

Date:

April 11, 2020

From:

James Koontz, Owner

To:

PA Public Utility Commission

Subject:

Application for Broker License

RECEIVED

JUN 16 2020

Ladies & Gentlemen:

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Aadvantage Power is pleased to apply for broker license from the PA Public Utility Commission. Attached is our application with required supporting documentation. As you review our application, hopefully you will glean Aadvantage Power has the requisite operational experience, technical knowledge and business systems to effectively serve customers in the Commonwealth of Pennsylvania.

- The owner, responsible for operations, has 30+ years of experience in the energy sector.
- Over the last 2.5 years, Aadvantage Power has served over 300+ clients with 3rd party electrical pricing totaling over 24,000,000 kW/hr under contract.
- A veteran-owned business, Aadvantage Power is focused on customer service. This is done by educating our clientele on selecting the pricing & terms most appropriate for their business.
- Certified by the National Association of Energy Engineers, the owner is Certified Measurement & Verification Professional (CMVP).
- An industry expert in energy (commodities, renewable energy), the owner is frequent speaker at energy trade events (NYSERDA, NESEA, Powergen, Globalcon and more)
- The owner has served as Board Member for high-profile energy trade organizations (Northeast Clean Heat and Power Initiative, CT Power & Energy Society).

Please reach out if you have any questions or desire clarification.

Respectfully,

Jámes Koontz

Owner, Aadvantage Power

Attachments:

- Corporate Charter, State of CT
- 2. 2020 Statement of Good Standing, CT Dept of State
- 3. PA Dept of State Foreign LLC Application (DSCB15-412)
- 4. Email acknowledgement of application receipt by PA (DSCB15-412 dated 4/11/2020)



- 5. Certificate of Service (Agencies & EDCs)
- 6. \$10,000 Surety Bond (for broker license)
- 7. Financial Proof of Health (2 years of corporate tax returns 2018-19)
- 8. Tax Certification Statement (application Appendix I)
- 9. Technical Competence of Operations (CV of Owner responsible for Operations)
- 10. Marketing Disclosure Statements (customer facing document)
- 11. Notarized Application Affidavit
- 12. Notarized Operations Affidavit
- 13. Proof of Publication (7 newspapers)

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

a.	To the Pennsylvania Public Utility Commission: 1. IDENTIFICATION AN IDENTITY OF THE APPLICANT: Provide name (i address, and telephone number of Applicant:	D CONTACT INFORMATION including any fictitious name or d/b/a), priman	BUREAU OF TUS '20 JUN 9 PM2:5
	IDENTITY OF THE APPLICANT: Provide name (i	-	'20 JUN 9 PM2:5
		including any fictitious name or d/b/a), priman	
•		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	y address, web
	Aadvantage Power	RECEIVE	<u>ال</u>
	6 Fairfield Ln	RECEIVE	, D
	Simsbury, CT 06070 www. <u>aadvantagepower.com</u>	JUN 16 2020	
	860-500-0693	PA PUBLIC UTILITY COMM SECRETARY'S BURE	IISSION AU
b.	PENNSYLVANIA ADDRESS / REGISTERED AGI	ENT:	
c.	Currently in discussion with commercial County. REGULATORY CONTACT: Provide the name, title	e, address, telephone number, fax number, a	
	address of the person to whom questions about thi	s Application should be addressed.	
	James Koontz, President/Owner		
	6 Fairfield Ln		
	Simsbury, CT 06070 860-808-6383 (phone)		
	860-371-3856 (fax)		
	sales@aadvantagepower.com		
	ATTORNEY: Provide the name, address, telephor Applicant's attorney. If the Applicant is not using a		of the
	No attorney being used		
	CONTACTS FOR CONSUMER SERVICE AND C name, title, address, telephone number, FAX num PERSON (2 REQUIRED)		

6 Fairfield Ln

Simsbury, CT 06070 860-500-0693 (phone) 860-371-3856 (fax) sales@aadvantagepower.com

ALTERNATE
Suretha Koontz
Title- Owner
6 Fairfield Ln
Simsbury, CT 06070
860-798-9349 (phone)
860-371-3856 (fax)
surethakoontz@aadvantagepower.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a.	FICTITIOUS NAME:	(Select appropriate statement and provide supporting documentation as liste	d.)
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- x The Applicant will not be using a fictitious name.
- b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

—
domestic corporation (15 Pa. C.S. §1308)
foreign corporation (15 Pa. C.S. §4124)
domestic limited liability company (15 Pa. C.S. §8913)
x foreign limited liability company (15 Pa. C.S. §8981)
Other (Describe):

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

ENCLOSED

x The Applicant is a:

- CT charter documentation (11/9/2017) (Attachment 1)
 2020 statement of good standing (2/28/2020) (Attachment 2)
- PA Department of State Application for Foreign Corporation (DSCB 15-412)
 (Attachment 3, 4)
- Give name and address of officers.

Incorporated in State of CT on 11/9/2017

Officers:

James Koontz (responsible for operations & technical)
Suretha Koontz (website design & administrative support)
6 Fairfield Lane, Simsbury CT 06070

3. AFFILIATES AND PREDECESSORS

a.	AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the
	affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business,
	explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do
	business in Pennsylvania.

No affiliates, and none applying

b. PREDECESSORS: Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

No predecessors have done business

4. OPERATIONS

- a. APPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)
 - X The Applicant is not presently doing business in Pennsylvania.
- APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a (may check multiple):
 Generator of electricity
 Supplier of electricity
 - Aggregator engaged in the business of supplying electricity

 Broker/Marketer engaged in the business of supplying electricity services
 - X Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
 - ☐ Electric Cooperative and supplier of electric power
 - Other (Describe):
- **c. PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Applying to be licensed broker/marketer. Will use our website where PA commercial/business customers can compare rates and apply for 3rd party supply agreements. As broker, we will assist customers in contracting with PA approved EGS.

Since Broker, at no time will we:

- -take ownership of the electricity
- -bill the customers or take payments from customers

Р		
	Citizens' Electric	Pike
	Duquesne Light	PPL
	Met-Ed PECO	☐ UGI Utilities ☐ Wellsboro
	Penelec	West Penn
	Penn Power	- Vest i cilii
		x Entire Commonwealth of PA
e. C	CUSTOMERS: Applicant proposes to provide	e services to:
	Residential Customers	
X	Small Commercial Customers - (25 k)	· · · · · · · · · · · · · · · · · · ·
Ļ	Residential and Small Commercial as RESIDENTIAL AND/OR SMALL COI	Mixed Meter ONLY (CANNOT BE TAKEN WITH
x		
×	k Industrial Customers	,
X	_	
<u> </u>	All of above (Except Mixed Meter) Other (Describe):	
	, ,	
С	Commonwealth.	the Applicant proposes to <u>actively market</u> within the e accepted and approved by PA PUC.
C	Commonwealth. commence after application/licens	e accepted and approved by PA PUC.
С	Commonwealth.	
Will a. C	Commonwealth. commence after application/licens 5.	e accepted and approved by PA PUC. COMPLIANCE Decifically whether the Applicant, an affiliate, a predecessor
Will a. Ce	Commonwealth. 5. CRIMINAL/CIVIL PROCEEDINGS: State seither, or a person identified in this Application proceeding within the last five (5) years. dentify all such proceedings (active or content of the content of t	e accepted and approved by PA PUC.
a. Cep	Commonwealth. 5. CRIMINAL/CIVIL PROCEEDINGS: State seither, or a person identified in this Application proceeding within the last five (5) years. dentify all such proceedings (active or content of the content of t	e accepted and approved by PA PUC. COMPLIANCE Decifically whether the Applicant, an affiliate, a predecessor on, has been or is currently the defendant of a criminal or citation, by name, subject and citation; whether before a
a. Ce p lo a	Commonwealth. I commence after application/licens 5. CRIMINAL/CIVIL PROCEEDINGS: State seither, or a person identified in this Application occeeding within the last five (5) years. dentify all such proceedings (active or conditional strative body or in a judicial forum. If the No criminal/civil proceedings	e accepted and approved by PA PUC. COMPLIANCE Decifically whether the Applicant, an affiliate, a predecessor on, has been or is currently the defendant of a criminal or citalosed), by name, subject and citation; whether before a
a. Ceploa	Commonwealth. I commence after application/licens 5. CRIMINAL/CIVIL PROCEEDINGS: State seither, or a person identified in this Application or coeding within the last five (5) years. dentify all such proceedings (active or coedinistrative body or in a judicial forum. If the No criminal/civil proceedings SUMMARY: If applicable; provide a statement	e accepted and approved by PA PUC. COMPLIANCE Decifically whether the Applicant, an affiliate, a predecessor on, has been or is currently the defendant of a criminal or citalosed), by name, subject and citation; whether before a proceedings to list, explicitly state such.

No actions or complaints

d.	SUMMARY: If applicable; provide a statement as to the resolution or present status of any actions listed above.					
	N/A					
	6. PROOF OF SERVICE					
	Please see Attachment 5					
	7. <u>FINANCIAL FITNESS</u>					
a.	BONDING: In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is					
Ç	Furnishing the ORIGINAL of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.					
Ç	Furnishing the <u>ORIGINAL</u> of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.					
)	For Marketers and Brokers - Filing for a modification to the \$250,000 requirement and furnishing the ORIGINAL of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.					
	Applying for Broker/Marketer license only. Applicant will not take title to the electricity and will not pay electric bills on behalf of customers.					
	Please see Attachment 6					
b.	FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:					
	Two years of corporate tax returns are enclosed (Attachment 7)					
C.	SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than <u>Broker/Marketer only</u> , explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.					
	N/A- will be operating as Broker/Marketer only.					

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Aadvantage Power will be working as broker/marketer with PA licensed suppliers. Aadvantage Power will collect commissions (only) from the supplier after the supplier & end-user/customer directly contract between each other.

- At no time does Aadvantage Power take ownership of the electricity
- At no time does Aadvantage Power bill the customer or collect monies from the customer
- e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Harper & Whitfield Sandra Selig 883 Hopmeadow St Simsbury, CT 06070 860-651-9303 (phone) 860-651-3992 (fax) sselig@harperwhitfield.com

f. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

Attachment 8

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. EXPERIENCE, PLAN, STRUCTURE:

Below & Attachment 9

Aadvantage Power was established in 11/2017. The business was structured, from inception, to be scalable for future growth. The commodity business is very data intense, so there has been heavy emphasis on building our step-by-step process. Our overall goal continues to be:

- Easy for customers to apply & contract
- High level of customer service with goal of superb client satisfaction.
- Educate our customers so they can make the best procurement decision for their business

Aadvantage Power is a veteran-owned business, with over 25 years of experience. Our mission is to help your business be more competitive by reducing the cost of energy.

OUR PROMISE:

Understand:

your energy requirements to help you make informed decisions

Educate:

you on your energy procurement options

Design: Monitor: a tailored approach to help you achieve your energy goals energy market trends and pro-actively update you on future options

Serve:

as your unbiased energy advisor

Aadvantage Power

Technical & Customer Service

Customer Service

- run sales desk & customer calls
- Q/A, education of customers
- review contracts prior to sending

Technical

- pricing & market analysis
- forward pricing strategies
- follow natural gas markets

Suppliers

- manage supplier relations
- contract Q/A

Administration

Customer Inquiries via website

- data entry
- prepare contracts & renewals
- forward signed agreements to suppliers

Website

- design & maintenance
- admin updates

CV- James Koontz https://www.linkedin.com/in/jim-koontz-8923032b/

Education: MBA, Rensselaer Polytechnic Institute

BS Mechanical Engineering, Norwich University

Affiliations: Certified M&V Professional, Association of Energy Engineers (AEE)

Northeast Clean Heat & Power Initiative (NECHPI), Board of Directors

CT Power & Energy Society, former Board Member

Speaking: Frequent speaker at Energy Conferences including:

NYSERDA (NY State Energy Research & Development Association)

NESEA (Northeast Sustainable Energy Association)

Eversource Energy-trained utility reps on Cogeneration Systems

UCONN Grid Modernization Workshop

Powergen, Globalcon, and more

Experience: 30+ years in the power & energy sector. Key experience relating to energy & commodity brokering, utility coordination, bill analysis & customer service includes:

Aadvantage Power-since 11/2018

Currently 300+ customers with over 24,000 MW/hr currently under contract

 Commercial & municipal customers (manufacturing plants, small businesses, municipal, car dealerships, data centers, office spaces, house of worship)

Veolia Energy- 2008-2013

- Energy commodity broker for large Commercial & Municipal Customers
- Clients included City of Boston, Biogen, EMC, Jones Lang LaSalle
- State of CT Dept of Energy- custom build of energy database for all state buildings.
 Project Manager for 3-year contract including software development, data entry & analytics

Kinsley Power- Sold power plants to commercial clients (2013-2017)

Duke Power- VP of 450 MW Power Plant (1999-2000)

Nalco Company- Consultant to power plants (1992-2008)

25 MW to 400 MW

Natural Gas, Oil, Solar, Trash Burners

U.S. Navy- Electrical Officer, Boilers Officer (1988-1992)

	x O x	Internal – Applicant will use its own internal resources/employees for marketing External EGS – Applicant will contract with a PUC LICENSED EGS broker/marketer Affiliate – Applicant will use a NON-EGS affiliate marketing company and or individuals. External Third-Party – Applicant will contract with a NON-EGS third party marketing company and or individuals Other (Describe):
	pricin broke	eting will be via company website. This allows potential customers to locate ig options based on zip code query. If interested, they can apply on-line. As er we will coordinate between customer and licensed EGS for their w/acceptance.
c.	DOOR L X	TO DOOR SALES: Will the Applicant be implementing door to door sales activities? Yes No
d.		SIGHT OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed in an manner, for both employees and subcontractors.
		ave a very transparent overview of the process included on our website. This les industry terms & definitions, contracting process & renewal process.
	Attac	hment 10
е.	respon	ERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly sible for operations. All resumes should include date ranges and job descriptions containing actual experience.
Pr	ovide	ed in section 8a.
f.	FERC	FILING: Applicant has:
		Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
		Received approval from FERC to be a Power Marketer at Docket or Case Number
	x	Not applicable

b. PROPOSED MARKETING METHOD (check all that apply)

9. DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

Attachment 10

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. PJM LOAD SERVING ENTITY REQUIREMENT: As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:
 - proof of registration as a PJM Load Serving Entity (LSE), or
 - proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

	AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
	AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
x	ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

X AGREED

- **c. REPORTING REQUIREMENTS**: Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:
 - Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201--54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.

- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer-info/electricity/alternative_energy.aspx. Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.
- X AGREED.
- d. TRANSFER OF LICENSE: The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.
 - **X** AGREED
- e. ANNUAL FEES: The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.
 - X AGREED
- f. FURTHER DEVELOPMENTS: Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.
 - X AGREED
- g. FALSIFICATION: The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.
 - x AGREED
- h. NOTIFICATION OF CHANGE: If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.
 - X AGREED

- i. CEASING OF OPERATIONS: Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.
 - X AGREED
- j. **Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.
 - X AGREED
- k. FILING FEE: The Applicant has enclosed or paid the required, non-refundable filing fee by CERTIFIED CHECK OR MONEY ORDER in the amount of \$350.00 payable to the Commonwealth of Pennsylvania.

 The Commission does not accept corporate or personal checks for filing fees.
 - X PAYMENT ENCLOSED

11. AFFIDAVITS

Must be notarized before filing.

a. APPLICATION AFFIDAVIT: Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

Attachment 11

b. OPERATIONS AFFIDAVIT: Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

Attachment 12

12. **NEWSPAPER PUBLICATIONS**

RECEIVED

Attachment 13 (for all 7 newspapers required)

JUN 1 6 2020

13. SIGNATURE

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Applicant	: AAOVAN	TAGE POWER	LLC	16/
Ву:	James	Koover	Mr	My
Title:	Owner		<u>/</u>	0
		4/13/	2020	

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

BUREAU OF TUS '20 JUN 9 PM2:58

Applicant: Aadvantage former

		Signature				
	/	Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)	,			
	$\sqrt{}$	ATACHMENT Application Affidavit				
Use		Attachment 12 Operations Affidavit				
Applicant's Use		Proof of Publication				
		Bond, Letter of Credit, or Parental/Affiliate A井. ら Guarantee				
		Att. 8 Tax Certification Statement				
		Commonwealth Department of State April 3/4 Verification				
		A++ 5 Certificate of Service				

PUC Secretary's Bureau Use

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JUN 16 2020

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of SS. County of Affiant, being duly [sworn/affirmed] according to law, deposes and says that: _ (Office of Affiant) of <u>Andvartage PUN</u>(Name of Applicant);] [He/she is the [That he/she is authorized to and does make this affidavit for said Applicant;] That the Applicant herein Hoden toge lower has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B). That the Applicant herein Productive has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required. That the Applicant herein Acquire live acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents. That the Applicant herein Marknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission. That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing. Sworn and subscribed before me this 13th Notary Public. State of Connecticut n My Commission Expires Aug. 31

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JUN 1 6 2020

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

My commission expires

Appendix B

ATTACHMENT 12

OPERATIONS AFFIDAVIT

[Commonwealth/State] of :
; ss .
County of HANTFORO:
County of HANTFORO: JAMES KO ONT 2, Affiant, being duly [sworn/affirmed] according to law,
[He/she is the Dwner (Office of Affiant) of Andreway Power LL
(Name of Applicant);]
[That he/she is authorized to and does make this affidavit for said Applicant;]
That
That harmonical, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.
That

As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

RECEIVED

JUN 16 2020

Appendix B (Continued)

That Hodunts (www., the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

Ames KoontSignature of Affiant Owner, Andrantage Power

Sworn and subscribed before me this 13th day of April , 20 20

Signature of official administering oath

My commission expire

KELLY MARIE BIDWELL Notary Public, State of Connecticut My Commission Expires Aug. 31, 2022

CORPORATE CHARTER - STATE OF CT Date Submitted: 11/9/2018 1:40:24 PM

Taxpayer Copy

Do NOT mail to the Department of Revenue Services.

Your Confirmation Number is: 18W9900874025

Reasons for Registering

Opening a new business

Business Profile

Business Information

Type of Organization: Limited Liability Company (LLC)

Nature of Business Activity

Other (explain):

Commission based selling of electrical pricing agreements. Transaction is directly between supplier and end-user, my activity is 3rd party only and I never own/sell product/service.

Major Business Activity

Broker for 3rd party energy agreements. Commission based compensation only

NAICS Code: 221122

Business Name & Address

Organization Name: AADVANTAGE POWER LLC

FEIN: 823318480

CT Secretary of the State Business ID: 1254841

Business Trade Name: Aadvantage Power

Address: 6 Fairfield Lane

Simsbury, CT 06070

Mailing Address

Address: 6 Fairfield Lane

Simsbury, CT 06070

RECEIVED

Business Telephone Number: 860-500-0693

JUN 1 6 2020

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Business Bank: Windsor Federal S&L

Owner and Officer Information

This is the information you filled out on your application. If your organization type is taxed as a partnership such as a General Partnership, Limited Partnership, Limited Liability Partnership, or a Limited Liability Company, you must enter more than one member/partner name. If you need to make any change, select the EDIT button to make the appropriate additions.

Suretha Koontz

Owner Type: Individual

Title: Owner

SSN: 044065609

Date of Birth: 07/14/1983

Home Address: 6 Fairfield Lane

Simsbury, CT 06070

Telephone Number: 860-500-0693

Bank Name: Windsor Federal

James Koontz

Owner Type: Individual

Title: Owner

SSN: 348526697

Date of Birth: 05/02/1966

Home Address: 6 Fairfield Lane

Simsbury, CT 06070

Telephone Number: 860-500-0693

Bank Name: Windsor Federal

Registered Tax Types

Tax Type Tax Liability Start Date Income Tax Withholding 02/08/2018

- Are you an employer that transacts business or maintains an office in Connecticut
 No and intends to pay wages to resident employees or nonresident employees who work in Connecticut?
- Are you an out-of-state company voluntarily registering to withhold Connecticut income tax for your Connecticut resident employees who work outside of Connecticut? (By answering "Yes" to this question, you are indicating that this company does not have any tax liability in CT, and you will only be registering for Income Tax Withholding with this registration application.)

- 3. Do you transact business or maintain an office in Connecticut and intend to make a payments of pensions, annuities, other retirement distributions, or gambling winnings to Connecticut residents?
- 4. Do you pay nonresident athletes or entertainers for services they render in Connecticut?
- 4. No
- 5. Do you only have household employees and wish to withhold Connecticut income 5. Yes tax?
- Do you only have agricultural employees and wish to withhold Connecticut income 6. No tax?

If you use a payroll service, enter name of payroll company:

Business Entity Tax

02/08/2018

1. Are you a business entity as described above? 1. Yes

Enter state you are organized under: CT

Enter the month of your fiscal year end: December

Signature

FEIN/SSN:

823318480

Contact Information

Name:

James Koontz

Email:

sales@aadvantagepower.com

Daytime Telephone Number:

860-500-0693

TACHMENT 2

SECRETARY OF THE STATE OF CONNECT **165 CAPITOL AVENUE** P.O. BOX 150470 HARTFORD, CT 06115-0470

Online Business Filing Confirmation Receipt

Business Name:

AADVANTAGE POWER, LLC Business ID:

1254841

Type of Request:

REPORT (2020)

Payment Received: \$20.00

Request Date/Time:

02/28/2020 12:39 PM

Filing Number:

0006796747

Payment Receipt

Credit Card #:

*********7006

Authorization #:

028574

Customer Account: 003501189

Billing Date/Time: 02/28/2020 12:39 PM

Billing Amount:

\$20.00

Note: You can verify the filing details from the CONCORD website http://www.concord-sots.ct.gov by clicking the business inquiry link and searching on the above mentioned Business ID.

Verifies Aadvantage Power 15 LLC in State of CT & in good standing.

J. J. 4/10/2020

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JUN 1 6 2020

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Attachment 3

1/2

PENNSYLVANIA DEPARTMENT OF STATE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

Return document by mail to:			Foreign Registration Statement		
Name			DSCB:15-412 (rev. 2/2017)		
Address	_				
City	State	Zip Code			
Return document by ema	il to: <u>sales@aad</u>	tvantagepower.com		412	
Read all instructions p	orior to comple	eting. This form may b	be submitted online at https:	//www.corporations.pa.gov/.	
Fee: \$250	I qualif	y for a veteran/reservi	sj-owned small business fee	e exemption (see instructions)	
In compliance w registration statement), the			e provisions of 15 Pa.C.S. § reby states that:	§ 412 (relating to foreign	
1. The type of association	is (check only	one):			
☐ Business Corpora ☐ Nonprofit Corpora ☐ Limited Liability	ation	☐ Limited Partnersh ☐ Limited Liability ☐ Limited Liability	(General) Partnership	☐ Business Trust ☐ Professional Association	
-			if the name in 2 is not avain is registering in this Com		
name is available	-				
3. The jurisdiction of form	nation is: State	e of CT			
4. The street and mailing a	ddress of the	association's principal	office.		
6 Fairfield Ln, Simsbury CT 0	6070				
Number and street		City	State	Zip	
4A. The street and mailing address of the office, if any, required to be maintained by the law of the association's jurisdiction of formation in that jurisdiction:					
6 Fairfield Ln, Simsbury CT 0	6070				
Number and street		City	State	Zip	

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Complete part (a) OR (b) – not bott	h:			
(a)				
Number and street	City OR	State	Zip	County
o) c/o: Regus Office			Montgome	ery County
Name of Commercial Registered	Office Provider			County
. Check one of the following:				
☑ The association may not have	series.			
☐ The association may have one				
			64 611	
C	foreign association (check, and if app			ving):
☐ The Foreign Registration State	ement shall be effective upon filing in	n the Department of	State.	
The Foreign Registration State	Date ((MM/DD/YYYY)	at Hou	r (if any)
following professional service(s) ChiropracticDentiOptometryOsteo			nust be checke	ed.) and surgery
N TESTIMONY WHEREOF, the duly authorized representative the	undersigned association has caused the reof this day of	Aadvantage P	ower LLC ociation	to be signed 020
		Signatur	JUNE	
		President/0	Owner	
		Title		

Attachment 4

Aadvantage Power

From:

RA-STCORP_ORDERS_PRO@pa.gov

Sent:

Saturday, April 11, 2020 4:12 PM

To:

. Aadvantage Power; RA-STCORP_ORDERS_PRO@pa.gov

Subject:

PennFile Order submitted Aadvantage Power LLC on 04/11/2020

Thank you, for your order. Department of State will process your order.

Order details

Name: Aadvantage Power LLC

Reference # TOL200411UX0273

Item Description:

Foreign Registration Statement

Amount: \$0.00

For contact or more information please click here.

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JUN 16 2020

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Date:

April 11, 2020

From:

James Koontz, Owner

To:

PA Public Utility Commission

Subject:

Additional Info- Appendix I

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JUN 16 2020

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Ladies & Gentlemen:

Aadvantage Power is providing additional information to support our submission of Appendix I (tax certification statement). After carefully researching the requirements:

- Aadvantage Power is providing supporting info for Section 7a (marked N/A)
- Aadvantage Power is applying for broker license only. Therefore, the company at no point will be collecting sales tax or GRT.
- Aadvantage Power applied via form PA-100 as was provided only 10-digit revenue ID number

Please reach out if you have any questions or desire clarification.

Respectfully,

Jámes Koontz

Owner, Aadvantage Power

Home Getting Assistance PA100 Help/Instructions	Pennsylvania Business Entity Registration For - Aadvantage Power - I4F8H20200409					
Forms and Publications	Legal Name	EIN	PIN	Created Date	Submitted Date	
L & I Home Commonwealth Home	Aadvantage Power	82-3318480	14F8H20200409	04/09/2020	04/09/2020	
ESignature User Profile	Thank you for successfully o	ompleting your Pennsylva	ania Business Entity R	egistration.		
Log Off	To check the status of your application, return to www.pa100.state.pa.us and log in with your e-signature credentials (the same Username and Password that you used to register). You can see the status of your application on the next screen and select "View" to read more about your status.					
	Once your status shows "Su with information regarding b License/Account correspond	how to file and pay your t	axes, your filing/payr	ment frequency an		
	For applications that have the status of "Under Review," please allow at least 10 be contacting the department for more information. Inquiries to the department at the processing of your application.			=	•	
	As a reminder, although the Departments of Revenue and Labor and Industry share the PA-100 application, Unemployment Compensation and Workers' Compensation accounts are issued/administered by the Department of Labor and Industry. If you wish to contact the Department of Labor and Industry, the phone number is 866-403-6163 (Select Option 1), or send an email to <u>UC-NEWS@pa.gov</u> .					
	Did you know that you can receive corr When you log in or register to file and of receive correspondence from the Depar for details.	er to file and pay your ta	xes in e-TIDES, you	will now be able	to opt-in to	
	Be sure to check out the Commonwealth's PA Business One-Stop Shop website, your guide for doing business in Pennsylvania. Whether you are thinking about starting your own business, expanding you existing company, or you're considering a move to the Keystone State, this site will provide useful information to help you work smart and live happy in Pennsylvania. Go to www.business.pa.gov for more information.					
	Please take a	a few minutes to complet	e a brief survey abou	t the <u>Online PA-10</u>	<u>10</u> .	

Print

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ATTACHMENT 9

CV- James Koontz https://www.linkedin.com/in/jim-koontz-8923032b/

Education: MBA, Rensselaer Polytechnic Institute

BS Mechanical Engineering, Norwich University

Affiliations: Certified M&V Professional, Association of Energy Engineers (AEE)

Northeast Clean Heat & Power Initiative (NECHPI), Board of Directors

CT Power & Energy Society, former Board Member

Speaking: Frequent speaker at Energy Conferences including:

NYSERDA (NY State Energy Research & Development Association)

NESEA (Northeast Sustainable Energy Association)

Eversource Energy- trained utility reps on Cogeneration Systems

UCONN Grid Modernization Workshop

Powergen, Globalcon, and more

Experience: 30+ years in the power & energy sector. Key experience relating to energy & commodity brokering, utility coordination, bill analysis & customer service includes:

Aadvantage Power-since 11/2018

Currently 300+ customers with over 24,000 MW/hr currently under contract

• Commercial & municipal customers (manufacturing plants, small businesses, municipal, car dealerships, data centers, office spaces, house of worship)

Veolia Energy- 2008-2013

• Energy commodity broker for large Commercial & Municipal Customers

• Clients included City of Boston, Biogen, EMC, Jones Lang LaSalle

State of CT Dept of Energy- custom build of energy database for all state buildings.
 Project Manager for 3-year contract including software development, data entry & analytics

Kinsley Power- Sold power plants to commercial clients (2013-2017)

Duke Power- VP of 450 MW Power Plant (1999-2000)

Nalco Company- Consultant to power plants (1992-2008) RECEIVED

9

25 MW to 400 MW

Natural Gas, Oil, Solar, Trash Burners

JUN 1 6 2020

U.S. Navy- Electrical Officer, Boilers Officer (1988-1992) PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

ATTACHMENT 10

What is Deregulation?

Put simply, there are two major components in providing electricity: "Generation" and "Transmission & Distribution".

<u>Generation</u>- The actual electricity used, measured in kW-hr. This will be provided by the EGS (Electrical Generation Supplier).

<u>Transmission/Distribution</u>- Transmission is the large transmission lines and towers that carry electricity over longer distances. Distribution is electric poles and wires that connect your home or business to the power grid. This is provided by your local EDC (Electrical Delivery Company....aka "the utility").

With deregulation, the consumer can select their Supplier (EGS). This allows you to shop & save money!

What should I consider when shopping for a supplier? RECEIVED

We would recommend you consider the following questions?

JUN 1 6 2020

- Are you currently in supply agreement?
- · When does your current agreement expire?

- PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU
- Do you want budget certainty (fixed price), or are you comfortable with variable price option (meaning your price could increase or decrease over time)?
- · Are you expecting to move or sell your building in the near term?
- If you have leased space, does your lease expire/renew in the next 36 months?
- Do you expect your electrical usage to stay the same? Increase? Decrease?
- Do you have multiple bills/accounts?
- Are you planning energy conservation projects to reduce your energy use?
- Are you planning to add any on-site power systems? (example solar panels)

When you speak with Aadvantage Power we'll assist you in selecting the price & terms that best meet your needs!

Key Definitions

<u>Fixed Price</u> - An all-inclusive per kWh price that will remain the same for the term of the contract. Depending on your State, you may have customer service charges, state/local taxes billed as separate line items on your monthly bill.
<u>Variable Price</u> -An all-inclusive per kWh price that can change according to the terms in the supplier's disclosure statement. If you select a variable rate, the rate may change with market conditions. So, if market prices increase, your rate may increase. If market prices drop, your rate may decrease.
Will be shown as either \$/kWh or ¢/kWh.
PA customers please note the State of PA charges a Gross Receipts Tax. Any pricing we display on our website will <u>INCLUDE</u> the price with GRT.
Contracts always start/end on the meter read date on your monthly bill.
Length of Contract, in months. For example, if you have a 12-month contract starting in May, the contract will expire in May the following year.
The EDC (meaning your local utility) typically requires 10 days to process new contracts PRIOR to the meter read date.
Aadvantage Power does not work with suppliers that require deposits.
Some suppliers may include early termination fees. In the event you are looking to cancel your agreement early, please contact Aadvantage Power so we can discuss your options.
The Terms of renewal will vary from supplier to supplier. Addvantage Power will typically contact you 45-90 days prior to expiration to provide you with renewal options.
Please note you can always contact Aadvantage Power with any questions regarding your bill or contract. Depending on your question, we may direct you to contact either your EDC (utility) or Supplier (your supply agreement).
This is the local utility that delivers the electricity to your property. They include monthly charges (Transmission & Distribution) in your monthly bill that are SEPARATE from your generation/supply costs. The EDC is responsible for any emergencies and/or outages. In the event of loss of power, or changes to your location/account you should contact the EDC customer service department listed on your bill.
AKA EGS (Electrical Generation Supplier). This is the company that you will contract with for your electrical pricing. If you have any questions on the supply portion of your bill you should contact the supplier directly. Their contact info will be listed in your monthly bill as well as on your supplier agreement.

Glossary of Terms (Electricity and Natural Gas)

- Account Number: Your account number should be clearly stated on your bill, and you should have this number readily available when contacting your utility to ensure faster service.
- Ancillary Services: These are additional services necessary to ensure transmission of energy from the site of generation to the consumer. These services are typically included as part of your energy bill.
- Base Bill: This is your charge. It is calculated by multiplying consumption by the rate you pay for electricity.
- Base Load: Electric plants must provide a minimum amount of electricity on a
 constant basis to ensure continual service. This is called the base load.
 Knowing your base load will allow you to make more accurate predictions
 about future use and expenses.
- Basic or Customer Charge: A flat fee covering routine business and administrative costs.
- **Basic Services**: These are services needed for the actual delivery of electricity. There are three basic services: generation, transmission and distribution. The generation is at the power plant, transmission is to regional facilities and distribution is to end-users.
- **Billing Period:** The total amount of days included in the billing cycle. It should also include the date of the previous meter reading and the next meter reading.
- BCF: BCF is an abbreviation for billion cubic feet. This term is used as a unit of measurement for natural gas.
- **BTU**: BTU is an abbreviation for British Thermal Unit, which is a traditional unit of heat. This is a unit of measurement that is roughly equivalent to the amount of heat required to raise the temperature of a pound of water by one degree Fahrenheit.
- Budget Billing: Budget billing allows you to pay a fixed amount for electricity each month. By averaging bills out over 12 months, each monthly bill is the same amount until the total bill is paid. The company may adjust the bill four times a year, up or down, depending on the customer's use.
- Cancellation Provisions: A contract clause allowing a party to break a contract, usually with a penalty.
- CCF: Equals the volume of 100 cubic feet of natural gas
- **Commission:** A Public Utility Commission (PUC) is a state regulatory agency that provides oversight, policy guidance and direction to public utilities.
- Consumer Contract: The written disclosure statement of the terms of service between a customer and an electric supplier.
- Customer Name and Mailing Address: Your name (or the name of the person responsible for the account) should appear on the bill, along with the mailing address (which may be different than the actual service address).
- Daily Peak: The daily peak is the greatest amount of electricity used during a
 certain period of a day. It can be measured in various intervals, such as
 hourly, every half-hour or quarter hour.

- Demand: Demand is the amount of electricity that you use. It can refer to your consumption at any given moment. It can also be calculated as an average over time. Demand is typically expressed in kilowatts or megawatts.
- Deregulation: In the energy industry, deregulation refers to the separating of electric service charges into generation, transmission, distribution, and other services. This permits customers to buy generation services from competing suppliers.
- Direct Access: Direct access is an arrangement that gives consumers the power to buy electricity from any supplier in their market and use their utility's transmission and distribution network.
- Distribution: The delivery of electricity to your home or business.
- **Distribution Charge:** This charge is to compensate your local utility for the costs associated with transporting and delivering power to your home. It can also include charges for administering your account services, like maintenance, repairs, meter reading and emergency services.
- Due Date: The date by which your total amount due must be paid. Most utility
 companies assess a late payment charge if full payment is not received by the
 due date, so be sure to submit your payment in a timely fashion.
- Electric Generation Supplier (EGS): A corporation, generator, broker, marketer, aggregator or any other entity licensed by the PUC that sells electricity to customers, using the transmission or distribution facilities of an electric distribution company (EDC).
- Energy Service Company (ESCO): A company offering specialized or customized energy services by providing advice and products to reduce customer consumption and bills.
- Extra Fees and Surcharges Your bill may include miscellaneous charges
 for a wide variety of things such as upgrades to infrastructure, installation of
 smart meters, the use of renewable energy and more. Pay close attention to
 this category to make sure you understand what fees you are paying and why
 those fees are being applied to your account. Do not hesitate to contact your
 utility's customer service department should you have questions about any of
 the charges on your bill.
- Fixed Rate: An all-inclusive per kWh price that will remain the same for a stated number of billing cycles. The time period may vary by state.
- Flat Rate: A fixed charge for goods and services that does not vary with changes in the amount used, volume consumed, or units purchased.
- Generation: The production of electricity.
- **Generation Charge:** The charge for producing electricity. Generation service is competitively priced and is not regulated by a Public Utility Commission. If you purchase electricity from an electric supplier, your generation charge will depend on the contract between you and your supplier.
- **Green Energy/Power:** Also called Renewable Energy. Energy produced or harnessed in an environmentally responsible manner.
- Grid: A network for the transmission of electricity throughout the state, region or nation. The term is also used to refer to the layout of an electric distribution system.

- **Gross Receipts:** The total revenue for a calendar year for all electric distribution companies and electric suppliers, which come from the sales of electric energy.
- **Hourly Metering:** The tracking or recording of a customer's energy consumption in hourly time frames that can be tied to the price of energy.
- Interruptible Rate: A special utility rate given to those who agree to have their service reduced or temporarily stopped as part of an agreement with their electric supplier. Circumstances for service interruptions can be periods of high demand or high cost periods of short supply for the utility and/or system emergencies.
- Investor Owned Utility (IOU): An electric utility owned and operated by private investors.
- Kilowatt (kW): (1) A measure of demand for power during a preset time—minutes, hours, days, months; (2) 1,000 watts. A 100-watt light bulb used for 10 hours is equivalent to a kilowatt.
- **Kilowatt-hour (kWh):** The basic unit of electric energy for which most customers are charged in cents per kilowatt-hour. A kilowatt-hour is the equivalent of using ten 100-watt light bulbs for one hour.
- LNG: LNG stands for liquefied natural gas. It can both generate electricity or be used as a fuel. By cooling natural gas to negative 260 degrees Fahrenheit, the gas enters the liquid state.
- Load Factor: A calculation that compares your total usage of electricity in a month (in kW-hr) versus the highest usage at any time during the same time period(aka- demand). Many EGS require a minimum Load Factor to qualify for 3rd party supply contract.
- Load Management: Shifting use of electricity from periods of high demand to periods of lower demand.
- MCF: equals the volume of 1,000 cubic feet of natural gas. M is the Roman numeral for 1,000.
- **Meter Number(s):** the identification number of the meter used to record your home energy usage. Your home may use more than one meter, and therefore your bill may include multiple numbers.
- **Meter and Usage:** This section of the bill provides details related to the use of energy in your home during the billing period. It may also include your usage history, comparing this billing period to past periods.
- PTC (Price-to-compare): The price per kWh that the utility will charge.
- Public Utility Commission (PUC): The Public Utility Commission (PUC) is the state regulatory agency that provides oversight, policy guidance and direction to public utilities.
- Purchased Power Adjustment: This is a clause in rate schedules that allows for adjustments to bills. This adjustment happens when energy from another electric system is acquired. For instance, you can generate electricity yourself with an on-site renewable energy system.
- Rate Class: There are several different rate types including residential, commercial and industrial. Your home energy bill should be classified as residential.
- Real-Time Pricing: Rates that reflect the actual moment-by-moment cost of providing electricity.

- Reliability: The providing of adequate and dependable generation, transmission and distribution service.
- Renewable Energy: Also called Green Energy/Power. Energy produced or harnessed in an environmentally responsible manner.
- Renewal Notice: Suppliers typically send out an initial renewal notice about 45 to 90 days prior to the contract's expiration date as a reminder that the contract is near the end date. This time period may vary by state.
- Supply Charge: The amount you are charged for actual energy consumed in your home. It generally will make up the largest portion of your overall bill. This charge takes into account your energy usage and the costs needed to generate that energy. It is measured in kilowatt hours (kWh) for electricity and in therms (TH), hundred cubic feet (Ccf) or thousand cubic feet (Mcf) for natural gas. This value allows you to see the rate you are charged per unit of energy consumed.
- Taxes: Any federal, state and local taxes will be included on your bill.
- Third Party Verification (TPV): This is a computerized recording where the customer has to answer specific yes or no questions, which in turn verifies that the customer is enrolling their account(s) on their own volition and that it is being done in accordance to the PUC rules. It's confirming overall the customer's decision to enroll their account(s).
- Therm (TH): A unit_for measuring heat, especially when calculating amounts of gas used. It is roughly the same as 100 cubic feet or 1 CCF.
- **Time of Use:** The tracking or recording of a customer's energy consumption during specific periods of time that can be tied to the price of energy.
- Total Amount Due: The combined amount of your supply and distribution charges, taxes and all other fees that must be paid by the due date.
- Variable Rate: An all-inclusive per kWh price that can change by the hour, day, month, etc. according to the terms and conditions in the supplier's disclosure statement.

PROOF OF PUBLICATION In THE ERIE TIMES-NEWS

COMBINATION EDITION

Aadvantage Power 6 Fairfield Lane Simsbury CT 06070

REFERENCE:

99964 398037

PUC Notice

STATE OF PENNSYLVANIA) COUNTY OF ERIE) SS:

Jennifer L. Trott, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, a true and correct copy of which is attached, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 03/12/20

TOTAL COST: \$409.00

AD SPACE: 0 Lines

FILED ON: 03/12/20

RECEIVED

JUN 16 2020

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of <u>Aadvantage Power</u> for Approval To Offer, Render, Furnish Or Supply Electricity As A **Marketer/Broker** Engaged In The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.

Advantage Power will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/, marketer engaged in the business of supplying electricity. Advantage Power proposes to sell electricity and related services in all EDCs within the Commonwealth of PA under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Aadvantage Power may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Aadvantage Power at the address listed below.

Aadvantage Power

6 Fairfield Ln, Simsbury CT 06070 860-500-0693 (p) 860-371-3856 (f)

EN398017

Sworn to and subscribed before me this 12th day of March 202

Affiant:

NOTAR

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL
Barbara J. Moore, Notary Public
City of Erie, Erie County
My Commission Expires March 23, 2020
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

Proof of Publication in The Philadelphia Daily News Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA COUNTY OF PHILADELPHIA

Helene Sweeney being duly sworn, deposes and says that The Philadelphia Daily News is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

March 11, 2020

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Helene Sevee

Sworn to and subscribed before me this 11th day of March 2020\.

My Commission Expires:

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL CHOY JAKUBOWSKI, Notary Public City of Philadelphia, Phila. County Commission Expires November 10, 2020

Copy of Notice of Publication

PENNSYLVANIA FUBLIC UTILITY COMMISSION NOTICE
Application of Asdvantage Power for Approval To Offer. Render, Furnish or Supply Electricity To Offer. Render, Furnish or Supply Electricity As A Markeley/Broker Engaged in The Business of Supplying Electricity To The Public in The Community Programmer Power will be filling an application with the Pennsylvania-Public Utility Commission (TPUCT) for a license to supply electricity or electric securic engaged in the business of supplying electricity. Asdvantage Power proposes to selicition and the Community of Power proposes to selicition. Asdvantage Power proposes to selicition. Asdvantage Power proposes to selicition. Asdvantage Power for the provisions of the new Electricity Generation Customer Choice and Composition Act.
The PUC my consider this application without a hearing, protests directed to the technical or financial financial interest directed to the technical or financial interest of Asdvantage Power may be financial interest of the PUC, 400 North Street, Harrisburg, PA 1720. You should send copless of any protest to Asdvantage Power at the address listed below.

bury,
protest to Aedvantage
protest to Aedvantage
listed below,
listed below,
B Fairfield Ln, Simebury CT
860-500-0893 (p) 860-371

3/2

		No		Term,
	f of Publication of No proved May 16, 1929, PL 17			
Commonwealth of Pennsylvania, Pittsburgh Post-Gazette, a newspa established in 1993 by the mergin Gazette and Sun-Telegraph was Pittsburgh Gazette established in been regularly issued in said Couprinted and published in the newspaper of general circulation of	per of general circulation publing of the Pittsburgh Post-Gazet established in 1960 and the Pittsburgh Post, early and that a copy of said pregular	shed in the City of Pittsbi te and Sun-Telegraph an hittsburgh Post-Gazette v stablished in 1842, since rinted notice or publicat	urgh, County and Co d The Pittsburgh Pr was established in I which date the said ion is attached here	ommonwealth aforesaid, was ess and the Pittsburgh Post- 927 by the merging of the Pittsburgh Post-Gazette has
12 of March, 2020 Affiant further deposes that he/she that, as such agent, affiant is duly of the afore said notice or publica true.	authorized to verify the foregoi	ng statement under oath,	that affiant is not int	erested in the subject matter
	00.11			OR PUBLICATION
Swom t	PG Publishing Company o and subscribed before me thi	s day of:		PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
	2,2020 26th RC	mua		Application of Aadvantage Power for Approval To Offer, Render, Furnish Or Supply Electricity As A Marketer/Broker Engaged In The Business Of Supplying Electricity To The Public In The
Co	mmonwealth of Pennsylvania - Notan Elizabeth R. Chmura, Notary Publ Allegheny County	/ Seal		Commonwealth Of Pennsylvania. Aadvantage Power will be filing an application with the
<u>!</u>	Commission expires February 8, 2 Commission number 1326781 mber, Pennsylvania Association of Not	(Perinsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as
Aadvai 6 Fai:	MENT OF ADVERTISING tage Power rfield Lane			a broker/marketer engaged in the business of supplying efectricity. Aadvantage Power proposes to sell electricity and related services in all EDCs
Simsb	•			within the Commonwealth of PA under the provisions of the new Electricity Generation
	To PG Publishing Compa	ny		Customer Choice and Competition Act.
Total		- \$356.50		The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of
Published PG PUBLISHING COMPA of general circulation, hereb publication costs and certifi	y acknowledges receipt o	burgh Post-Gazette, f the aforsaid adverti	* *	Aadvantage Power may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA
Office 2201 Sweeney Drive CLINTON, PA 15026	PG Publishing Company, a Pittsburgh Post-Gazette, a	Newspaper of General Ci		17120. You should send copies of any protest to Aadvantage Power at the address listed below.
Phone 412-263-1338	By Label	Carryon J. Arbutina		Aadvantage Power 6 Fairfield Ln,
I hereby certify that the foregoing i subject matter of said notice.	s the original Proof of Publication	n and receipt for the Adve	ertising costs in the	Sirnsbury CT 06070 860-500-0693 (p) 860-371-3856 (f)
		Attorney For		



The Patriot News **LEGAL AFFIDAVIT**

AD#: 0009543604

Commonwealth of Pennsylvania,) ss

County of Cumberland)

Victoria Soto being duly swom, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 03/10/2020

Victoria Soto Principal Clerk of the Publisher

Sworn to and subscribed before me this 12th day of March 2020

Notary Public

PENNSYLVANIA PUBLIC UTILITY
COMMISSION NOTICE
Application of <u>Andvantage Power</u> for Approval To Offer, Render, Furnish Or Supply Electricity As A Marketer/Broker Engaged in The Business Of Supplying Electricity To The Public in The Commonwealth Of Pennsylvania. Pennsylvania.

Addyantage Power will be tiling an opplication with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity.
Addvantage Power proposes to sell electricity and related services in all EDCs within the Commonwealth of PA under the provisions of the new Electricity Generation Customer Choice and Competition Act. The PUC may consider this

application without a hearing. Protests directed to the technical or financial filness of Aadvantage Power may be filed within 15 days of the date at this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Addvantage Power at the address listed

Addvantage Power 6 Fairfield Ln, Simsbury CT 06070 860-500-0693 (p) 860-371-3856 (f)

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL

Crystal B. Rosensteel, Notary Public Susquenanna Twp., Dauphin County My Commission Expires June 27, 2020

MEMBER, PENHBYLVANIA ASSOCIATION OF NOTARIES

(Under act P.L. 877 No 160. July 9, 1976) The Scranton Times

Commonwealth of Pennsylvania, County of Lackawanna

AADVANTAGE POWER JIM KOONTZ 6 FAIRFIELD LN SIMSBURY CT 06070

Account # 637262 Order # 82428681 Ad Price: 201.10

LEGAL NOTICE PENNSYLVANIA

Lisa Burke

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

03/12/2020

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true

LEGAL NOTICE

Sworn and subscribed to before me this 12th day of March A.D., 2020

(Notary Public)

Commonwealth of Pennsylvania - Notary Seal Sharon Venturi, Notary Public Lackawanna County My commission expires February 12, 2022 Commission number 1254228

Member, Pennsylvania Association of Notaries

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

PENNSYLVANIA PUBLIC
UTILITY COMMISSION NOTICE
Application of Aadvantage Power
for Approval To Offer, Render, Furnish Or Supply Electricity As A Marketer/Broker Engaged In The Business Of Supplying Electricity To The
Public In The Commonwealth Of
Pennsylvania.
Aadvantage Power will be filing
an application with the Pennsylvania Public Utility Commission
("PUC") for a license to supply electricity or electric generation services
as a broker/marketer engaged in the
business of supplying electricity,
Aadvantage Power proposes to
sell electricity and related services
in all EDCs within the Commonwealth of PA under the provisions of
the new Electricity Generation Customer Choice and Competition Act:
The PUC may consider this application without a hearing, Protests directed to the technical or financial
fitness of Aadvantage Power may
be filed within 15 days of the date of
this notice with the Secretary of the
PUC, 400 North Street, Harrisburg,
PA 17120. You should send copies
of any protest to Aadvantage of any protest to Andvantage Power at the address listed below.

Advantage Power
6 Fairfield Ln., Simsbury, CT 06070
860-500-0693 (phone)
860-371-3856 (fax)

PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Robert O. Rolley, Jr., Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

March 11, 2020

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of Aadvantage Power for Approval To Offer, Render, Furnish Or Supply Electricity As A Marketer/Broker Engaged In The Business Of Supplying Electricity To The Public In The Commonwealth Of Pennsylvania.

Aedvantage Power will be filling an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Aedvantage Power proposes to sell electricity and related services in all EDCs within the Commonwealth of PA under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial filtness of Aadvantage Power may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Aadvantage Power at the address listed below.

Aadvantage Power 6 Fairfield Ln, Simsbury CT 06070 860-500-0693 (p) 860-371-3856 (f) SUN-GAZETTE LLC

Sworn to and subscribed before me

The 12 to day of March

20 **3C**

COMMONWEALTH OF PENNSYLVANIA

Notary Public

NOTARIAL SEAL BETH A MILLER Notary Public

CITY OF WILLIAMSPORT, LYCOMING COUNTY My Commission_Expires Apr 18, 2020

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates.......\$ 204.56

Probated same.....\$

Total......\$ 204, 56

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Robert O. Rollev, Jr.

COMMONWEALTH OF PENNSYLVANIA **County of Cambria**

	PENI	ISYLVANIA	
PUBLIC	UTILITY	COMMISSION	NOTICE

Application of <u>Andvantage Power</u> for Approval To Offer, Render, Furnish Or Supply Electricity As A <u>Marketer/Broker Engaged in The Business Of Supplying Electricity</u> To The Public in The Commonwealth Of Pennsylvania.

Advantage Power will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to supply electricity or electric generation services as a broker/marketer engaged in the business of supplying electricity. Aadvantage Power proposes to sell electricity and related services in all EDCs within the Commonwealth of PA under the provisions of the new Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Aadvantage Power may be lifed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Aadvantage Power at the address listed below.

On this 18th day of March A.D. 2020, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Mary Anne Rizzo, who being duly sworn according to law, deposes and says as Advertising Director of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

Aadvantage Power 6 Fairfield Ln, Simsbury CT 06070 860-500-0693 (p) 860-371-3856 (f) published y of Cambria, and Commonwealth of Pennsylvania and that the annexea is a true copy of a nonce in the above matter published in said publication in the regular issues of The Johnstown Tribune-Democrat, Johnstown, PA, on March 17, 2020; and that the Affiant is not interested in the subject matter of said notice or advertising and that all of the allegations as to time, place and character of said publication are true.

Signed and sworn to before me on 18th day of March, 2020.

by Mary Anne Rizzo making the statement.

0.00 Lines @ \$2.50 per line	0.00
5 Inches @ \$25.00 per inch	125.00
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	132.50

Commonwealth of Pennsylvania - Notary Seal Vivian Ohs, Notary Public Cambria County My commission expires December 6, 2020

Commission number 1123017 Member, Pennsylvania Association of Notaries To The Tribune-Democrat, Johnstown, PA For publishing the notice or publication attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

for publisher of

a newspaper of general circulation, here and publication costs and certifies	
	(Name of Newspaper)
Ву_	

Appendix C

ATTACHMENT 5

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

CERTIFICATE OF SERVICE

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Regulatory Affairs Duquesne Light Company 411 Seventh Street, MD 16-4 Pittsburgh, PA 15219

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

RECEIVED

JUN 16 2020

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Vice President – Energy Supply Corning Natural Gas Holding Corporation 330 West William Street Corning, NY 14830

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

Office of General Counsel Attn: Kimberly A. Klock PPL

Two North Ninth Street (GENTW3) Allentown, PA 18101-1179

UGI Utilities, Inc.

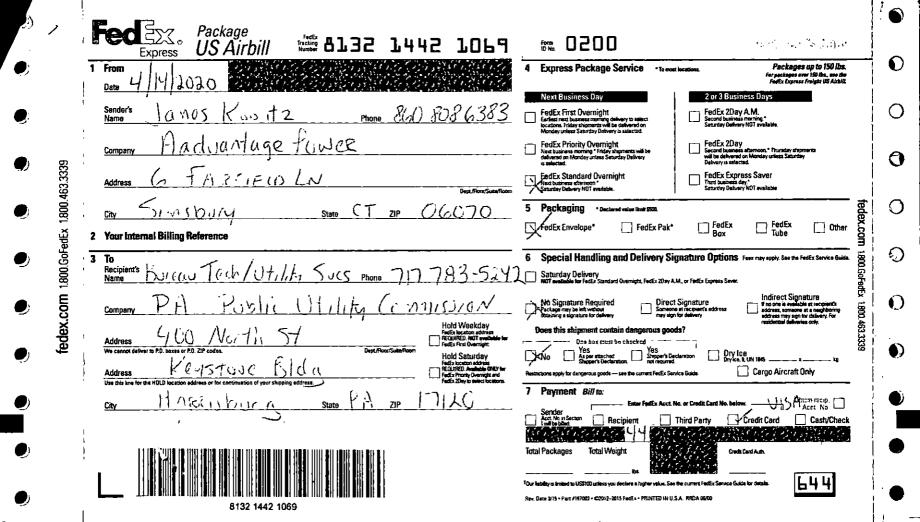
Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360

Post Office Box 12677 Reading, Pa 19612-2677

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street

P. O. Box 138 Wellsporo, PA 1690#

James Koontz, Owner Aadvantage Power



Peel-and-Stick FedEx Express Package US Airbill

- 1. Complete front page of the airbill.
- 2. Retain "Sender's Copy" for your records.
- 3. Remove label backing.
- Adhere airbill to front of package. Please DO NOT remove "FedEx Copy."

PEEL FROM THIS CORNER.