

## BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Utility Services Advisory Group, Inc., d/b/a n/a, for approval to offer, render, furnish, or supply natural gas supply services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

### 1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

**Utility Services Advisory Group, Inc.**  
812 Pinellas St  
Clearwater, FL 33756  
www.usagroupenergy.com  
727 443-7788

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

**Incorp Services, Inc.**  
7208 Red Top Road  
Hummelstown, PA 17036  
717 787-1057 phone 717 783-2244 fax

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

**Brent McDaniel - Operations Manager**  
812 Pinellas St  
Clearwater, FL 33756  
727 443-7788 phone 866 865-2798 fax

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

**Applicant is not using an attorney.**

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED) responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSS.

**Brent McDaniel - Operations Manager – brent@usagroupenergy.com**  
812 Pinellas St Clearwater, FL 33756  
727 443-7788 phone 866 865-2798 fax

**Mike Campbell - Administrative Manager – mike@usagroupenergy.com**  
812 Pinellas St Clearwater, FL 33756 4  
727 443-7788 phone 866 865-2798 fax

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**2. BUSINESS ENTITY FILINGS AND REGISTRATION**

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State Pursuant to 54 Pa. C.S. §311.

**Or**

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

*(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)*

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

**Or**

The Applicant is a:

- domestic general partnership (\*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- \* If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

**or**

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The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

**See "Attachment 2.b." Business Entity Filings and Registration**

**3. AFFILIATES AND PREDECESSORS**

*(both in state and out of state)*

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

**Applicant does not have any affiliates.**

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

**Applicant has no predecessors.**

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## 4. OPERATIONS

### a. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)

#### Definitions

- Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of an natural gas distribution company
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

- The Applicant is presently doing business in Pennsylvania as a
- natural gas interstate pipeline
  - municipality providing service outside its municipal limits
  - local gas distribution company
  - retail supplier of natural gas services in the Commonwealth
  - a natural gas producer
  - a broker/marketer engaged in the business of supplying natural gas services
  - Other. (Identify the nature of service being rendered)

Note: When someone asks us for a natural gas contract we turn them in to a supplier.  
We need our own license to handle them directly.

**or**

- The Applicant is not presently doing business in Pennsylvania.

### b. APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a:

- Supplier or Aggregator of natural gas services
- Municipal supplier of natural gas services
- Cooperative supplier of natural gas services
- Broker/Marketer engaged in the business of supplying natural gas services
  - Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the natural gas supply services which the Applicant proposes to offer.

**Selling discounted supplier contracted rates to commercial entities only through a sales call center  
No residential solicitation of signups.**

d. **PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- |   |  |
|---|--|
| <input type="checkbox"/> Columbia                     | <input type="checkbox"/> Philadelphia Gas Works      |
| <input type="checkbox"/> National Fuel Gas            | <input type="checkbox"/> UGI Central Penn            |
| <input type="checkbox"/> PECO                         | <input type="checkbox"/> UGI Penn natural            |
| <input type="checkbox"/> Peoples Gas – Equitable Div. | <input type="checkbox"/> UGI Utilities               |
| <input type="checkbox"/> Peoples Natural Gas          | <input type="checkbox"/> Valley Energy               |
| <input type="checkbox"/> Peoples TWP                  | <input checked="" type="checkbox"/> All of the above |

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (Less than 6,000 Mcf annually)
- Residential and Small Commercial as Mixed Meter **ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)**
- Large Commercial Customers - (6,000 Mcf or more annually)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

**Within 30 days of license approval. If approved by 1 August 2020 then by 31 Aug 2020**

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- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

**Applicant has no proceedings to list.**

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

**Applicant has no actions or complaints to list.**

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

**6. PROOF OF SERVICE**

**Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.**  
(Example Certificate of Service is attached at Appendix C)

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of the Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

b.) **NGDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

<p><b>Columbia Gas of PA, Inc.</b>  Michele Caddell  290 W. Nationwide Blvd.  Columbus, OH 43215  PH: 614.460.6841  FAX: 614.460.8447  e-mail: <a href="mailto:mcaddell@nisource.com">mcaddell@nisource.com</a></p>	
<p><b>Peoples Natural Gas Company LLC – Equitable Division</b>  Carol Scanlon  375 North Shore Drive  Pittsburgh, PA 15212  PH: 412.208.6931  FAX: 412.208.6577  e-mail: <a href="mailto:Carol.Scanlon@peoples-gas.com">Carol.Scanlon@peoples-gas.com</a></p>	<p><b>National Fuel Gas Distribution Corp.</b>  Joanne E. Maciok  6363 Main Street  Williamsville, NY 14221  PH: 716.857.7670  FAX: 716.857.7479  e-mail: <a href="mailto:maciokj@natfuel.com">maciokj@natfuel.com</a></p>
<p><b>Peoples Natural Gas Company LLC</b>  Carol Scanlon  375 North Shore Drive  Pittsburgh, PA 15212  PH: 412.208.6931  FAX: 412.208.6577  e-mail: <a href="mailto:Carol.Scanlon@peoples-gas.com">Carol.Scanlon@peoples-gas.com</a></p>	<p><b>PECO</b>  Carlos Thillet, Manager, Gas Supply and Transportation  2301 Market Street, S9-2  Philadelphia, PA 19103  PH: 215.841.6452  Email: <a href="mailto:carlos.thillet@exeloncorp.com">carlos.thillet@exeloncorp.com</a></p>
<p><b>Peoples Gas Company LLC</b>  Carol Scanlon  375 North Shore Drive  Pittsburgh, PA 15212  PH: 412.208.6931  FAX: 412.208.6577  e-mail: <a href="mailto:Carol.Scanlon@peoples-gas.com">Carol.Scanlon@peoples-gas.com</a></p>	<p><b>Philadelphia Gas Works</b>  Ryan Reeves, Director Supply Transportation &amp; Control  800 West Montgomery Avenue  Philadelphia, PA 19122  PH: 215.787.5103  email: <a href="mailto:pgwchoicesupply@pgworks.com">pgwchoicesupply@pgworks.com</a></p>
<p><b>Valley Energy Inc.</b>  Ed Rogers  523 South Keystone Avenue  Sayre, PA 18840-0340  PH: 570.888-9664  FAX: 570.888.6199  email: <a href="mailto:erogers@ctenterprises.org">erogers@ctenterprises.org</a></p>	<p><b>UGI Utilities, Inc. – Gas Division</b>  Sherry Epler  1 UGI Drive  Denver, PA 17517  PH: 610.796.3447  Email: <a href="mailto:sepler@ugi.com">sepler@ugi.com</a></p>

See "Attachment - 6. PROOF OF SERVICE" (CERTIFICATE OF ...)

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**7. FINANCIAL FITNESS**PA PUBLIC UTILITY COMMISSION  
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- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require.

**See Attachment 7.a. - Letters documenting bond requirements from each NGDC**

- b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

**See Attachments 7.b. some are in the CONFIDENTIAL documents envelope.**

- c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

**Plan is to operate as a Broker/Marketer only.**

- d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

**Paid directly to us by supplier. Everything is on a commission basis. Some pay an upfront commission, some pay a residual commission only and some pay a combination. All have chargeback policies for early termination during grace period or otherwise.**

- e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Elizabeth Currier – CFO  
812 Pinellas St  
Clearwater, FL 33756  
727 443-7788 phone  
866 865-2798 fax  
bess@usagroupenergy.com

- f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

*All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.*

*Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.*

"See Attachment 7. f. **TAXATION** which includes the **TAX CERTIFICATION FORM** and **EXPLANATION**

## 8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the natural gas industry.
- Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

See Attachment – 8. a. **EXPERIENCE, PLAN, STRUCTURE**

- b. **PROPOSED MARKETING METHOD** (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
- External NGS – Applicant will contract with a **PUC LICENSED NGS**
- Affiliate – Applicant will use a **NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant**
- External Third-Party – Applicant will contract with a **NON-NGS third party nontraditional marketer and/or non-selling marketer**
- Other (Describe):

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c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

As soon as a signed contract is returned, a separate department/personnel contacts the signer and follows a script to verify they were the signer and their understanding of the terms in the contract. All of the verification calls are also recorded and archived.

d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

There are no subcontractors. All employees are on-site and trained and monitored by us. Sales people have phone scripts including presentations and objection handlings that are practiced routinely. All phone calls are recorded and archived. There is a listen in function to hear live calls. There is a Sales Manager on the floor and an Operations Manager to oversee what is being said and done. Each of the main Closers have phone callers under them who they monitor. Our supplier contracts have agreements and some have codes on how to conduct ourselves when dealing with prospects and customers. We train our personnel on these procedures and enforce them. Our website and social media sites contain general information and invitations to contact us about our services. That covers all of our marketing. We have not had PUC complaints or lost any Supplier contracts for violations of the expected practices.

e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience:

See Attachment 8. e. OFFICERS

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**9. DISCLOSURE STATEMENT:**

*(Not applicable for an applicant applying for a license exclusively as a broker/marketer.)*

**DISCLOSURE STATEMENTS:** If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

**Not applicable for an applicant applying for a license exclusively as a broker/marketer.**

**10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS**

- a. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.

**X** AGREED

- b. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission:

- **Reports of Gross Receipts:** Applicant shall file an annual report with the Commission on an annual basis no later than April 30<sup>th</sup> following the end of the calendar year per 52 Pa. Code § 62.110.

**X** AGREED

- c. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.

**X** AGREED

- d. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling natural gas in the Commonwealth of PA, and a supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

**X** ACKNOWLEDGED

- e. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.

**X** AGREED

- f. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

**X** AGREED

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g. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.

AGREED

h. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

i. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

## 11. AFFIDAVITS

(All affidavits must be notarized before filing.)

a.) **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

b.) **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

**See Attachments 11. a. APPLICATION AFFIDAVIT and 11. B. OPERATIONS AFFIDAVIT**

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**12. NEWSPAPER PUBLICATIONS**

*Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.*

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each NGDC. For example, an applicant that wants to operate in Peoples – Equitable would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Columbia Gas	X	X		X		X	X
National Fuel Gas	X			X			
PECO			X				
Peoples - Equitable	X			X			
Peoples Natural Gas	X			X			X
Peoples Gas Company				X			
Philadelphia Gas Works			X				
UGI Utilities – Gas Div.	X	X	X	X	X	X	X
Valley Energy					X	X	
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices F and G)

**13. SIGNATURE**

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Applicant: UTILITY SERVICES ADVISORY GROUP, INC.

By: Mike Campbell

Title: ADMINISTRATIVE MGR

SEE "ATTACHMENT 12: <sup>16</sup>NEWSPAPER PUBLICATIONS"

**14. CHECKLIST**

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

**Applicant: Utility Services Advisory Group, Inc.**

Applicant's Use	ML	Signature	✓one
	ML	Filing Fee (ONLY CERTIFIED CHECK OR MONEY ORDER)	✓one
	ML	Application Affidavit	✓one
	ML	Operations Affidavit	✓one
	ML	Proof of Publication	✓one
	ML	Tax Certification Statement	✓one
	ML	Commonwealth Department of State Verification	✓one
	ML	Certificate of Service	✓one

PUC Secretary's Bureau Use

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**Attachment 2.b. Business Entity Filings and Registration**

**2. b. BUSINESS ENTITY FILINGS AND REGISTRATION**

**X** foreign corporation (15 Pa. C.S. §4124)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

Pennsylvania Bureau of Corporations and Charitable Organizations State documents follow.

Incorporated in Florida. Division of Corporation documents follow.

Names and addresses of officers:

John Brent McDaniel 812 Pinellas St Clearwater, Florida 33756  
Elizabeth Currier 812 Pinellas St Clearwater, Florida 33756  
Michael Campbell 812 Pinellas St Clearwater, Florida 33756

There are 2 additional directors who are not active in daily operations:

Dorthe McDaniel 812 Pinellas St Clearwater, Florida 33756  
Caleb McDaniel 812 Pinellas St Clearwater, Florida 33756

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**Attachment 2.b. Business Entity Filings and Registration**

**PENNSYLVANIA DEPARTMENT OF STATE  
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS**

Document will be returned to the name and address entered below.			Foreign Registration Statement		
Mike Campbell			DSCB: 15-412		
Name			(rev. 2/2017)		
812 Pinellas St.					
Address			412		
Clearwater	FL	33756			
City	State	Zip Code			

Read all instructions prior to completing. This form may be submitted online at <https://www.corporations.pa.gov/>.

Fee: \$250.00

I qualify for a veteran/reservist-owned small business fee exemption (see instructions)

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. § 412 (relating to foreign registration statement), the undersigned foreign association hereby states that:

1. The type of association is (check only one):

- |  |  |   |
|--|--|---|
| <input checked="" type="checkbox"/> Business Corporation | <input type="checkbox"/> Limited Partnership                     | <input type="checkbox"/> Business Trust           |
| <input type="checkbox"/> Nonprofit Corporation           | <input type="checkbox"/> Limited Liability (General) Partnership | <input type="checkbox"/> Professional Association |
| <input type="checkbox"/> Limited Liability Company       | <input type="checkbox"/> Limited Liability Limited Partnership   |   |

2. The full and proper name of the foreign association as registered in its jurisdiction of formation is:

Utility Services Advisory Group, Inc.

2A. If the name in 2 does not contain a required designator or if the name in 2 is not available for use in the Commonwealth, the alternate name under which the association is registering in this Commonwealth is:

3. The jurisdiction of formation: FL

4. The street and mailing address of the association's principal office.

7208 Red Top Road, Hummelstown PA 17036

Number and street City State Zip

4A. The street and mailing address of the office, if any, required to be maintained by the law of the association's jurisdiction of formation in that jurisdiction:

7208 Red Top Road, Hummelstown PA 17036

Number and street City State Zip

**Attachment 2.b. Business Entity Filings and Registration**

**DSCB:15-412-page2**

5. The (a) address of the association's registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

Complete part (a) **OR** (b) – not both:

(a) \_\_\_\_\_  
Number and street City OR State Zip County

(b) c/o: INCORP SERVICES, INC. Dauphin  
Name of Commercial Registered Office Provider County

6. Check one of the following:

- The association may not have series.
- The association may have one or more series.

7. Effective date of registration of foreign association (check, and if appropriate complete, one of the following):

- The Foreign Registration Statement shall be effective upon filing in the Department of State.
- The Foreign Registration Statement shall be effective on: \_\_\_\_\_ at \_\_\_\_\_  
Date (MM/DD/YYYY) Hour (if any)

8. To be completed by **Limited Liability Companies only**. Check, and if appropriate complete, one of the following:

- The association is a limited liability company which is not organized to render any of the below professional service(s).
- The association is a restricted professional limited liability company organized to render one or more of the following professional service(s): (If this box is checked, one or more of the fields below must be checked.)

\_\_\_ Chiropractic \_\_\_ Dentistry \_\_\_ Law \_\_\_ Medicine and surgery  
\_\_\_ Optometry \_\_\_ Osteopathic medicine and surgery \_\_\_ Podiatric medicine \_\_\_ Public accounting  
\_\_\_ Psychology \_\_\_ Veterinary medicine

IN TESTIMONY WHEREOF, the undersigned association has caused this Foreign Registration Statement to be signed by a duly authorized representative thereof this 28th day of October, 2019

Utility Services Advisory Group, Inc.

\_\_\_\_\_  
Name of Association  
Mike Campbell  
\_\_\_\_\_  
Signature  
VP  
\_\_\_\_\_  
Title

**PENNSYLVANIA DEPARTMENT OF STATE  
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS**

Docketing Statement - New Entity DSCB: 15-134A	 134A
---	--

**1. Entity Name :**

Utility Services Advisory Group, Inc.

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In the case of a foreign association which must use an alternate name to register to do business in Pennsylvania, the alternate name should be given.

**2. Tax Responsible Party**

Name of individual responsible for initial tax      Michael      Campbell

---

Mailing address of individual responsible for initial tax

812 Pinellas St	Clearwater	FL	33756	Out Of State
Number and street	City	State	zip	County

---

**3. Description of Business Activity:**

offering discounted energy services

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**4. FEIN [Employer Identification Number/Federal Tax Identification Number]:**

462670198

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FEIN enables agencies to confirm that Commonwealth accounts are properly matched and that this request is processed without added delay. If the business entity does not currently have an FEIN, it can get a FEIN immediately by applying online at <http://www.irs.gov/Businesses/Small-Businesses-&-Self-Employed/Employer-ID-Numbers-EINs>.

**2020 FLORIDA PROFIT CORPORATION ANNUAL REPORT**

DOCUMENT# P16000029532

Entity Name: UTILITY SERVICES ADVISORY GROUP, INC.

**Current Principal Place of Business:**

1230 S. MYRTLE AVENUE #201  
CLEARWATER, FL 33756

**Current Mailing Address:**

812 PINELLAS STREET  
CLEARWATER, FL 33756 US

FEI Number: 46-2670198

Certificate of Status Desired: No

**Name and Address of Current Registered Agent:**

MCDANIEL, CALEB  
812 PINELLAS STREET  
CLEARWATER, FL 33756 US

*The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.*

**SIGNATURE:**

Electronic Signature of Registered Agent

Date

**Officer/Director Detail :**

Title PD  
Name MCDANIEL, JOHN  
Address 812 PINELLAS STREET  
City-State-Zip: CLEARWATER FL 33756

Title TD  
Name CURRIER, ELIZABETH  
Address 812 PINELLAS STREET  
City-State-Zip: CLEARWATER FL 33756

Title SD  
Name CAMPBELL, MICHAEL  
Address 812 PINELLAS STREET  
City-State-Zip: CLEARWATER FL 33756

Title D  
Name MCDANIEL, CALEB  
Address 812 PINELLAS STREET  
City-State-Zip: CLEARWATER FL 33756

Title D  
Name MCDANIEL, DORTHE  
Address 812 PINELLAS STREET  
City-State-Zip: CLEARWATER FL 33756

*I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 807, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.*

SIGNATURE: MICHAEL CAMPBELL

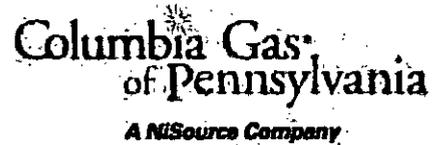
SD

01/16/2020

Electronic Signature of Signing Officer/Director Detail

Date

**Attachment 7.a. - Letters documenting bond requirements from each NGDC**



June 29, 2020

Mike Campbell  
Utility Services Advisory Group, Inc.  
812 Pinellas St.  
Clearwater, Florida 33756

Dear Mike Campbell:

We are pleased that Utility Services Advisory Group, Inc. has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, Utility Services Advisory Group, Inc. could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. Utility Services Advisory Group, Inc. has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that Utility Services Advisory Group, Inc. does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to Utility Services Advisory Group, Inc. changes in the future, Columbia Gas might deem it appropriate to require Utility Services Advisory Group, Inc. to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-4980 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

A handwritten signature in cursive script that reads "Kyhia Davis".

Kyhia Davis  
Manager of Choice and Transportation Support Services



**National Fuel**

July 1, 2020

Mike Campbell, Administrative Manger  
Utility Services Advisory Group, Inc.  
812 Pinellas Street  
Clearwater, FL 33756

Dear Mike,

National Fuel Gas Distribution Corporation ("NFGDC") is aware Utility Services Advisory Group, Inc. (UAG) has filed an application with the Pennsylvania Public Utility Commission to supply natural gas service to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, UAG must furnish acceptable security to each utility where UAG will do business. As such, under its tariff, NFGDC could require UAG to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

However, you have indicated, and it is NFGDC's understanding that UAG intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, UAG will never take title to any delivered natural gas.

Based upon your representations, NFGDC has determined that, at this time, UAG does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by UAG change in the future, NFGDC reserves the right to require security from UAG as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7541.

Yours truly,

A handwritten signature in black ink that reads "Nicole Barker".

Nicole Barker  
Transportation Services Department



An Exelon Company

July 20, 2020

Utility Services Advisory Group, Inc.  
812 Pinellas Street  
Clearwater, FL 33756

Re: Broker Requirements

Dear Utility Services Advisory Group, Inc.:

PECO is aware that Utility Services Advisory Group, Inc. has applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

In making such an application, Utility Services Advisory Group, Inc. could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. Utility Services Advisory Group, Inc. has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers, and will not take title to any delivered natural gas; nor will accept any customer payments or deposits. Therefore, PECO has determined at this time that Utility Services Advisory Group, Inc. does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by Utility Services Advisory Group, Inc. the creditworthiness requirement for PECO's exposure to Utility Services Advisory Group, Inc. changes in the future, PECO reserves the right to require Utility Services Advisory Group, Inc. to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at 215-841-6422 or myself at 215-841-6452.

Respectfully submitted,

A handwritten signature in black ink that reads "Carlos P. Thillet". The signature is written in a cursive style and is positioned above the printed name.

Carlos P. Thillet  
Manager, Gas Supply and Transportation  
2301 Market Street  
Philadelphia, Pa 19103



375 North Shore Drive  
Pittsburgh, Pennsylvania 15212

[www.peoples-gas.com](http://www.peoples-gas.com)

**Carol Scanlon**  
Manager, Rates & Regulation

**Peoples Service Company LLC**  
Phone: 412-208-6931  
Email: [Carol.Scanlon@peoples-gas.com](mailto:Carol.Scanlon@peoples-gas.com)

June 12, 2020

**Michael Campbell**  
VP Administration  
Utility Services Advisory Group, Inc.  
812 Pinellas St  
Clearwater, FL 33756

Dear Mr. Campbell:

We are pleased that Utility Services Advisory Group, Inc. has applied for a license to provide natural gas services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, and Peoples Gas Company LLC (formerly Peoples TWP) ("the Companies").

Since Utility Services Advisory Group, Inc. is not currently serving customers on the Peoples systems, we have determined at this time that Utility Services Advisory Group, Inc. does not need a bond or other financial security requirement to provide these services to the Company's customers.

If a Pool is established, and customers are enrolled which alters the creditworthiness requirement or the Company's exposure to Utility Services Advisory Group, Inc. provision of services on the Peoples' system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6931 or by email at [Carol.Scanlon@peoples-gas.com](mailto:Carol.Scanlon@peoples-gas.com).

Sincerely,

**Carol Scanlon**  
Manager, Rates and Regulation  
Peoples Natural Gas Company LLC

Cc: **Stephen Kelly**  
**Mina Speicher**



**PHILADELPHIA GAS WORKS**

800 West Montgomery Avenue • Philadelphia, PA 19122

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July 7, 2020

Mr. Mike Campbell  
Utility Services Advisory Group, Inc.  
812 Pinellas Street  
Clearwater, FL 33756  
Email: [mike@usagroupenergy.com](mailto:mike@usagroupenergy.com)

**RE: Security Requirement Bond for Utility Services Advisory Group, Inc.**

Dear Mr. Campbell:

Philadelphia Gas Works ("PGW") is aware that Utility Services Advisory Group, Inc. has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, Utility Services Advisory Group, Inc. must furnish acceptable security to each utility where Utility Services Advisory Group, Inc. will do business. As such, under its tariff, Philadelphia Gas Works could require Utility Services Advisory Group, Inc. to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understanding, that Utility Services Advisory Group, Inc. intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that in performing these services Utility Services Advisory Group, Inc. will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, Utility Services Advisory Group, Inc. does not need to post a bond or other form of security to operate in its service territory. If the services provided by Utility Services Advisory Group, Inc. should change, Philadelphia Gas Works reserves the right to require security from Utility Services Advisory Group, Inc. as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 215-684-6725.

Sincerely,

A handwritten signature in black ink, appearing to read 'J. Zuk'.

JOHN ZUK  
Sr. Vice President, Gas Management

/dls

**Attachment 7.a. - Letters documenting bond requirements from each NGDC**



UGI Utilities, Inc.  
1 UGI Drive  
Denver, PA 17517

610-796-3400

VIA E-MAIL

July 1, 2020

Utility Services Advisory Group, Inc.  
812 Pinellas Street  
Clearwater, FL 33756

**ATTENTION: Mike Campbell, VP Administration**

**RE: Utility Services Advisory Group, Inc.  
Application to Serve as a Natural Gas Broker**

Dear Mr. Campbell,

Based on your assertion that Utility Services Advisory Group, Inc. ("USA Group") is applying with the State of Pennsylvania to operate as a natural gas broker/marketer, UGI Utilities, Inc.-Gas Division ("UGIU") has concluded that USA Group will not need to post security with UGIU. This is based on the declaration that USA Group will be acting in conjunction with a licensed natural gas supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the UGIU Tariff. If USA Group wishes to directly serve Choice customers in the service territories of UGIU in the future as a natural gas supplier, it will have to post security as specified in the UGIU Tariff prior to the commencement of the service.

Please feel free to contact me with any additional questions you may have.

Sincerely,

A handwritten signature in black ink that reads "Sherry Epler". The signature is written in a cursive style.

Sherry Epler  
Senior Manager  
Tariff & Supplier Administration

SE/rks



# VALLEY ENERGY

523 S. Keystone Avenue, P.O. Box 340, Sayre, PA 18840  
800/998-4427 • 570/888-9664 • FAX 570/888-6199

June 29, 2020

**VIA EMAIL**

Utility Services Advisory Group  
Mr. Mike Campbell  
812 Pinellas St.  
Clearwater, FL 33756  
[mike@usagroupenergy.com](mailto:mike@usagroupenergy.com)

Dear Mr. Campbell:

We understand that Utility Services Advisory Group has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because Utility Services Advisory Group intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that Utility Services Advisory Group will not be required to post a bond or other form of financial security instrument to provide these services in our service area. However, if the services provided change in the future, we reserve the right to require security from Utility Services Advisory Group as deemed appropriate.

If you have any questions, please contact Jamie Levering at 570-888-9664 (Ext. 5232).

Sincerely,

A handwritten signature in black ink, appearing to read "E. Rogers". The signature is fluid and cursive, with a long, sweeping underline that extends to the right.

Edward E. Rogers  
President & CEO

EER/ss

cc: J. Levering, Valley Energy

**Attachment 7.b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)

**\*\*\* Applicant's accounting statements, including balance sheet and income statements for the past two years.**

**\*\*\* Evidence of Applicant's credit rating.** Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or **other independent financial service reports.**

- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.

**\*\*\* Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.**

**The bolded items with asterisks are in the labeled CONFIDENTIAL documents envelope included with this application. The CONFIDENTIAL documents are labeled and follow this cover sheet in the electronic version of the application.**

## **Attachment 7. f. TAXATION**

### **7. FINANCIAL FITNESS**

**f. TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.

*All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.*

#### **Explanation for "N/A" to Item 7A of the Tax Certification Statement**

##### **"A. SALES TAX LICENSE (8 DIGITS)" N/A**

Our company doesn't require a sales tax license because we sign people up for electric and gas utility services for other utility suppliers. We don't collect any fee or do any billing. The billing and collecting of taxes are done by the supplier or utility.

## **Attachment - 8. a. EXPERIENCE, PLAN, STRUCTURE**

### **8. TECHNICAL FITNESS:**

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

**a. EXPERIENCE, PLAN, STRUCTURE:** such information may include:

**Applicant's previous experience in the natural gas industry.**

USAG has been in business selling discounted electric services to businesses in multiple state for many suppliers since 2013. Same management throughout and sales people with up to 20 years of experience selling both electric AND gas. We have turned in close to 400 gas deals to suppliers ourselves.

**Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.**

PA will be our first Natural Gas license.

**Type of customers and number of customers Applicant currently serves in other jurisdictions.**

Over the past 5 years we have signed up more than 4,000 small, medium and large businesses for electric services. Incidental services included close to 400 for Natural Gas averaging 8-10,000 Therms.

- A. Large Business (5,000 - 10,000 AMwh) 5% (200)
- B. Medium Business (1,000 - 4,999 AMwh) 30% (1,200)
- C. Small Business (Under 1,000 AMwh) 65% (2,600)

**Staffing structure and numbers as well as employee training commitments.**

There about 20 employees including Operations Mgr, Sales Mgr, Contract Administrators, Phone Callers to qualify and collect bills, Closers to price and generate contracts, A/P and Payroll, HR, and 3 Officers who oversee the operation along with the Operations Manager. All employees are onsite. Staff are hired and trained on our written materials including scripts and other written material created by us or provided by suppliers.

**Business plans for operations within the Commonwealth.**

Phone contact and sales to qualified businesses such as those listed in A, B and C above. The offer is to analyze their energy bills and propose alternate Supplier pricing and sign the businesses up for the discounted pricing using Supplier contracts and recontact and recontact them when they come up for renewal.

**Any other information appropriate to ensure the technical capabilities of the Applicant.**

Our experience doing this since 2013 with commercial entities and the number of Suppliers and Brokers we have worked under along we think qualify us in general.



**Public Utilities  
Commission**

Sam Randazzo, Chairman

Commissioners

M. Beth Trombold  
Lawrence K. Friedeman  
Dennis P. Deters  
Daniel R. Conway

**PUBLIC UTILITIES COMMISSION OF OHIO**

*Certified as a Competitive Retail Electric Service Provider*

**RENEWAL**

Certificate Number:

**15-1026E (3)**

Issued Pursuant to Case Number(s):

**15-1788-EL-AGG**

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **Utility Services Advisory Group, Inc.** whose office or principal place of business is located at **812 Pinellas St., Clearwater, FL 33756** to provide **power broker** services within the State of Ohio effective **November 22, 2019**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

**Dated: November 25, 2019.**

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Tanowa M. Troupe, Secretary  
Debbie Ryan, Acting Secretary  
Donielle M. Hunter, Acting Secretary  
Susan Patterson, Acting Secretary

**Certificate Expires: November 22, 2021**

**STATE OF ILLINOIS**  
**ILLINOIS COMMERCE COMMISSION**

<b>Utility Services Advisory Group, Inc.</b>	:	
	:	
<b>Petition for Withdrawal of Utility Services</b>	:	
<b>Advisory Group, LLC's Agent, Broker or</b>	:	<b>17-0073</b>
<b>Consultant License (ABC) Certificate of</b>	:	
<b>Service Authority for the Procurement or</b>	:	
<b>Sale of Retail Electricity Supply for Third</b>	:	
<b>Parties in State of Illinois and Issuance</b>	:	
<b>of an ABC Certificate of Service Authority</b>	:	
<b>as Utility Services Advisory Group, Inc.</b>	:	

**ORDER**

By the Commission:

On February 9, 2017, Utility Services Advisory Group, Inc. ("USAG-INC") filed with the Illinois Commerce Commission ("Commission") a verified Petition requesting to withdraw Utility Services Advisory Group, LLC's ("USAG-LLC") Certificate of Service Authority to operate as an agent, broker, or consultant ("ABC") and to have the Commission issue a new Certificate of Service Authority to operate as an ABC to USAG-INC. The Commission previously granted USAG-LLC authority to operate as an ABC in Docket No. 14-0624.

The Petition states that USAG-LLC changed its name to USAG-INC due to its conversion from a limited liability company to a corporation as part of an administrative reorganization in Florida. Attachment A to the Petition includes copies of the Office of the Illinois Secretary of State documentation for the withdrawal of USAG-LLC as a limited liability company and approval to transact business as USAG-INC. Attachment B to the Petition also includes a copy of a rider to the applicable ABC bond reflecting the name change and current address for USAG-INC. The Petition indicates that there will be no changes to any existing customer contracts. Additionally, the name change will not otherwise impact the legal ownership of USAG-INC. As part of the reorganization, two of the five managers have been removed from their management positions, however, they have retained their ownership.

As a practical matter, the only significant difference between USAG-INC and USAG-LLC is the legal form of the entity. The other difference, as noted above, is that two of the managers have been removed from their management positions as part of the reorganization. USAG-INC has demonstrated that it will continue to meet its managerial qualifications set forth in Section 454.60 through Attachment C to the Petition.

Attachment C contains a corporate organizational chart and identifies the occupational background information of the persons being used to meet the requirements of Section 454.60(a). Attachment C also contains occupational background information on the person or persons being used to meet the requirements of Section 454.60(a). Additionally, the same technical and financial resources that supported the former entity's Petition in Docket No. 14-0624 remain in place to support USAG-INC and its provision of service.

The Commission finds that all the relief granted to USAG-LLC should be applicable to USAG-INC. Pursuant to 83 Ill. Adm. Code 200.640(a)(2), the Commission takes administrative notice of the record in Docket No. 14-0624. The Commission also notes that according to the website of the Office of the Illinois Secretary of State and the Certificate of Good Standing included in Attachment C to the Petition, USAG-INC is in good standing and authorized to transact business in Illinois.

All of the information to grant the requested relief was contained in the Petition; therefore, no hearing was necessary.

The Commission, having considered the record herein, is of the opinion and finds that:

- (1) USAG-INC is a Florida corporation authorized to transact business in Illinois and the successor of USAG-LLC, a Florida limited liability company;
- (2) USAG-LLC received authority to operate as an ABC in Docket No. 14-0624;
- (3) USAG-INC requests authority to continue to provide the same services to the same customers at the same rates and conditions as USAG-LLC;
- (4) although two of the managers have been removed from their management positions as part of the reorganization, USAG-INC has demonstrated that it continues to possess sufficient managerial resources and abilities to provide services as an ABC;
- (5) the same technical and financial resources that supported USAG-LLC continue to support USAG-INC and its provision of service;
- (6) the Certificate of Service Authority granted to USAG-LLC in Docket No. 14-0624 should be canceled and the service authority should be reissued to USAG-INC;
- (7) if not already filed, USAG-INC should file with the Office of the Chief Clerk of the Commission all documentation that was filed with the Office of the Illinois Secretary of State pertaining to the change in corporate entity from USAG-LLC to USAG-INC; and

- (8) in all respects, the relief granted to USAG-LLC in Docket No. 14-0624 should be applicable to USAG-INC.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that Utility Services Advisory Group, Inc. is hereby granted a Certificate of Service Authority to operate as an agent, broker, or consultant pursuant to Section 16-115C of the Public Utilities Act.

IT IS FURTHER ORDERED that the Certificate of Service Authority granted to Utility Services Advisory Group, Inc. shall be the following:

**CERTIFICATE OF SERVICE AUTHORITY**

IT IS CERTIFIED that Utility Services Advisory Group, Inc. is granted service authority to operate as an agent, broker, or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

IT IS FURTHER ORDERED that the Certificate of Service Authority issued to Utility Services Advisory Group, LLC in Docket No. 14-0624, pursuant to Section 16-115C of the Public Utilities Act, is canceled.

IT IS FURTHER ORDERED that Utility Services Advisory Group, Inc. shall file with the Office of the Chief Clerk of the Commission all documentation that was filed with the Office of the Illinois Secretary of State pertaining to the change in corporate entity from Utility Services Advisory Group, LLC to Utility Services Advisory Group, Inc.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 Ill. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By Order of the Commission this 22<sup>nd</sup> day of March, 2017.

(SIGNED) BRIEN SHEAHAN

Chairman



# The Commonwealth of Massachusetts

DEPARTMENT OF PUBLIC UTILITIES  
ONE SOUTH STATION, 5<sup>TH</sup> FLOOR  
BOSTON, MA 02110  
617-305-3500

## Electricity Broker License Application Renewal Pursuant to 220 C.M.R. § 11.00 et seq.

REQUIRES ANNUAL FEE and ANNUAL RENEWAL.

Please submit an original, one copy, and an electronic copy on a CD-ROM or USB key to the address above along with a \$100.00 annual fee.

Electricity Broker license number: EB- 350

### 1. GENERAL BUSINESS INFORMATION

1. Legal Name of Applicant: Utility Services Advisory Group, Inc.  
Doing business as (D/B/A): n/a
2. Business Address: 1230 S. Myrtle Ave #210 Clearwater, FL 33756

Mailing Address: 812 Pinellas St. Clearwater, FL 33756

3. If a corporation, association, or partnership:
  - (a) Organized under the laws of which state: Florida
  - (b) Date of organization: 25 April 2013
  - (c) Attach a copy of the articles of incorporation, association, partnership agreement or other document regarding legal organization only if these documents have changed since your last renewal or original application. **No changes.**
  - (d) Attach a copy of the by-laws only if this document has changed since your last renewal or original application. **No changes.**
4. Name, Title, and Business Address of all Officers and Directors, Partners, or other similar Officials:

Person	Status	Business Address
John Brent McDaniel	President and Director	812 Pinellas St Clearwater, FL 33756
Elizabeth P Currier	Treasurer/Shareholder	812 Pinellas St Clearwater, FL 33756
Michael R Campbell	Secretary/Shareholder	812 Pinellas St Clearwater, FL 33756
Dorthe McDaniel	Director/Shareholder	812 Pinellas St Clearwater, FL 33756
Caleb McDaniel	Director/Shareholder	812 Pinellas St Clearwater, FL 33756

APPROVED ON: JAN 27 2020  
RENEWAL DUE: JAN 01 2021

*Michael Gudge*

Director, Electric Power Division



## Public Utility Commission of Texas

### Broker Report

#### UTILITY SERVICES ADVISORY GROUP INC

BR190736

Type: Corporation

Date Registered: 8/19/2019

#### DBA Names

No DBA Records

#### Company Information

Customer Types: Commercial Industrial Municipal

Customer Details:

#### Contact Information

*Mailing / PO Box (Mailing Address)*

UTILITY SERVICES ADVISORY GROUP INC  
MIKE CAMPBELL  
MANAGER & OFFICER  
812 PINELLAS STREET  
CLEARWATER ,FL 33756  
Email: [Mike@usagroupenergy.com](mailto:Mike@usagroupenergy.com)

*Regulatory Rep*

UTILITY SERVICES ADVISORY GROUP INC  
BRENT MCDANIEL  
PRESIDENT  
1230 SOUTH MYRTLE AVENUE  
SUITE 201  
CLEARWATER ,FL 33756  
Email: [Brent@usagroupenergy.com](mailto:Brent@usagroupenergy.com)  
Phone: 727-443-7788

*Contact (Complaint)*

UTILITY SERVICES ADVISORY GROUP INC  
MIKE CAMPBELL

*Authorized Rep*

UTILITY SERVICES ADVISORY GROUP INC  
MIKE CAMPBELL

MANAGER & OFFICER  
812 PINELLAS STREET  
CLEARWATER ,FL 33756  
**Email:** [Mike@usagroupenergy.com](mailto:Mike@usagroupenergy.com)

MANAGER & OFFICER  
821 PINELLAS STREET  
CLEARWATER ,FL 33756  
**Email:** [Mike@usagroupenergy.com](mailto:Mike@usagroupenergy.com)  
**Phone:** 727-443-7788

*Company / Physical*  
UTILITY SERVICES ADVISORY GROUP INC  
MIKE CAMPBELL  
MANAGER & OFFICER  
1230 SOUTH MYRTLE AVENUE  
SUITE 201  
CLEARWATER ,FL 33756  
**Email:** [Mike@usagroupenergy.com](mailto:Mike@usagroupenergy.com)  
**Phone:** 727-443-7788

**Docket/Project/Control Numbers**

---

49779-241  
**Type:** PROJECT  
**Open Date:** 8/19/2019  
**Close Date:** 8/19/2019  
**Filings:** New  
**Note:** BROKER REGISTRATION APPLICATION

*End Report*

## ATTACHMENT 8. e. OFFICERS

- e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

### **John B McDaniel – President/CEO**

June 1976 - June 1980 Medical Manufacturing Rep. Sold med. supplies factory direct to doctors and hospitals in the Mid-Atlantic States.

June 1980 - Jan 1989 Manager, Power Insurance - a large brokerage in Southern California.

Jan 1989 - Dec 1999 Manager, LDS – large long distance co selling residential and commercial services.

Jan 2000 - Jan 2010 Manager, MAS - mortgage modification business.

Dec 2009 - May 2013 My Gold & Silver Store - Manager of large gold and silver buying business.

May 2013 - current. Operations Manager, Utility Services Advisory Group, oversee sales and mgmt.

### **Elizabeth Currier - CFO**

Midland College, Midland, Texas - Associate Degree in Accounting and Information Systems

Apr 1985 - Jan 1989 – CFO Power Insurance. Start up, management, then sold largest substandard auto insurance brokerage in Los Angeles.

April 1990 - June 1998 – SVP Operations Long Distance Services. Oversaw operations and finance.

Start-up, management, then sold to Star Telecom after client base grew to 150,000 customers.

Jan 2001 - Apr 2002 - CFO Wireless WebConnect, publicly held Wireless Internet Co. (25,000 customers)

May 2002 - Mar 2003 - Cost Redux – a cost consulting firm. Start up and management.

Mar 2003 – July 2019 - CFO Doc Hunters. A national title research company. Start up and management.

April 2008 - April 2010 – CFO Accurate Group Clearwater. A national real estate title services operation.

Dec 2009 - May 2013 - Co-founder CFO, GSP Trading - chain of precious metal secondhand stores. Oct

2013 - current – CFO Churchill Claims Services. A national ins. claims adjusting company.

May 2013 - current. CFO, Utility Services Advisory Group

### **Mike Campbell – Administration Manager**

Jan 1974 - Oct 1984 - Family and personal counselor.

Oct 1984 – Sept 1990 - Executive Director Local Church

Oct 1990 - April 1993 – Business Consulting focused on medical practices.

April 1993 – Dec 1994 - Sales Mgr Phoenix Network, a B2B long distance company in Santa Clara, CA

Jan 1995 - July 2000 – Co-founder, COO Business Tel, a customer acquisition company turned broadband wireless Internet Service Provider started in San Jose, CA, then relocated to Clearwater, Florida in 2000.

Sales, marketing, management, accounting

Aug 2000 - April 2002 SVP Administration and Board Member, Wireless WebConnect. (Business Tel brought public.) strategic alliances, HR, Legal, PR, marketing, new product implementation

May 2002 - Mar 2003 VP - Cost Redux – a cost consulting firm. Start-up and management.

Mar 2003 - July 2019 – VP Doc Hunters. A national title research company. Start up and management.

April 2008 - April 2010 – Manager - Accurate Group Clearwater. A national real estate title office.

Dec 2009 - May 2013 – Co-founder VP, GSP Trading - chain of precious metal secondhand dealer stores.

Oct 2013-current – Administration Mgr. Churchill Claims Services. national ins. claims adjusting company.

Manage sales, marketing, HR and legal. Owner and board member

May 2013 - current. Administration Manager. Legal, HR, IT, Marketing for Utility Services Advisory Group.

These are the current Managers of Utility Services Advisory Group as of March 2020. Each is also a Director of the Corp.

Two other Directors exist. Caleb McDaniel, former Employee and Manager (2013-14), and Dorth McDaniel. Neither are employees or hold positions within the company related to operations.

APPLICATION AFFIDAVIT

RECEIVED

JUL 23 2020

State of Florida:

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU  
SS.

County of Pinellas:

Michael Campbell, Affiant, being duly affirmed according to law, deposes and says that:

He/she is the Vice President of Utility Services Advisory Group, Inc.;

That he is authorized to and does make this affidavit for said Applicant;

That the Applicant herein Utility Services Advisory Group, Inc. has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Utility Services Advisory Group, Inc. has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Utility Services Advisory Group, Inc. acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Utility Services Advisory Group, Inc. acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he expects said Applicant to be able to prove the same at hearing.

*Michael Campbell*  
Signature of Affiant

Sworn and subscribed before me this 15<sup>th</sup> day of July, 2020.

Michael Campbell appeared by means of Physical Presence and Presented Drivers License as ID. DP

*[Signature]*  
Signature of official administering oath

My commission expires April 27, 2022



RECEIVED

**OPERATIONS AFFIDAVIT**

JUL 23 2020

State of Florida:

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

ss.

County of Pinellas:

Michael Campbell, Affiant, being duly affirmed according to law, deposes and says that:

He/she is the Vice President of Utility Services Advisory Group, Inc.;

That he is authorized to and does make this affidavit for said Applicant;

That Utility Services Advisory Group, Inc., the Applicant herein, acknowledges that Utility Services Advisory Group, Inc. may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Utility Services Advisory Group, Inc., the Applicant herein, asserts that it possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Utility Services Advisory Group, Inc., the Applicant herein, certifies to the Commission that it will pay, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

That Utility Services Advisory Group, Inc., the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his knowledge, information, and belief.

Michael Campbell  
Signature of Affiant

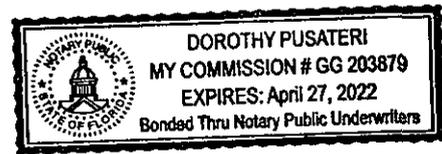
Sworn and subscribed before me this 15<sup>th</sup> day of July, 2020.

(VP)

Michael Campbell appeared by means of Physical Presence and Presented Drivers License 95 10.

[Signature]  
Signature of official administering oath

My commission expires April 27, 2022.



RECEIVED

JUL 23 2020

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

## **Attachment 12: NEWSPAPER PUBLICATIONS**

This is the cover sheet for the Notarized Proofs of Publication which follow. 7 papers and 7 proofs that cover all NGDCs.

Erie Times News

Harrisburg Patriot News

Philadelphia Daily News

Pittsburgh Post Gazette

Scranton Times Tribune

Williamsport Sun Gazette

Johnstown Tribune Democrat

PROOF OF PUBLICATION  
In  
THE ERIE TIMES-NEWS  
COMBINATION EDITION

Utility Services Advisory Group  
812 Pinellas St.  
Clearwater FL 33756

REFERENCE: 150091 401307  
PUC Notice

STATE OF PENNSYLVANIA)  
COUNTY OF ERIE ) SS:

Lorri Stefanelli, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 04/30/20

TOTAL COST: \$476.00 AD SPACE: 0 Lines

FILED ON: 04/30/20

RECEIVED

JUL 23 2020

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of Utility Services Advisory Group, Inc. (USAG) For Approval To Offer, Render, Furnish Or Supply Services As A Marketer/ Broker Engaged in The Business Of Supplying Natural Gas and Electricity To The Public In The Commonwealth Of Pennsylvania.

USAG will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply natural gas as a broker/marketer engaged in the business of supplying natural gas. USAG will also be filing an application with the PUC for a license to supply electricity as a broker/marketer engaged in the business of supplying electricity. USAG proposes to sell electricity, natural gas and related services in the Entire Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of USAG may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to USAG at the address listed below.

Mike Campbell - Utility Services Advisory Group, Inc.

812 Pinellas St Clearwater, FL 33756

727 443-7788 Fax 855 323-8008

EP401307

Sworn to and subscribed before me this 1st day of May 2020

Affiant: Lorri Stefanelli

NOTARY: Barbara J Moore

Commonwealth of Pennsylvania - Notary Seal  
Barbara J. Moore, Notary Public  
Erie County  
My commission expires March 23, 2024  
Commission number 1114860  
Member, Pennsylvania Association of Notaries

Attachment 12: NEWSPAPER PUBLICATIONS



The Patriot News

LEGAL AFFIDAVIT

AD#: 0009587620

Commonwealth of Pennsylvania,) ss  
County of Cumberland)

Sheryl Leggere being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 04/28/2020

Principal Clerk of the Publisher

Sworn to and subscribed before me this 3rd day of May 2020

Notary Public

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Crystal B. Rosensteel, Notary Public  
Susquehanna Twp., Dauphin County  
My Commission Expires June 27, 2020  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

PENNSYLVANIA PUBLIC UTILITY  
COMMISSION NOTICE

Application of Utility Services  
Advisory Group, Inc. (USAG) For  
Approval To Offer, Render, Furnish Or  
Supply Services As A Marketer/Broker  
Engaged In The Business Of Supplying  
Natural Gas and Electricity To The  
Public In The Commonwealth Of  
Pennsylvania.

USAG will be filing an application  
with the Pennsylvania Public Utility  
Commission ("PUC") for a license  
to supply natural gas as a  
broker/marketer engaged in the  
business of supplying natural gas.  
USAG will also be filing an application  
with the PUC for a license to supply  
electricity as a broker/marketer  
engaged in the business of supplying  
electricity. USAG proposes to sell  
electricity, natural gas and related  
services in the Entire Commonwealth of  
Pennsylvania under the provisions of  
the new Natural Gas Choice and  
Competition Act and the Electricity  
Generation Customer Choice and  
Competition Act.

The PUC may consider this  
application without a hearing. Protests  
directed to the technical or financial  
fitness of USAG may be filed within 15  
days of the date of this notice with the  
Secretary of the PUC, 400 North Street,  
Harrisburg, PA 17120. You should send  
copies of any protest to USAG at the  
address listed below.

Mike Campbell - Utility Services  
Advisory Group, Inc.  
812 Pinaloa St Clearwater, FL 33754  
727 443-7788 Fax 855 323-8008

RECEIVED

JUL 23 2020

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that The Philadelphia Daily News is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

April 27, 2020

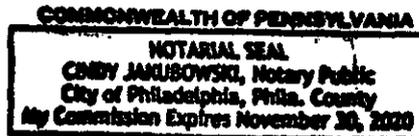
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Handwritten signature of Helene Sweeney

Sworn to and subscribed before me this 27th day of April, 2020.

Handwritten signature of Cindy Jakubowski, Notary Public

My Commission Expires:



Copy of Notice of Publication

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE Application of USAG Services Advisory Group, Inc. (USAG) For Approval To Offer, Provide, Furnish Or Supply Services As A Marketer/Broker Engaged In The Business Of Supplying Natural Gas and Electricity To The Public In The Commonwealth Of Pennsylvania. USAG will be filing an application with the Pennsylvania Public Utility Commission (PUC) for a license to supply natural gas as a broker/marketer engaged in the business of supplying natural gas. USAG will also be filing an application with the PUC for a license to supply electricity as a broker/marketer engaged in the business of supplying electricity. USAG proposes to sell electricity, natural gas and related services in the Entire Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of USAG may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to USAG at the address listed below. Mike Campbell - USAG Services Advisory Group, Inc. 872 Plover St., Clearwater, FL 34616 727 442-7788 Fax 888 522-6006

RECEIVED

JUL 23 2020

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

**Proof of Publication of Notice in Pittsburgh Post-Gazette**

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the \_\_\_\_\_ regular \_\_\_\_\_ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

**24 of April, 2020**

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

*[Signature]*  
PG Publishing Company

Sworn to and subscribed before me this day of:  
April 24, 2020

*[Signature]*  
Elizabeth R. Chmura

Commonwealth of Pennsylvania - Notary Seal  
Elizabeth R. Chmura, Notary Public  
Allegheny County  
My commission expires February 8, 2022  
Commission number 1328781  
Member, Pennsylvania Association of Notaries

RECEIVED

JUL 23 2020

STATEMENT OF ADVERTISING COSTS  
Utility Services Advisory Group,  
812 Pinellas St  
Clearwater Florida 33756

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

To PG Publishing Company

Total ----- \$410.75

**Publisher's Receipt for Advertising Costs**

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office  
2201 Sweeney Drive  
CLINTON, PA 15026  
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of  
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By *[Signature]*

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

**COPY OF NOTICE OR PUBLICATION**

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of Utility Services Advisory Group, Inc. (USAG) For Approval To Offer, Render, Furnish Or Supply Services As A Marketer/Broker Engaged In The Business Of Supplying Natural Gas and Electricity To The Public In The Commonwealth Of Pennsylvania.

USAG will be filing an application with the Pennsylvania Public Utility Commission (PUC) for a license to supply natural gas as a broker/market engaged in the business of supplying natural gas. USAG will also be filing an application with the PUC for a license to supply electricity as a broker/market engaged in the business of supplying electricity. USAG proposes to sell electricity, natural gas and related services in the Entire Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of USAG may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to USAG at the address listed below.

Mike Campbell - Utility Services Advisory Group, Inc.  
812 Pinellas St  
Clearwater, FL 33756  
727 443-7768  
Fax 855 323-8008

Attachment 12: NEWSPAPER PUBLICATIONS

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)  
Commonwealth of Pennsylvania, County of Lackawanna

UTILITY SERVICES ADVISORY GROUP, INC  
MIKE CAMPBELL  
812 PINELLAS ST CLEARWATER FL 33756

Account # 635410  
Order # 82371012  
Ad Price: 214.30

RECEIVED

JUL 23 2020

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

PENNSYLVANIA PUBLIC UTILI

Lisa Burke

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

04/24/2020

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Lisa Burke

Sworn and subscribed to before me  
this 24th day of April A.D., 2020

Kathleen Weaver  
(Notary Public)

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Kathleen Weaver, Notary Public  
City of Scranton, Lackawanna County  
My Commission Expires June 14, 2021

PENNSYLVANIA PUBLIC  
UTILITY COMMISSION NOTICE

Application of Utility Services Advisory Group, Inc. (USAG) For Approval To Offer, Render, Furnish Or Supply Services As A Marketer/Broker Engaged In The Business Of Supplying Natural Gas and Electricity To The Public In The Commonwealth Of Pennsylvania.

USAG will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply natural gas as a broker/marketor engaged in the business of supplying natural gas. USAG will also be filing an application with the PUC for a license to supply electricity as a broker/marketor engaged in the business of supplying electricity. USAG proposes to sell electricity, natural gas and related services in the Entire Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of USAG may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to USAG at the address listed below.

Mike Campbell - Utility Services  
Advisory Group, Inc.  
812 Pinellas St  
Clearwater, FL 33756  
727 443-7788 Fax 888 323-8008

Attachment 12: NEWSPAPER PUBLICATIONS

PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT  
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Robert O. Rolley, Jr., Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

April 25, 2020

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

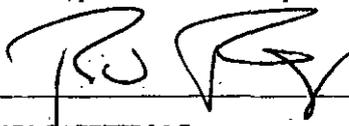
PENNSYLVANIA  
PUBLIC UTILITY  
COMMISSION NOTICE

Application of Utility Services Advisory Group, Inc. (USAG) For Approval To Offer, Render, Furnish Or Supply Services As A Marketer/Broker Engaged In The Business Of Supplying Natural Gas and Electricity To The Public In The Commonwealth Of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of USAG may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to USAG at the address listed below.

Mike Campbell - Utility Services Advisory Group, Inc.  
812 Pinellas St  
Clearwater, FL 33756  
727 443-7788  
Fax 856 323-8008

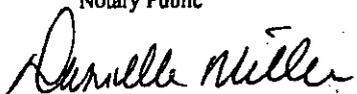
  
SUN-GAZETTE LLC

Sworn to and subscribed before me

The 29 day of April 20 20

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Danielle Miller, Notary Public  
Lock Haven City, Clinton County  
My commission expires December 27, 2020

Notary Public



STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates.....\$ 244.60

Probated same.....\$

Total.....\$ 244.60

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Robert O. Rolley, Jr.

RECEIVED

JUL 23 2020

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**COMMONWEALTH OF PENNSYLVANIA** }  
*County of Cambria* } SS

**PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE**  
 Application of *Utility Services Advisory Group, Inc. (USAG)* For Approval To Offer, Render, Furnish Or Supply Services As A Marketer/Broker Engaged In The Business Of Supplying Natural Gas and Electricity To The Public In The Commonwealth Of Pennsylvania.  
 USAG will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply natural gas as a broker/marketer engaged in the business of supplying natural gas. USAG will also be filing an application with the PUC for a license to supply electricity as a broker/marketer engaged in the business of supplying electricity. USAG proposes to sell electricity, natural gas and related services in the Entire Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.  
 The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of USAG may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to USAG at the address listed below.  
 Mike Campbell - Utility Services Advisory Group, Inc.  
 812 Pineless St Clearwater, FL 33756  
 727 443-7788 Fax 855 323-8008

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On this 28th day of April A.D. 2020, before me, the subscriber, a Notary Public in and for said County and State, personally appeared *Christine Marhefka*, who being duly sworn according to law, deposes and says as *Sales Manager / Major Accounts of the Tribune-Democrat, Johnstown, PA*, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the *Johnstown Tribune*, established December 7, 1853; and of the *Johnstown Democrat*, established March 5, 1863,

County of Cambria, and Commonwealth of Pennsylvania and above matter published in said publication in the regular issues n, PA, on April 25, 2020; and that the Affiant is not interested g and that all of the allegations as to time, place and character

*Christine Marhefka*

**STATEMENT OF ADVERTISING COSTS**

Signed and sworn to before me on  
 28th day of April, 2020,  
 by *Christine Marhefka* making the statement.

*[Signature]*

0.00 Lines @ \$2.50 per line	0.00
6 Inches @ \$25.00 per inch	150.00
Notary Fee	5.00
Clerical Fee	2.50
<b>Total Cost</b>	<b>157.50</b>

Commonwealth of Pennsylvania - Notary Seal  
 Vivian Ohs, Notary Public  
 Cambria County  
 My commission expires December 6, 2020  
 Commission number 1123017  
 Member, Pennsylvania Association of Notaries

To The *Tribune-Democrat, Johnstown, PA*  
 For publishing the notice or publication  
 attached hereto on the above stated dates.

**PUBLISHER'S RECEIPT FOR ADVERTISING COSTS**

\_\_\_\_\_ for publisher of \_\_\_\_\_  
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid  
 and publication costs and certifies that the same has been duly paid.

\_\_\_\_\_  
 (Name of Newspaper)

By \_\_\_\_\_

**RECEIVED**

JUL 23 2020

PA PUBLIC UTILITY COMMISSION  
 SECRETARY'S BUREAU

# 21ST CENTURY media MediaNews Group

PHILADELPHIA GROUP

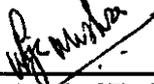
## AFFIDAVIT OF PUBLICATION

24 N. Hanover Street • Pottstown, PA 19464

**USA GROUP**  
812 Pinellas St  
CLEARWATER, FL 33756  
Attention:

STATE OF PENNSYLVANIA,  
COUNTY OF MONTGOMERY

**LEGAL NOTICE**  
Utility Services Advisory Group, Inc., a foreign corporation incorporated under the laws Florida, with its principle office located at 812 Pinellas St. Clearwater, FL 33756, has registered to do business in Pennsylvania with the Department of State of the Commonwealth of Pennsylvania, at Harrisburg, PA, on 10/28/19, under the provisions of the Pennsylvania Business Corporation Law of 1988.  
NTH 11/15; 1-a

The undersigned , being duly sworn the he/she is the principal clerk of The Times Herald, Times Herald Digital, published in the English language for the dissemination of local or transmitted news and intelligence of a general character, which are duly qualified newspapers, and the annexed hereto is a copy of certain order, notice, publication or advertisement of:

**USA GROUP**

**Published in the following edition(s):**

The Times Herald                    11/15/19  
Times Herald Digital                11/15/19

Sworn to the subscribed before me this 11-15-2019.

  
Notary Public, State of Pennsylvania  
Acting in County of Montgomery

COMMONWEALTH OF PENNSYLVANIA  
NOTARIAL SEAL  
Joan M. Dupuis, Notary Public  
Exton Twp, Chester County  
My commission expires September 18, 2021

**Advertisement Information**

Client Id: 1354732      Ad Id: 1903926      PO:      Sales Person: 062306

# Montgomery County Law Reporter

## PROOF OF PUBLICATION NOTICE

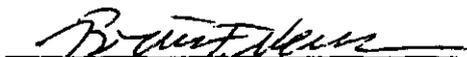
COMMONWEALTH OF PENNSYLVANIA }  
COUNTY OF MONTGOMERY } SS:

Before me, the undersigned notary public, this day, personally appeared BRIAN T. NEWMAN to me known, who being duly sworn according to law, deposes and says the following:

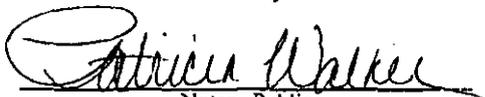
I am the ASSISTANT EDITOR, of the Montgomery County Law Reporter, the Montgomery County Law Reporter is the duly designated legal newspaper for Montgomery County, Pennsylvania, which legal newspaper was established in 1885, and is published at 100 West Airy Street, Norristown, Montgomery County, Pennsylvania; and a copy of the printed notice of publication appearing to the right is exactly the same as printed or published in the issue or issues of said legal newspaper on the following date or dates:

**Thursday November 28, 2019**

That affiant further states that he/she is the designated agent of Montgomery Bar Association, the owner of said legal newspaper, that he/she is not interested in the subject matter of the aforesaid notice or advertising, and that all the allegations of the aforesaid statement as to time, place, and character of publication are true.

  
(Signature of Affiant)

Subscribed and sworn to before me this  
28th day of November, 2019

  
Notary Public

Commonwealth of Pennsylvania - Notary Seal  
Patricia Walker, Notary Public  
Montgomery County  
My commission expires August 05, 2022  
Commission number 1173394

### FOREIGN REGISTRATION - STATEMENT

Notice is hereby given that **Utility Services Advisory Group, Inc.**, a foreign business corporation, has applied for a Statement of Registration to do business in the Commonwealth of Pennsylvania under the provisions of Chapter 4 of the Pennsylvania Association Transactions Act (15 Pa. C.S. Sec. 6124). The corporation is incorporated under the laws of the State of Florida. The address of its principal office under the laws of said jurisdiction is 812 Pinellas St., Clearwater, FL 33756, and the address, including street and number, if any, of its proposed registered office and name of its commercial registered officer provider in Pennsylvania is Incorp Services, Inc. 7208 Red Top Road, Hummelstown, PA 17306.

Mike Campbell  
c/o USAGroup (Utility Services Advisory Group)  
812 Pinellas Street  
Clearwater, FL 33756

ATTACHMENT - 6. PROOF OF SERVICE

a. STATUTORY AGENCIES

b. NGOC'S

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JUL 23 2020

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

CERTIFICATE OF SERVICE

On this the 22nd day of July 2020, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120	Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120
Office of the Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101	Department of Revenue Bureau of Compliance PO Box 281230 Harrisburg, PA 17128-1230
Columbia Gas of PA, Inc. Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: <a href="mailto:mcaddell@nisource.com">mcaddell@nisource.com</a>	Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120
Peoples Natural Gas Company LLC – Equitable Division Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: <a href="mailto:Carol.Scanlon@peoples-gas.com">Carol.Scanlon@peoples-gas.com</a>	National Fuel Gas Distribution Corp. Joanne E. Maciok 6363 Main Street Williamsville, NY 14221 PH: 716.857.7670 FAX: 716.857.7479 e-mail: <a href="mailto:maciokj@natfuel.com">maciokj@natfuel.com</a>
Peoples Natural Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: <a href="mailto:Carol.Scanlon@peoples-gas.com">Carol.Scanlon@peoples-gas.com</a>	PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: <a href="mailto:carlos.thillet@exeloncorp.com">carlos.thillet@exeloncorp.com</a>
Peoples Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: <a href="mailto:Carol.Scanlon@peoples-gas.com">Carol.Scanlon@peoples-gas.com</a>	Philadelphia Gas Works Ryan Reeves, Director Supply Transportation & Control 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.787.5103 email: <a href="mailto:pgwchoicesupply@pgworks.com">pgwchoicesupply@pgworks.com</a>

<b>Valley Energy Inc.</b> Ed Rogers 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: <a href="mailto:erogers@ctenterprises.org">erogers@ctenterprises.org</a>	<b>UGI Utilities, Inc. – Gas Division</b> Sherry Epler 1 UGI Drive Denver, PA 17517 PH: 610.796.3447 Email: <a href="mailto:sepler@ugi.com">sepler@ugi.com</a>
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Mike Campbell, Administrative Manager 0  
Utility Services Advisory Group

**RECEIVED**

JUL 23 2020

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

UTILITIES SERVICES  
ADVISORY GROUP

812 PINELLAS ST  
CLEARWATER, FL 33756



PENNSYLVANIA PUBLIC UTILITY COMMISSION  
SECRETARY

400 NORTH STREET, KEYSTONE BLDG  
HARRISBURG, PA 17120



(N.G.S LICENSE APP)