BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of <u>GLG Consulting</u>, <u>LLC</u>, <u>d/b/a</u>, <u>for approval to offer, render</u>, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) <u>[as specified in item #4b below]</u> to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

a. IDENTITY OF THE APPLICANT: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

GLG Consulting, LLC C/o George L. Goss, President 115 Vandivort Drive Beaver, PA 15009 Telephone: (724) 987-8713 Email: glgoss115@gmail.com

b. PENNSYLVANIA ADDRESS / REGISTERED AGENT: If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Not applicable.

c. **REGULATORY CONTACT**: Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

George L. Goss, President 115 Vandivort Drive Beaver, PA 15009 Telephone: (724) 987-8713 Email: glgoss115@gmail.com

d. ATTORNEY: Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Michael W. Nalli, Esquire Michael W. Nalli, P.C. 150 Pleasant Drive, Suite 101 Aliquippa, PA 15001 Telephone: 724-375-4005, Fax: 724-375-9283, Email: mnalli@nallilaw.com

e. CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants) Provide the name, title, address, telephone number, FAX number, and e-mail <u>OF THE PERSON AND AN ALTERNATE</u> <u>PERSON (2 REQUIRED)</u> responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Main Contact: George L. Goss, President, GLG Consulting, LLC, 115 Vandivort Drive, Beaver, PA 15009 Telephone: (724) 987-8713; Email: glgoss115@gmail.com

Alternate Contact: Leslie Goss, 205 Meadowlark Lane, Aliquippa, PA 15001 Telephone: (412) 979-0286; Email: gossleslie@yahoo.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. FICTITIOUS NAME: (Select appropriate statement and provide supporting documentation as listed.)

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

or

The Applicant will not be using a fictitious name.

b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

0ľ

The Applicant is a:

domestic general partnership (*)

domestic limited partnership (15 Pa. C.S. §8511)

foreign general or limited partnership (15 Pa. C.S. §4124)

domestic limited liability partnership (15 Pa. C.S. §8201)

foreign limited liability general partnership (15 Pa. C.S. §8211)

foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.

5

Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.

* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

The Applicant is a:

domestic corporation (15 Pa. C.S. §1308) foreign corporation (15 Pa. C.S. §4124) domestic limited liability company (15 Pa. C.S. §8913) foreign limited liability company (15 Pa. C.S. §8981) Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

Please see the attached Cerlificate of Organization and Subsistence Certificate from the PA Department of State.

GLG Consulting, LLC is organized as a Limited Liability Company in the Commonwealth of Pennsylvania and no other jurisdictions. Name and Address of Officers:

George L. Goss, President, 115 Vandivort Drive, Beaver, PA 15009

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Not applicable. GLG Consulting, LLC does not have any affiliates doing business.

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Not applicable.

OPERATIONS

a. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

Definitions

- Supplier an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator an entity that purchases electric energy and <u>takes title to electric energy</u> as an intermediary for sale to retail customers.
- Broker/Marketer an entity that acts as an intermediary in the sale and purchase of electric energy <u>but</u> does not take title to electric energy.
- The Applicant is presently doing business in Pennsylvania as a



municipal electric corporation

electric cooperative

local gas distribution company

provider of electric generation, transmission or distribution services

wbroker/marketer engaged in the business of supplying electricity services

Other; Identify the nature of service being rendered.

or

The Applicant is not presently doing business in Pennsylvania.

b. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a (may check multiple):

- Generator of electricity
 - Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
 - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.

7

- Electric Cooperative and supplier of electric power
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

GLG Consulting, LLC will act as a broker/consultant to its commercial, industrial, governmental and institutional customers to procure their electricity requirements from licensed third party suppliers. GLG Consulting, LLC from time to time works with third party suppliers to develop supply products for small commercial and residential accounts that could be associated with GLG Consulting, LLC's commercial and industrial customers. For residential customers the sole option for enrollment is directly through an online suppliers' portal using supplier's normal residential contract procedures and language. For provision of its services, GLG Consulting, LLC receives a fee from the electric supplier who ultimately contracts with the customer. Thereafter the contractual relationship is strictly between the customer and the electric supplier. Although the contractual relationship for electricity suppliers' portae to supplier, GLG Consulting, LLC is committed to its customers to guide them through the procurement process and consult with them to clarify the pricing, terms, conditions, switching rules, etc. that are part of the supplier's responsibility are not duplicated by GLG Consulting, LLC; however, GLG Consulting, LLC will consult with and assist the customer as required with any supplier-specific issues. To the extent that any winning electricity supplier receives customer complaints and/or inquiries, GLG Consulting, LLC will consult with its customers as part of its procurement broker service.

d. PROPOSED SERVICE AREA: Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

 Citizens' Electric Duquesne Light Met-Ed PECO Penelec 	 Pike PPL UGI Utilities Wellsboro West Penn
Penn Power	Entire Commonwealth of PA

e. CUSTOMERS: Applicant proposes to provide services to:

Residential Customers
 Small Commercial Customers - (25 kW and Under)
 Residential and Small Commercial as Mixed Meter <u>ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)</u>
 Large Commercial Customers - (Over 25 kW)
 Industrial Customers
 Governmental Customers
 All of above (Except Mixed Meter)
 Other (Describe):

f. START DATE: Provide the approximate date the Applicant proposes to <u>actively market</u> within the Commonwealth.

GLG Consulting, LLC proposes to actively market within the Commonwealth immediately upon issuance of a license.

5. <u>COMPLIANCE</u>

a. CRIMINAL/CIVIL PROCEEDINGS: State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Neither the Applicant, GLG Consulting, LLC, an affiliate, a predecessor of either, or a person identified in this Application has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

b. SUMMARY: If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not applicable.

c. CUSTOMER/REGULATORY/PROSECUTORY ACTIONS: Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. <u>Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.</u> If the Applicant has no actions or complaints to list, explicitly state such.

No actions or complaints to list.

d. SUMMARY: If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not applicable.

9

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator. (Example Certificate of Service is attached at Appendix C)

a. STATUTORY AGENCIES: Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Department of Revenue Bureau of Compliance PO Box 281230 Harrisburg, PA 17128-1230

Office of the Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101

b. EDCs: Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company: Vice President – Energy Supply Corning Natural Gas Holding Corporation 330 West William Street Corning, NY 14830

PECO:

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

PPL:

Office of General Counsel Attn: Kimberly A. Klock PPL Two North Ninth Street (GENTW3) Allentown, PA 18101-1179

West Penn:

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Duquesne Light:

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219 Met-Ed, Penelec, and Penn Power: Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company: Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

UGI: UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 1 UGI Drive Denver, PA 17517

Wellsboro Electric Company: Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

7. FINANCIAL FITNESS

- a. BONDING: In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...
 - Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
 - Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.

For Marketers and Brokers - Filing for a modification to the \$250,000 requirement and furnishing the <u>ORIGINAL</u> of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

GLG Consulting, LLC will not take title to electricity that it brokers and will not pay electricity bills on behalf of its customers. Letter of Credit is attached.

CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant <u>MUST</u> submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at <u>siakab@pa.gov</u> to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond, fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

- **b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:
 - Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
 - Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
 - Applicant's accounting statements, including balance sheet and income statements for the past two years.
 - Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
 - A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
 - Audited financial statements exhibiting accounts over a minimum two year period.
 - Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

See attached.

c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than <u>Broker/Marketer only</u>, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

Not applicable.

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

GLG Consulting, LLC will receive payment directly from electric generation suppliers based on electricity flow over the life of the customer's supply account. On rare occassions GLG Consulting, LLC may be paid directly by the customer.

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

George L. Goss, President GLG Consulting, LLC 115 Vandivort Drive Beaver, PA 15009 Telephone: (724) 987-8713 Email: glgoss115@gmail.com

f. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. <u>TECHNICAL FITNESS</u>

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. EXPERIENCE, PLAN, STRUCTURE: such information may include:
 - Applicant's previous experience in the electricity industry.
 - Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
 - Type of customers and number of customers Applicant currently serves in other jurisdictions.
 - Staffing structure and numbers as well as employee training commitments.
 - Business plans for operations within the Commonwealth.
 - Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
 - Any other information appropriate to ensure the technical capabilities of the Applicant.

b. PROPOSED MARKETING METHOD (check all that apply)

X

Internal – Applicant will use its own internal resources/employees for marketing External EGS – Applicant will contract with a PUC LICENSED EGS broker/marketer Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals. External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals

Other (Describe):

c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

X	ĺ

Yes No

If yes, will the Applicant be using verification procedures?

ŕ	1	
4		

Yes No

If yes, describe the Applicant's verification procedures.

d. OVERSIGHT OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

All marketing of GLG Consulting, LLC will be performed by its President, George L. Goss.

e. OFFICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

George L. Goss is the sole officer of GLG Consulting, LLC. Please see the attached all of which are incorporated by reference herein which contains all professional resumes of individuals associated with GLG Consulting, LLC.

f. FERC FILING: Applicant has:

Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.

Received approval from FERC to be a Power Marketer at Docket or Case Number _____

Not applicable

9. DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. PJM LOAD SERVING ENTITY REQUIREMENT: As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
 - proof of registration as a PJM Load Serving Entity (LSE), or
 - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)



AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).



AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license

M

ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.



AGREED

c. REPORTING REQUIREMENTS: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on <u>http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx</u>. Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

🛛 AGREED

d. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

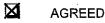
AGREED

e. ANNUAL FEES: The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.



ACKNOWLEDGED

- f. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.
 - AGREED
- **g.** FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.



h. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.



i. CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.



- **j.** Electronic Data Interchange: The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.
 - \mathbf{X}

AGREED

k. FILING FEE: The Applicant has enclosed or paid the required, non-refundable filing fee by CERTIFIED CHECK OR MONEY ORDER in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

 \mathbf{X}

PAYMENT ENCLOSED

11. AFFIDAVITS

Must be notarized before filing.

- a. APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b. OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

12. <u>NEWSPAPER PUBLICATIONS</u>

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times- News	Harrisburg Patriot- News	Philadelphia Daily News	Pittsburgh Post- Gazette	Scranton Times- Tribune	Williamsport Sun-Gazette	Johnstown Tribune- Democrat
Citizens' Electric						x	
Duquesne		····		Х			
Met Ed		X _	x		X		
PECO			X				· · · · ·
Penelec	X	X			Х	X	<u> </u>
Penn Power	Х			Х			
Pike					Х	· · · · · · · · · · · · · · · · · · ·	
PPL		X	x		X	X	
UGI					Х		
Wellsboro						Х	
West Penn		X		x		X	Х
Entire							
Commonwealth	X	X	X	X	X	X	X

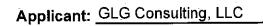
(Example Publications are provided at Appendices K and L)

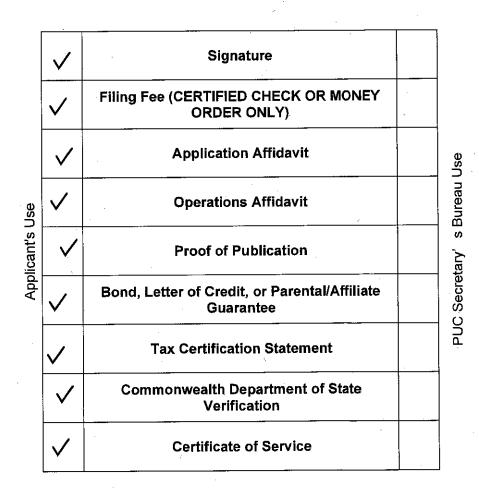
13. SIGNATURE

Consulting, L Applicant:; By: Title: President and Managing Member

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.





18

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/Sta	te] of <u>Pennsylva</u>	nia	· .	_ :			
		· ·		:	SS.		
County of Beaver				:		• • .	
George L. Goss	, Affiant	, being du	ıly [sworn/affi	med]	according to	aw, deposes and	a says that:
[He/she is the	President	(Office	e of Affiant) of	GLG	Consulting, LL	C(Name of /	Applicant);]
							1997 - A.

[That he/she is authorized to and does make this affidavit for said Applicant,]

That the Applicant herein <u>GLG Consulting, LLC</u> has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein <u>GLG Consulting, LLC</u> has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein <u>GLG Consulting, LLC</u> acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein GLG Consulting, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing?

Signature of Affiant

Sworn and subscribed before me this 13^{+h} day of <u>May</u>, 2020.

Signature of official administering oath

My commission expires January 12, 2021

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Michael W. Nalli, Notary Public Center Twp., Beaver County My Commission Expires Jan, 12, 2021 MEMBER, PENNEYLVANAASCOULATION OF NOTANIES

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of Pennsylvania

SS.

County of Beaver

George L. Goss _____, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the <u>President</u> (Office of Affiant) of <u>GLG Consulting, LLC</u> (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That <u>GLG Consulting, LLC</u>, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That <u>GLG Consulting, LLC</u>, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That <u>GLG Consulting, LLC</u>, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That <u>GLG Consulting, LLC</u>, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

Signature of Affiant

Sworn and subscribed before me this 13^{+1} day of <u>May</u>, 2020.

Signature of official administering oath

My commission expires January 12, 2021

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Michael W. Nalli, Notary Public Center Twp., Beaver County My Commission Expires Jan. 12, 2021 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTANIES

Appendix C

CERTIFICATE OF SERVICE

On this the 13^{++} day of <u>May</u>, 2020, I, George L. Goss, in my capacity as President of GLG Consulting, LLC, certify that a true and correct copy of the foregoing application form licensing within the Commonwealth of Pennsylvania as a Broker Marketer and all **NON-CONFIDENTIAL** attachments have been served as either a hardcopy or PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837 Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Department of Revenue Bureau of Compliance PO Box 281230 Harrisburg, PA 17128-1230

Vice President – Energy Supply Corning Natural Gas Holding Corporation 330 West William Street Corning, NY 14830

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

Office of General Counsel Attn: Kimberly A. Klock PPL Two North Ninth Street (GENTW3) Allentown, PA 18101-1179

UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 1 UGI Drive Denver, PA, 17517

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

GLG CONSULTING, LLC ADA COU By:

George L. Coss, President

Sworn and subscribed before me this 13th day of May, 2020



Michael W. Nalli, Notary Public

My commission expires: January 12, 2021

COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Michael W. Nalli, Notary Public Center Twp., Beaver County My Commission Expires Jan. 12, 2021 MEMBER, PENNSYLVANIAASSOCIATION OF NOTANIES

Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

April 2, 2020

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Helene &

Sworn to and subscribed before me this 2^{nd} day of April, 2020.

Notary Public

My Commission Expires:



NOTARIAL SEAL CINOY JAKUBOWSKI, Notary Public City of Philadelphia, Phila. County My Commission Expires November 30, 2020 **Copy of Notice of Publication**

Penneyivania Public Utility Commission Notice Applications of QLG Consuiting, LLC for Approval To Offer, Fander, or Furnieh Services as a Marketer/Broker Engaged in The Business Of Supplying Electricity Supply or Electric Generation Services, To The Public in The Common-

GLG Consulting, LLC will be filing an application with the Pennsylvania Public: Utility Commission ("PUC") for a license to supply electricity or, electric generation asrvices as a broker/ marketer engaged in the business of supplying electricity, GLC Consulting, LLC process to sell electricity throughout all of Pennsylvania's electric distribution utilities under the provisions of the Electricity Generation Customer Choice and Commentition Act.

The PUC may consider this application without a hearing. Protest directed to the technical or financial fitness of GLG Consulting, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send coples of any protest to GLG Consulting, LLC's attorney at the address listed below.

Michael W. Nalli, PC GLG Consulting, LLC 160 Pleasant Drive, Suite 101 Aliquippa, PA 15001 Telephone: 724-375-4005 Fax: 724-375-9263



The Patriot News

LEGAL AFFIDAVIT

AD#: 0009539937

Commonwealth of Pennsylvania,) ss County of Cumberland)

Victoria Soto being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 03/08/2020

intania.

Principal Clerk of

Sworn to and subscribed before me this 9th day of March 2020

Notary Public

Legal Notice Pennsylvania Public Utility Commission Notice Applications of GLG Consulting, LLC for Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged in The Business of Supplying Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

GLG Consulting, LLC will be tilling an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. GLG Consulting, LLC proposes to seil electricity throughout all of Pennsylvania's electric distribution utilities under the provisions of the Electricity Generation Customer Choice and Competition Act.

utilities under the provisions of the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of GLG Consulting, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to GLG Consulting, LLC's attorney at the address listed below.

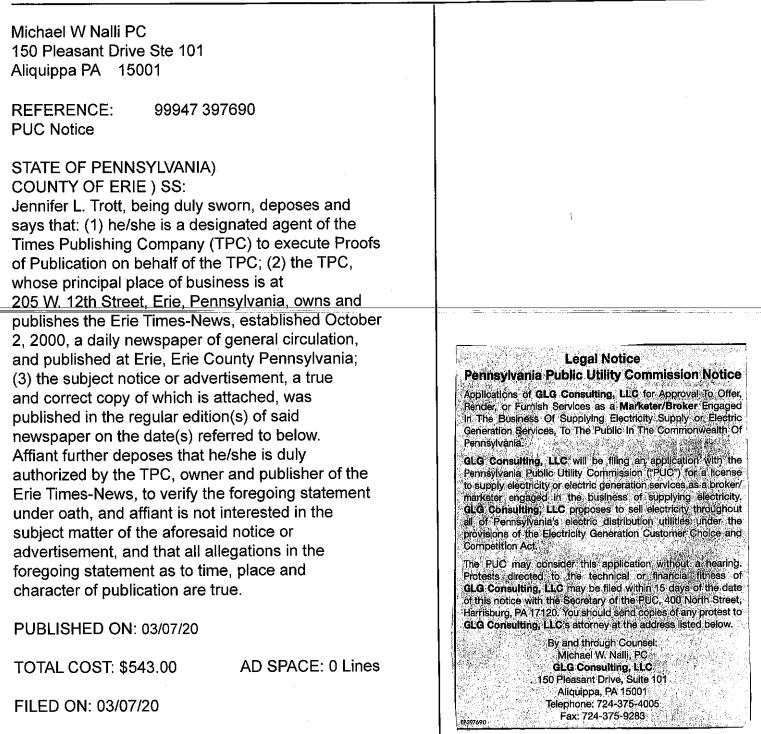
By and through Counsel: Michael W. Nalli, PC GLG Consulting, LLC 150 Pleasant Drive, Suite 101 Aliquippa, PA 15001 Telephone: 724-375-4005 Fox: 724-375-9283

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL Crystal B. Rosensteel, Notary Public Susquehanna Twp., Dauphin County My Commission Expires June 27, 2020 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

PROOF OF PUBLICATION In THE ERIE TIMES-NEWS

COMBINATION EDITION



Sworn to and subscribed before me this <u>9th</u> day of <u>March</u> 2020
Affiant:
NOTARY: Runa More

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Barbara J. Moore, Notary Public
City of Erie, Erie County
My Commission Expires March 23, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT

SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Robert O. Rolley, Jr., Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

March 6, 2020

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

Legal Notice Pennsylvania Public Utility Commission	The PUC may consider this application without a	- FOR
Applications of GLG Con- sulting LLC for Approval To Offer, Render, or Fur- nish Services as a Marketer/Broker, Engaged In The Business Of Sup- plying Electricity Supply or Electric Generation Ser- vices, To The Public In The Commonwealth Of Pennsylvania GLG Consulting, LLC will be filling an explication with the Pennsylvania Public Utility-Commission ("PUC") for a license to supply electricity or elec- tric generation services as a broker/marketer en- gaged in the business of supplying electricity, GLG Consulting, LLC will business of supplying electricity, GLG Consulting, LLO proposes to sell electricity throughout all of Pennsylvania's electric distribution utilities under the provisions of the Elec- tricity Generation Competi- tion Act.	 nearing. Protests-directed to the technical or financial fitness of GLG Consulting. LLC may be illed within 15 days of the date of, this no- tice with the Secretary of the PUC, 400. North Street, Harrisburg, PA 17120. You should send copies of any protest to GLG Consulting, LLC's at- torney at the address' list- ed below. By and through Counsel Michael W. Nalli, PC GLG Consulting, LLC 350 Pleasant Drive, Suite 101. Aliquippa, PA 15001 Telephone: 724-375-4005 Fax: 724-375-9283 	SUN-GAZETTE LLC Sworn to and subscribed before me The G ^{+O} day of March 20 20 COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL BETH A MILLER NOTARY Public CITY OF WILLIAMSPORT, LYCOMING COUNTY My Commission Expires Apr 18, 2020 STATEMENT OF ADVERTISING COSTS To the Sun-Gazette LLC, Dr.: For publishing the notice attached Hereto on the above state dates\$ Total
	FURLISHER, 2 KECEIL	

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Robert O. Rolley, Jr.

COMMONWEALTH OF PENNSYLVANIA **County of Cambria** SS

LEGAL NOTICE Pennsylvania Public Utility Commission Notice Applications of GLG Consulting, LLC for Approval To Offer, Render, or Furnish Services as a Marketer/BrokerEngaged In The Business Supplying Elect Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania GLG Consulting, LLC will be filing an application with the ./Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broket/marketer engaged in the business of consuming, LLC proposes to sell electricity throughout all of Pennsylvania's electric distribution utilities, under the provisions of the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application, without a "hearing, Protests directed to the technical or financial fitness of GLG Consulting, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC 400 North Street, Harrisburg PA 17/20 You should send colles of any protest to GLG Consulting; LLC's attorney at the address listed below. By and through Coursel

nent.

By and through Counsel: Michael W. Nalli, PC GLG Consulting, LLC 150 Pleasant Drive, Suite 101 Aliquippa, PA 15001 Telephone: 724-375-4005 Fax: 724-375-9283 Member, Pennsylvania Association of Notaries

On this 10th day of March A.D. 2020, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Mary Anne Rizzo, who being duly sworn according to law, deposes and says as Advertising Director of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

Pa. in the County of Cambria, and Commonwealth of Pennsylvania and otice in the above matter published in said publication in the regular issues Johnstown, PA, on March 6, 2020; and that-the Affiant is not interested advertising and that all of the allegations as to time, place and character

NA. IN STATEMENT OF ADVERTISING COSTS

60 Lines @ \$2.50 per line	150.00
0.00 Inches @ \$25.00 per inch	0.00
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	157.50

To The Tribune-Democrat, Johnstown, PA For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

for publisher of

f Pennsylvania - Notary Seal hs, Notary Public mbria County

expires December 6, 2020

on number 1123017

a newspaper of general circulation, hereby acknowledges receipt of the aforesaid and publication costs and certifies that the same has been duly paid.

By

(Name of Newspaper)

published cont that the annex of The Johnste in the subject r of said publica

Signed and swo 10th day of Ma by Mary Anne]

(Under act P.L. 877 No 160. July 9,1976) The Scranton Times

Commonwealth of Pennsylvania, County of Lackawanna

MICHAEL W NALLI, PC, ATTY 150 PLEASANT DR., STE 101 ALIQUIPPA PA 15001

Account # 637264 Order # 82428719 Ad Price: 242.05

LEGAL NOTICE PENNSYLVANIA

Lisa Burke

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

03/10/2020-

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true

Sworn and subscribed to before me this 10th day of March A.D., 2020

(Notary Public)

Commonwealth of Pennsylvania - Notary Seal Sharon Venturi, Notary Public Lackawanna County My commission expires February 12, 2022 Commission number 1254228 Member, Pennsylvania Association of Notaries

LEYAL NOTICE

Pennsylvania Public Utility **Commission Notice**

Applications of GLG Consulting, LLC for Approval To Offer, Render, or Furnish Services as a Marketer/ Broker Engaged in The Business Of Supplying Electricity Supply or Elec-tric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

GLG Consulting, LLC will be filing an application with the Pennsylva-nia Public Utility Commission ("PUC") for a license to supply elec-(PDC) for a license to supply elec-tricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. **GLG Consulting, LLC** proposes to sell electricity throughout all of Pennsylvania's electric distribution utilities under the provisions of the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests di-rected to the technical or financial rected to the technical or financial fitness of **GLG Consulting, LLC** may be filed within 15 days of the date of this notice with the Secre-tary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **GLG Consulting, LLC's** attorney at the address listed below.

By and through Counsel: Michael W. Nalli, PC GLG Consulting, LLC 150 Pleasant Drive, Suite 101 Aliquippa, PA 15001 Telephone: 724-375-4005 Fax: 724-375-9283

No.

Term,

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss <u>K. Flaherty</u>, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the <u>regular</u> editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

06 of March, 2020

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PG Publishing Company

Sworn to and subscribed before me this day of: March 06, 2020

Commonweeith of Pennsylvania - Notary Seal Elizabeth R. Chmura, Notary Public Allegheny County My commission expires February 8, 2022 Commission number 1326781 Member, Pennsylvania Association of Notarias

STATEMENT OF ADVERTISING COSTS Michael W. Nalli

150 Pleasant Dr STE 101 Aliquippa PA 15001-1360

To PG Publishing Company

Total ----- \$318.50

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforsaid advertising and publication costs and certifies that the same have been fully paid.

Office 2201 Sweeney Drive CLINTON, PA 15026 Phone 412-263-1338 PG Publishing Company, a Corporation, Publisher of Pittsburgh Post-Gazette, a Newspaper of General Circulation

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

By

COPY OF NOTICE
OR PUBLICATION

Legal Notice Pennsylvania Public Utility **Commission Notice** Applications of GIG Consulting, LLC for Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged in The Business Of Supplying Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Ωf Pennsylvania. GLG Consulting, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity, GLG Consulting, LLC proposes to sell electricity throughout ali Pennsylvanias electric distribution utilities under the provisions of the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of GLG Consulting, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to GLG Consulting, LLCs attorney at the address listed below. By and through Counsel: Michael W. Nalli, PC **GLG Consulting, LLC** 150 Pleasant Drive, Suite 101 Aliquippa, PA 15001 Telephone: 724-375-4005 Fax: 724-375-9283

COMMONWEALTH OF PENNSYLVANIA

DEPARTMENT OF STATE

05/13/2020

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

GLG Consulting, LLC

is duly registered as a Pennsylvania Limited Liability Company under the laws of the Commonwealth of Pennsylvania and remains subsisting so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT this Subsistence Certificate shall not imply that all fees, taxes and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written

Secretary of the Commonwealth

Certification Number: TSC200513100422-1

Verify this certificate online at http://www.corporations.pa.gov/orders/verify

M. BURR KEIM COMPANY Electronic Return info@mburrkeim.com

CERTIFICATE OF ORGANIZATION GLG CONSULTING, LLC

- 1. The name of the limited liability company is: GLG Consulting, LLC
- Pursuant to 15 Pa.C.S. § 109, the registered office of the company in Pennsylvania is c/o Elliott & Davis, PC, and the registered office of the company shall be deemed for venue and official publication purposes to be located in Allegheny County.
- 3. The company shall have perpetual existence.
- 4. This certificate of organization and the operating agreement of the company may be amended in the manner prescribed at the time by statute, and all rights conferred upon members in this certificate of organization or the operating agreement of the company are granted subject to this reservation.
- 5. The name and address of the organizer are:



TCO181022MC0078

Eric S. Davis, Esq. Elliott & Davis, PC 425 1st Avenue Pittsburgh, PA 15219

6. This certificate of organization shall take effect upon filing.

IN WITNESS WHEREOF, the undersigned, intending to be legally bound, has executed this certificate of organization on October 19, 2018.

ORGANIZER:

SSA

Eric S. Davis

2018 OCT 19 PM 3: 58 PA. DEPT. OF STATE



SP020M1651 Version 2

jennifer@thuminsurance.com Phone: (616) 957-2400 Fax: (616) 957-1204

Quote	is valid until 5/12/2020	
		Please bind effective:
		Confirm optional coverages:
To:	GLG Consulting, LLC	Do not include any optional coverages.
		Include the following optional coverages from Section III
		 (Taxes & Fees may apply to optional premium if purchased) Option 1 - (add: 25% - Apply To premium shown For limit selected In Section I.) - Full Prior Acts
		This policy is eligible to be Direct Billed. Note: a \$5.00 installment fee will apply to each installment after
		the first - please select one of the following:
Erom		Direct Bill both this New Business and future Renewals
FIOID.	Jennifer Peacock	(If checked - Select a Payment Plan):
	jennifer@thuminsurance.com	SINGLE PAYMENT
		TWO PAYMENTS - Premium must be over \$400
		THREE PAYMENTS - Premium must be over \$675
		FOUR PAYMENTS - Premium must be over \$1,000
		SIX PAYMENTS - Premium must be over \$2,500
		See the last page of this quote for Payment Plan Descriptions
	5	Do not Direct Bill this New Business but do Direct Bill future Renewals
		Do not Direct Bill this policy
		NOTE: If the Direct Bill Option is selected, the Company will invoice the insured. Do not bill or collect the down payment. All taxes, surcharges and fees (except installment fees) will be billed in full with the first installment.

I. PREMIUM AND UNDERWRITING NOTES/REQUIREMENTS

SPECIFIED PROFESSIONS PROFESSIO Carrier:					
Status:	United States Liability Insurance Company				
A.M. Best Rating:		Admitted			
Professional Liability Premium	F	++ (Superior) - XI			
LIMIT OPTIONS	\$0 DEDUCTI		\$2,500	\$5,000	
\$250,000/\$250,000	¢1.047	DEDUCTIBLE	DEDUCTIBLE	DEDUCTIBLE	
\$500,000/\$500,000	\$1,347	\$1,283	\$1,218	\$1,186	
	\$1,724	\$1,642	\$1,560	\$1,518	
\$1,000,000/\$1,000,000	\$2,101	\$2,001	\$1,901	\$1,851	
\$1,000,000/\$2,000,000	\$2,434	\$2,334	\$2,234	\$2,184	
] \$1,000,000/\$3,000,000	\$2,767	\$2,667	\$2,567	the second s	
\$2,000,000/\$2,000,000	\$3,101		and the second	\$2,517	
ADDITIONAL QUOTE INFORMATION	\$3, IUT	\$3,001	\$2,901	\$2,851	

Please contact us with any questions regarding the terminology used or the coverages provided.

Read the quote carefully, it may not match the coverages requested

	1.00.00.0000
waterminum writing or knob and tube writing? Tyes To Not applicable - buildin unctioning and operating smoke and/or beat detectors? Myes TNo	g built aller 1976
s personal property limit: \$0	
Ne applicant require any of the following: ors and Ommissions coverage part:	
Additional Insured?	TYes Who
f Yes, * please provide name, address and interest	
Valver of Subrogation/Walver of Transfer of Rights of "Yes," please provide name, address and interest.	TYes XNo
timary and noncontributory wording?	Ves XNo
"Yes," please provide name, address and interest.	Yes XNo
dditional Insured for Manager/Lessor of Premises (landlord)? "Yes." please provide name, address and interest.	
difitional Insured for Designated Person or Organization (such as their client)?	TYes No
Yes," please provide name, address and interest:	
ND NON-OWNED AUTO (not available in MS, VT, WI) Include and anization have a commercial automobile policy in place?	INot applicable
applicant have more than 25 drivers using their personal automobiles for business	
e.g., going to clients' offices?	
these employees visit more than one client per day on a regular basis? lease explain:	
ent of claim containing any materially false information or conceals for the purpose of misleading, mits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penal me: THUM INSURANCE AGENCY, LLC. License #: the Number: 616.957 2400 Idress City Channel Rapids State: M1 pplication acknowledges and understands that the information provided in this Application is mal	Zip: 49525
ent of claim containing any materially false information or conceals for the purpose of misleading, mits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penal me. THUM INSURANCE AGENCY, LLC. License #:	Zip: 445555 crial to the Insurer's decision to in represents that the information hanges in matters inquired about be incorrect or inaccurate in any or binder issued if such changes y authorized, but not required, to ation. The decision of the Insurer lestop the Insurer from relying on of the contract should a policy be
ne Number: 616 957 2400 Idress: 3140 3 Mile Rd City: Channel Rapids State: 411 optication acknowledges and understands that the information provided in this Application is mail a insurance and is relied on by the insurer in providing such insurance. The signer of this application cation is thus and correct in all matters. The signer of this Application further represents that any co- curring prior to the effective date of coverage, which render the information provided herein untri- or the insurer immediately in child, The Insurer reserves the hereful to modify or withdraw any quote searability or premium changed based on the Insurer is underwriting guides. The Insurer is hereb in and inquiry in connection with the information, tatements and disclosures provided in this Appli- any investigation or nound shall not be deeming a waiver of any rights by the Insurer and shall no Application in the event the Policy is issued it is agreed that this Application shall be the basis.	Zip: 49505 enal to the Insurer's decision to m represents that the information hanges in matters inquired about le. Incorrect or inaccurate in any or binder issued if such changes y authorized, but not required, to ration. The decision of the insurer lestop the Insurer from relying on
ent of claim containing any materially false information or conceals for the purpose of misleading, mits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penal me. THUM INSURANCE AGENCY, LLC. License #	Zip: 49505 erial to the Insurer's decision to in represents that the information hanges in matters inquired about be incorrect or inaccurate in any or binder issued if such changes y authorized, but not required, to ation. The decision of the insurer lestop the insurer from relying on of the contract should a policy be

Appendix E

Continuous Bond

PLEASE NOTE: ORIGINAL BOND TO BE SENT VIA OVERNIGHT MAIL TO COMMISSION SECRETARY PURSUANT TO PAGE 2 OF THE APPLICATION MATERIALS

4c Proposed Services

Applicant will offer energy management and electricity procurement services to customers throughout the Commonwealth of Pennsylvania. As broker/marketer, applicant will solicit offers from suppliers, negotiate rates, provide technical and pertinent information as applies to consultative sales, evaluate supply contracts and resolve utility related and enrollment issues for customer.

Attachment

8a. Technical Fitness

Previous Experience

Applicant has worked in energy related services since 1994 when it merged with OnDemand Applicant was a co-founder and principal in that company. In 1997 OnDemand's book of business was purchased by DQE, an energy company located in Pittsburgh, PA. As such, OnDemand became a wholly owned subsidiary of DQE on the non-regulated side of the business. Working as and E.S.Co., OnDemand offered energy management servicers across the State as well as a real time electrical procurement service. In 2002, OnDemand successfully executed a leveraged buyout with DQE. Over time, OnDemand LP became one of the major brokerage companies operating in the PJM service territory currently holding licenses in Pennsylvania, Ohio, Maryland, Delaware, New Jersey and Massachusetts. As VP of sales and Energy Management, applicant offered both energy management services as well as consultative procurement services to approximately 150 customers and managed approximately 320 million kWh's of load. On January 1, 2018, OnDemand LP merged with Kinect Energy where applicant maintained previous sales efforts. On June1, 2018, applicant resigned from Kinect Energy and fulfilled terms of non-compete.

Attachment

8a. Technical Fitness (Continued)

Business Plan

Applicant's business plan is to continue working with previous ODE customers as established over Applicant's working career. Applicant will assist customers for renewals as well as seek new customers requesting electrical procurement services throughout the Commonwealth of Pennsylvania.

Attachment 8 d Oversight of Marketing

As President of GLG Consulting, LLC, George L. Goss will approve and oversee all marketing and sales procedures and assure that procedures are ethical and are aligned with customers best interest.

Attachment 8 e Technical Fitness (Continued)

Resume of Officers

George L Goss

President/Owner

George has 25 years of experience in the energy management and electricity procurement industries. From 1994 to 1997 he worked as partner in OnDemand Energy, a company offering demand control and various energy related services to Industrial and Commercial customers in the state of PA. In 1998, On Demand's book of business was acquired by DQE an energy company in Pittsburgh. OnDemand was a wholly owned subsidiary of DLCo. Working on the non-regulated side of the business as an Energy Services Company. OnDemand Energy also offered a Real Time procurement service for both electricity and natural gas to customers in the state of PA. In 2002, George assisted in a leveraged buyout of OnDemand Energy and with his paratner formed OnDemand Energy LP. George worked as VP of that company until 2018 when OnDemand Energy LP was acquired by Kinect Energy, a subsidiary of World Fuel Services. George continued working with Kinect Energy as VP working in Energy Management and electricity and natural gas procurement until retiring in on June 1, 2018. George graduated from the Pennsylvania State University in 1969 with a degree in Electrical Engineering Technology.