consumer  
Protection Strawberry Square,  
14<sup>th</sup> Floor  
Harrisburg, PA 17120

Office of Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

Legal Department  
West Penn Power d/b/a Allegheny  
Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

Met-Ed, Penelec, and Penn Power:  
Legal Department  
First Energy  
2800 Pottsville Pike  
Reading, PA 19612

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street, P.O. Box 138  
Wellsboro, PA 16901

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

Office of General Counsel  
Attn: Kimberly Klock  
PPL  
Two North Ninth Street  
Allentown, PA 18108-1179

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12<sup>th</sup> Street, Suite 360  
P.O. Box 12677  
Reading, PA 19612-2677

Pike County Light & Power Company  
Vice President – Energy Supply  
Coming Natural Gas Holding Corporation  
330 West William Street  
Corning, NY 14830



Leah Gibbons, Director Regulatory Affairs