

RECEIVED

JUN 23 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU *Version Revised 03/08/18*

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of CCI Industries, Inc d/b/a Commonwealth Utilities, for approval to offer, render, furnish, or supply electricity or electric generation services as specified in item #4b below to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (*including any fictitious name or d/b/a*), primary address, web address, and telephone number of Applicant:

CCI Industries, Inc. d/b/a Commonwealth Utilities
322 North Shore Drive
Pittsburgh, PA. 15212
WWW.Commonwealthutilities.Net
Phone: 1-800-979-6697; 412-668-2999; 412-627-1992

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

CCI Industries, Inc.
c/o Patrick Bane
322 North Shore Drive, Pittsburgh PA 15212
Phone: 412-627-1992
Email: info@commonwealthutilities.net

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Patrick Bane, President
322 North Shore Drive Pittsburgh, PA. 15212
Phone: 1-800-979-6697; 412-668-2999; 412-627-1992
Email: info@commonwealthutilities.net

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.
No attorney being used.

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

Joy Staley (Controller) 3010 Clermont Ave, Pittsburgh PA 15227
Phone: 412-310-4042
joystaley@hotmail.com

Patrick Bane (President) 322 North Shore Drive Pittsburgh, PA. 15212
Phone: 412-627-1992
info@commonwealthutilities.net

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. FICTITIOUS NAME: *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

ATTACHED EXHIBIT A & B

b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

Or

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

X The Applicant is a:

- X domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above. **See ATTACHED EXHIBIT A & B**
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
Business incorporated in the State of PA
See ATTACHED EXHIBIT A & B

Give name and address of officers.

Patrick Bane, President
322 North Shore Drive
Pittsburgh PA 15212

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

NONE

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

NONE

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

The Applicant is presently doing business in Pennsylvania as a

municipal electric corporation

electric cooperative

local gas distribution company

provider of electric generation, transmission or distribution services

broker/marketer engaged in the business of supplying electricity services

Other; Identify the nature of service being rendered.

OR:

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

Generator of electricity

Supplier of electricity

Aggregator engaged in the business of supplying electricity

Broker/Marketer engaged in the business of supplying electricity services

Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.

Electric Cooperative and supplier of electric power

Other (Describe):

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PROPOSED SERVICES: Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Applicant intends to broker electricity supply and natural gas supply primarily to commercial/industrial customers located in the State of Pennsylvania through multiple suppliers. Applicant intends to broker through various energy suppliers which service the State of Pennsylvania.

c. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- | | |
|------------------------------------------------------|----------------------------------------------------|
| <input type="checkbox"/> Citizens' Electric | <input type="checkbox"/> Pike |
| <input checked="" type="checkbox"/> X Duquesne Light | <input type="checkbox"/> PPL |
| <input type="checkbox"/> Met-Ed | <input type="checkbox"/> UGI Utilities |
| <input type="checkbox"/> PECO | <input type="checkbox"/> Wellsboro |
| <input type="checkbox"/> Penelec | <input type="checkbox"/> X West Penn |
| <input type="checkbox"/> X Penn Power | <input type="checkbox"/> Entire Commonwealth of PA |

d. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Residential and Small Commercial as Mixed Meter ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)
- Large Commercial Customers - (Over 25kW)
- Industrial Customers
- Governmental Customers
- X All of above (Except Mixed Meter)
- Other

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

e. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

6/1/2020 or later based on operations, supplier commitment and licensing.

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

N/A

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

NONE

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

- a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Department of Revenue
Bureau of Compliance
PO Box 281230
Harrisburg, PA 17128-1230

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

- b. **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company:
Vice President – Energy Supply
Coming Natural Gas Holding Corporation
330 West William Street
Coming, NY 14830

West Penn:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

Met-Ed, Penelec, and Penn Power:
Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:
UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
1 UGI Drive
Denver, PA 17517

Citizens' Electric Company:
Citizens' Electric Company
Attn: EGS Coordination 1775
Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company:
Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...
- Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.
 - Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.
 - For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the ORIGINAL of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at sjakab@pa.gov to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s). **Surety Bond/Fixed Term Bond Appendix F**

Template versions of a continuous bond, fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

b. **FINANCIAL RECORDS, STATEMENTS, and RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies. **Attached Exhibit C (Confidential)**
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years. **Attached Exhibits D, E & F (Confidential)**
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports. **Attached Exhibits H & I (Confidential)**
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee. **Attached Exhibit (Confidential)**
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness. **Attached Exhibits F & G (Confidential)**

c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

N/A

d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Applicant (broker/marketer) will be collecting fees directly from supplier(s) through the traditional means of fee payments. CCI Industries, Inc. will generate and collect its fees via an adder on the given suppliers base price. Essentially we shop the market for our customers through the various suppliers we work with and go with the lowest rate where we can add our adder and still be competitive for the customer. Fee will be calculated on a per deal basis as every deal and every territory has different rates.

The suppliers that applicant will be conducting business with will pay in these various forms:

Residual Fee Payments: Whereas the fee paid is the actual fee based on the prior month's energy consumption X the # of energy units X the fee applied to each energy unit.

Example: 100,000 kWh consumed in March X .003 (fee) = \$300.00 March Fee

Up-Front Fee Payments: Where the fee paid is an upfront payment based on an estimate of total units of energy consumption during the term of the customer's contract with supplier.

Example: 800,000 kWh consumed per year X 3 year agreement with supplier X .003 fee applied = \$7,200.00

Hybrid Fee Payments: Where supplier will pay fee's to broker in both the up-front and residual fashion.

Example: 800,000 kWh consumed per year X 3 year agreement but paid partially up-front (1 year) and partially residual over the course of the contract agreement with supplier X .003 fee applied.

e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Joy Staley, Controller
3010 Clermont Avenue, Pittsburgh PA 15227
Phone: 412-310-4042
Email: joystaley@hotmail.com
Resume Attached: Exhibit I

Baker Tilly Virchow Krause LLP CPA's
20 Stanwix Street, Pittsburgh PA 15222
Phone: 412-697-6400
Jeff Spengler, CPA
Email: jeff.spengler@bakertilly.com

f. **TAXATION:** Complete the **TAX CERTIFICATION STATEMENT** attached as **Appendix I** to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. EXPERIENCE, PLAN, STRUCTURE: such information may include:

- Applicant's previous experience in the electricity industry.
Attached Exhibit K: Resume, Patrick Bane, President
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
Attached Exhibit M: Technical Fitness Addendum
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.
Attached Exhibit L: Resume, Joy Staley, Controller

b. PROPOSED MARKETING METHOD (*check all that apply*)

- X Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals

Other (Describe):

c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

- Yes
- X No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

N/A

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Marketing Methods: CCI Industries, Inc. will rely on strategic partnerships with experienced marketing and advertising companies. The company plans will recruit and employ industry experts to manage, train and drive business through a direct, internal sales force. Company's use of agents and/or subcontractors for the sale of energy supply will be minimal and energy supply sales and consulting service will occur from our direct full/part time employees and exclusive channel partner relationships. All members of the organization will adhere to industry compliance and ethical business and marketing practices according to company's agreements with the employee or exclusive channel partner contracts along adherence with the fair and ethical marketing/advertising practice statutes:

The goals of the advertising/marketing strategy of CCI Industries, Inc. is to assist residential, commercial and industrial consumers to control their electricity and natural gas costs and consumption through a consultative sales approach. We will optimize the customer's long-term energy goals in achieving cost savings through strategic project management which include the sale of energy supply, the conservation of energy supply and alternative means of cost savings through the implementation of solar, wind and the implantation of curtailment programs. Our long term goals are to service the following deregulated markets:

Pennsylvania
New Jersey
Maryland
Ohio

- e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Attached Exhibit K: Patrick Bane, President

- f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- X Not applicable

9. DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
- proof of registration as a PJM Load Serving Entity (LSE), or
 - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity TServices of the EGS.

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- X ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

X AGREED

c. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201–54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be downloaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx. Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

X AGREED

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

- d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

X AGREED

- e. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

X ACKNOWLEDGED

- f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

X AGREED

- g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

X AGREED

- h. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

X AGREED

- i. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

X AGREED

- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.

X AGREED

- k. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER in the amount of \$350.00 payable to the Commonwealth of Pennsylvania.** The Commission does not accept corporate or personal checks for filing fees.

X PAYMENT ENCLOSED

JUN 3 2020

11. AFFIDAVITS

Must be notarized before filing.

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

- a. **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

- b. **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric							
Duquesne	X	X		X		X	X
Met Ed							
PECO							
Penelec							
Penn Power	X	X		X		X	X
Pike							
PPL							
UGI							
Wellsboro							
West Penn	X	X		X		X	X
Entire Commonwealth							

(Example Publications are provided at Appendices K and L)

13. SIGNATURE

Applicant: CCI Industries, Inc DBA Commonwealth Utilities
 By: [Signature]
 Title: 6/2/2020

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION
 SECRETARY'S BUREAU

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: CCI Industries, Inc.

	Signature	
	Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)	
	Application Affidavit	
	Operations Affidavit	
	Proof of Publication	
	Bond, Letter of Credit, or Parental/Affiliate Guarantee	
	Tax Certification Statement	
	Commonwealth Department of State Verification	
	Certificate of Service	

Applicant's Use

PUC Secretary's Bureau

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of Pennsylvania _____ :

ss.

County of Allegheny _____ :

Patrick Bane _____, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He is the President _____ (Office of Affiant) of CCI Industries, Inc.

d/b/a Commonwealth Utilities (Name of Applicant);] [That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Patrick Bane _____ has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Patrick Bane _____ has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Patrick Bane _____ acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Patrick Bane _____ acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Patrick Bane

Signature of Affiant

Sworn and subscribed before me this 28th day of May, 2020

Lisa M Sadler

Signature of official administering oath

My commission expires 3/22/2023

Commonwealth of Pennsylvania - Notary Seal
Lisa M. Sadler, Notary Public
Allegheny County
My commission expires March 22, 2023
Commission number 1135953

RECEIVED
JUN 3 2020
PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Appendix B

OPERATIONS AFFIDAVIT

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

[Commonwealth/State] of Pennsylvania _____ :

ss.

County of Allegheny _____ :

Patrick Bane _____, Affiant, being duly [sworn/affirmed] according to law,
deposes and says that:

[He is the President _____ (Office of Affiant) of CCI Industries, Inc.
(Name of Applicant);]

[That he is authorized to and does make this affidavit for said Applicant;]

That CCI Industries, Inc. d/b/a Commonwealth Utilities, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That CCI Industries, Inc. d/b/a Commonwealth Utilities, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That CCI Industries, Inc. d/b/a Commonwealth Utilities, the Applicant herein, certifies to the Commission that it is subject to _____, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That CCI Industries, Inc. d/b/a Commonwealth Utilities, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 28th day of MAY, 2020



Signature of official administering oath

My commission expires 3/22/2023

Commonwealth of Pennsylvania - Notary Seal
Lisa M. Sadler, Notary Public
Allegheny County
My commission expires March 22, 2023
Commission number 1135953

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

EXHIBIT N

No. _____ Term, _____

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular _____ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

12 of March, 2020

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

[Handwritten Signature]

PG Publishing Company

Sworn to and subscribed before me this day of:
March 12, 2020

[Handwritten Signature]

Commonwealth of Pennsylvania - Notary Seal
Elizabeth R. Chmura, Notary Public
Allegheny County
My commission expires February 8, 2022
Commission number 1326781
Member, Pennsylvania Association of Notaries

STATEMENT OF ADVERTISING COSTS

Joy Staley
3010 Clermont Ave
Pittsburgh Pennsylvania 15227

To PG Publishing Company

Total ----- \$390.00

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By *[Handwritten Signature]* Barbara J. Aronson

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of CCI Industries Inc., d/b/a Commonwealth Utilities for Approval to Offer, Render, or Furnish Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.

CCI Industries, Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. CCI Industries, Inc. will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. CCI Industries, Inc. proposes to sell electricity, natural gas, and related services. Columbia Gas, Peoples-Equitable, Peoples Natural Gas & Duquesne Light, Penn Power & West Penn Power under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of CCI Industries, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to the address listed below.

CCI Industries, Inc.
322 North Shore Drive
Pittsburgh PA 15212
1-800-979-6697

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

EXHIBIT O

Joy Staley
3010 Clearmont Ave
Pittsburgh PA 15227

REFERENCE: 99946 397692
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Jennifer L. Trott, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 03/07/20

TOTAL COST: \$610.00 AD SPACE: 0 Lines

FILED ON: 03/07/20

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Applications of **CCI Industries Inc., d/b/a Commonwealth Utilities** for Approval To Offer, Render, or Furnish Services as a **Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services**, To The Public In The Commonwealth Of Pennsylvania.

CCI Industries, Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. **CCI Industries, Inc.** will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. **CCI Industries, Inc.** proposes to sell electricity, natural gas, and related services Columbia Gas, Peoples-Equitable, Peoples Natural Gas & Duquesne Light, Penn Power & West Penn Power under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **CCI Industries, Inc.** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to the address listed below.

CCI Industries, Inc.
322 North Shore Drive Pittsburgh PA 15212
1-800-979-6697

EP 397692

Sworn to and subscribed before me this 9th day of March 2020

Affiant: Joy Staley

NOTARY: Barbara J. Moore

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Barbara J. Moore, Notary Public
City of Erie, Erie County
My Commission Expires March 23, 2020
MEMBER PENNSYLVANIA ASSOCIATION OF NOTARIES



EXHIBIT P

The Patriot News

LEGAL AFFIDAVIT

AD#: 0009542882

Commonwealth of Pennsylvania,) ss
County of Cumberland)

Victoria Soto being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):
The Patriot News 03/08/2020

Victoria Soto

Principal Clerk of the Publisher

Sworn to and subscribed before me this 9th day of March 2020

Crystal B. Rosensteel

Notary Public

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL

Crystal B. Rosensteel, Notary Public
Susquehanna Twp., Dauphin County
My Commission Expires June 27, 2020

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

**PENNSYLVANIA PUBLIC UTILITY
COMMISSION NOTICE**

Applications of CCI Industries Inc.,
d/b/a Commonwealth Utilities for
Approval To Offer, Render, or Furnish
Services as a Marketer/Broker
Engaged In The Business Of Supplying
Natural Gas Supply Services and
Electricity Supply or Electric
Generation Services, To The Public In
The Commonwealth Of Pennsylvania.

CCI Industries, Inc. will be filing an
application with the Pennsylvania
Public Utility Commission
("PUC") for a license to provide
natural gas supply services as a
broker/marketer engaged in the
business of providing natural gas
services. CCI Industries, Inc. will also
be filing an application with the PUC
for a license to supply electricity or
electric generation services as a
broker/marketer engaged in the
business of supplying electricity. CCI
Industries, Inc. proposes to sell
electricity, natural gas, and related
services Columbia Gas, Peoples-
Equitable, Peoples Natural Gas &
Duquesne Light, Penn Power & West
Penn Power under the provisions of the
new Natural Gas Choice and
Competition Act and the Electricity
Generation Customer Choice and
Competition Act.

The PUC may consider this
application without a hearing. Protests
directed to the technical or financial
fitness of CCI Industries, Inc. may be
filed within 15 days of the date of this
notice with the Secretary of the PUC,
400 North Street, Harrisburg, PA 17120.
You should send copies of any protest to
the address listed below.

CCI Industries, Inc.
322 North Shore Drive
Pittsburgh PA 15212
1-800-979-6697

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Robert O. Rolley, Jr., Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

March 6, 2020

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

**PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
NOTICE**

Applications of CCI Industries Inc., d/b/a Commonwealth Utilities for Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

CCI Industries, Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. CCI Industries, Inc. will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. CCI Industries, Inc. proposes to sell electricity, natural gas,

and related services Columbia Gas, Peoples-Equitable, Peoples Natural Gas & Duquesne Light, Penn Power & West Penn Power under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of CCI Industries, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to

the address listed below.

CCI Industries, Inc.
322 North Shore Drive
Pittsburgh PA 15212
1-800-979-6697

Robert O. Rolley, Jr.
SUN-GAZETTE LLC

Sworn to and subscribed before me

The 6th day of March 2020

Beth A. Miller
COMMONWEALTH OF PENNSYLVANIA
Notary Public

NOTARIAL SEAL
BETH A MILLER
Notary Public
CITY OF WILLIAMSPORT, LYCOMING COUNTY
My Commission Expires Apr 18, 2020

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates.....\$ 271.08

Probated same.....\$

Total.....\$ 271.08

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Robert O. Rolley, Jr.

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

COMMONWEALTH OF PENNSYLVANIA }
County of Cambria } SS

PENNSYLVANIA PUBLIC UTILITY COMMISSION
NOTICE

Applications of CCI Industries Inc. d/b/a Commonwealth Utilities for Approval To Offer, Render, or Furnish Services as a Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public in The Commonwealth Of Pennsylvania.

CCI Industries, Inc. will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as a broker/marketer engaged in the business of providing natural gas services. CCI Industries, Inc. will also be filing an application with the PUC for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. CCI Industries, Inc. proposes to sell electricity, natural gas, and related services Columbia Gas, Peoples-Equitaba, Peoples Natural Gas & Duquesne Light, Penn Power & West Penn Power under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

publish that th of The in the s of said

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of CCI Industries, Inc. may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to the address listed below.

CCI Industries, Inc.
322 North Shore Drive Pittsburgh PA 15212
1-800-979-6697

County of Cambria, and Commonwealth of Pennsylvania and above matter published in said publication in the regular issues of PA, on March 6, 2020; and that the Affiant is not interested in that all of the allegations as to time, place and character

Mary Anne Rizzo
STATEMENT OF ADVERTISING COSTS

Signed and sworn to before me on
10th day of March, 2020,
by Mary Anne Rizzo making the statement.

[Signature]

0.00 Lines @	\$2.50 per line	0.00
6.5 Inches @	\$25.00 per inch	162.50
Notary Fee		5.00
Clerical Fee		2.50
Total Cost		170.00

Commonwealth of Pennsylvania - Notary Seal
Vivlan Ohs, Notary Public
Cambria County
My commission expires December 6, 2020
Commission number 1123017
Member, Pennsylvania Association of Notaries

To The Tribune-Democrat, Johnstown, PA
For publishing the notice or publication
attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

By _____

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PENNSYLVANIA DEPARTMENT OF STATE
CORPORATION BUREAU

EXHIBIT A

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Application for Registration of Fictitious Name
54 Pa.C.S. § 311

Name RushFiling, Inc.		
Address 114 N Brand Blvd. Ste 210		
City Glendale CA	State CA	Zip Code 91203

Document will be returned to the
name and address you enter to
the left.



Commonwealth of Pennsylvania
FICTITIOUS NAME 2 Page(s)



T1326060092

In compliance with the requirements of 54 Pa.C.S. § 311 (relating to registration), the undersigned entity(ies) desiring to register a fictitious name under 54 Pa.C.S. Ch. 3 (relating to fictitious names), hereby state(s) that:

1. The fictitious name is: CommonWealth Utilities

2. A brief statement of the character or nature of the business or other activity to be carried on under or through the fictitious name is: Energy Supply Sales Gas/Electric Energy Consulting

3. The address, including number and street, if any, of the principal place of business (P.O. Box alone is not acceptable):
4886 East Willock Road, Pittsburgh PA 15227 Allegheny Co.
Number and street City State Zip County

4. The name and address, including number and street, if any, of each individual interested in the business is:
Name Number and Street City State

PA DEPT. OF STATE

SEP 13 2013

DSCB:54-311-2

5. Each entity, other than an individual, interested in such business is (are):

CCI Industries, Inc.	Business Corporation	PA
Name	Form of Organization	Organizing Jurisdiction
4886 East Willock Road,	Pittsburgh PA	15227
Principal Office Address		
4886 East Willock Road,	Pittsburgh PA	15227
PA Registered Office, if any		
Name	Form of Organization	Organizing Jurisdiction
Principal Office Address		
PA Registered Office, if any		

6. The applicant is familiar with the provisions of 54 Pa.C.S. § 332 (relating to effect of registration) and understands that filing under the Fictitious Names Act does not create any exclusive or other right in the fictitious name.

7. Optional: The name(s) of the agent(s), if any, any one of whom is authorized to execute amendments to, withdrawals from or cancellation of this registration in behalf of all then existing parties to the registration, is (are):

IN TESTIMONY WHEREOF, the undersigned have caused this Application for Registration of Fictitious Name to be executed this

8th day of Sept., 2013

Individual Signature	Individual Signature
Individual Signature	Individual Signature
CCI Industries, Inc.	Entity Name
	Signature
President	Title

COMMONWEALTH OF PENNSYLVANIA

DEPARTMENT OF STATE

SEPTEMBER 4, 2008

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

CCI INDUSTRIES, INC.

I, Pedro A. Cortés, Secretary of the Commonwealth of Pennsylvania

do hereby certify that the foregoing and annexed is a true and correct

copy of

ARTICLES OF INCORPORATION filed on October 20, 1999

which appear of record in this department.

RECEIVED

JUN 3 2020

**PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU**



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written.

Pedro A. Cortés

Secretary of the Commonwealth

Microfilm Number _____

Filed with the Department of State on OCT 20 1999

Entity Number 2903705

Kim Fitzgerald
Secretary of the Commonwealth

ARTICLES OF INCORPORATION FOR PROFIT
OF
CCI Industries, Inc.
Name of Corporation
A TYPE OF CORPORATION INDICATED BELOW

Indicate type of domestic corporation:

- Business-stock (15 Pa.C.S. § 1306) _____ Management (15 Pa.C.S. § 2702)
- _____ Business-nonstock (15 Pa.C.S. § 2102) _____ Professional (15 Pa.C.S. § 2903)
- _____ Business-statutory close (15 Pa.C.S. § 2303) _____ Insurance (15 Pa.C.S. § 3101)
- _____ Cooperative (15 Pa.C.S. § 7102)

DSCB 15-1306/2102/2303/2702/2903/3101/7102A (Rev 91)

In compliance with the requirements of the applicable provisions of 15 Pa C S (relating to corporations and unincorporated associations) the undersigned, desiring to incorporate a corporation for profit hereby, state(s) that:

1. The name of the corporation is: CCI Industries, Inc.

2. The (a) address of this corporation's initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) 4886 E. Willock Road, Pittsburgh, PA 15227 Allegheny

Number and Street:	City	State	Zip	County
--------------------	------	-------	-----	--------

(b) c/o: _____

Name of Commercial Registered Office Provider	County
-----------------------------------------------	--------

For a corporation represented by a commercial registered office provider, the county in (b) shall be deemed the county in which the corporation is located for venue and official publication purposes

3. The corporation is incorporated under the provisions of the Business Corporation Law of 1988.

4. The aggregate number of shares authorized is: 100,000 (other provisions, if any, attach 8 1/2 x 11 sheet)

5. The name and address, including number and street, if any, of each incorporator is:

Name	Address
<u>Patrick P. Bank,</u>	<u>4886 E. Willock Road, Pittsburgh, PA 15227</u>

6. The specified effective date, if any, is: _____
month day year hour, if any

OCT 20 1999

51272

DSCB:15-1306/2102/2303/2702/2903/3101/7102A (Rev 91)-2

- 7. Additional provisions of the articles, if any, attach an 8 1/2 x 11 sheet.
- 8. **Statutory close corporation only:** Neither the corporation nor any shareholder shall make an offering of any of its shares of any class that would constitute a "public offering" within the meaning of the Securities Act of 1933 (15 U.S.C. § 77a et seq.).
- 9. **Cooperative corporations only:** (Complete and strike out inapplicable term) The common bond of membership among its members/shareholders is: _____

IN TESTIMONY WHEREOF, the incorporator(s) has (have) signed these Articles of Incorporation this 18th day of October, 19 99.



Patrick P. Bane (Signature)

(Signature)

	EXHIBIT			
	A	FICTITIOUS NAME	This is public record	ok
	B	ARTICLES OF INC PA	This is public record	ok
	B	ORGANIZATION STRUCTURE	This is public record	ok
CONFIDENTIAL	C	P&L 2018 & 2019		ok
CONFIDENTIAL	D	BAL SHEET 2018		ok
CONFIDENTIAL	E	BAL SHEET 2019		ok
CONFIDENTIAL	F	2018 TAX RET		ok
CONFIDENTIAL	G	2019 TAX RET		ok
CONFIDENTIAL	H	D&B CREDIT REPORT		ok
CONFIDENTIAL	I	EXPERIAN		ok
CONFIDENTIAL	K	BANE RESUME		ok
CONFIDENTIAL	L	STALEY RESUME		ok
CONFIDENTIAL	M	TECH FITNESS ADDENDUM		ok
Post Gazette	N	PROOFS OF NOTICE PUB	This is public record	ok
Erie Times	O	PROOFS OF NOTICE PUB	This is public record	ok
Harrisburg Patriot	P	PROOFS OF NOTICE PUB	This is public record	ok
Williamsport Sun	Q	PROOFS OF NOTICE PUB	This is public record	ok
Johnstown Tribune	R	PROOFS OF NOTICE PUB	This is public record	ok

80

Low ris

Business Address: 322 North Shore Dr Bldg 1b Ste 200,
Pittsburgh, PA 15227

CEO: PATRICK P BANE, PRES

D&B D-U-N-S® Number: 098890499

Contact: 800 979-6697

Number of Employees: 3

SIC Code: 49110000

SIC Description: Electric services

Summary

- **Active Payment Tradelines:** 10
- **Industries with Tradelines:** 0
- **Balance of all Tradelines:** \$16,100.00
- **High Balance of all Tradelines:** \$17,600.00
- **Total Assets:** \$0.00
- **Total Liabilities:** \$0.00

- **Tax Lien Filings:** 0
- **Judgment Filings:** 0
- **Lawsuit Filings:** 0
- **Sum of Legal Filings:** \$0.00
- **UCC Filings:** 0
- **Cautionary UCC Filings present?:** No

Payment Experiences

Account #1

High Credit: \$250.00
Now Owes: \$0.00
Date Reported: 2019-04-01
Terms: Cash account
Last Sale Within: 6-12 mos

Account #2

High Credit: \$100.00
Now Owes: \$0.00
Date Reported: 2020-04-01
Terms: Cash account
Last Sale Within: 2-3 mos

Account #3

High Credit: \$100.00
Now Owes: \$100.00
Date Reported: 2020-04-01
Terms: N/A
Last Sale Within: 1 mo

Account #4

High Credit: \$100.00
Now Owes: \$0.00
Date Reported: 2020-04-01
Terms: N/A
Last Sale Within: 2-3 mos

Account #5

High Credit: \$250.00
Now Owes: \$0.00
Date Reported: 2020-04-01
Terms: N/A
Last Sale Within: 6-12 mos

Account #6

High Credit: \$250.00
Now Owes: \$0.00
Date Reported: 2020-04-01
Terms: N30
Last Sale Within: 1 mo

Account #7

High Credit: \$500.00
Now Owes: \$0.00
Date Reported: 2020-04-01
Terms: N/A
Last Sale Within: 4-5 mos

Account #8

High Credit: \$1,000.00
Now Owes: \$1,000.00
Date Reported: 2020-04-01
Terms: N/A
Last Sale Within: 1 mo

Account #9

High Credit: \$15,000.00
Now Owes: \$15,000.00
Date Reported: 2020-04-01
Terms: N/A
Last Sale Within: 1 mo

Account #10

High Credit: \$50.00
Now Owes: \$0.00
Date Reported: 2020-05-01
Terms: N/A
Last Sale Within: 1 mo

A radically better way to get funding & monitor your financial data.

Nav

Account type: COMPUTERS
Date Reported: 04/01/2020
Date of last Activity: N/A
Terms: CONTRCT
Recent High Credit: \$1,300.00
Account Balance: \$1,200.00

Payment Status

Payments Current: 100%

1-30 DBT*: 0%

31-60 DBT*: 0%

61-90+ DBT*: 0%

* Days Beyond Terms

A radically better way to get funding & monitor your financial data.

Nav

Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Example CERTIFICATE OF SERVICE

On this the 2nd day of June 2020, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Department of Revenue
Bureau of Compliance
PO Box 281230
Harrisburg, PA 17128-1230

Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

RECEIVED

JUN 3 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Paul Bone / President
Officer Signature/Title

CCI INDUSTRIES INC.
COMMONWEALTH UTILITIES
4886 E WILLOCK ROAD
PITTSBURGH PA 15227

PENNSYLVANIA PUBLIC UTILITY
COMMISSION, SECRETARY
400 NORTH STREET, KEYSTONE BUILDING
HARRISBURG PA 17120

 UNITED STATES POSTAL SERVICE.		Retail
P	US POSTAGE PAID	Origin: 15227
	\$8.70	06/03/20 4168540027-13
PRIORITY MAIL 2-DAY®		
		1 Lb 1.80 Oz
		1004
EXPECTED DELIVERY DAY: 06/06/20		
SHIP TO: HARRISBURG PA 17120		
USPS TRACKING®NUMBER		
		
9505 5160 2737 0155 4888 52		