

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Summit Power Brokers, LLC, d/b/a BrokerCity.Biz, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) Broker/Marketer engaged in the business of supplying electricity services to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Summit Power Brokers, LLC dba BrokerCity.Biz
4381 Hudson Drive
Stow, Ohio 44224

www.summitpowerbrokers.com

Phone: 330-208-0335

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

Registered Agent:
The Elder Law Office of Olimpi & Kramer, LLC
396 4th Street
Beaver, PA 15009
Phone: 724-888-2834
Fax: 724-888-2855

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

Summit Power Brokers, LLC
Robert C. Haehn – President
4381 Hudson Drive
Stow, Ohio 44224
Phone: 330-208-0335
Fax: 330-319-8999
Email: rob@summitpowerbrokers.com

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Applicant is not using an attorney for this application.

e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Electric Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed EGSs.

1. **Summit Power Brokers, LLC**
Robert C. Haehn – President
4381 Hudson Drive
Stow, Ohio 44224
Phone: 330-208-0335
Fax: 330-319-8999
Email: rob@summitpowerbrokers.com

2. **The Elder Law Office of Olimpi & Kramer, LLC**
Stephanie Kramer - Attorney
396 4th Street
Beaver, PA 15009
Phone: 724-888-2834
Fax: 724-888-2855
Email: stephanie@olimpikramer.com

2. **BUSINESS ENTITY FILINGS AND REGISTRATION**

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*



The Applicant will be using a fictitious name or doing business as ("d/b/a")

Provide a copy of the Applicant's filing with Pennsylvania's Department of State pursuant to 54 Pa. C.S. §311, Form PA-953.

See Attached proof of compliance with Pennsylvania Department of State filing requirements.

or



The Applicant will not be using a fictitious name.

b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

OR

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant's charter documentation.
- * If a corporate partner in the Applicant's domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant's Department of State filing pursuant to 15 Pa. C.S. §4124.

OR

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

See Attached proof of compliance with Ohio Department of State filing requirements.

Summit Power Brokers, LLC dba BrokerCity.Biz is formed in the state of Ohio. See Attached copy of the Applicant's charter documentation.

Officer: Robert C. Haehn – President
Summit Power Brokers, LLC dba BrokerCity.Biz
4381 Hudson Drive
Stow, Ohio 44224

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Summit Power Brokers, LLC dba BrokerCity.Biz has one affiliate in Ohio.

Affiliate Company: Sierra Power Brokers, LLC
143 Boardman-Canfield Road # 332
Boardman, Ohio 44512

Sierra Power Brokers, LLC is licensed in Ohio to provide electric and gas brokerage services to all classes of residential and commercial customers. Sierra Power Brokers, LLC was started to specialize in renewable energy products and services. As of 8-3-2020 Sierra Power Brokers, LLC has no customers or revenue. Sierra Power Brokers, LLC is not registered or licensed in Pennsylvania. Sierra Power Brokers, LLC is not currently applying to do business in Pennsylvania.

Summit Power Brokers, LLC dba BrokerCity.Biz and its affiliate company Sierra Power Brokers, LLC are not jurisdictional public utilities.

Sierra Power Brokers, LLC
143 Boardman Canfield Road # 332
Boardman, Ohio 44512
President - Robert C. Haehn
Percentage Ownership - 100%

Affiliate Companies

Affiliate Companies

Summit Power Brokers, LLC
4381 Hudson Drive
Stow, Ohio 44224
President - Robert C. Haehn
Percentage Ownership - 100%

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Summit Power Brokers, LLC dba BrokerCity.Biz does not have any predecessors.

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity that sells electricity to end-use customers utilizing the jurisdictional transmission and distribution facilities of an EDC.
- Aggregator - an entity that purchases electric energy and takes title to electric energy as an intermediary for sale to retail customers.
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of electric energy but does not take title to electric energy.

The Applicant is presently doing business in Pennsylvania as a

- municipal electric corporation
- electric cooperative
- local gas distribution company
- provider of electric generation, transmission or distribution services
- broker/marketer engaged in the business of supplying electricity services
- Other; Identify the nature of service being rendered.

SEE CONFIDENTIAL ATTACHMENT

or

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- Generator of electricity
- Supplier of electricity
- Aggregator engaged in the business of supplying electricity
- Broker/Marketer engaged in the business of supplying electricity services
 - Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
- Electric Cooperative and supplier of electric power
- Other (Describe):

- c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Applicant Summit Power Brokers, LLC dba BrokerCity.Biz is seeking Broker/Marketer license to provide electric brokerage services to commercial accounts.

The direct supplier is solely responsible for billing and receiving payment from customer. The customer contracts with the direct supplier through the energy broker and therefore pays direct supplier for agreed electric supply. Applicant does not receive out of pocket compensation from customer. Applicant does not bill or receive payment from customer. The direct supplier pays applicant a monthly commission based on a predetermined rate per kwh multiplied by the actual kwh used by the customer.

Summit Power Brokers, LLC has been licensed to provide electric and natural gas brokerage services to commercial customers in Ohio since 2012.

- d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

- Citizens' Electric
- Duquesne Light
- Met-Ed
- PECO
- Penelec
- Penn Power

- Pike
- PPL
- UGI Utilities
- Wellsboro
- West Penn

Entire Commonwealth of PA

- e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (25 kW and Under)
- Residential and Small Commercial as Mixed Meter **ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)**
- Large Commercial Customers - (Over 25 kW)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

- f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

Applicant Summit Power Brokers, LLC dba BrokerCity.Biz proposes to actively market within the Commonwealth of Pennsylvania starting October 1, 2020.

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

Applicant Summit Power Brokers, LLC dba BrokerCity.Biz has no pending or past rulings, judgements, contingent liabilities, revocations of authority, regulatory investigations, or any other matter to report that could adversely impact the applicant's ability to provide the services it is seeking to be certified to provide.

Applicant Summit Power Brokers, LLC dba BrokerCity.Biz and Robert C. Haehn have not been a defendant of a criminal or civil proceeding within the past 5 years.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Not Applicable.

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

Applicant Summit Power Brokers, LLC dba BrokerCity.Biz, its affiliate Sierra Power Brokers, LLC and Robert C. Haehn have no formal actions or complaints, escalated actions or complaints, pending or past rulings, judgements, contingent liabilities, revocations of authority, regulatory investigations, or any other matter to report in Pennsylvania or any other state within the last 5 years that could adversely impact the applicant's ability to provide the services it is seeking to be certified to provide.

Applicant Summit Power Brokers, LLC dba BrokerCity.Biz, its affiliate Sierra Power Brokers, LLC and Robert C. Haehn have not had any complaints filed by a customer, regulatory agency, prosecutory

agency, utility commission or consumer protection agency in Pennsylvania or any other state within the last 5 years.

Applicant Summit Power Brokers, LLC dba BrokerCity.Biz, its affiliate Sierra Power Brokers, LLC and Robert C. Haehn have not been a defendant of a criminal or civil proceeding in Pennsylvania or any other state within the past 5 years.

Applicant Summit Power Brokers, LLC dba BrokerCity.Biz, its affiliate Sierra Power Brokers, LLC and Robert C. Haehn have never had any electric, natural gas or energy license cancelled by Pennsylvania or any other state.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not Applicable.

6. PROOF OF SERVICE

***Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.
(Example Certificate of Service is attached at Appendix C)***

- a. **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Department of Revenue
Bureau of Compliance
PO Box 281230
Harrisburg, PA 17128-1230

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

See Attached Proof Of Service To The Statutory Agencies

- b. **EDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Electric Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each EDC is as follows.

Pike County Light & Power Company:
Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

West Penn:
Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

PECO:
Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Duquesne Light:
Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

PPL:
Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

Met-Ed, Penelec, and Penn Power:
Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI:
UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
1 UGI Drive
Denver, PA 17517

Citizens' Electric Company:
Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company:
Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

See Attached Proof Of Service To The EDCs.

7. FINANCIAL FITNESS

a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

Furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.

Furnishing the **ORIGINAL** of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.

For Marketers and Brokers - Filing for a modification to the \$250,000 requirement and furnishing the **ORIGINAL** of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

SEE CONFIDENTIAL ATTACHMENT.

CRITICAL BONDING NOTES:

Applicant is required to maintain a bond or other financial instrument the entire time it maintains an EGS license with the Commonwealth of Pennsylvania. If Applicant's security instrument is not continuous, Applicant **MUST** submit a Rider, Amendment, or Continuation Certificate annually based on the expiration date of its security instrument.

At least sixty days (60) prior to the security instrument's expiration date, Applicant should contact Stephen Jakab at sjakab@pa.gov to determine the appropriate bonding amount based on a percentage of Applicant's gross receipts resulting from the sale of generated electricity consumed in Pennsylvania. Once the amount has been determined, Applicant should overnight the updated security instrument(s) at least thirty (30) days prior to the expiration date to ensure adequate time for staff review and approval of the security instrument(s).

Template versions of a continuous bond, fixed-term bond, continuous letter of credit, and parental guarantee are attached at Appendix E, F, G, & H, respectively. Applicant's security must follow language from these examples, and must include the unmodified language outlined in Appendix D. Any deviation from these examples must be identified in the application and may not be acceptable to the Commission.

SEE CONFIDENTIAL ATTACHMENT.

b. FINANCIAL RECORDS, STATEMENTS, AND RATINGS: Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

SEE CONFIDENTIAL ATTACHMENT.

c. SUPPLIER FUNDING METHOD: If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

Not Applicable. Applicant Summit Power Brokers, LLC dba BrokerCity.Biz is applying for a Broker/Marketer license only.

d. BROKER PAYMENT STRUCTURE: If applicant is a broker/marketer, explain how your organization will be collecting your fees.

The direct supplier is solely responsible for billing and receiving payment from customer. The customer contracts with the direct supplier through the energy broker and therefore pays direct supplier for agreed electric supply.

Applicant does not receive out of pocket compensation from customer. Applicant does not bill or receive payment from customer.

The direct supplier pays applicant Summit Power Brokers, LLC dba BrokerCity.Biz a monthly commission based on a predetermined rate per kwh multiplied by the actual kwh used by the customer.

- e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

Summit Power Brokers, LLC
Robert C. Haehn – President
4381 Hudson Drive
Stow, Ohio 44224
Phone: 330-208-0335
Fax: 330-319-8999
Email: rob@summitpowerbrokers.com

- f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

SEE CONFIDENTIAL ATTACHMENT.

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the electricity industry.
- Summary and proof of licenses as a supplier of electric services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Documentation of membership in PJM, ECAR, MAAC, other regional reliability councils, or any other membership or certification that is deemed appropriate to justify competency to operate as an EGS within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

Robert C. Haehn started working in Ohio's deregulated energy industry in October 2009 when he accepted a position as a Business Development Manager with an alternative electric supplier. As a lifelong resident of Ohio, Mr. Haehn was well positioned to work with business customers in his home state. In November 2010 Mr. Haehn became an independent agent and in 2012 he applied and was

approved for commercial electric and natural gas brokerage licenses by The Public Utilities Commission of Ohio. He became active with his licenses in June 2012.

Since acquiring his own license and starting Summit Power Brokers, LLC, a commercial energy brokerage firm, Mr. Haehn has acquired a large number of commercial accounts as of 12-31-20. Since 2012 Summit Power Brokers, LLC has secured deregulated electric contracts for commercial customers in Ohio totaling over 100 million kilowatt hours.

The principal business interests of Mr. Haehn and his energy brokerage firm are to provide electric and natural gas brokerage services to commercial customers in Ohio and Texas. Summit Power Brokers, LLC is applying for a commercial Broker/Marketers electric license in Pennsylvania.

Mr. Haehn benefits from client referrals but mostly uses a strategy of cold calling to expand his customer base. Summit Power Brokers, LLC will use a combination of current customer referrals, online advertising, mail marketing and cold calling to grow the electric brokerage business in Pennsylvania.

Summit Power Brokers, LLC is licensed as a commercial energy broker in Ohio under the following license number:

Electric Certificate Number – 12-491E (5)

Summit Power Brokers, LLC is also licensed in Texas to provide electric brokerage services.

SEE ATTACHED OHIO AND TEXAS ELECTRIC LICENSES.

b. PROPOSED MARKETING METHOD (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
- External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- Other (Describe):

c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

- Yes
- No

If yes, will the Applicant be using verification procedures?

- Yes
- No

If yes, describe the Applicant's verification procedures.

- d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Summit Power Brokers, LLC dba BrokerCity.Biz does not service residential accounts. The company uses a very targeted marketing campaign for specific commercial accounts. Some commercial accounts are acquired through referrals. Other commercial accounts are acquired with mail campaigns and through cold calling.

Summit Power Brokers, LLC dba BrokerCity.Biz is a privately held small business. The company's only employee is its president Robert C. Haehn. The company has never used subcontractors. The deregulated electric market is fiercely competitive and the company has no immediate plans to use any subcontractors.

Summit Power Brokers, LLC dba BrokerCity.Biz may use a door to door marketing campaign for commercial accounts only from time to time. The only person authorized to market door to door commercial electric brokerage services is the president Robert C. Haehn.

- e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Chief Officer: Robert C. Haehn – President

See Attached Resume.

- f. **FERC FILING:** Applicant has:

- Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- Not applicable

9. DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

- Electricity should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

Not Applicable. Applicant Summit Power Brokers, LLC dba BrokerCity.Biz is applying for a Broker/Marketer license only.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

a. **PJM LOAD SERVING ENTITY REQUIREMENT:** As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

AGREED

c. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201–54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx. Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

AGREED

- d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

AGREED

- e. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

- f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

AGREED

- g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds

for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

- h. NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

AGREED

- i. CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

- j. Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.

AGREED

- k. FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of **\$350.00** payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

The \$350 filing fee to the Commonwealth of Pennsylvania is included with the application.

11. AFFIDAVITS

Must be notarized before filing.

- a. APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

See Attached Notarized Application Affidavit.

- b. OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

See Attached Notarized Operations Affidavit.

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each EDC. For example, an applicant that wants to operate in Penn Power would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Citizens' Electric						X	
Duquesne				X			
Met Ed		X	X		X		
PECO			X				
Penelec	X	X			X	X	X
Penn Power	X			X			
Pike					X		
PPL		X	X		X	X	
UGI					X		
Wellsboro						X	
West Penn		X		X		X	X
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices K and L)

The notice of application for Summit Power Brokers, LLC dba BrokerCity.Biz Brokers/Marketers license has been published in the Erie Times-News, Harrisburg Patriot-News, Philadelphia Daily News, Pittsburgh Post-Gazette, Scranton Times-Tribune, Williamsport Sun-Gazette and Johnstown Tribune-Democrat.

SEE ATTACHED NOTARIZED PROOFS OF PUBLICATION.

13. SIGNATURE

Applicant: _Summit Power Brokers, LLC dba BrokerCity.Biz

By: Robert C. Haack

Title: _President_____

RECEIVED

AUG 20 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections are complete.

Applicant: Summit Power Brokers, LLC

	<i>Robert C. Heath</i> Signature	
✓	Filing Fee (CERTIFIED CHECK OR MONEY ORDER ONLY)	
✓	Application Affidavit	
✓	Operations Affidavit	
✓	Proof of Publication	
✓	Bond, Letter of Credit, or Parental/Affiliate Guarantee	
✓	Tax Certification Statement	
✓	Commonwealth Department of State Verification	
✓	Certificate of Service	

Applicant's Use

PUC Secretary's Bureau Use

ATTACHMENT

2. BUSINESS ENTITY FILINGS AND REGISTRATION

Search UCC Transactions (uccsearch.aspx)

Forms ▾

a. FICTITIOUS NAME

Contact Corporations (<http://www.dos.pa.gov/BusinessCharities/Pages/default.aspx>)Login (<https://hub.business.pa.gov/login>)

Search entity / Select entity / Order documents

Order Business Documents

Date: 08/05/2020

Business Name History

Name

Summit Power Brokers, LLC

Name Type

Current Name

Business Entity Details
Officers

Name

Summit Power Brokers, LLC

Entity Number

7075715

Entity Type

Limited Liability Company

Status

Active

Citizenship

Foreign

Entity Creation Date

06/22/2020

Effective Date

06/22/2020

State Of Inc

OH

Address

%The Elder Law Offices of Olimpi & Kramer, LLC Beaver

Filed Documents

The information presented below is for your reference. To place an order you will need to log in. If you do not have a PENN File account, you may register for an account by clicking here (/Account/Register_account).

Show entriesFilter Records

Select	Date	Document	Pages	Plain Copy Quantity#	Price	Certified Copy Quantity#	Certified Copy Price	Microfilm #	Microfilm Start	Microfilm End	Line Total
<input type="checkbox"/>	06/22/2020	Foreign Registration Statement 1	2	<input type="text" value="1"/>	\$3.00	<input type="text" value="0"/>	\$40.00				

Showing 1 to 1 of 1 entries

Previous 1 Next

All Dates All Certified Copies 2 Quantity # \$46.00

ATTACHMENT

2. BUSINESS ENTITY FILINGS AND REGISTRATION

[Search UCC Transactions \(uccsearch.aspx\)](#)

[Forms](#) ▾

a. FICTITIOUS NAME

[Contact Corporations \(http://www.dos.pa.gov/BusinessCharities/Pages/default.aspx\)](http://www.dos.pa.gov/BusinessCharities/Pages/default.aspx)

[Login \(https://hub.business.pa.gov/login\)](https://hub.business.pa.gov/login)

[Search entity](#) / [Select entity](#) / [Order documents](#)

Order Business Documents



Date: 08/05/2020

Business Name History

Name	Name Type
BrokerCity.Biz	Current Name

**Business Entity Details
Officers**

Name	BrokerCity.Biz
Entity Number	7079346
Entity Type	Fictitious Names
Status	Active
Citizenship	Domestic
Entity Creation Date	06/28/2020
Effective Date	06/28/2020
State Of Inc	PA
Address	4381 Hudson Drive Stow OH 44224 Out Of State
Name	Summit Power Brokers, LLC
Title	OWNER
Address	396 4th Street Beaver PA 15009

Filed Documents

The information presented below is for your reference. To place an order you will need to log in. If you do not have a PENN File account, you may register for an account by clicking here ([/Account/Register_account](#)).

Show entries

Filter Records

Select	Date	Document	Pages	Plain Copy Quantity#	Price	Certified Copy Quantity#	Certified Copy Price	Microfilm #	Microfilm Start	Microfilm End	Line Total
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Tue Jun 23 2020

ATTACHMENT

**2. BUSINESS ENTITY FILINGS AND REGISTRATION
b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS**

Entity#: 2078238
Filing Type: DOMESTIC LIMITED LIABILITY COMPANY
Original Filing Date: 01/24/2012
Location: ---
Business Name: SUMMIT POWER BROKERS, LLC

Status: Active
Exp. Date: -

Agent/Registrant Information

ROBERT C. HAEHN
P.O. BOX 2285
STOW OH 44224
01/24/2012
Active

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Filings

Filing Type	Date of Filing	Document ID
ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.	01/24/2012	201203000962
AGENT ADDRESS CHANGE/LIMITED/LIABILITY/PARTNERS	02/13/2020	202004203526
FICTITIOUS NAME/ORIGINAL FILING	02/13/2020	202004203598
FICTITIOUS NAME/ORIGINAL FILING	02/14/2020	202004203460
FICTITIOUS NAME/ORIGINAL FILING	02/14/2020	202004502554
FICTITIOUS NAME/ORIGINAL FILING	02/18/2020	202004904854

Tue Jun 23 2020

ATTACHMENT
2. BUSINESS ENTITY FILINGS AND REGISTRATION
b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS

UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF SECRETARY OF STATE

I, Frank LaRose, Secretary of State of the State of Ohio, do hereby certify that this is a list of all records approved on this business entity and in the custody of the Secretary of State



Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 23rd of June, A.D. 2020

Ohio Secretary of State

A handwritten signature in cursive script, appearing to read "Frank LaRose".

RECEIVED

AUG 20 2020

**PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU**

ATTACHMENT

2. BUSINESS ENTITY FILINGS AND REGISTRATION
b. BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS

DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	CERT	COPY
02/24/2020	202004904854	FICTITIOUS NAME REGISTRATION (NFO)	39.00	0.00	0.00	0.00

Receipt

This is not a bill. Please do not remit payment.

RECEIVED

SUMMIT POWER BROKERS, LLC
P.O. BOX 2285
STOW, OH 44224

AUG 20 2020

PA PUBLIC UTILITY COMMISSON
SECRETARY'S BUREAU

STATE OF OHIO
CERTIFICATE

Ohio Secretary of State, Frank LaRose
4439380

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

BROKERCITY.BIZ

and, that said business records show the filing and recording of:

Document(s)

FICTITIOUS NAME REGISTRATION

Effective Date: 02/18/2020

Document No(s):

202004904854

Expiration Date:

02/18/2025

SUMMIT POWER BROKERS, LLC
4381 HUDSON DRIVE
STOW, OH 44224



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio this
24th day of February, A.D. 2020.

Ohio Secretary of State

Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Example CERTIFICATE OF SERVICE

On this the 20th day of August 2020, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Department of Revenue
Bureau of Compliance
PO Box 281230
Harrisburg, PA 17128-1230

Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Vice President – Energy Supply
Corning Natural Gas Holding Corporation
330 West William Street
Corning, NY 14830

Legal Department
West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689

Manager Energy Acquisition
PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Office of General Counsel
Attn: Kimberly A. Klock
PPL
Two North Ninth Street (GENTW3)
Allentown, PA 18101-1179

Legal Department
First Energy
2800 Pottsville Pike
Reading PA, 19612

UGI Utilities, Inc.
Attn: Rates Dept. – Choice Coordinator
1 UGI Drive
Denver, PA 17517

Citizens' Electric Company
Attn: EGS Coordination
1775 Industrial Boulevard
Lewisburg, PA 17837

Wellsboro Electric Company
Attn: EGS Coordination
33 Austin Street
P. O. Box 138
Wellsboro, PA 16901

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AUG 20 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU



Robert C. Haehn, President Summit Power Brokers, LLC



**Public Utilities
Commission**

John Kasich, Governor
Todd A. Snitchler, Chairman

**ATTACHMENT
8. TECHNICAL FITNESS
a. EXPERIENCE, PLAN, STRUCTURE**

Commissioners

Paul A. Centolella
Cheryl Roberto
Steven D. Lesser
Andre T. Porter

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

Certificate Number:
12-491E (1)

RECEIVED

Issued Pursuant to Case Number(s):

AUG 20 2020

12-828-EL-AGG

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, **Summit Power Brokers, LLC** whose office or principal place of business is located at **4110 Bridgewater Pkwy, Ste. 201, Stow, Ohio 44224** to provide **aggregation and power broker** services within the State of Ohio **effective April 01, 2012**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

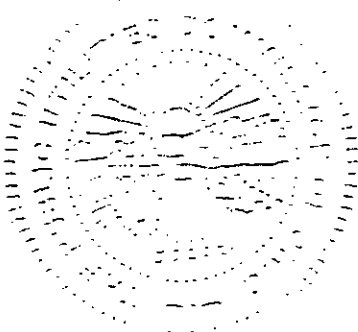
Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **April 2, 2012**

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO



Barcy F. McNeal, Secretary
Betty McCauley, Acting Secretary
Tanowa M. Troupe, Acting Secretary

Certificate Expires: April 01, 2014



Public Utilities Commission

John Kasich, Governor
Thomas W. Johnson, Chairman

ATTACHMENT
8. TECHNICAL FITNESS
a. EXPERIENCE, PLAN, STRUCTURE

Commissioners
Steven D. Lesser
Asim Z. Haque
Lynn Slaby
M. Beth Trombold

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL
Certificate Number:
12-491E (2)

RECEIVED

AUG 20 2020

Issued Pursuant to Case Number(s):

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

12-828-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **Summit Power Brokers, LLC** whose office or principal place of business is located at **4381 Hudson Drive, Stow, OH 44224** to provide **aggregation and power broker** services within the State of Ohio effective **April 22, 2014**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **April 28, 2014**

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barry F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Holly Karg, Acting Secretary

Certificate Expires: April 22, 2016



**Public Utilities
Commission**

John Kasich, Governor
Andre T. Porter, Chairman

ATTACHMENT
8. TECHNICAL FITNESS
a. EXPERIENCE, PLAN, STRUCTURE

Commissioners
Asim Z. Haque
Lynn Slaby
M. Beth Trombold
Thomas W. Johnson

PUBLIC UTILITIES COMMISSION OF OHIO
Certified as a Competitive Retail Electric Service Provider

RENEWAL
Certificate Number:
12-491E (3)

Issued Pursuant to Case Number(s):

12-828-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **Summit Power Brokers, LLC** whose office or principal place of business is located at **4381 Hudson Drive, Stow, OH 44224** to provide **aggregation and power broker** services within the State of Ohio effective **April 22, 2016**

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

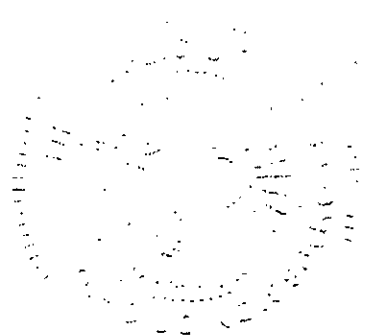
Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **May 3, 2016**

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO



Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Felecia D. Burdett, Acting Secretary

Certificate Expires: April 22, 2018



**Public Utilities
Commission**

Asim Z. Haque, Chairman

ATTACHMENT
8. TECHNICAL FITNESS
a. EXPERIENCE, PLAN, STRUCTURE

Commissioners
M. Beth Trombold
Thomas W. Johnson
Lawrence K. Friedeman
Daniel R. Conway

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL

Certificate Number:

12-491E (4)

Issued Pursuant to Case Number(s):

12-828-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **Summit Power Brokers, LLC** whose office or principal place of business is located at **4381 Hudson Drive, Stow, OH 44224** to provide **aggregation and power broker** services within the State of Ohio effective **April 22, 2018**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated April 24, 2018

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO



Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Susan Patterson, Acting Secretary
Beverly Hoskinson, Acting Secretary

Certificate Expires: April 22, 2020



**Public Utilities
Commission**

Sam Randazzo, Chairman

ATTACHMENT
8. TECHNICAL FITNESS
a. EXPERIENCE, PLAN, STRUCTURE

Commissioners

M. Beth Trombold
Lawrence K. Friedeman
Dennis P. Deters
Daniel R. Conway

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL

Certificate Number:

12-491E (5)

Issued Pursuant to Case Number(s):

12-0828-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **Summit Power Brokers, LLC** whose office or principal place of business is located at **4381 Hudson Drive, Stow, OH 44224** to provide aggregation and power broker services within the State of Ohio effective **April 22, 2020**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: April 27, 2020

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO



Tanowa M. Troupe, Secretary
Debbie Ryan, Acting Secretary
Donielle M. Hunter, Acting Secretary
Susan Patterson, Acting Secretary

Certificate Expires: April 22, 2022

ATTACHMENT
8. TECHNICAL FITNESS
a. EXPERIENCE, PLAN, STRUCTURE

Form 502

Secretary of State
P.O. Box 13697
Austin, TX 78711-3697
FAX: 512/463-5709



**Application for Registration
of an Entity Name**

Filed in the Office of the
Secretary of State of Texas
Filing #: 803413419 09/09/2019
Document #: 911840840002
Image Generated Electronically
for Web Filing

Filing Fee: \$40

Entity Name

The organization named below is a foreign filing entity not registered to do business in Texas, and submits this application to register its name under sections 5.151 to 5.152 of the Texas Business Organizations Code.

Summit Power Brokers, LLC

Entity Address

4381 Hudson DR, Stow, OH, USA 44224

Jurisdiction and Date of Formation

The organization was formed on **1/24/2012** under the laws of **OHIO, USA**

Nature of Business

The nature of the organization's business is:

Transaction of all lawful business for commercial energy brokerage services

Certification of Existence

The undersigned authorized person certifies that the organization validly exists and is doing business under the laws of its jurisdiction of formation as a:

Foreign Limited Liability Company (LLC)

Letter of Consent

A letter of consent, if required is attached.

Execution

The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument.

Date: **September 6, 2019**

Robert C. Haehn

Signature of applicant, applicant's attorney or agent

FILING OFFICE COPY



Office of the Secretary of State

**CERTIFICATE OF REGISTRATION OF NAME
OF**

Summit Power Brokers, LLC

The undersigned, as Secretary of State of Texas, hereby certifies that the above organization formed under the laws of Ohio, USA has registered its name in this office pursuant to the provisions of Section 5.152 of the Texas Business Organizations Code effective through 09/09/2020.

Issuance of this certificate of registration does not authorize the use of a name in this state in violation of the rights of another under the federal Trademark Act of 1946, the Texas trademark law, the Assumed Business or Professional Name Act, or the common law.

Dated: 09/09/2019



A handwritten signature in black ink, appearing to read "Ruth R. Hughs".

Ruth R. Hughs
Secretary of State



Public Utility Commission of Texas

Broker Report

SUMMIT POWER BROKERS LLC

BR190652

Type: Limited Liability Company, L.L.C

Date Registered: 9/10/2019

DBA Names

SUMMIT POWER BROKERS

Company Information

Customer Types: Commercial Industrial Municipal

Customer Details:

Contact Information

Company / Physical (Mailing Address):

SUMMIT POWER BROKERS LLC
ROBERT C HAEHN
PRESIDENT
4381 HUDSON DRIVE
STOW, OH 44224
Email: rob@summitpowerbrokers.com
Phone: 330-208-0335

Contact (Complaint):

SUMMIT POWER BROKERS LLC
ROBERT C HAEHN
PRESIDENT
4381 HUDSON DRIVE
STOW, OH 44224
Email: rob@summitpowerbrokers.com
Phone: 330-208-0335

Docket/Project/Control Numbers

49779-835
Type: PROJECT
Open Date: 8/30/2019
Close Date: 9/10/2019
Filings: New
Note: BROKER REGISTRATION APPLICATION

End Report

Attachment
8. Technical Fitness
e. Officers

Robert C. Haehn

rob@summitpowerbrokers.com

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Education and Credentials

AUG 20 2020

Master of Business Administration, Finance May 2005
Kent State University – Kent, Ohio

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Bachelor of Science in Business Administration, Finance May 2000
Kent State University – Kent, Ohio

Qualifications and Achievements

- Relationship-development expertise that complements the ability to aggressively build and maintain contacts.
- Comprehensive knowledge and experience in leveraging numerous investment instruments in a variety of complex scenarios.
- Effective written and oral communicator.
- Self-motivated opportunity seeker with extensive market knowledge and research ability.
- Effective at multitasking financial analyst tasks such as financial analysis, market analysis, industry analysis, business analysis, data analysis, information processing, and forecasting.
- Managed inventory acquisition, sales, advertising, and loan origination as purchasing manager.
- Sold over 1000 motorcycles in motorcycle export business.
- Secured over 100 million kilowatt hours for clients as president of commercial energy brokerage firm

Professional Experience

Summit Power Brokers, LLC Stow, Ohio June 2012-Present
President – *Started Commercial Energy Brokerage Firm*

Glacial Energy Independence, Ohio October 2009-December 2012
Business Development Manager- *Originated Commercial Electric Accounts*

PartsSource Aurora, Ohio August 2008-September 2009
Sales Closer- *Advanced from Account Manager to Sales Closer*

Pointview Cycle/Elite Cycles Youngstown, Ohio May 2006-July 2008
Purchasing Manager- *Acquired used motorcycle inventory for retail and wholesale sales*

Andrews Cycles Cleveland, Ohio June 2002-November 2003
Store Manager- *Advanced from F&I Manager to General Manager*

The Mortgage Source, Randall Mortgage Cincinnati, Ohio January 2001-January 2002
Mortgage Specialist- *Originated mortgage loans for customers*

Northeast Cycles Salem, Ohio July 1994-February 1998
Owner- *Started and operated pre-owned motorcycle wholesale export business*

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of Ohio :

ss.

County of Mahoning :

Robert C. Haehn, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the President (Office of Affiant) of Summit Power Brokers, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Summit Power Brokers, LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

That the Applicant herein Summit Power Brokers, LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Summit Power Brokers, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Summit Power Brokers, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

Robert C. Haehn

Signature of Affiant

Sworn and subscribed before me this 11th day of August, 2020.

Debbie D. Edlund

Signature of official administering oath

My commission expires Nov. 28, 2024.

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

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Appendix B

AUG 20 2020

OPERATIONS AFFIDAVIT

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

[Commonwealth/State] of Ohio :

: ss.

County of Mahoning :

Robert C. Haehn, Affiant, being duly [sworn/affirmed] according to law,
deposes and says that:

[He/she is the President (Office of Affiant) of Summit Power Brokers, LLC
(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Summit Power Brokers, LLC, the Applicant herein,
acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the
Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania
Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders
which may be issued verbally or in writing during any emergency situations that may unexpectedly
develop from time to time in the course of doing business in Pennsylvania.

That Summit Power Brokers, LLC, the Applicant herein, asserts that
[he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric
service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable
federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility
Commission.

That Summit Power Brokers, LLC, the Applicant herein, certifies to the
Commission that it is subject to , will pay, and in the past has paid, the
full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known
as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant
acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of
Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant
acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power
sales for ultimate consumption, for the previous year or as otherwise required by the Commission.
The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection
of facilities and records).

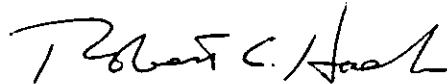
As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That Summit Power Brokers, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

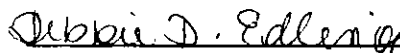
That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 11th day of August, 2020.



Signature of official administering oath

My commission expires Nov. 28, 2024.

ATTACHMENT
12. NEWSPAPER PUBLICATIONS

The notice of application for Summit Power Brokers, LLC dba BrokerCity.Biz Brokers/Marketers license has been published in the Erie Times-News, Harrisburg Patriot-News, Philadelphia Daily News, Pittsburgh Post-Gazette, Scranton Times-Tribune, Williamsport Sun-Gazette and Johnstown Tribune-Democrat.

See Attached Original Notarized Proofs of Publications from:

Erie Times-News
Harrisburg Patriot-News
Philadelphia Daily News
Pittsburgh Post-Gazette
Scranton Times-Tribune
Williamsport Sun-Gazette
Johnstown Tribune-Democrat

RECEIVED

AUG 20 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

Summit Power Brokers LLC
431 Hudson Drive
Stow OH 44224

REFERENCE: 150590 409826
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Lorri Stefanelli, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 08/06/20

TOTAL COST: \$677.00 AD SPACE: 0 Lines

FILED ON: 08/06/20

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Applications of Summit Power Brokers, LLC (d/b/a "BrokerCity.Biz") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

Summit Power Brokers, LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Summit Power Brokers, LLC will also be filing an application with the PUC for a license to supply electricity or electric generation services as (1) a supplier of electric power, (2) a broker/marketer engaged in the business of supplying electricity. Summit Power Brokers, LLC proposes to sell electricity, natural gas, and related services in Entire Commonwealth of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act and the Electricity Generation Customer Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Summit Power Brokers, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Summit Power Brokers, LLC attorney at the address listed below.

By and through Counsel: The Elder Law Office of Olimpi & Kramer, LLC

Summit Power Brokers, LLC

396 4th Street
Beaver, PA 15009
(724) 888-2834 Phone
(724) 888-2855 FAX

EP-409826

Sworn to and subscribed before me this 7th day of August 2020

Affiant: Lorri Stefanelli

NOTARY: Barbara J. Moore

Commonwealth of Pennsylvania - Notary Seal
Barbara J. Moore, Notary Public
Erie County
My commission expires March 23, 2024
Commission number 1114860
Member, Pennsylvania Association of Notaries



AD#: 0009688650

Commonwealth of Pennsylvania,) ss
County of Cumberland)

Sheryl Leggore being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 08/06/2020


Principal Clerk of the Publisher

Sworn to and subscribed before me this 6th day of August 2020

Commonwealth of Pennsylvania - Notary Seal
Crystal B. Rosensteel, Notary Public
Dauphin County
My commission expires June 27, 2024
Commission number 1299212
Member, Pennsylvania Association of Notaries


Notary Public

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Applications of Summit Power Brokers, LLC (d/b/a "BrokerCity.Biz") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Summit Power Brokers, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Summit Power Brokers, LLC attorney at the address listed below.

By and through Counsel: The Elder Law Office of Olmpt & Kramer, LLC
Summit Power Brokers, LLC
396 4th Street
Beaver, PA 15009
(724) 888-2834 Phone
(724) 888-2855 FAX

**Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929**

**STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA**

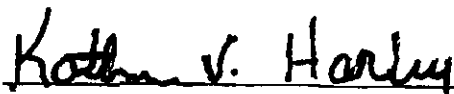
Helene Sweeney being duly sworn, deposes and says that **The Philadelphia Daily News** is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

August 6, 2020

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

Sworn to and subscribed before me this 6th day of August, 2020.





Notary Public

My Commission Expires:

Commonwealth of Pennsylvania • Notary Seal
KATHERINE V. HARLEY, Notary Public
Philadelphia County
My Commission Expires May 23, 2021
Commission Number 1312839

Copy of Notice of Publication

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

Applications of **Summit Power Brokers, LLC** (d/b/a "BrokerCity.Biz") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged in The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of **Summit Power Brokers, LLC** may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to **Summit Power Brokers, LLC** attorney at the address listed below.

By and through Counsel: The Elder Law Office of Olimpi & Kramer, LLC

Summit Power Brokers, LLC
398 4th Street
Beaver, PA 15009
(724) 888-2824 Phone
(724) 888-2855 FAX

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

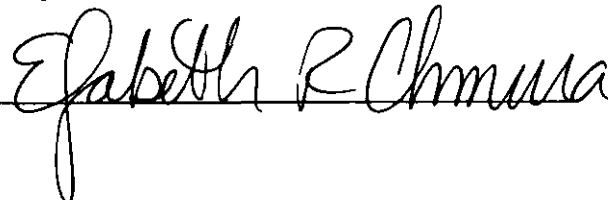
06 of August, 2020

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.



PG Publishing Company

Sworn to and subscribed before me this day of:
August 06, 2020



Elizabeth R. Chmura

Commonwealth of Pennsylvania - Notary Seal
Elizabeth R. Chmura, Notary Public
Allegheny County
My commission expires February 8, 2022
Commission number 1326781
Member, Pennsylvania Association of Notaries

STATEMENT OF ADVERTISING COSTS

Summit Power Brokers
4381 Hudson Drive
Stow Ohio 44224

To PG Publishing Company

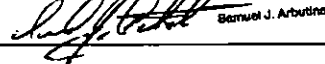
Total ----- \$527.00

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation

By  Samuel J. Arbutina

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Applications of Summit Power Brokers, LLC (d/b/a "BrokerCityBiz") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.
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The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Summit Power Brokers, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Summit Power Brokers, LLC attorney at the address listed below
By and through Counsel:
The Elder Law Office of
Olimpi & Kramer, LLC
Summit Power Brokers, LLC
396 4th Street
Beaver, PA 15009
(724) 888-2834 Phone
(724) 888-2855 FAX

The Scranton Times (Under act P.L. 877 No 160. July 9, 1976)
Commonwealth of Pennsylvania, County of Lackawanna

SUMMIT POWER BROKERS
4381 HUDSON DRIVE
STOW OH 44224

Account # 638602
Order # 82465906
Ad Price: 310.30

LEGAL NOTICE PENNSYLVANIA

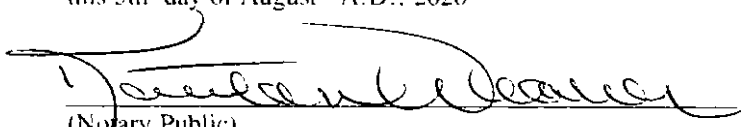
Sharon Venturi

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

08/05/2020

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character of publication are true Sharon Venturi

Sworn and subscribed to before me
this 5th day of August A.D., 2020


(Notary Public)

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Kathleen Weaver, Notary Public
City of Scranton, Lackawanna County
My Commission Expires June 14, 2021

LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Applications of Summit Power Brokers, LLC (d/b/a "BrokerCity. Biz") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/ Broker Engaged In The Business Of Supplying Natural Gas Supply Services and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

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By and through Counsel: The Elder Law Office of Olimpi & Kramer, LLC
Summit Power Brokers, LLC
398 4th Street
Beaver, PA 15009
(724) 888-2834 Phone
(724) 888-2855 FAX

PROOF OF PUBLICATION OF NOTICE IN THE WILLIAMSPORT
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Robert O. Rollev, Jr., Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

August 6, 2020

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

**PENNSYLVANIA
PUBLIC UTILITY
COMMISSION
NOTICE**

Applications of Summit Power Brokers, LLC(d/b/a "BrokerCity.Biz") For Approval To Offer, Render, or Furnish Services as a Supplier, Aggregator, and Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services; and Electricity Supply or Electric Generation Services, To The Public In The Commonwealth Of Pennsylvania.

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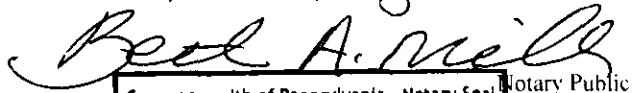
The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Summit Power Brokers, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Summit Power Brokers, LLC attorney at the address listed below.

By and through Counsel:
The Elder Law Office of
Olimpi & Kramer, LLC
Summit Power
Brokers, LLC
396 4th Street
Beaver, PA 15009
(724) 888-2834 Phone
(724) 888-2855 FAX



SUN-GAZETTE LLC

Sworn to and subscribed before me
The 11th day of August 20 20



Commonwealth of Pennsylvania - Notary Seal
BETH A MILLER - Notary Public
Lycoming County
My Commission Expires Jun 4, 2024
Commission Number 1297751

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates.....\$ 299.84

Probated same.....\$

Total.....\$ 299.84

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC
BY Robert O. Rollev, Jr.

COMMONWEALTH OF PENNSYLVANIA }
County of Cambria } SS

**PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE**

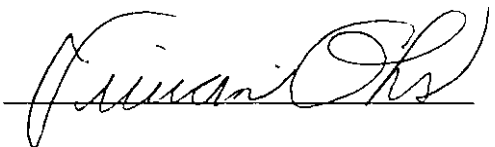
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By and through Counsel:
The Elder Law Office of Olmipi & Kramer, LLC
Summit Power Brokers, LLC
396 4th Street, Berwyn, PA 17004
(717) 396-1111

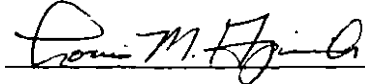
Signature _____ sworn to before me on
10th day of August, 2020,
by Louis M. Gjurich making the statement.



Commonwealth of Pennsylvania - Notary Seal
Vivian Ohs, Notary Public
Cambria County
My commission expires December 6, 2020
Commission number 1123017
Member, Pennsylvania Association of Notaries

On this 10th day of August A.D. 2020, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Louis M. Gjurich, who being duly sworn according to law, deposes and says as Controller of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863,

ounty of Cambria, and Commonwealth of Pennsylvania and above matter published in said publication in the regular issues PA, on August 5, 2020; and that the Affiant is not interested and that all of the allegations as to time, place and character



STATEMENT OF ADVERTISING COSTS

0.00 Lines @ \$2.50 per line	0.00
7.5 Inches @ \$25.00 per inch	187.50
Notary Fee	5.00
Clerical Fee	2.50
Total Cost	195.00

To The Tribune-Democrat, Johnstown, PA
For publishing the notice or publication
attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

_____ for publisher of _____
a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
and publication costs and certifies that the same has been duly paid.

(Name of Newspaper)

By _____