

Date: October 8, 2020
From: James Koontz, Owner
Aadvantage Power LLC

To: Rosemary Chiavetta, Secretary
PA Public Utility Commission
400 North Street
Harrisburg, PA 17120

CC: Jeremy Haring @ jharing@pa.gov

RECEIVED

OCT 15 2020

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

RE: Request for Information (PA letter dated 9/15/2020)

Subj: Docket No. A-2020-3021655 (Application for Broker License)

Ladies & Gentlemen:

Aadvantage Power LLC is pleased to provide responses to questions pursuant our application for broker license.

1. Reference Application, Section 1.b, Registered Agent – Applicant failed to provide a Name, Primary Address, and Telephone Number for the Applicant's Registered Agent. Please provide an updated application page with the appropriate corrections.

Updated application attached with updated answer highlighted in yellow.

2. Reference Application, Section 7.b, Financial Fitness – Applicant provided minimal documentation to demonstrate financial fitness. Please provide additional financial fitness documentation that may include two recent consecutive years of financial statements or three recent consecutive months of bank statements.

2 years of consecutive financial statements & 3 recent consecutive months of bank statements attached

2. Reference Application, Section 8.b, c, d, Technical Fitness – Applicant failed to list its Explanation of Ethical Procedures. Please provide an updated application page with the appropriate corrections.

Updated application attached with updated answer highlighted in yellow.

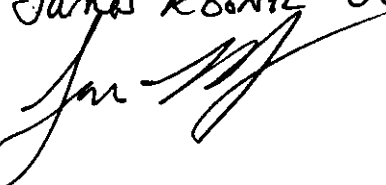
3. Reference Application, Section 11, Affidavits – The Affidavits submitted show the name of the applicant as both Aadvantage Power and Aadvantage Power LLC. The applicant is Aadvantage Power LLC and the affidavits should reflect this in all spaces where the applicant is required. Please resubmit the Application Affidavit and the Operations Affidavit with the appropriate corrections.

Updated Application Affidavit & updated Operations Affidavit attached (originals since notarized)

I, James Koontz, hereby state that the facts above set forth are true and correct (or are true and correct to the best of my knowledge, information and belief) and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities).

Date: 10/8/2020

Name:

James Koontz OWNER AADVANTAGE POWER LLC


Enclosed:

1. Updated Application for Broker License
2. 2 years of consecutive financial summaries
3. 3 consecutive months of bank statements
4. Updated Application Affidavit (notarized)
5. Updated Operations Affidavit (notarized)

Copy of packet emailed to Jeremy Haring @ jharing@pa.gov on 10/8/2020

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

2/2

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of **Aadvantage Power, LLC**, d/b/a _____ n/a _____, for approval to offer, render, furnish, or supply electricity or electric generation services as a(n) **broker/marketer** to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Aadvantage Power, LLC
6 Fairfield Ln
Simsbury, CT 06070
www.aadvantagepower.com
860-500-0693

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PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:**

The registered agent is:

James Koontz (or Suretha Koontz)
860-500-0693 (primary phone)
Address: 125 Locust St, Harrisburg PA 17101

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

James Koontz, President/Owner
6 Fairfield Ln
Simsbury, CT 06070
860-808-6383 (phone)
860-371-3856 (fax)
sales@aadvantagepower.com

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

No attorney being used

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS: (Required of ALL Applicants)** Provide the name, title, address, telephone number, FAX number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)**

PRIMARY
James Koontz
President/Owner
6 Fairfield Ln

Simsbury, CT 06070
860-500-0693 (phone)
860-371-3856 (fax)
sales@advantagepower.com

ALTERNATE
Suretha Koontz
Title- Owner
6 Fairfield Ln
Simsbury, CT 06070
860-798-9349 (phone)
860-371-3856 (fax)
surethakoontz@advantagepower.com

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

☒ The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

☒ The Applicant is a:

- ☐ domestic corporation (15 Pa. C.S. §1308)
- ☐ foreign corporation (15 Pa. C.S. §4124)
- ☐ domestic limited liability company (15 Pa. C.S. §8913)
- ☒ foreign limited liability company (15 Pa. C.S. §8981)
- ☐ Other (Describe):

- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.

ENCLOSED

- o **CT charter documentation (11/9/2017)** (Attachment 1)
- o **2020 statement of good standing (2/28/2020)** (Attachment 2)
- o **PA Department of State Application for Foreign Corporation (DSCB 15-412)** (Attachment 3, 4)

- Give name and address of officers.

Incorporated in State of CT on 11/9/2017

Officers:

James Koontz (responsible for operations & technical)
Suretha Koontz (website design & administrative support)
6 Fairfield Lane, Simsbury CT 06070

3. AFFILIATES AND PREDECESSORS

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

No affiliates, and none applying

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

No predecessors have done business

4. OPERATIONS

- a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

☒ The Applicant is not presently doing business in Pennsylvania.

- b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a *(may check multiple)*:

- ☐ Generator of electricity
☐ Supplier of electricity
☐ Aggregator engaged in the business of supplying electricity
☒ Broker/Marketer engaged in the business of supplying electricity services
 ☒ Check here to verify that your organization will not be taking title to the electricity nor will you be making payments for customers.
☐ Electric Cooperative and supplier of electric power
☐ Other (Describe):

- c. **PROPOSED SERVICES:** Describe in detail the electric services or the electric generation services which the Applicant proposes to offer.

Applying to be licensed broker/marketer. Will use our website where PA commercial/business customers can compare rates and apply for 3rd party supply agreements. As broker, we will assist customers in contracting with PA approved EGS.

Since Broker, at no time will we:

- take ownership of the electricity**
- bill the customers or take payments from customers**

- d. **PROPOSED SERVICE AREA:** Check the box of each Electric Distribution Company for which the Applicant proposes to provide service.

☐ Citizens' Electric
☐ Duquesne Light
☐ Met-Ed
☐ PECO
☐ Penelec
☐ Penn Power

☐ Pike
☐ PPL
☐ UGI Utilities
☐ Wellsboro
☐ West Penn

x Entire Commonwealth of PA

- e. **CUSTOMERS:** Applicant proposes to provide services to:

☐ Residential Customers
x Small Commercial Customers - (25 kW and Under)
☐ Residential and Small Commercial as Mixed Meter **ONLY (CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE)**
x Large Commercial Customers - (Over 25 kW)
x Industrial Customers
x Governmental Customers
☐ All of above (Except Mixed Meter)
☐ Other (Describe):

- f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

Will commence after application/license accepted and approved by PA PUC.

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

No criminal/civil proceedings

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

N/A

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints, in the Commonwealth of Pennsylvania or any state, filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. **Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission.** If the Applicant has no actions or complaints to list, explicitly state such.

No actions or complaints

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

N/A

6. PROOF OF SERVICE

Please see Attachment 5

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2809(c)(1)(i), the Applicant is required to file a bond or other instrument to ensure its financial responsibilities and obligations as an EGS. Therefore, the Applicant is...

☐ Furnishing the ORIGINAL of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$250,000.

☐ Furnishing the ORIGINAL of another initial security for Commission approval, to ensure financial responsibility, such as a parental guarantee, in the amount of \$250,000.

- x **For Marketers and Brokers** - Filing for a modification to the \$250,000 requirement and furnishing the ORIGINAL of an initial bond, letter of credit or proof of bonding to the Commission in the amount of \$10,000. Applicant is required to provide information supporting an amount less than \$250,000. Such supporting information must include indication that the Applicant will not take title to electricity and will not pay electricity bills on behalf of its customers. Further details for modification may be described as well.

Applying for Broker/Marketer license only. Applicant will not take title to the electricity and will not pay electric bills on behalf of customers.

Please see Attachment 6

- b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

**Two years of corporate tax returns are enclosed (Attachment 7)
Have provided additional info to include 2 years of financial statements & 3 months of bank statements**

- c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than Broker/Marketer only, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

N/A- will be operating as Broker/Marketer only.

- d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Aadvantage Power will be working as broker/marketer with PA licensed suppliers. Aadvantage Power will collect commissions (only) from the supplier after the supplier & end-user/customer directly contract between each other.

- **At no time does Aadvantage Power take ownership of the electricity**
- **At no time does Aadvantage Power bill the customer or collect monies from the customer**

- e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

**Harper & Whitfield
Sandra Selig
883 Hopmeadow St
Simsbury, CT 06070
860-651-9303 (phone)
860-651-3992 (fax)
sselig@harperwhitfield.com**

- f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix I to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

Attachment 8

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by electric utilities does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. **EXPERIENCE, PLAN, STRUCTURE:**

Below & Attachment 9

Aadvantage Power was established in 11/2017. The business was structured, from inception, to be scalable for future growth. The commodity business is very data intense, so there has been heavy emphasis on building our step-by-step process. Our overall goal continues to be:

- Easy for customers to apply & contract
- High level of customer service with goal of superb client satisfaction
- Educate our customers so they can make the best procurement decision for their business

Aadvantage Power is a veteran-owned business, with over 25 years of experience. Our mission is to help your business be more competitive by reducing the cost of energy.

OUR PROMISE:

Understand: your energy requirements to help you make informed decisions

Educate: you on your energy procurement options

Design: a tailored approach to help you achieve your energy goals

Monitor: energy market trends and pro-actively update you on future options

Serve: as your unbiased energy advisor

Aadvantage Power

Technical & Customer Service

Customer Service

- run sales desk & customer calls
- Q/A, education of customers
- review contracts prior to sending

Technical

- pricing & market analysis
- forward pricing strategies
- follow natural gas markets

Suppliers

- manage supplier relations
- contract Q/A

Administration

Customer Inquiries via website

- data entry
- prepare contracts & renewals
- forward signed agreements to suppliers

Website

- design & maintenance
- admin updates

CV-

James Koontz

<https://www.linkedin.com/in/jim-koontz-8923032b/>

Education: MBA, Rensselaer Polytechnic Institute
BS Mechanical Engineering, Norwich University

Affiliations: Certified M&V Professional, Association of Energy Engineers (AEE)
Northeast Clean Heat & Power Initiative (NECHPI), Board of Directors
CT Power & Energy Society, former Board Member

Speaking: Frequent speaker at Energy Conferences including:
NYSERDA (NY State Energy Research & Development Association)
NESEA (Northeast Sustainable Energy Association)
Eversource Energy- trained utility reps on Cogeneration Systems
UConn Grid Modernization Workshop
Powergen, Globalcon, and more

Experience: 30+ years in the power & energy sector. Key experience relating to energy & commodity brokering, utility coordination, bill analysis & customer service includes:

Aadvantage Power- since 11/2018

- Currently 300+ customers with over 24,000 MW/hr currently under contract
- Commercial & municipal customers (manufacturing plants, small businesses, municipal, car dealerships, data centers, office spaces, house of worship)

Veolia Energy- 2008-2013

- Energy commodity broker for large Commercial & Municipal Customers
- Clients included City of Boston, Biogen, EMC, Jones Lang LaSalle
- State of CT Dept of Energy- custom build of energy database for all state buildings.
Project Manager for 3-year contract including software development, data entry & analytics

Kinsley Power- Sold power plants to commercial clients (2013-2017)

Duke Power- VP of 450 MW Power Plant (1999-2000)

Nalco Company- Consultant to power plants (1992-2008)
25 MW to 400 MW
Natural Gas, Oil, Solar, Trash Burners

U.S. Navy- Electrical Officer, Boilers Officer (1988-1992)

b. PROPOSED MARKETING METHOD *(check all that apply)*

- ☒ Internal – Applicant will use its own internal resources/employees for marketing
- ☐ External EGS – Applicant will contract with a PUC **LICENSED EGS** broker/marketer
- ☐ Affiliate – Applicant will use a **NON-EGS** affiliate marketing company and or individuals.
- ☐ External Third-Party – Applicant will contract with a **NON-EGS** third party marketing company and or individuals
- ☒ Other (Describe):

Marketing will be via company website. This allows potential customers to locate pricing options based on zip code query. If interested, they can apply on-line. As broker we will coordinate between customer and licensed EGS for their review/acceptance.

c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

- ☐ Yes
- ☒ No

d. OVERSIGHT OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

We have a very transparent overview of the process included on our website. This includes industry terms & definitions, contracting process & renewal process.

Attachment 10 includes customer facing documents that help them shop for competitive pricing rates in simple and ethical manner.

Aadvantage Power LLC is committed to conducting all our sales & marketing activities in a transparent and ethical manner. We commit to complying with 52 PA Code 54.43 "Standards of Conduct and disclosures for licensees". Aadvantage Power LLC is also committed to customer privacy and will never provide customer information to 3rd parties.

To help educate and guide our customers, our website has several documents under Process tab including:

- **Industry terms & definitions**
- **Contract process "how to"**
- **Renewal process "how to"**

e. OFFICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

Provided in section 8a.

f. FERC FILING: Applicant has:

- ☐ Filed an Application with the Federal Energy Regulatory Commission to be a Power Marketer.
- ☐ Received approval from FERC to be a Power Marketer at Docket or Case Number _____.
- ☒ Not applicable

9. DISCLOSURE STATEMENTS:

Disclosure Statements: If proposing to serve Residential and/or Small Commercial (under 25 kW) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix J to this Application.

Attachment 10

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

a. PJM LOAD SERVING ENTITY REQUIREMENT: As a prospective EGS, the applicant understands that those EGSs which provide retail electric supply service (i.e. takes title to electricity) must provide either:

- proof of registration as a PJM Load Serving Entity (LSE), or
- proof of a contractual arrangement with a registered PJM LSE that facilitates the retail electricity services of the EGS.

The Applicant understands that compliance with this requirement must be filed within 120 days of the Applicant receiving a license. As well, the Applicant understands that compliance with this requirement may be filed with this instant application.

(Select only one of the following)

- ☐ AGREED - Applicant has included compliance with this requirement in the instant application, labeled in correspondence with this section (10).
- ☐ AGREED - Applicant will provide compliance with this requirement within 120 days of receiving its license
- ☒ ACKNOWLEDGED - Applicant is not proposing to provide retail electric supply service at this time, and therefore is not presently obligated to provide such information

b. STANDARDS OF CONDUCT AND DISCLOSURE: As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 54.43, as well as any future amendments.

- ☒ AGREED

c. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission or the Department of Revenue, as appropriate:

- Retail Electricity Choice Activity Reports: The regulations at 52 Pa. Code §§ 54.201–54.204 require that all active EGSs report sales activity information. An EGS will file an annual report reporting for customer groups defined by annual usage. Reports must be filed using the appropriate report form that may be obtained from the PUC's Secretary's Bureau or the forms officer, or may be down-loaded from the PUC's internet web site.
- Reports of Gross Receipts: Applicant shall report its Pennsylvania intrastate gross receipts to the Commission on a quarterly and year to date basis no later than 30 days following the end of the quarter.
- The Treasurer or other appropriate officer of Applicant shall transmit to the Department of Revenue by March 15, an annual report, and under oath or affirmation, of the amount of gross receipts received by Applicant during the prior calendar year.
- Net Metering Reports: Applicant shall be responsible to report any Net Metering per the Standards on http://www.puc.pa.gov/consumer_info/electricity/alternative_energy.aspx. Scroll down to the Net Metering Standards Section.
- Applicant shall report to the Commission the percentages of total electricity supplied by each fuel source on an annual basis per 52 Pa. Code § 54.39(d).
- Applicant will be required to meet periodic reporting requirements as may be issued by the Commission to fulfill the Commission's duty under Chapter 28 pertaining to reliability and to inform the Governor and Legislature of the progress of the transition to a fully competitive electric market.

x **AGREED.**

d. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. Section 2809(D). Transferee will be required to file the appropriate licensing application.

x **AGREED**

e. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling electricity in the Commonwealth of PA, and an annual supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

x **AGREED**

f. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 54.34.

x **AGREED**

g. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

X **AGREED**

- h. NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within twenty (20) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 54.34.

X **AGREED**

- i. CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

X **AGREED**

- j. Electronic Data Interchange:** The Applicant acknowledges the Electronic Data Interchange (EDI) requirements and the relevant contacts for each EDC, as listed at Appendix M.

X **AGREED**

- k. FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of **\$350.00** payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

X **PAYMENT ENCLOSED**

11. AFFIDAVITS

Must be notarized before filing.

- a. APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

Attachment 11

- b. OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the reliability protocols of the North American Electric Reliability Council, the appropriate regional reliability council(s), and the Commission, and that you agree to comply with the operational requirements of the control area(s) within which you provide retail service. An example copy of this Affidavit can be found at Appendix B.

Attachment 12

12. NEWSPAPER PUBLICATIONS

Attachment 13 (for all 7 newspapers required)

13. SIGNATURE

Applicant: AAVANTAGE POWER LLC

By: 

Title: JAMES KUNTZ, OWNER

Two-Year Comparison - Partnership

2019

Name of partnership

Employer identification number

AADVANTAGE POWER, LLC

82-3318480

| Description | 2018 Prior Year | 2019 Current Year | Increase (Decrease) |
|-------------------------------------|--------------------|----------------------|------------------------|
| NUMBER OF PARTNERS | 2. | 2. | 0. |
| TRADE OR BUSINESS INCOME OR LOSS: | | | |
| INCOME: | | | |
| GROSS RECEIPTS OR SALES | 51,939. | 51,228. | -711. |
| GROSS PROFIT | 51,939. | 51,228. | -711. |
| TOTAL INCOME (LOSS) | 51,939. | 51,228. | -711. |
| DEDUCTIONS: | | | |
| GUARANTEED PAYMENTS TO PARTNERS | 0. | 10,295. | 10,295. |
| TAXES AND LICENSES | 0. | 3,225. | 3,225. |
| OTHER DEDUCTIONS | 23,326. | 20,980. | -2,346. |
| TOTAL DEDUCTIONS | 23,326. | 34,500. | 11,174. |
| ORDINARY INCOME (LOSS) | 28,613. | 16,728. | -11,885. |
| SCHEDULE K: | | | |
| INCOME (LOSS): | | | |
| ORDINARY TRADE/BUSINESS INCOME/LOSS | 28,613. | 16,728. | -11,885. |
| GUARANTEED PAYMENTS TO PARTNERS | 0. | 10,295. | 10,295. |
| DEDUCTIONS: | | | |
| CHARITABLE CONTRIBUTIONS | 0. | 1,886. | 1,886. |
| SECTION 179 EXPENSE DEDUCTION | 1,822. | 0. | -1,822. |
| OTHER DEDUCTIONS | 0. | 10,295. | 10,295. |
| SELF-EMPLOYMENT: | | | |
| EARNINGS/LOSS FROM SELF-EMPLOYMENT | 25,752. | 25,350. | -402. |
| GROSS NONFARM INCOME | 46,745. | 46,105. | -640. |
| OTHER: | | | |
| NONDEDUCTIBLE EXPENSES | 2,461. | 2,475. | 14. |
| DISTRIBUTIONS OF MONEY | 27,788. | 11,194. | -16,594. |
| OTHER ITEMS AND AMOUNTS | 28,613. | 18,550. | -10,063. |

Two-Year Comparison - Partnership

2019

Name of partnership

Employer identification number

AADVANTAGE POWER, LLC

82-3318480

| Description | Prior Year | Current Year | Increase (Decrease) |
|-------------------------------------|------------|--------------|------------------------|
| SCHEDULE M-1: | | | |
| NET INCOME (LOSS) PER BOOKS | 24,330. | 12,367. | -11,963. |
| TRAVEL AND ENTERTAINMENT | 2,461. | 2,475. | 14. |
| TOTAL- NET BOOK INC THROUGH EXPENSE | 26,791. | 14,842. | -11,949. |
| INCOME (LOSS) | 26,791. | 14,842. | -11,949. |
| SCHEDULE M-2: | | | |
| CAPITAL AT BEGINNING OF YEAR | 0. | 1,042. | 1,042. |
| CAPITAL CONTRIBUTED DURING YEAR | 4,500. | 0. | -4,500. |
| NET INCOME (LOSS) PER BOOKS | 24,330. | 12,367. | -11,963. |
| TOTAL- BEGINNING CAP THROUGH INCR | 28,830. | 13,409. | -15,421. |
| CASH DISTRIBUTIONS | 27,788. | 11,194. | -16,594. |
| TOTAL- CASH CONT THROUGH OTHER DECR | 27,788. | 11,194. | -16,594. |
| CAPITAL BALANCE AT END OF YEAR | 1,042. | 2,215. | 1,173. |

Windsor Federal

SAVINGS

Neighbors Helping Neighbors Since 1936!

P.O. Box 250, Windsor, CT 06095
ADDRESS SERVICE REQUESTED

(860) 688-8511

custserv@windsorfederal.com
www.windsorfederal.com



Customer Statement

Pg 1 of 4

Account Number: xxxxxxxx8843

Statement Date: May 01, 2020 thru May 29, 2020

Summary - All Accounts

| Product | Account # | Ending Balance |
|-------------------|-------------|----------------|
| Business Checking | xxxxxxx8843 | \$6,841.56 |

000680



AADVANTAGE POWER LLC
6 FAIRFIELD LANE
SIMSBURY CT 06070-1239

Business Checking - xxxxxxxxx8843

| Date | Transaction Description | Withdrawal | Deposit | Balance |
|--------|--------------------------|------------|-----------|-------------------|
| | BEGINNING BALANCE | | | \$5,015.27 |
| May 29 | Total Deposits | | 11,551.71 | |
| May 29 | Total Withdrawals | 9,720.42 | | |
| May 29 | Total Fees | 5.00 | | |
| | ENDING BALANCE | | | \$6,841.56 |

Deposits and Credits

| Date | Transaction Description | Amount |
|--------|--|----------|
| May 04 | Activity 05-03 Deposit Internet Transfer from ██████████ - payroll | 4,000.00 |
| May 07 | External Deposit from ██████████ Advantage Pow | 7,551.71 |

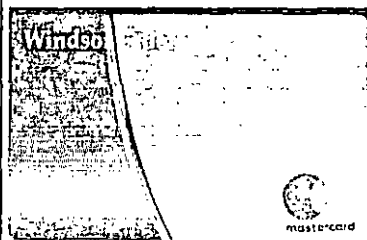
Withdrawals and Debits

| Date | Transaction Description | Amount |
|--------|---|-----------|
| May 04 | Activity 05-03 Withdrawal/ Internet Transfer to ██████████ CK - payroll | -4,000.00 |
| May 14 | Withdrawal/ Internet Transfer to ██████████ CK - payroll | -4,056.00 |
| May 29 | Paper Statement Charge | -5.00 |

Check Summary

| Check No. | Date | Amount | Check No. | Date | Amount | Check No. | Date | Amount |
|-----------|---------------------------------|--------|-----------|---------------------------------|--------|-----------|---------------------------------|----------|
| 141 | May 04 <input type="checkbox"/> | 164.42 | 142 | May 08 <input type="checkbox"/> | 300.00 | 143 | May 12 <input type="checkbox"/> | 1,200.00 |

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032EJA BK 205WF0001 M046

DOI: 10.1002/SWFL.201100079

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(860) 688-8511

custserv@windsorfederal.com
www.windsorfederal.com

Customer Statement

Pg 1 of 4

Account Number:

xxxxxxxx8843

Statement Date: May 30, 2020 thru Jun 30, 2020

Summary - All Accounts

| Product | Account # | Ending Balance |
|-------------------|--------------|----------------|
| Business Checking | xxxxxxxx8843 | \$10,058.23 |

000665

AADVANTAGE POWER LLC
6 FAIRFIELD LANE
SIMSBURY CT 06070-1239**Business Checking - xxxxxxxx8843**

| Date | Transaction Description | Withdrawal | Deposit | Balance |
|--------|--------------------------|------------|----------|--------------------|
| | BEGINNING BALANCE | | | \$6,841.56 |
| Jun 30 | Total Deposits | | 9,341.91 | |
| Jun 30 | Total Withdrawals | 6,120.24 | | |
| Jun 30 | Total Fees | 5.00 | | |
| | ENDING BALANCE | | | \$10,058.23 |

Deposits and Credits

| Date | Transaction Description | Amount |
|--------|---|----------|
| Jun 05 | External Deposit Broker ██████████ Aadvantage Pow | 9,341.91 |

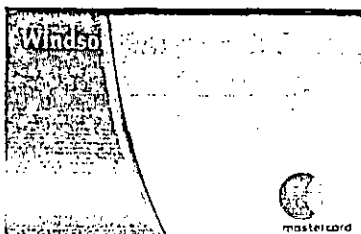
Withdrawals and Debits

| Date | Transaction Description | Amount |
|--------|---|-----------|
| Jun 18 | 144 Electronic Check CHASE CREDIT CRD CHECK PYMT | -1,000.00 |
| Jun 23 | External Withdrawal USAA.COM PAYMNT ACH PAYMENTS CREDIT CRD | -4,555.77 |
| Jun 30 | Paper Statement Charge | -5.00 |

Check Summary

| Check No | Date | Amount | Check No | Date | Amount | Check No | Date | Amount |
|----------|--------|----------|----------|--------|--------|----------|--------|--------|
| 144e | Jun 18 | 1,000.00 | 145 | Jun 18 | 164.47 | 146 | Jun 29 | 400.00 |

Number of Checks: 3 * Indicates a skip in sequence e Indicates an electronic check

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ADDRESS SERVICE REQUESTED(860) 688-8511
custserv@windsorfederal.com
www.windsorfederal.com**Customer Statement****Pg 1 of 4**Account Number: xxxxxxxx8843
Statement Date: Jul 01, 2020 thru Jul 31, 2020

000665

AADVANTAGE POWER LLC
6 FAIRFIELD LANE
SIMSBURY CT 06070-1239**Summary - All Accounts**

| Product | Account # | Ending Balance |
|-------------------|--------------|----------------|
| Business Checking | xxxxxxxx8843 | \$8,629.70 |

Business Checking - xxxxxxxx8843

| Date | Transaction Description | Withdrawal | Deposit | Balance |
|--------|--------------------------|------------|----------|--------------------|
| | BEGINNING BALANCE | | | \$10,058.23 |
| Jul 31 | Total Deposits | | 4,802.29 | |
| Jul 31 | Total Withdrawals | 6,225.82 | | |
| Jul 31 | Total Fees | 5.00 | | |
| | ENDING BALANCE | | | \$8,629.70 |

Deposits and Credits

| Date | Transaction Description | Amount |
|--------|---|----------|
| Jul 09 | Descriptive Deposit Incoming Wire/ Broke [REDACTED] | 4,802.29 |

Withdrawals and Debits

| Date | Transaction Description | Amount |
|--------|---|-----------|
| Jul 09 | Descriptive Withdrawal Incoming Wire Fee | -10.00 |
| Jul 14 | External Withdrawal USAA.COM PAYMNT ACH PAYMENTS CREDIT CRD | -6,051.35 |
| Jul 31 | Paper Statement Charge | -5.00 |

Check Summary

| Check No. | Date | Amount | Check No. | Date | Amount | Check No. | Date | Amount |
|-----------|--------|--------|-----------|------|--------|-----------|------|--------|
| 147 | Jul 16 | 164.47 | | | | | | |

Number of Checks: 1 * Indicates a skip in sequence e Indicates an electronic check

Effective 7/1/2020, Windsor Federal is increasing the amount we make available for withdrawal by checks not subject to next day availability to \$225. In addition, the amount available for withdrawal on exception holds placed on large deposits is increasing to \$5,525. Our full funds availability policy can be obtained in any of our branch offices or can be requested by calling 860-688-8511.

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of CT :

: ss. Simsbury

County of HARTFORD :

James Koontz, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the owner (Office of Affiant) of Advantage Power LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

Advantage Power LLC
That the Applicant herein Advantage Power LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as an electric generation supplier pursuant to 66 Pa. C.S. § 2809 (B).

Advantage Power LLC
That the Applicant herein Advantage Power LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

Advantage Power LLC
That the Applicant herein Advantage Power LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

Advantage Power LLC
That the Applicant herein Advantage Power LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

James Koontz
Signature of Affiant
James Koontz, owner

Sworn and subscribed before me this 7 day of OCT, 2020.

John H. Hanson, Jr.
Signature of official administering oath

JOHN H. HANSON, JR.
NOTARY PUBLIC

My commission expires MY COMMISSION EXPIRES OCT. 31, 2020

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of CT :

: SS.

County of HARTFORD :

James Koontz, Affiant, being duly [sworn/affirmed] according to law,
deposes and says that:

[He/she is the owner (Office of Affiant) of Advantage Power LLC
(Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Advantage Power LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Advantage Power LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render electric service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

Advantage Power LLC
That _____, the Applicant herein, certifies to the Commission that it is subject to , will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 28 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28, shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional Gross Receipts and power sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

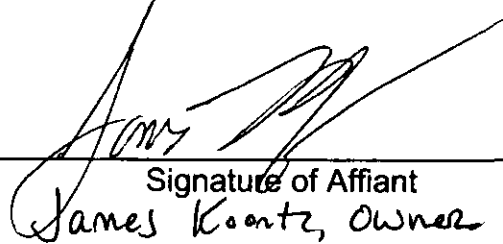
As provided by 66 Pa. C.S. §2810 (C)(6)(iv), Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That Advantage Power LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506, §2807 (C), §2807(D)(2), §2809(B) and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Bureau of Public Liaison or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant
James Koontz, Owner

Sworn and subscribed before me this 7 day of OCT, 2020.



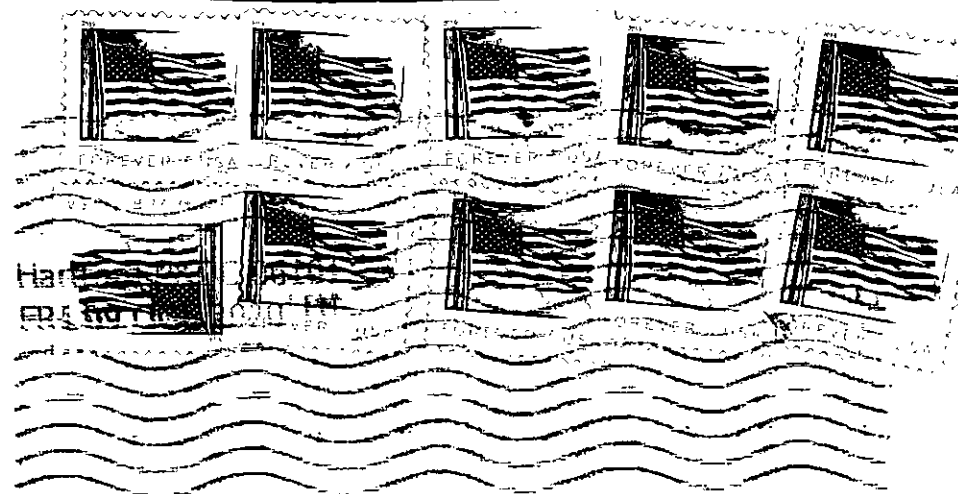
Signature of official administering oath

JOHN H. HANSON, JR.

NOTARY PUBLIC

My commission expires _____ MY COMMISSION EXPIRES OCT. 31, 2020

A Advantage Power LLC
6 FAIRFIELD LN
Simsbury, CT 06070
ATTN: Jim Koontz



PA PUBLIC UTILITY COMMISSION
400 North St
Harrisburg, PA 17120
ATTN: ROSEMARY CHIAVETTA, SECRETARY