

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of **RESOURCE ENERGY SOLUTIONS, LLC**, d/b/a n/a, for approval to offer, render, furnish, or supply natural gas supply services as a(n) **broker/marketer** (as specified in item #4b below) to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name *(including any fictitious name or d/b/a)*, primary address, web address, and telephone number of Applicant:

Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905
www.resourceenergy.com
855-300-4113

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

C T Corporation System
600 North 2nd Street
Suite 401
Harrisburg, PA 17101
717-234-6004

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Richard Plutzer, CFO
4 High Ridge Park, Suite 202
Stamford, CT 06905
Phone (201) 937-4210
rplutzer@resourceenergy.com
Fax (203) 724-4275

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Richard Plutzer, CFO of Applicant, is also an attorney. No outside attorney will be utilized.

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company,

the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSSs.

Richard Plutzer, Secretary, Treasurer, CFO and Member
4 High Ridge Park, Suite 202
Stamford, CT 06905
Phone (201) 937-4210
rplutzer@resourceenergy.com
Fax (203) 724-4275

Scott Reinstein, CEO and Member
4 High Ridge Park, Suite 202
Stamford, CT 06905
Phone (818) 306-5823
sreinstein@resourceenergy.com
Fax (203) 724-4275

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. FICTITIOUS NAME: *(Select appropriate statement and provide supporting documentation as listed.)*

☐ The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State
Pursuant to 54 Pa. C.S. §311.

Or

X The Applicant will not be using a fictitious name.

b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

☐ The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

Or

☐ The Applicant is a:

- ☐ domestic general partnership (*)
- ☐ domestic limited partnership (15 Pa. C.S. §8511)
- ☐ foreign general or limited partnership (15 Pa. C.S. §4124)
- ☐ domestic limited liability partnership (15 Pa. C.S. §8201)
- ☐ foreign limited liability general partnership (15 Pa. C.S. §8211)
- ☐ foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

or

☐ The Applicant is a:

- ☐ domestic corporation (15 Pa. C.S. §1308)
- ☐ foreign corporation (15 Pa. C.S. §4124)
- ☐ domestic limited liability company (15 Pa. C.S. §8913)
- X foreign limited liability company (15 Pa. C.S. §8981)
- ☐ Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

Please see the attachments.

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Please see the attachment.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Please see the attachment.

4. OPERATIONS

- a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of an natural gas distribution company
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

☐ The Applicant is presently doing business in Pennsylvania as a

- ☐ natural gas interstate pipeline
- ☐ municipality providing service outside its municipal limits
- ☐ local gas distribution company
- ☐ retail supplier of natural gas services in the Commonwealth
- ☐ a natural gas producer
- ☐ a broker/marketer engaged in the business of supplying natural gas services
- ☐ Other. (Identify the nature of service being rendered)

or

X The Applicant is not presently doing business in Pennsylvania.

- b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- ☐ Supplier or Aggregator of natural gas services
- ☐ Municipal supplier of natural gas services
- ☐ Cooperative supplier of natural gas services
- X Broker/Marketer engaged in the business of supplying natural gas services
- X Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- ☐ Other (Describe):

- c. **PROPOSED SERVICES:** Describe in detail the natural gas supply services which the Applicant proposes to offer.

Please see the attachment.

- d. **PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- | | |
|--|---|
| <input type="checkbox"/> Columbia | <input type="checkbox"/> Peoples Gas Company |
| <input type="checkbox"/> National Fuel Gas | <input type="checkbox"/> Philadelphia Gas Works |
| <input type="checkbox"/> PECO | <input type="checkbox"/> UGI Utilities – Gas Division |
| <input type="checkbox"/> Peoples Natural Gas Company | <input type="checkbox"/> Valley Energy |
| <input type="checkbox"/> Peoples Natural Gas – Equitable Div | |

X All of the above

- e. **CUSTOMERS:** Applicant proposes to provide services to:

- | | |
|--------------------------|--|
| <input type="checkbox"/> | Residential Customers |
| X | Small Commercial Customers - (Less than 6,000 Mcf annually) |
| <input type="checkbox"/> | Residential and Small Commercial as Mixed Meter <u>ONLY</u> (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE) |
| X | Large Commercial Customers - (6,000 Mcf or more annually) |
| X | Industrial Customers |
| <input type="checkbox"/> | Governmental Customers |
| <input type="checkbox"/> | All of above (Except Mixed Meter) |
| <input type="checkbox"/> | Other (Describe): |

- f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

Please see the attachment.

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Please see the attachment.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Please see the attachment.

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

Please see the attachment.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

- b.) **NGDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

Columbia Gas of PA, Inc. Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: mcaddell@nisource.com	
Peoples Natural Gas Company LLC – Equitable Division Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com	National Fuel Gas Distribution Corp. Joanne E. Maciok 6363 Main Street Williamsville, NY 14221 PH: 716.857.7670 FAX: 716.857.7479 e-mail: maciokj@natfuel.com
Peoples Natural Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com	PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com
Peoples Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com	Philadelphia Gas Works Ryan Reeves, Director Supply Transportation & Control 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.787.5103 email: pgwchoicesupply@pgworks.com
Valley Energy Inc. Ed Rogers 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: erogers@ctenterprises.org	UGI Utilities, Inc. – Gas Division David Lahoff 1 UGI Drive Denver, PA 17517 PH: 610.796.3520 Email: dlahoff@ugi.com

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require. The contact information is located in Section 6.b.

b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Payment of fees will issue from the natural gas distribution companies.

e. ACCOUNTING RECORDS CUSTODIAN: Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Please see the attachment.

f. TAXATION: Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

a. EXPERIENCE, PLAN, STRUCTURE: such information may include:

- Applicant's previous experience in the natural gas industry.
- Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

Please see the attachments.

b. PROPOSED MARKETING METHOD (check all that apply)

- ☒ Internal – Applicant will use its own internal resources/employees for marketing
- ☐ External NGS – Applicant will contract with a **PUC LICENSED NGS**
- ☐ Affiliate – Applicant will use a **NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant**
- ☐ External Third-Party – Applicant will contract with a **NON-NGS third party nontraditional marketer and/or non-selling marketer**
- ☐ Other (Describe):

c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

- ☐ Yes
- ☒ No

If yes, will the Applicant be using verification procedures?

- ☐ Yes

☒ No

If yes, describe the Applicant's verification procedures.

- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Please see the attachments.

- e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Please see the attachments.

9. DISCLOSURE STATEMENT:

(Not applicable for an applicant applying for a license exclusively as a broker/marketer.)

DISCLOSURE STATEMENTS: If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

- Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.

X AGREED

- b. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission:

- Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30th following the end of the calendar year per 52 Pa. Code § 62.110.

X AGREED

- c. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.

X AGREED

- d. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling natural gas in the Commonwealth of PA, and a supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

X ACKNOWLEDGED

- e. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.

X AGREED

- f. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

X AGREED

- g. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.

X AGREED

- h. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

X AGREED

- i. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

X PAYMENT ENCLOSED

11. AFFIDAVITS
(All affidavits must be notarized before filing.)

- a.) **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.
- b.) **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

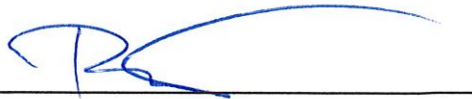
The chart below dictates which newspapers are necessary for each NGDC. For example, an applicant that wants to operate in Peoples – Equitable would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Columbia Gas	X	X		X		X	X
National Fuel Gas	X			X			
PECO			X				
Peoples - Equitable	X			X			
Peoples Natural Gas	X			X			X
Peoples Gas Company				X			
Philadelphia Gas Works			X				
UGI Utilities – Gas Div.	X	X	X	X	X	X	X
Valley Energy					X	X	
Entire Commonwealth	X	X	X	X	X	X	X

13. SIGNATURE

Applicant::



By: Richard Plutzer

Title: Chief Financial Officer, Secretary, Treasurer and Member

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

Applicant: RESOURCE ENERGY SOLUTIONS, LLC

Applicant's Use		Signature	
		Filing Fee (ONLY CERTIFIED CHECK OR MONEY ORDER)	
		Application Affidavit	
		Operations Affidavit	
		Proof of Publication	
		Tax Certification Statement	
		Commonwealth Department of State Verification	
		Certificate of Service	

PUC Secretary's Bureau Use

Appendix A

APPLICATION AFFIDAVIT

State of Connecticut :

:ss. Stamford

County of Fairfield :

RICHARD PLUTZER, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He is the CHIEF FINANCIAL OFFICER, SECRETARY AND TREASURER (Office of Affiant) of

RESOURCE ENERGY SOLUTIONS, LLC (Name of Applicant);]

That he is authorized to and does make this affidavit for said Applicant;

That the Applicant herein has the burden of producing information and supporting

documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

That the Applicant herein RESOURCE ENERGY SOLUTIONS, LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein RESOURCE ENERGY SOLUTIONS, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein RESOURCE ENERGY SOLUTIONS, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Signature of Affiant, RICHARD PLUTZER

Sworn and subscribed before me this 13th day of Aug, 2020.

Signature of official administering oath

My commission expires

PAUL LY
NOTARY PUBLIC
MY COMMISSION EXPIRES OCT. 31, 2023

Appendix B

OPERATIONS AFFIDAVIT

State of Connecticut :

: ss. Stamford

County of Fairfield: :

RICHARD PLUTZER, Affiant, being duly [sworn/affirmed] according to law,
deposes and says that:

He is the CHIEF FINANCIAL OFFICER, SECRETARY AND TREASURER (Office of Affiant) of
RESOURCE ENERGY SOLUTIONS, LLC
(Name of Applicant);]

That he is authorized to and does make this affidavit for said Applicant;

That RESOURCE ENERGY SOLUTIONS, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That RESOURCE ENERGY SOLUTIONS, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That RESOURCE ENERGY SOLUTIONS, LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

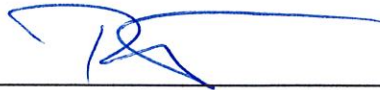
Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That **RESOURCE ENERGY SOLUTIONS, LLC**, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 13th day of August, 2020.



Signature of official administering oath

My commission expires PAUL LY
NOTARY PUBLIC
MY COMMISSION EXPIRES OCT. 31, 2023

Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

CERTIFICATE OF SERVICE TEMPLATE

On this the 3rd day of September 2020, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120	Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120
Office of the Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101	Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946
Columbia Gas of PA, Inc. Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: mcaddell@nisource.com	Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120
Peoples Natural Gas Company LLC – Equitable Division Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com	National Fuel Gas Distribution Corp. Joanne E. Maciok 6363 Main Street Williamsville, NY 14221 PH: 716.857.7670 FAX: 716.857.7479 e-mail: maciokj@natfuel.com
Peoples Natural Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com	PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com
Peoples Gas Company LLC Carol Scanlon 375 North Shore Drive	Philadelphia Gas Works Ryan Reeves, Director Supply Transportation & Control

Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com	800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.787.5103 email: pgwchoicesupply@pgworks.com
Valley Energy Inc. Ed Rogers 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: erogers@ctenterprises.org	UGI Utilities, Inc. – Gas Division David Lahoff 1 UGI Drive Denver, PA 17517 PH: 610.796.3520 Email: dlahoff@ugi.com

Richard Plutzer, Chief Financial Officer,
Secretary and Treasurer of
RESOURCE ENERGY SOLUTIONS, LLC

RESOURCE ENERGY SOLUTIONS, LLC

ATTACHMENT TO APPLICATION FORM FOR PARTIES WISHING TO OFFER, RENDER, FURNISH OR SUPPLY
NATURAL GAS SUPPLY SERVICES TO THE PUBLIC IN THE COMMONWEALTH OF PENNSYLVANIA

ITEM 2b.

Proof of compliance with Department of State filing requirements is attached.

Applicant is incorporated under the laws of the state of Delaware. A copy of Applicant's charter documentation is attached.

Members/officers of the applicant, limited liability company:

Steven Schlussel, President and Member
4 High Ridge Park, Suite 202
Stamford, CT 06905

Scott Reinstein, Chief Executive Officer and Member
4 High Ridge Park, Suite 202
Stamford, CT 06905

Richard Plutzer, Secretary, Treasurer and Member
4 High Ridge Park, Suite 202
Stamford, CT 06905

ITEM 3a.

AFFILIATE CURRENTLY DOING BUSINESS

The following affiliate is currently doing business, is not a jurisdictional public utility and is not currently applying to do business in Pennsylvania as it holds a license as Broker/Marketer in the state:

Resource Energy Systems, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905

RESOURCE ENERGY SOLUTIONS, LLC

ATTACHMENT TO APPLICATION FORM FOR PARTIES WISHING TO OFFER, RENDER, FURNISH OR SUPPLY
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-continued-

ITEM 3b.

PREDECESSORS

Applicant has no predecessors and has not operated within the preceding five years.

ITEM 4a.

APPLICANT'S PRESENT OPERATIONS

Applicant intends to commence operations as a Broker/Marketer – an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas – upon approval of its application.

ITEM 4c.

Applicant proposes to act as a broker/marketer of natural gas services.

ITEM 4f.

Applicant proposes to actively market within the Commonwealth upon approval of its application.

ITEM 5a. and 5b.

Neither Applicant, an affiliate, a predecessor of an affiliate or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

ITEM 5c.

No EGS or NGS licenses were previously cancelled by the Commission. The Applicant has no actions or complaints to list.

RESOURCE ENERGY SOLUTIONS, LLC

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-continued-

ITEM 7a.

Bonding. Applicant is ready to supply a bond in the amount of \$10,000 or other reasonable amount as the Pennsylvania Public Utility Commission may require. As Applicant will not take title to gas at any time, will not pay gas bills on behalf of its customers and will not serve as an alternate gas supplier, it believes its services do not rise to the level of supplying bonds to the natural gas distribution companies listed in this section of the application. Applicant will rely on the Public Service Commission to determine bonding requirements, if any.

ITEM 7b.

FINANCIAL RECORDS, STATEMENTS, AND RATINGS

Actual or proposed organizational structure including parent, affiliated or subsidiary companies:

Applicant does not have a parent or a subsidiary company and none are planned. It has an affiliate, Resource Energy Systems, LLC, which is related through its similar management.

Published applicant or parent company financial and credit information (i.e. 10Q or 10K); SEC/EDGAR web addresses are sufficient):

Applicant is a privately held limited liability company without a parent so it does not publish financial and credit information.

Applicant's accounting statements, including balance sheet and income statements for the past two years.

Please see the attachments.

Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.

Applicant does not carry any debt whatsoever and does not have a credit rating or report.

RESOURCE ENERGY SOLUTIONS, LLC

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-continued-

A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.

Applicant carries general liability and umbrella liability insurance at various coverage levels. Applicant is ready to supply evidence of insurance which names the Public Utility Commission as certificate holder should that be required.

Audited financial statements exhibiting accounts over a minimum two-year period.

Please see the attachment.

Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

Please see the attachment.

ITEM 7d.

As a broker/marketer, Applicant will collect fees via CUSTOMERS OR SUPPLY COMPANY.

ITEM 7e.

Applicant's accounting records custodian is:

Richard Plutzer, Secretary, Treasurer and Member
4 High Ridge Park, Suite 202
Stamford, CT 06905
Email: rplutzer@resourceenergy.com
Phone: (201) 937-4210
Cell: (201) 937-4210
Fax: (203) 724-4275

RESOURCE ENERGY SOLUTIONS, LLC

ATTACHMENT TO APPLICATION FORM FOR PARTIES WISHING TO OFFER, RENDER, FURNISH OR SUPPLY
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-continued-

ITEM 8a.

EXPERIENCE, PLAN STRUCTURE

Applicant's previous experience in the electricity industry:

Statement of technical and managerial competency:

Resource Energy Solutions (RESOL) intends to offer real estate companies the opportunity to buy gas using RESOL's services where RESOL would serve as broker on behalf of third-party energy suppliers in Maryland. Such real estate companies have properties located throughout the country in as many as 25 different states.

The company's management team of Steven Schlusel, Scott Reinstein and Richard Plutzer together have a combined 100 years of experience in the operations of commercial real estate including decades in energy-related matters while employed in senior positions at commercial real estate and energy companies. Their duties in such roles in the commercial real estate industry included buying energy for a wide portfolio of properties totaling more than 180,000,000 kilowatt hours of electricity annually. In addition, their responsibilities included buying natural gas for dozens of properties across the nation.

Steven Schlusel, President of the company, has extensive knowledge of commercial real estate and retail space having spent 25 years providing services in such areas. He has intricate knowledge of commercial real estate operations and the role energy costs play in such operations. He has advised large retail chains on industry leading energy management solutions in many parts of the country, and he is one of the leading proponents for the deployment of solar energy systems and fuel cell technology in commercial real estate in order to reduce and control energy costs and minimize damage to the environment.

Steven is the founder and former president of International Environmental Management, Inc. (IEM) which provides waste and recycling management services across the nation to over 500 regional shopping centers and their more than 45,000 tenants. Steven founded IEM in 1992 and guided IEM through the sale of the company in 2006 to Oakleaf Global Holdings, Inc. (OGHI), a portfolio company of the charterhouse Group, a private equity firm. He served on the Board of Directors of OGHI during a period of rapid growth for OGHI in its sales and profitability as well as a period during which OGHI introduced new services and products for the waste management industry.

Steven continued to serve on the Board of OGHI until its sale in 2007 to an affiliate of New Mountain Capital for \$655,000,000. At the time of the sale, OGHI had consolidated revenues of approximately

RESOURCE ENERGY SOLUTIONS, LLC

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-continued-

\$600,000,000 per year. IEM's operating results comprised a substantial portion of OGH's overall earnings.

Prior to starting OEM, Steven was a commercial real estate broker with Edward S. Gordon Co. Steven has an undergraduate degree in Management and an MBA in Finance, both from New York University.

Scott Reinstein, Chief Executive Officer of the company, was formerly Vice President National Operations in charge of energy for all 40,000,000 square feet of commercial space owned by the Westfield Group where he worked for nearly two decades. In his role, he bought energy nationally for years and was responsible for development and implementation of a company-wide energy procurement and management plan that was extremely successful in controlling costs for Westfield Group and directed all components of a shopping center expansion project most notably a \$500,000,000 expansion in Los Angeles which was completed under budget and ahead of schedule. His responsibilities included entitlement, planning budgeting and full oversight of project management, construction, leasing, design and marketing. Scott has lectured on energy procurement and management in the real estate industry and is one of the leading experts in the nation based on his achievements at Westfield Group and in energy procurement for the shopping center and retail industries. Customers of the company will have Scott's ability to do the same for them as he did for Westfield Group: help them to design and implement energy plans that will save money on procurement and usage.

Scott is a U.S. Navy veteran who served nearly four years with the armed services.

Richard Plutzer, Chief Financial Officer of the company, is a CPA and attorney. He handles all legal, financial and tax aspects of the operations of the company. He interacts also with state and local governmental agencies on regulatory matters impacting the company's development activities. He is the founder and past-Chairman of the Energy Committee of the Commerce and Industry Association of New Jersey (CIANJ), and he has lectured numerous times on energy management and procurement issues and subjects including the impact of the Energy Master Plan proposed by then-Governor John Corzine on renewable energy and the cost of electricity in that state. Richard has authored articles for Commerce magazine, the Publication of CIANJ, and GoveSt.com on the use of solar energy in commercial real estate. He has published articles on both demand response and renewable energy and together with Scott Reinstein has worked on articles regarding the design and development of long-term strategic energy procurement for commercial real estate owners. Richard has approximately 25 years of involvement with the commercial real estate industry in various levels of operations.

RESOURCE ENERGY SOLUTIONS, LLC

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-continued-

Richard served previously as Chief Financial Officer and General Counsel of IEM. As one of the former shareholders of IEM, he teamed with Steven Schlusel in the negotiations for the sale of IEM in 2006 and was responsible for all aspects of the tax, legal and financial due diligence process leading up to the sale. As Chief Financial Officer, Richard reorganized and leveraged the resources of the Finance Department to significantly increase the profitability and value of the company. He was also responsible for all financial and tax reporting for the company including reporting and tax compliance as required for the 45 states in which IEM conducted its operations. As General counsel he was responsible for virtually all contracts to which IEM was a party and was involved in extensive contract negotiations and corporate governance matters. His background includes roles serving as an attorney and certified public accountant at Ernest & Young and other leading firms.

Richard graduated from Washington University in St. Louis and Boston College Law School.

Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions:

District of Columbia	Gas	Docket GA 2019-14*
Maine	Gas	Docket Number 99-334
Maryland	Gas	License No. IR-4357
Massachusetts	Gas	License RA-249
New Jersey	Electric & Gas	EA-0525
Rhode Island	Gas	Docket 2379 (C4)

*application pending review.

Staffing structure and numbers as well as employee training commitments:

As of January 1, 2020, Applicant has hired six employees and may add to staff upon receipt of this and additional licenses. Training commitments will include the following: the management team will assure that all sales people will be adequately trained and supervised to be certain that they have the necessary tools to provide the highest level of customer service.

Business plans for operations within the Commonwealth:

Applicant intends to offer brokerage and aggregation to its commercial customers throughout the state.

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-continued-

Any other information appropriate to ensure the technical capabilities of the Applicant.

Applicant's three principals have many years of experience in brokerage and aggregation of services to customers. Through its affiliate Resource Energy Systems, LLC, Pennsylvania Gas License 2014-2403203, business has been increased steadily and successfully to both the company and its customers.

ITEM 8d.

OVERSIGHT OF MARKETING

Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner for both employees and subcontractors.

Applicant's business model is devoted to a philosophy of honesty, fairness, and responsibility in all its business dealings. While the topic of ethics is a subjective one, Applicant is focused on fostering strong relationships with its employees, stakeholders, service providers, and customers by keeping marketing efforts transparent and striving for the best possible value for the customer as its primary goal. Whether in information delivered, services provided, pricing, billing, and problem solving, ensuring professional competence and integrity are not compromised.

ITEM 8e.

OFFICERS

Steven Schlussel, President of the company, has extensive knowledge of commercial real estate and retail space having spent 25 years providing services in such areas. He has intricate knowledge of commercial real estate operations and the role energy costs play in such operations. He has advised large retail chains on industry leading energy management solutions in many parts of the country, and he is one of the leading proponents for the deployment of solar energy systems and fuel cell technology in commercial real estate in order to reduce and control energy costs and minimize damage to the environment.

Steven is the founder and former president of International Environmental Management, Inc. (IEM) which provides waste and recycling management services across the nation to over 500 regional shopping centers and their more than 45,000 tenants. Steven founded IEM in 1992 and guided IEM through the sale of the company in 2006 to Oakleaf Global Holdings, Inc. (OGHI), a portfolio company of the charterhouse Group, a private equity firm. He served on the Board of Directors of OGHI during a period

RESOURCE ENERGY SOLUTIONS, LLC

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PENNSYLVANIA

-continued-

of rapid growth for OGHI in its sales and profitability as well as a period during which OGHI introduced new services and products for the waste management industry.

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subjects including the impact of the Energy Master Plan proposed by then-Governor John Corzine on renewable energy and the cost of electricity in that state. Richard has authored articles for Commerce

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-continued-

magazine, the Publication of CIANJ, and GoveSt.com on the use of solar energy in commercial real estate. He has published articles on both demand response and renewable energy and together with Scott Reinstein has worked on articles regarding the design and development of long-term strategic energy procurement for commercial real estate owners. Richard has approximately 25 years of involvement with the commercial real estate industry in various levels of operations.

Richard served previously as Chief Financial Officer and General Counsel of IEM. As one of the former shareholders of IEM, he teamed with Steven Schlusser in the negotiations for the sale of IEM in 2006 and was responsible for all aspects of the tax, legal and financial due diligence process leading up to the sale.

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Richard graduated from Washington University in St. Louis and Boston College Law School.

ITEM 8f.

FERC filing

Not applicable.

ITEM 9.

Not applicable.

RESOURCE ENERGY SOLUTIONS, LLC

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ITEM 2b.

Proof of compliance with Department of State filing requirements.

Applicant's charter documentation from the state of Delaware

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS
401 NORTH STREET, ROOM 206
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.PA.GOV

Richard Breen, Esquire c/o Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
STAMFORD CT 06905

Resource Energy Solutions, LLC

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY
TO SEND YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WE WOULD
LIKE TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR
WEBSITE AT www.dos.pa.gov/BusinessCharities OR YOU MAY CONTACT US BY TELEPHONE AT
(717)787-1057. INFORMATION REGARDING BUSINESS AND UCC FILINGS CAN BE FOUND ON
OUR SEARCHABLE DATABASE AT www.corporations.pa.gov/Search/CorpSearch.

ENTITY NUMBER : 6695785

PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS

☒ Return document by mail to:

Richard Breen, Esquire c/o Resource Energy Solutions, LLC

Name

4 High Ridge Park, Suite 202

Address

Stamford

CT

06905

City

State

Zip Code

☐ Return document by email to: _____

Foreign Registration Statement

DSCB:15-412

(rev. 2/2017)



TML180409MC1906

Read all instructions prior to completing. This form may be s

Fee: \$250

☐ I qualify for a veteran/reservist-owned small business fee exemption (see instructions)

In compliance with the requirements of the applicable provisions of 15 Pa.C.S. § 412 (relating to foreign registration statement), the undersigned foreign association hereby states that:

1. The type of association is (check only one):

☐ Business Corporation

☐ Limited Partnership

☐ Business Trust

☐ Nonprofit Corporation

☐ Limited Liability (General) Partnership

☐ Professional Association

☒ Limited Liability Company

☐ Limited Liability Limited Partnership

2. The full and proper name of the foreign association as registered in its jurisdiction of formation is:

Resource Energy Solutions, LLC

2A. If the name in 2 does not contain a required designator or if the name in 2 is not available for use in the Commonwealth, the alternate name under which the association is registering in this Commonwealth is:

3. The jurisdiction of formation is: Delaware

4. The street and mailing address of the association's principal office.

4 High Ridge Park, Suite 202

Stamford

CT

06905

Number and street

City

State

Zip

4A. The street and mailing address of the office, if any, required to be maintained by the law of the association's jurisdiction of formation in that jurisdiction:

Number and street

City

State

Zip

PA DEPT. OF STATE

MAR 12 2018

PA DEPT. OF STATE

APR 02 2018

5. The (a) address of the association's proposed registered office in this Commonwealth or (b) name of its Commercial Registered Office Provider and the county of venue is:

Complete part (a) OR (b) – not both:

(a) _____
 Number and street City OR State Zip County

(b) c/o: CT Corporation System
 Name of Commercial Registered Office Provider Dauphin
 County

6. Check one of the following:

- ☒ The association may not have series.
☐ The association may have one or more series.

7. Effective date of registration of foreign association (check, and if appropriate complete, one of the following):

- ☒ The Foreign Registration Statement shall be effective upon filing in the Department of State.
☐ The Foreign Registration Statement shall be effective on: _____ at _____
 Date (MM/DD/YYYY) Hour (if any)

8. To be completed by **Limited Liability Companies only**. Check, and if appropriate complete, one of the following:

☒ The association is a limited liability company which is not organized to render any of the below professional service(s).

☐ The association is a restricted professional limited liability company organized to render one or more of the following professional service(s): (If this box is checked, one or more of the fields below must be checked.)

- | | | | |
|---------------------------------------|---|---|---|
| <input type="checkbox"/> Chiropractic | <input type="checkbox"/> Dentistry | <input type="checkbox"/> Law | <input type="checkbox"/> Medicine and surgery |
| <input type="checkbox"/> Optometry | <input type="checkbox"/> Osteopathic medicine and surgery | <input type="checkbox"/> Podiatric medicine | <input type="checkbox"/> Public accounting |
| <input type="checkbox"/> Psychology | <input type="checkbox"/> Veterinary medicine | | |

IN TESTIMONY WHEREOF, the undersigned association has caused this Foreign Registration Statement to be signed by a duly authorized representative thereof this 6th day of March, 20 18.

Resource Energy Solutions, LLC

Name of Association

[Signature]
 Signature

Secretary and Treasurer

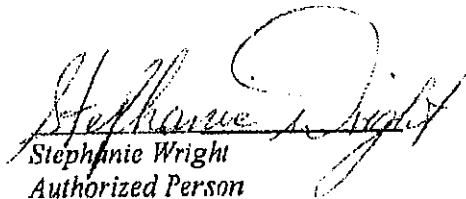
Title

CERTIFICATE OF FORMATION
OF
RESOURCE ENERGY SOLUTIONS, LLC
A LIMITED LIABILITY COMPANY

Pursuant to Section 18-201:

- FIRST: The name of the limited liability company is:
RESOURCE ENERGY SOLUTIONS, LLC
- SECOND: Its registered office in the State of Delaware is to be located at: 1220 N. Market
Street, Suite 806 Wilmington, DE 19801 and its registered agent at such address
is: BlumbergExcelsior Corporate Services, INC.
- THIRD: The duration of the limited liability company is perpetual.

IN WITNESS WHEREOF, the undersigned, being the individual forming the limited liability
company, has executed, signed and acknowledged this Certificate of Formation this 10th day of
February, 2011.


Stephanie Wright
Authorized Person

RESOURCE ENERGY SOLUTIONS, LLC

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ITEM 7a.
BONDING

June 1, 2020

Richard Plutzer
Resource Energy Solutions, LLC
4 High Ridge Park, Suite 2020
Stamford, CT 06905

Dear Richard Plutzer:

We are pleased that Resource Energy Solutions, LLC has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, Resource Energy Solutions, LLC could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. Resource Energy Solutions, LLC has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that Resource Energy Solutions, LLC does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to Resource Energy Solutions, LLC changes in the future, Columbia Gas might deem it appropriate to require Resource Energy Solutions, LLC to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-4980 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

Kylia Davis

Kylia Davis
Manager of Choice and Transportation Support Services



375 North Shore Drive
Pittsburgh, Pennsylvania 15212

www.peoples-gas.com

Carol Scanlon
Manager, Rates & Regulation

Peoples Service Company LLC
Phone: 412-208-6931
Email: Carol.Scanlon@peoples-gas.com

July 9, 2020

Richard Plutzer
Chief Financial Officer
Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905

Dear Mr. Plutzer:

We are pleased that Resource Energy Solutions, LLC has applied for a license to provide natural gas services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, and Peoples Gas Company LLC (formerly Peoples TWP) ("the Companies").

Since Resource Energy Solutions, LLC is not currently serving customers on the Peoples systems, we have determined at this time that Resource Energy Solutions, LLC does not need a bond or other financial security requirement to provide these services to the Company's customers.

If a Pool is established, and customers are enrolled which alters the creditworthiness requirement or the Company's exposure to Resource Energy Solutions, LLC provision of services on the Peoples' system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6931 or by email at Carol.Scanlon@peoples-gas.com.

Sincerely,

Carol Scanlon
Manager, Rates and Regulation
Peoples Natural Gas Company LLC

Cc: Stephen Kelly
Mina Speicher



VALLEY ENERGY

523 S. Keystone Avenue, P.O. Box 340, Sayre, PA 18840
800/998-4427 • 570/888-9664 • FAX 570/888-6199

June 1, 2020

Resource Energy Solutions, LLC
Aimee Harrison, Regulatory Administration
4 High Ridge Park, Suite 202
Stamford, CT 06905
aharrison@resourceenergy.com

Dear Ms. Harrison:

We understand that Resource Energy Solutions, LLC has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because Resource Energy Solutions, LLC intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that Resource Energy Solutions, LLC will not be required to post a bond or other form of financial security instrument to provide these services in our service area. However, if the services provided change in the future, we reserve the right to require security from Resource Energy Solutions, LLC as deemed appropriate.

If you have any questions, please contact Jamie Levering at 570-888-9664 (Ext. 5232).

Sincerely,

Edward E. Rogers
President & CEO

EER/ss

cc: J. Levering, Valley Energy

May 29, 2020

Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905

Re: Broker Requirements

Dear Resource Energy Solutions, LLC:

PECO is aware that Resource Energy Solutions, LLC has applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

In making such an application, Resource Energy Solutions, LLC could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. Resource Energy Solutions, LLC has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers, and will not take title to any delivered natural gas; nor will accept any customer payments or deposits. Therefore, PECO has determined at this time that Resource Energy Solutions, LLC does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by Resource Energy Solutions, LLC the creditworthiness requirement for PECO's exposure to Resource Energy Solutions, LLC changes in the future, PECO reserves the right to require Resource Energy Solutions, LLC to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at 215-841-6422 or myself at 215-841-6452.

Respectfully submitted,

(AOL) 

Carlos P. Thillet
Manager, Gas Supply and Transportation
2301 Market Street
Philadelphia, Pa 19103



UGI Utilities, Inc.
1 UGI Drive
Denver, PA 17517

610-796-3400

VIA E-MAIL

July 9, 2020

Resource Energy Solutions, LLC
4 High Ridge Park
Suite 202
Stamford, CT 06905

ATTENTION: Richard Plutzer, Chief Financial Officer

**RE: Resource Energy Solutions, LLC
Application to Serve as a Natural Gas Broker**

Dear Mr. Plutzer,

Based on your assertion that Resource Energy Solutions, LLC ("Resource Energy") is applying with the State of Pennsylvania to operate as a natural gas broker/marketer, UGI Utilities, Inc.-Gas Division ("UGIU") has concluded that Resource Energy will not need to post security with UGIU. This is based on the declaration that Resource Energy will be acting in conjunction with a licensed natural gas supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the UGIU Tariff. If Resource Energy wishes to directly serve Choice customers in the service territories of UGIU in the future as a natural gas supplier, it will have to post security as specified in the UGIU Tariff prior to the commencement of the service.

Please feel free to contact me with any additional questions you may have.

Sincerely,

Sherry Epler
Senior Manager
Tariff & Supplier Administration

SE/rks



PHILADELPHIA GAS WORKS

800 West Montgomery Avenue • Philadelphia, PA 19122

July 13, 2020

Mr. Richard Plutzer, Esquire
Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905
Email: rplutzer@resourceenergy.com

RE: Security Requirement Bond for Resource Energy Solutions, LLC

Dear Mr. Plutzer:

Philadelphia Gas Works ("PGW") is aware that Resource Energy Solutions, LLC has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, Resource Energy Solutions, LLC must furnish acceptable security to each utility where Resource Energy Solutions, LLC will do business. As such, under its tariff, Philadelphia Gas Works could require Resource Energy Solutions, LLC to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understanding, that Resource Energy Solutions, LLC intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that in performing these services Resource Energy Solutions, LLC will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, Resource Energy Solutions, LLC does not need to post a bond or other form of security to operate in its service territory. If the services provided by Resource Energy Solutions, LLC should change, Philadelphia Gas Works reserves the right to require security from Resource Energy Solutions, LLC as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 215-684-6725.

Sincerely,

A handwritten signature in blue ink, appearing to read "J. Zuk", is written over the printed name "JOHN ZUK".

JOHN ZUK
Sr. Vice President, Gas Management

/dls



National Fuel

August 12, 2020

Richard Plutzer, CFO
Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905

Dear Richard,

National Fuel Gas Distribution Corporation ("NFGDC") is aware Resource Energy Solutions, LLC (RES) has filed an application with the Pennsylvania Public Utility Commission to supply natural gas service to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, RES must furnish acceptable security to each utility where RES will do business. As such, under its tariff, NFGDC could require RES to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

However, you have indicated, and it is NFGDC's understanding that RES intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, RES will never take title to any delivered natural gas.

Based upon your representations, NFGDC has determined that, at this time, RES does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by RES change in the future, NFGDC reserves the right to require security from RES as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7541.

Yours truly,

Nicole Barker
Transportation Services Department

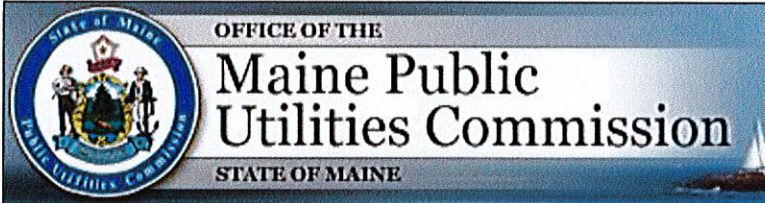
RESOURCE ENERGY SOLUTIONS, LLC

ATTACHMENT TO APPLICATION FORM FOR PARTIES WISHING TO OFFER, RENDER, FURNISH OR SUPPLY
NATURAL GAS SUPPLY SERVICES TO THE PUBLIC IN THE COMMONWEALTH OF PENNSYLVANIA

ITEM 8a.

EXPERIENCE, PLAN STRUCTURE

Proof of licenses as a supplier of natural gas services in other states or jurisdictions



REGULATED UTILITIES

[Electricity](#)[Telecommunications](#)[Gas](#)[Frequently Asked Questions](#)[Water](#)[Ferries](#)DOING BUSINESS
WITH THE MPUC[How to Participate at the Commission](#)[About MPUC](#)[Consumer Services & Information](#)[Online Filing, Docketed Cases, Forms](#)[& RFPs](#)[Legislative: Rules, Laws & Activities](#)[News, Calendar & Live Audio](#)[Home](#) > [Natural Gas](#) > Registered Retail Gas Suppliers

Registered Retail Natural Gas Suppliers and Brokers

Brokers

Organization	Contact
--------------	---------

Resource Energy Solutions, LLC
4 High Ridge Park
Suite 202
Stamford, CT 06905

Richard Plutzer
CFO
Phone: 201-937-4210
Fax: 203-724-4275
email: rplutzer@resourceenergy.com

https://www.maine.gov/mpuc/natural_gas/gas_licensees.shtml

Aimee Harrison

From: Richard Plutzer <rplutzer@resourceenergy.com>
Sent: Thursday, October 31, 2019 12:15 PM
To: Aimee Harrison
Subject: FW: Registration of Natural Gas Retailer - from website

Thanks

Energy: It's not just a resource. It's a relationship.
Richard Plutzer | Chief Financial Officer
4 High Ridge Park
Stamford, CT 06905
Rplutzer@resourceenergy.com | Mobile: 201-937-4210 www.resourceenergy.com

-----Original Message-----

From: Smith, Lucretia <Lucretia.Smith@maine.gov>
Sent: Thursday, October 31, 2019 7:56 AM
To: Richard Plutzer <rplutzer@resourceenergy.com>; Johnson, Michael R <Michael.R.Johnson@maine.gov>
Subject: RE: Registration of Natural Gas Retailer - from website

Mr. Plutzer,

Resource Energy Solutions, LLC is now on the Maine Public Utilities Commission's list of Registered Retail Natural Gas Suppliers as a broker. For any changes in the contact information included in your initial registration, please email me or Michael Johnson, who is also copied in this email.

Lucretia A. Smith
Senior Utility Analyst
Maine Public Utilities Commission
18 SHS
Augusta, ME 04333
(207) 287-1383
(207) 287-1039 (fax)

-----Original Message-----

From: rplutzer@resourceenergy.com <rplutzer@resourceenergy.com>
Sent: Wednesday, October 30, 2019 4:55 PM
To: Johnson, Michael R <Michael.R.Johnson@maine.gov>; Smith, Lucretia <Lucretia.Smith@maine.gov>
Subject: Registration of Natural Gas Retailer - from website

EXTERNAL: This email originated from outside of the State of Maine Mail System. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Organization:
Resource Energy Solutions, LLC

Address:
4 High Ridge Park
Suite 202
Stamford, CT 06905

Contact Person:
Richard Plutzer
CFO
Phone: 201-937-4210
FAX: 203-724-4275

Email: rplutzer@resourceenergy.com,,X

This email and any files transmitted with it are confidential and intended solely for the use of the individual or entity to whom they are addressed. If you have received this email in error, please notify the sender. This message contains confidential information and is intended only for the individual named. If you are not the named addressee you should not disseminate, distribute or copy this e-mail. Please notify the sender immediately by e-mail if you have received this e-mail by mistake and delete this e-mail from your system. If you are not the intended recipient you are notified that disclosing, copying, distributing or taking any action in reliance on the contents of this information is strictly prohibited.

JASON M. STANEK
CHAIRMAN

MICHAEL T. RICHARD
ANTHONY J. O'DONNELL
ODOGWU OBI LINTON
MINDY L. HERMAN



PUBLIC SERVICE COMMISSION

#1, 2/19/20 AM; ML# 227844
License Reference No.: IR-4357

February 19, 2020

Richard Plutzer, CFO
RESOURCE ENERGY SOLUTIONS, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905

Dear Mr. Plutzer:

On December 16, 2019, RESOURCE ENERGY SOLUTIONS, LLC ("Company") filed an Application for a License to Supply Natural Gas or Natural Gas Supply Services in Maryland under COMAR 20.54. The Company proposes to provide natural gas supply services in Maryland for commercial and industrial customers as described in the application.

After considering this matter at the February 19, 2020 Administrative Meeting, the Commission granted the Company a license to supply natural gas or natural gas supply services in Maryland (License Reference Number IR-4357). The license granted by the Commission under this Letter Order is limited solely to natural gas supplier broker and aggregator services for the customer classes and service territories applied for and recommended by Staff. Additionally, the Company is directed to provide marketing materials specific to its Maryland operations to the Commission Staff 30 days prior to utilization of the materials in Maryland.

Finally, the Company is reminded that it is under a continuing obligation to notify the Commission within 30 days of any changes to the information upon which the Commission relied in granting this license. A copy of the supplemental or updated information is required to be filed concurrently with the Office of People's Counsel.

By Direction of the Commission,

A handwritten signature in black ink, appearing to read "Andrew S. Johnston".

Andrew S. Johnston
Executive Secretary

ASJ/st

WILLIAM DONALD SCHAEFER TOWER • 6 ST. PAUL STREET • BALTIMORE, MARYLAND 21202-6806

410-767-8000

Toll Free: 1-800-492-0474

FAX: 410-333-6495

MDRS: 1-800-735-2258 (TTY/Voice)

Website: www.psc.state.md.us



THE COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER
GOVERNOR

KARYN E. POLITO
LIEUTENANT GOVERNOR

KATHLEEN A. THEOHARIDES
SECRETARY OF ENERGY
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION
BOSTON, MA 02110
(617) 305-3500

MATTHEW H. NELSON
CHAIR

ROBERT E. HAYDEN
COMMISSIONER

CECILE M. FRASER
COMMISSIONER

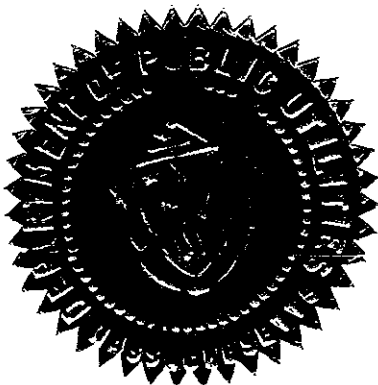
January 14, 2020

Richard Plutzer
Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905

Mr. Plutzer,

The Department of Public Utilities has reviewed Resource Energy Solutions, LLC's ("Resource Energy Solutions") application for a Gas Retail Agent license to serve commercial and industrial customers in the Commonwealth of Massachusetts. I am pleased to inform you that the application has been approved. Resource Energy Solutions' license number is **RA-249**.

As a condition of maintaining its license, Resource Energy Solutions must file updated information within 30 days of any material change in the information required by 220 CMR 14.04(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations thereunder, including 220 CMR 12.00, 14.00. If you decide to request renewal of Resource Energy Solutions' license next year, please submit a renewal application no later than January 1, 2021.



Sincerely,


Mark D. Marini, Secretary

Aimee Harrison

From: Espiritu, Jehvson <Jehvson.Espiritu@bpu.nj.gov>
Sent: Friday, November 22, 2019 8:27 AM
To: Aimee Harrison
Cc: Richard Plutzer
Subject: RE: Energy Agent and Private Aggregator Renewal Registrations Dkt. Nos. EEEE19091307L & GE19091308L; Registration EA-0525 and PA-022

Hello Ms. Harrison

Certifications EA-0525 and PA-0222 definitely expire on November 12, 2020. I will contact Staff regarding the matter and get back to you with an update.

Thank you for bringing this to our attention as Staff always looks for ways to improve.

Jehvson Espiritu
New Jersey Board of Public Utilities
Division of Audits
Jehvson.Espiritu@bpu.nj.gov

From: Aimee Harrison [mailto:aharrison@resourceenergy.com]
Sent: Thursday, November 21, 2019 6:16 PM
To: Espiritu, Jehvson
Cc: Richard Plutzer
Subject: [EXTERNAL] Energy Agent and Private Aggregator Renewal Registrations Dkt. Nos. EEEE19091307L & GE19091308L; Registration EA-0525 and PA-022

Good evening Mr. Espiritu:

This afternoon we received renewals of the registrations captioned above. The cover letter from Aida Camacho-Welch states that the expiration of the registrations is November 12, 2020, however, the certificates issued each carry an effective date of November 13, 2019 and an expiration date of November 12, 2019.

We would be grateful if you would arrange to have someone at the Board of Public Utilities reissue the certificates with the correct expiration dates and send them on once again.

Your assistance in this regard is appreciated. Please do not hesitate to contact me with any questions.

Regards,
Aimee Harrison



**Resource
Energy**

Energy: It's not just a resource. It's a relationship.

Aimée Harrison
Regulatory Administration
4 High Ridge Park, Suite 202
Stamford, CT 06905



Phil Murphy
Governor

Sheila Oliver
Lt. Governor

STATE OF NEW JERSEY
Board of Public Utilities
44 South Clinton Avenue, 9th Floor
Post Office Box 350
Trenton, New Jersey 08625-0350
www.nj.gov/bpu/

Aida Camacho-Welch
Secretary of the Board
(609) 292-1599

November 13, 2019

Richard Plutzer
CFO
Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, Connecticut 06905

Re: **Energy Agent and Private Aggregator Renewal Registrations**
Dkt. Nos. EEEE19091307L & GE19091308L

Dear Mr. Plutzer:

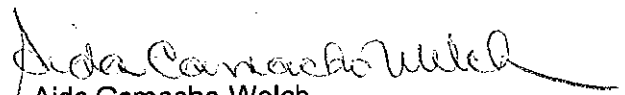
In accordance with the Electric Discount and Energy Competition Act of 1999, N.J.S.A. 48:3-49 et seq., at its November 13, 2019 Agenda meeting, the New Jersey Board of Public Utilities renewed the **REGISTRATIONS**, numbers EA-0525 and PA-0222 as an Energy Agent and Private Aggregator issued to Resource Energy Solutions, LLC.

The enclosed registrations are effective November 13, 2019 and will expire on November 12, 2020. These registrations and the rights thereunder are **Non-Transferable**.

This letter is not an endorsement of, nor is it intended for use in, the marketing promotions of the registrant. Registrants shall comply with all applicable law, including the Electric Discount and Energy Competition Act, which prohibits the unauthorized change of a customer's energy provider and other fraudulent and illegal marketing activities.

If you have any questions, please contact Jehvson Espiritu at (609) 292-0744.

Sincerely,


Aida Camacho-Welch
Secretary of the Board

ACW/joe
Encl.(s)

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 9th Floor, P.O. Box 350, Trenton, New Jersey 08625-0350

HEREBY REGISTERS

Resource Energy Solutions, LLC

4 High Ridge Park, Suite 202
Stamford, Connecticut 06905

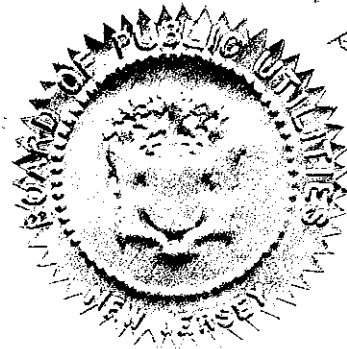
To conduct business in the State of New Jersey as an

Energy Agent

Registration No. EA-0525

Effective Date: November 13, 2019

Expiration Date: November 12, 2019



Aida Camacho-Welch

Aida Camacho-Welch

Secretary of the Board

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 9th Floor, P.O. Box 350, Trenton, New Jersey 08625-0350

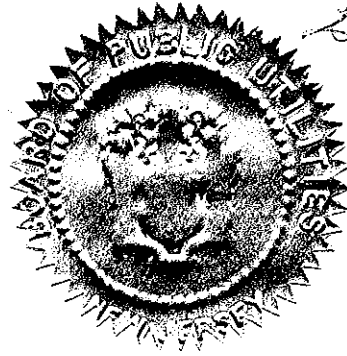
HEREBY REGISTERS

Resource Energy Solutions, LLC

4 High Ridge Park, Suite 202
Stamford, Connecticut 06905

To conduct business in the State of New Jersey as a

Private Aggregator



Aida Camacho-Welch

Aida Camacho-Welch
Secretary of the Board

Registration No. PA-0222

Effective Date: November 13, 2019

Expiration Date: November 12, 2019

**STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
PUBLIC UTILITIES COMMISSION**

IN RE: APPLICATION FOR AUTHORITY TO : DOCKET NO. 2379(C4)
OPERATE AS A GAS MARKETER FILED BY :
RESOURCE ENERGY SOLUTIONS, LLC :

ORDER

WHEREAS, On September 4, 2019, Resource Energy Solutions, LLC (Company) filed with the Rhode Island Public Utilities Commission (PUC) an application for authority to operate as a gas marketer in accordance with the entry requirements set forth in the PUC Rules and Regulations for Utility Interaction with Gas Marketers (Regulations); and

WHEREAS, On November 2, 2018, the Division of Public Utilities and Carriers filed a memorandum indicating that it had reviewed the Company's application, found it to comport with the entry requirements established by the regulations, and recommended approval of the application; and

WHEREAS, The PUC finds that the Company's application is proper, meets the regulatory requirements of the Regulations, and hereby approves the Company's application.

Accordingly, it is

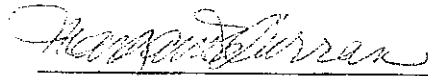
(23322) ORDERED:

1) The application for authority to operate as a gas marketer filed by Resource Energy Solutions, LLC is approved.

2) The Company shall comply with the standards of conduct and all other regulatory requirements.

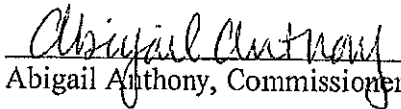
EFFECTIVE AT WARWICK, RHODE ISLAND ON NOVEMBER 9, 2018
PURSUANT TO AN OPEN MEETING DECISION. WRITTEN ORDER ISSUED
NOVEMBER 9, 2018.

PUBLIC UTILITIES COMMISSION



Margaret E. Curran, Chairperson

*Marion S. Gold, Commissioner



Abigail Anthony, Commissioner

*Commissioner Gold was not available to participate in the decision.

NOTICE OF RIGHT OF APPEAL: Pursuant to R.I. Gen. Laws § 39-5-1, any person aggrieved by a decision or order of the PUC may, within seven days from the date of the order, petition the Supreme Court for a Writ of Certiorari to review the legality and reasonableness of the decision or order.

RESOURCE ENERGY SOLUTIONS, LLC

ATTACHMENT TO APPLICATION FORM FOR PARTIES WISHING TO OFFER, RENDER, FURNISH OR SUPPLY
NATURAL GAS SUPPLY SERVICES TO THE PUBLIC IN THE COMMONWEALTH OF PENNSYLVANIA

ITEM 12

NEWSPAPER PUBLICATIONS

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

Resource Energy
4 High Ridge Park #202
Stamford CT 06905

REFERENCE: 78676 402817
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Lorri Stefanelli, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 05/21/20

TOTAL COST: \$610.00 AD SPACE: 0 Lines

FILED ON: 05/21/20

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

*Application of **Resource Energy Solutions, LLC** For Approval To Offer, Render, or Furnish Natural Gas Services as an Aggregator, or Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania..*

Resource Energy Solutions, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) an aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. **Resource Energy Solutions, LLC** proposes to sell natural gas-related services throughout the entire Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Resource Energy Solutions, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Resource Energy Solutions, LLC's** attorney at the address listed below.

By and through Counsel: Richard Plutzer
Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905
Phone: (201) 937-4210
Fax: (203) 324-0425

EP-402817

Sworn to and subscribed before me this 26th day of May 2020

Affiant: Lorri Stefanelli

NOTARY: Barbara J. Moore

Commonwealth of Pennsylvania - Notary Seal
Barbara J. Moore, Notary Public
Erie County
My commission expires March 23, 2024
Commission number 1114860
Member, Pennsylvania Association of Notaries



The Patriot News

LEGAL AFFIDAVIT

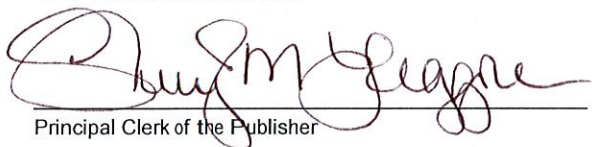
AD#: 0009613678

Commonwealth of Pennsylvania,) ss

County of Cumberland)

Sheryl Leggore being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 05/26/2020


Principal Clerk of the Publisher

Sworn to and subscribed before me this 26th day of May 2020


Notary Public

COMMONWEALTH OF PENNSYLVANIA

NOTARIAL SEAL

Crystal B. Rosensteel, Notary Public
Susquehanna Twp., Dauphin County
My Commission Expires June 27, 2020

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of **Resource Energy Solutions, LLC** For Approval To Offer, Render, or Furnish Natural Gas Services as an **Aggregator, or Marketer/Broker** Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Resource Energy Solutions, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) an aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. **Resource Energy Solutions, LLC** proposes to sell natural gas-related services throughout the entire Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Resource Energy Solutions, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Resource Energy Solutions, LLC's** attorney at the address listed below.

By and through Counsel:

Richard Plutzer

Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202

Stamford, CT 06905

Phone: (201) 937-4210

Fax: (203) 324-0425

**Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929**

**STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA**

Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

May 22, 2020

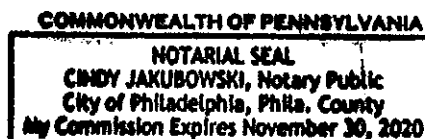
Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



Sworn to and subscribed before me this 22nd day of
May, 2020.


Notary Public

My Commission Expires:



Copy of Notice of Publication

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Application of **Resource Energy Solutions, LLC** For Approval To Offer, Render, or Furnish Natural Gas Services as an Aggregator, or Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services, To The Public in The Commonwealth Of Pennsylvania. **Resource Energy Solutions, LLC** will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) an aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. **Resource Energy Solutions, LLC** proposes to sell natural gas-related services throughout the entire Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Resource Energy Solutions, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Resource Energy Solutions, LLC's** attorney at the address listed below.

By and through Counsel: **Richard Plutzer**
Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905
Phone: (201) 937-4210

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

21 of May, 2020

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



PG Publishing Company

Sworn to and subscribed before me this day of:
May 21, 2020



Commonwealth of Pennsylvania - Notary Seal
Elizabeth R. Chmura, Notary Public
Allegheny County
My commission expires February 8, 2022
Commission number 1326781
Member, Pennsylvania Association of Notaries

STATEMENT OF ADVERTISING COSTS

Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Attn: Richard Plutzer
Stamford Connecticut 06905

To PG Publishing Company

Total ----- \$426.25

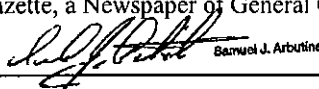
Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By _____



I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

Attorney For

**COPY OF NOTICE
OR PUBLICATION****PENNSYLVANIA
PUBLIC UTILITY****COMMISSION NOTICE**

Application of Resource Energy Solutions, LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services, To The Public in The Commonwealth Of Pennsylvania.

Resource Energy Solutions, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Resource Energy Solutions, LLC proposes to sell natural gas and related services throughout the entire Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Resource Energy Solutions, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Resource Energy Solutions, LLC's attorney at the address listed below.

By and through Counsel:
Richard Plutzer

Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905
Phone: (201) 937-4210
Fax: (203) 324-0425

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

RESOURCE ENERGY SOLUTIONS, LLC
AIMEE HARRISON
4 HIGH RIDGE PARK, STE 202 STAMFORD CT 06905

Account # 631914
Order # 82445257
Ad Price: 260.25

LEGAL NOTICE PENNSYLVANIA

Lisa Burke

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

05/21/2020

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Lisa Burke

Sworn and subscribed to before me
this 26th day of May A.D., 2020

Kathleen Weaver
(Notary Public)

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Kathleen Weaver, Notary Public
City of Scranton, Lackawanna County
My Commission Expires June 14, 2021

LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Application of **Resource Energy Solutions, LLC** For Approval To Offer, Render, or Furnish Natural Gas Services as an **Aggregator, or Marketer/Broker** Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Resource Energy Solutions, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) an aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. **Resource Energy Solutions, LLC** proposes to sell natural gas-related services throughout the entire Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Resource Energy Solutions, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Resource Energy Solutions, LLC's** attorney at the address listed below.

By and through Counsel:
Richard Plutzer
Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905
Phone: (201) 937-4210
Fax: (203) 324-0425

PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT

SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Robert O. Rolley, Jr., Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

May 22, 2020

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

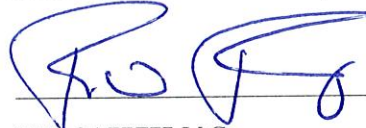
**PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
NOTICE**

Application of Resource Energy Solutions, LLC For Approval To Offer, Render, or Furnish Natural Gas Services as an Aggregator, or Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Resource Energy Solutions, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) an aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Resource Energy Solutions, LLC proposes to sell natural gas-related services throughout the entire Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Resource Energy Solutions, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Resource Energy Solutions, LLC's attorney at the address listed below.

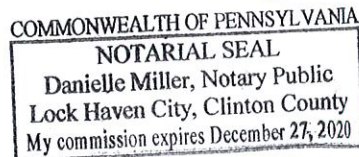
By and through Counsel:
Richard Plutzer
Resource Energy
Solutions, LLC
4 High Ridge Park,
Suite 202
Stamford, CT 06905
Phone: (201) 937-4210
Fax: (203) 324-0425



SUN-GAZETTE LLC

Sworn to and subscribed before me

The 1 day of June 20 20



Notary Public



STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates.....\$ 245.00

Probated same.....\$

Total.....\$ 245.00

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Robert O. Rolley, Jr.

COMMONWEALTH OF PENNSYLVANIA }
County of Cambria } SS

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Application of **Resource Energy Solutions, LLC** For Approval To Offer, Render, or Furnish Natural Gas Services as an **Aggregator, or Marketer/Broker** Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

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By and through Counsel: Richard Plutzer
Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905
Phone: (201) 937-4210
Fax: (203) 324-0425

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On this 2nd day of June A.D. 2020, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Sales Manager / Major Accounts of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

County of Cambria, and Commonwealth of Pennsylvania and the matter published in said publication in the regular issues on May 22, 2020; and that the Affiant is not interested in at all of the allegations as to time, place and character of

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Signed and sworn to before me on
2nd day of June, 2020,
by Christine Marhefka making the statement.

[Signature]

0.00 Lines @	\$2.50 per line	0.00
7 Inches @	\$25.00 per inch	175.00
Notary Fee		5.00
Clerical Fee		2.50
Total Cost		182.50

Commonwealth of Pennsylvania - Notary Seal
Vivian Ohs, Notary Public
Cambria County
My commission expires December 6, 2020
Commission number 1123017
Member, Pennsylvania Association of Notaries

To The Tribune-Democrat, Johnstown, PA
For publishing the notice or publication
attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

By _____

COMMONWEALTH OF PENNSYLVANIA }
County of Cambria } SS

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

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By and through Counsel: Richard Plitzer
Resource Energy Solutions, LLC
4 High Ridge Park, Suite 202
Stamford, CT 06905
Phone: (201) 937-4210
Fax: (203) 824-0425

published
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said pub

On this 2nd day of June A.D. 2020, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Sales Manager / Major Accounts of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

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Christine Marhefka

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2nd day of June, 2020,
by Christine Marhefka making the statement.

Richard Plitzer

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Cambria County
My commission expires December 6, 2020
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a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

By _____