

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

Applicant: Brown Online Exam LLC

/	Signature	
✓	Filing Fee (ONLY CERTIFIED CHECK OR MONEY ORDER)	
✓	Application Affidavit	
/	Operations Affidavit	
/	Proof of Publication	
/	Tax Certification Statement	
/	Commonwealth Department of State Verification	
/	Certificate of Service	

Applicant's Use

PUC Secretary's Bureau Use

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Brokers Online Exchange LLC, d/b/a N/A, for approval to offer, render, furnish, or supply natural gas supply services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

See Supporty Statement attached

b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

See Supporty Statement attached

c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

See Supporty Statement attached

d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

See Supporty Statement attached

e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSS.

See Supporty Statement attached

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as (“d/b/a”)

Provide a copy of the Applicant’s filing with Pennsylvania’s Department of State Pursuant to 54 Pa. C.S. §311.

Or

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

Or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant’s charter documentation.
- * If a corporate partner in the Applicant’s domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant’s Department of State filing pursuant to 15 Pa. C.S. §4124.

or

The Applicant is a:

- domestic corporation (15 Pa. C.S. §1308)
- foreign corporation (15 Pa. C.S. §4124)
- domestic limited liability company (15 Pa. C.S. §8913)
- foreign limited liability company (15 Pa. C.S. §8981)
- Other (Describe):

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Provide the state in which the business is incorporated/organized/formed and provide a copy of the Applicant's charter documentation.
- Give name and address of officers.

See Support Statement Attached

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

The Applicant operates in Pennsylvania as an Electricity Broker with license number A-2019-3010105. Otherwise the Applicant has no Affiliates doing business or applying to do business in the State of Pennsylvania.

b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

The Applicant does not have any predecessors under a different name that have done business in the State of Pennsylvania.

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** (select and complete the appropriate statement)

Definitions

- Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of a natural gas distribution company
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

The Applicant is presently doing business in Pennsylvania as a

- natural gas interstate pipeline
- municipality providing service outside its municipal limits
- local gas distribution company
- retail supplier of natural gas services in the Commonwealth
- a natural gas producer
- a broker/marketer engaged in the business of supplying natural gas services
- Other. (Identify the nature of service being rendered)

The Applicant operates in Pennsylvania as an Electricity Broker under license number A-2019-3010105

OR

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- Supplier or Aggregator of natural gas services
- Municipal supplier of natural gas services
- Cooperative supplier of natural gas services
- Broker/Marketer engaged in the business of supplying natural gas services
 - Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- Other (Describe):

c. **PROPOSED SERVICES:** Describe in detail the natural gas supply services which the Applicant proposes to offer.

To act as an intermediary in the sale and purchase of natural gas, but not to take title to any gas and not take deposits from customers.

d. **PROPOSED SERVICE AREA:** Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- | | |
|--|---|
| <input type="checkbox"/> Columbia | <input type="checkbox"/> Peoples Gas Company |
| <input type="checkbox"/> National Fuel Gas | <input type="checkbox"/> Philadelphia Gas Works |
| <input type="checkbox"/> PECO | <input type="checkbox"/> UGI Utilities – Gas Division |
| <input type="checkbox"/> Peoples Natural Gas Company | <input type="checkbox"/> Valley Energy |
| <input type="checkbox"/> Peoples Natural Gas – Equitable Div | <input checked="" type="checkbox"/> All of the above |

e. **CUSTOMERS:** Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (Less than 6,000 Mcf annually)
- Residential and Small Commercial as Mixed Meter ONLY (**CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE**)
- Large Commercial Customers - (6,000 Mcf or more annually)
- Industrial Customers
- Governmental Customers
- All of above (Except Mixed Meter)
- Other (Describe):

f. **START DATE:** Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

11/1/2020 - or upon receipt of approval from the commission

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

The Applicant has no criminal or civil proceedings pending or historically against it. Same is true for any other legal action.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

NA

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. Applicant should also include if it had a Pennsylvania PUC EGS or NGS license previously cancelled by the Commission. If the Applicant has no actions or complaints to list, explicitly state such.

Applicant see supporting statement attached

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

NA

6. PROOF OF SERVICE

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator. (Example Certificate of Service is attached at Appendix C)

See Supporting Statement Attached

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101

Department of Revenue
Bureau of Compliance
PO Box 281230
Harrisburg, PA 17128-1230

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
Harrisburg, PA 17120

b.) **NGDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission's Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

<p>Columbia Gas of PA, Inc. Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: mcaddell@nisource.com</p>	
<p>Peoples Natural Gas Company LLC – Equitable Division Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>National Fuel Gas Distribution Corp. Joanne E. Maciok 6363 Main Street Williamsville, NY 14221 PH: 716.857.7670 FAX: 716.857.7479 e-mail: maciokj@natfuel.com</p>
<p>Peoples Natural Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com</p>
<p>Peoples Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>Philadelphia Gas Works Ryan Reeves, Director Supply Transportation & Control 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.787.5103 email: pgwchoicesupply@pgworks.com</p>
<p>Valley Energy Inc. Ed Rogers 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: erogers@ctenterprises.org</p>	<p>UGI Utilities, Inc. – Gas Division Sherry Epler 1 UGI Drive Denver, PA 17517 PH: 610.796.3447 Email: sepler@ugi.com</p>

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require. The contact information is located in Section 6.b.

See Supporting Statement

- b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

- Actual (or proposed) organizational structure including parent, affiliated or subsidiary companies.
- Published Applicant or parent company financial and credit information (i.e. 10Q or 10K). (SEC/EDGAR web addresses are sufficient)
- Applicant's accounting statements, including balance sheet and income statements for the past two years.
- Evidence of Applicant's credit rating. Applicant may provide a copy of its Dun and Bradstreet Credit Report and Robert Morris and Associates financial form, evidence of Moody's, S&P, or Fitch ratings, and/or other independent financial service reports.
- A description of the types and amounts of insurance carried by Applicant which are specifically intended to provide for or support its financial fitness to perform its obligations as a licensee.
- Audited financial statements exhibiting accounts over a minimum two year period.
- Bank account statement, tax returns from the previous two years, or any other information that demonstrates Applicant's financial fitness.

- c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

n/a Application is for Broker/Marketer License Only

- d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Applicant will be paid commissions, based on actual natural gas usage of customers, by suppliers for contracts processed

- e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

See Supporting Statement Schedule

- f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

See Supporting Schedules

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

- Applicant's previous experience in the natural gas industry.
- Summary and proof of licenses as a supplier of natural gas services in other states or jurisdictions.
- Type of customers and number of customers Applicant currently serves in other jurisdictions.
- Staffing structure and numbers as well as employee training commitments.
- Business plans for operations within the Commonwealth.
- Any other information appropriate to ensure the technical capabilities of the Applicant.

See Supporting Statement

- b. **PROPOSED MARKETING METHOD** (check all that apply)

- Internal – Applicant will use its own internal resources/employees for marketing
- External NGS – Applicant will contract with a **PUC LICENSED NGS**
- Affiliate – Applicant will use a **NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant**
- External Third-Party – Applicant will contract with a **NON-NGS third party nontraditional marketer and/or non-selling marketer**
- Other (Describe):

c. **DOOR TO DOOR SALES:** Will the Applicant be implementing door to door sales activities?

- Yes
 No

If yes, will the Applicant be using verification procedures?

- Yes
 No

N/A

If yes, describe the Applicant's verification procedures.

d. **OVERSIGHT OF MARKETING:** Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

See Support Systems

e. **OFFICERS:** Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations. All resumes should include date ranges and job descriptions containing actual work experience.

See Support Statement

9. DISCLOSURE STATEMENT:

(Not applicable for an applicant applying for a license exclusively as a broker/marketer.)

DISCLOSURE STATEMENTS: If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

- Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

*Not Applicable - Applicant is applying for a license
Exclusively as a broker/marketer*

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.

AGREED

- b. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission:

- Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30th following the end of the calendar year per 52 Pa. Code § 62.110.

AGREED

- c. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.

AGREED

- d. **ANNUAL FEES:** The Public Utility Code authorizes the PUC to collect an annual fee of \$350 from suppliers, brokers, marketers, and aggregators selling natural gas in the Commonwealth of PA, and a supplemental fee based on annual gross intrastate revenues, applicable to suppliers only.

ACKNOWLEDGED

- e. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.

AGREED

- f. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

- g. **NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.

AGREED

- h. **CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

- i. **FILING FEE:** The Applicant has enclosed or paid the required, non-refundable filing fee by **CERTIFIED CHECK OR MONEY ORDER** in the amount of \$350.00 payable to the Commonwealth of Pennsylvania. The Commission does not accept corporate or personal checks for filing fees.

PAYMENT ENCLOSED

Payment made electronically

11. AFFIDAVITS

(All affidavits must be notarized before filing.)

- a.) **APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

See Appendix

- b.) **OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

See Appendix

Supporting Schedules

Support for item # 1(a)

Applicant:

Broker Online Exchange LLC
1 Paragon Drive, Suite 255
Montvale, NJ 07645
www.brokeronlinexchange.com
1-844-269-3346

Support for item # 1(b)

Registered agent information:

Penncorp Servicegroup, Inc.
600 North Second St.
Harrisburg, PA 17101
Phone: (717) 234-2300
Fax: (717) 238-8232

Support for item # 1(c)

Regulatory Contact:

Arthur Gruen, CEO
1 Paragon Drive, Suite 255
Montvale, NJ 07645
Phone: 1-844-269-3346
Fax: 1-646-943-5858
Arthur@brokeronlinexchange.com

Support for item # 1(d)

No attorney being used at this time

Support for item # 1(e)

Consumer Service and Complaints Contact:

Arthur Gruen, CEO

1 Paragon Drive, Suite 255

Montvale, NJ 07645

Phone: 1-844-269-3346

Fax: 1-646-943-5858

Arthur@brokeronlinexchange.com

Alternate:

Nick Nevins

1 Paragon Drive, Suite 255

Montvale, NJ 07645

Phone: 1-844-269-3346

Fax: 1-646-943-5858

Nick@brokeronlinexchange.com

Support for item # 2(b)

Company was formed in Delaware on November 20, 2013.

See attached charter documentation.

The officer is:

Arthur Gruen

1 Paragon Drive, Suite 255

Montvale, NJ 07645

See attached registration with Pennsylvania department of State

STATE *of* DELAWARE
LIMITED LIABILITY COMPANY
CERTIFICATE *of* FORMATION

FIRST: The name of the limited liability company is:

BROKER ONLINE EXCHANGE LLC

SECOND: The address of its registered office in the State of Delaware is 1013 Centre Road, Suite 403S, Wilmington, Delaware 19805. The name of its registered agent at such address is Registered Agents Legal Services, LLC.

In Witness Whereof, the undersigned have executed this Certificate of Formation this 20th day of November, 2013.

By: /s/ Arthur Gruen

Authorized Person (s)

Name: Arthur Gruen

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS
401 NORTH STREET, ROOM 206
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.STATE.PA.US/CORP

BROKER ONLINE EXCHANGE LLC

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT WWW.CORPORATIONS.STATE.PA.US/CORP OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 4260000

USACORP INC
325 Division Avenue Suite 201, Attn: Joseph Strauss
Brooklyn, NY 11211

Entity #: 4260000
Date Filed: 03/25/2014
Carol Alchele
Secretary of the Commonwealth

PENNSYLVANIA DEPARTMENT OF STATE
CORPORATION BUREAU

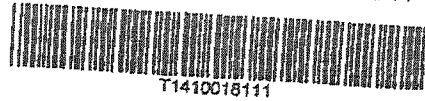
Application for Registration - Foreign
(15 Pa.C.S.)

- Registered Limited Liability General Partnership (§ 8211)
- Registered Limited Liability Limited Partnership (§ 8211)
- Limited Partnership (§ 8582)
- Limited Liability Company (§ 8981)

Name		
USACORP Inc.		
Address		
325 Division Ave. Suite 201		
City	State	Zip Code
Brooklyn	NY	11211

Document will be returned to the same and address you enter to the left.

Commonwealth of Pennsylvania
APPLICATION FOR REGISTRATION 3 Page(s)



Fee: \$250

In compliance with the requirements of the applicable provisions (relating to registration), the undersigned, desiring to register to do business in this Commonwealth, hereby states that:

1. The name of the limited liability company/limited liability partnership/limited partnership in the jurisdiction in which it is formed:

BROKER ONLINE EXCHANGE LLC

2. The name under which the limited liability company/limited liability partnership/limited partnership proposes to register and do business in this Commonwealth is:

BROKER ONLINE EXCHANGE LLC

3. The name of the jurisdiction under the laws of which it was organized and the date of its formation:

Jurisdiction: DE Date of Formation: 11/20/13

4. The (a) address of its initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and street	City	State	Zip	County
(b) Name of Commercial Registered Office Provider				County
<u>PennCorp Servicegroup, Inc.</u>				<u>Dauphin</u>

PA DEPT. OF STATE

APR 7 2014

DSCB:15-8981/8211/8592-2

5. Check and complete one of the following:

*** The address of the office required to be maintained by it in the jurisdiction of its organization by the laws of that jurisdiction is:

1013 CENTRE RD STE 403S	WILMINGTON	DE	19805
Number and street	City	State	Zip

It is not required by the laws of its jurisdiction of organization to maintain an office therein and the address of its principal office is:

Number and street	City	State	Zip
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6. For restricted professional limited liability company only - (delete one if inapplicable). The company is a professional professional company organized to render the following professional services:

Limited Liability Partnership and Limited Partnership: Complete paragraphs 7 and 8

7. The name and business address of each general partner.

Name	Business Address

8. The address of the office at which is kept a list of the names and addresses of the limited partners and their capital contribution is:

Number and street	City	State	Zip	County
-------------------	------	-------	-----	--------

The registered partnership hereby undertakes to keep those records until its registration to do business in the Commonwealth is canceled or withdrawn.

IN TESTIMONY WHEREOF, the undersigned has caused this Application for Registration to be signed by a duly authorized officer/member or manager thereof this

24th day of March 2014.

BROKER ONLINE EXCHANGE LLC

Name of Partnership/Company

Signature

Member

Title

Support for item # 5(c)

Applicant currently operates in the State of Pennsylvania as an Electricity Broker under license A-2019-3010105 in good standing.

The predecessor to that license was license number A-2014-2422519 which was cancelled due to a clerical error delaying the annual submission of the financial security renewal. Applicant notes that the financial security was in fact successfully renewed but not submitted to the Commission in a timely manner due to an internal clerical error which has subsequently been addressed and resolved.

Aside from the aforementioned, the Applicant has no customer / regulatory or prosecutory actions pending or historical against it.

Support for item # 6

Please see the following Certificate of Service document.

Appendix C

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

CERTIFICATE OF SERVICE TEMPLATE

On this the 14th day of October 2020, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

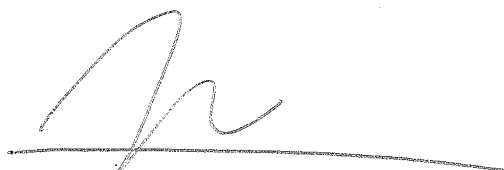
<p>Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120</p>	<p>Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120</p>
<p>Office of the Small Business Advocate Commerce Building, Suite 202 300 North Second Street Harrisburg, PA 17101</p>	<p>Department of Revenue Bureau of Compliance PO Box 281230 Harrisburg, PA 17128-1230</p>
<p>Columbia Gas of PA, Inc. Michele Caddell 290 W. Nationwide Blvd. Columbus, OH 43215 PH: 614.460.6841 FAX: 614.460.8447 e-mail: mcaddell@nisource.com</p>	<p>Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120</p>
<p>Peoples Natural Gas Company LLC – Equitable Division Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>National Fuel Gas Distribution Corp. Joanne E. Maciok 6363 Main Street Williamsville, NY 14221 PH: 716.857.7670 FAX: 716.857.7479 e-mail: maciokj@natfuel.com</p>
<p>Peoples Natural Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com</p>
<p>Peoples Gas Company LLC Carol Scanlon 375 North Shore Drive Pittsburgh, PA 15212 PH: 412.208.6931 FAX: 412.208.6577 e-mail: Carol.Scanlon@peoples-gas.com</p>	<p>Philadelphia Gas Works Ryan Reeves, Director Supply Transportation & Control 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.787.5103 email: pgwchoicesupply@pgworks.com</p>

Valley Energy Inc.

Ed Rogers
523 South Keystone Avenue
Sayre, PA 18840-0340
PH: 570.888-9664
FAX: 570.888.6199
email: erogers@ctenterprises.org

UGI Utilities, Inc. – Gas Division

Sherry Epler
1 UGI Drive
Denver, PA 17517
PH: 610.796.3447
Email: sepler@ugi.com



Arthur Green, CEO
Broker Online Exchange

Support for item # 7(a)

Please see the following bonding letters.



National Fuel

October 1, 2020

Arthur Gruen, CEO
Broker Online Exchange LLC
1 Paragon Drive, Suite 255
Montvale, NJ 07645

Dear Arthur,

National Fuel Gas Distribution Corporation (“NFGDC”) is aware Broker Online Exchange LLC (BOE) has filed an application with the Pennsylvania Public Utility Commission to supply natural gas service to the public in Pennsylvania and specifically within the service territory of NFGDC.

As you know, in making such an application, BOE must furnish acceptable security to each utility where BOE will do business. As such, under its tariff, NFGDC could require BOE to provide a bond or other financial security instrument in an amount that NFGDC determines to be appropriate.

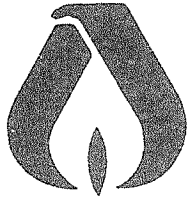
However, you have indicated, and it is NFGDC’s understanding that BOE intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that, in performing these services, BOE will never take title to any delivered natural gas.

Based upon your representations, NFGDC has determined that, at this time, BOE does not need to post a bond or other form of security to operate in its service territory. However, if the services provided by BOE change in the future, NFGDC reserves the right to require security from BOE as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 716-857-7541.

Yours truly,

Nicole Barker
Transportation Services Department



VALLEY ENERGY

523 S. Keystone Avenue, P.O. Box 340, Sayre, PA 18840
800/998-4427 • 570/888-9664 • FAX 570/888-6199

September 29, 2020

Broker Online Exchange LLC
Mr. Arthur Gruen, CEO
1 Paragon Drive, Suite 255
Montvale, NJ 07645
arthur@brokeronlinexchange.com

Dear Mr. Gruen:

We understand that Broker Online Exchange LLC has applied with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania including our company's service area.

Because Broker Online Exchange LLC intends to only provide natural gas aggregating, brokering and consulting services at this time, we have determined that Broker Online Exchange LLC will not be required to post a bond or other form of financial security instrument to provide these services in our service area. However, if the services provided change in the future, we reserve the right to require security from Broker Online Exchange LLC as deemed appropriate.

If you have any questions, please contact Jamie Levering at 570-888-9664 (Ext. 5232).

Sincerely,

Edward E. Rogers
President & CEO

EER/ss

cc: J. Levering, Valley Energy



UGI Utilities, Inc.
1 UGI Drive
Denver, PA 17517

610-796-3400

VIA E-MAIL

September 25, 2020

Broker Online Exchange, LLC
1 Paragon Drive
Suite 255
Montvale, NJ 07645

ATTENTION: Arthur Gruen, CEO

**RE: Broker Online Exchange, LLC
Application to Serve as a Natural Gas Broker**

Dear Mr. Gruen,

Based on your assertion that Broker Online Exchange, LLC ("Broker Online Exchange") is applying with the State of Pennsylvania to operate as a natural gas broker/marketer, UGI Utilities, Inc.-Gas Division ("UGIU") has concluded that Broker Online Exchange will not need to post security with UGIU. This is based on the declaration that Broker Online Exchange will be acting in conjunction with a licensed natural gas supplier who has been approved by the Pennsylvania Public Utility Commission to serve in the applicable UGIU service territories and who has posted the required financial security as specified in the UGIU Tariff. If Broker Online Exchange wishes to directly serve Choice customers in the service territories of UGIU in the future as a natural gas supplier, it will have to post security as specified in the UGIU Tariff prior to the commencement of the service.

Please feel free to contact me with any additional questions you may have.

Sincerely,

A handwritten signature in cursive script that reads "Sherry Epler".

Sherry Epler
Senior Manager
Tariff & Supplier Administration

SE/rks



PHILADELPHIA GAS WORKS

800 West Montgomery Avenue • Philadelphia, PA 19122

September 29, 2020

Arthur Gruen, CEO
Broker Online Exchange LLC
1 Paragon Drive
Suite 255
Montvale, NJ 07645
Email: Arthur@brokeronlineexchange.com

RE: Security Requirement Bond for Broker Online Exchange LLC

Dear Mr. Gruen:

Philadelphia Gas Works ("PGW") is aware that Broker Online Exchange LLC has filed an application with the Pennsylvania Public Utility Commission to supply natural gas services to the public in Pennsylvania and specifically within the services territory of Philadelphia Gas Works.

As you know, in making such an application, Broker Online Exchange LLC must furnish acceptable security to each utility where Broker Online Exchange LLC will do business. As such, under its tariff, Philadelphia Gas Works could require Broker Online Exchange LLC to provide a bond or other financial security instrument in an amount that Philadelphia Gas Works determines to be appropriate.

However, you have indicated, and it is Philadelphia Gas Works' understanding, that Broker Online Exchange LLC intends only to provide natural gas aggregating, brokering and consulting services at this time. You have stated that in performing these services Broker Online Exchange LLC will never take title to any delivered natural gas.

Based upon your representations, Philadelphia Gas Works has determined that, at this time, Broker Online Exchange LLC does not need to post a bond or other form of security to operate in its service territory. If the services provided by Broker Online Exchange LLC should change, Philadelphia Gas Works reserves the right to require security from Broker Online Exchange LLC as it deems appropriate.

If you have any questions concerning the foregoing, please contact me at 215-684-6725.

Sincerely,

A handwritten signature in black ink, appearing to read 'J. Zuk'.

JOHN ZUK

Sr. Vice President, Gas Management

/dls



375 North Shore Drive
Pittsburgh, Pennsylvania 15212

www.peoples-gas.com

Carol Scanlon
Manager, Rates & Regulation

Peoples Service Company LLC
Phone: 412-208-6931
Email: Carol.Scanlon@peoples-gas.com

September 25, 2020

Arthur Gruen
CEO
Broker Online Exchange LLC
1 Paragon Drive, Suite 255
Montvale, NJ 07645

Dear Mr. Gruen:

We are pleased that Broker Online Exchange LLC has applied for a license to provide natural gas services on the Peoples Group of Companies. Specifically you have requested to be licensed as a supplier on the distribution systems of Peoples Natural Gas Company LLC, and Peoples Gas Company LLC (formerly Peoples TWP) ("the Companies").

Since Broker Online Exchange LLC is not currently serving customers on the Peoples systems, we have determined at this time that Broker Online Exchange LLC does not need a bond or other financial security requirement to provide these services to the Company's customers.

If a Pool is established, and customers are enrolled which alters the creditworthiness requirement or the Company's exposure to Broker Online Exchange LLC provision of services on the Peoples' system changes in the future, the Companies may deem it appropriate to require a bond or other financial instrument.

If you have any questions feel free to contact me at 412-208-6931 or by email at Carol.Scanlon@peoples-gas.com.

Sincerely,

Carol Scanlon
Manager, Rates and Regulation
Peoples Natural Gas Company LLC

Cc: Stephen Kelly
Mina Speicher

September 28, 2020

Broker Online Exchange LLC
1 Paragon Drive, Suite 255
Montvale, NJ 07645

Re: Broker Requirements

Dear Broker Online Exchange LLC:

PECO is aware that Broker Online Exchange LLC has applied for a license to provide brokering and consulting services to commercial and industrial customers on the distribution system of PECO.

In making such an application, Broker Online Exchange LLC could be required to provide to PECO a bond or other acceptable financial security in an amount that PECO determines to be appropriate. Broker Online Exchange LLC has indicated that it intends to provide only brokering and consulting services to commercial and industrial customers, and will not take title to any delivered natural gas; nor will accept any customer payments or deposits. Therefore, PECO has determined at this time that Broker Online Exchange LLC does not need a bond or other financial security requirement, since they are not directly engaging in business with PECO and only providing brokering or consulting services to PECO customers. However, if the services provided by Broker Online Exchange LLC the creditworthiness requirement for PECO's exposure to Broker Online Exchange LLC changes in the future, PECO reserves the right to require Broker Online Exchange LLC to provide a bond or other financial security instrument.

If you should have any questions regarding this matter, please contact Chris Sauerbaum at 215-841-6422 or myself at 215-841-6452.

Respectfully submitted,



Carlos P. Thillet
Manager, Gas Supply and Transportation
2301 Market Street
Philadelphia, PA 19103

September 25, 2020

Arthur Gruen
Broker Online Exchange LLC
1 Paragon Drive Suite 255
Montvale, NJ 07645

Dear Arthur Gruen:

We are pleased that Broker Online Exchange LLC has applied for a license to provide Natural Gas Broker/Marketer Services on the distribution system of Columbia Gas of Pennsylvania, Inc. ("Columbia Gas").

Under Paragraph 2.4.5 of the Rules Applicable to Distribution Service section of the Tariff of Columbia Gas, Broker Online Exchange LLC could be required to provide to Columbia Gas a bond or other financial security instrument in an amount that Columbia Gas determines to be appropriate. Broker Online Exchange LLC has indicated only brokering and consulting services will be provided. Therefore, we have determined at this time that Broker Online Exchange LLC does not need a bond or other financial security requirement to provide broker natural gas services to Columbia Gas customers.

If the creditworthiness requirement or Columbia Gas' exposure to Broker Online Exchange LLC changes in the future, Columbia Gas might deem it appropriate to require Broker Online Exchange LLC to provide a bond or other financial security instrument.

Please feel free to contact me at 614-460-4980 should you have any questions regarding a bond or other financial security instrument requirements of Columbia Gas.

Sincerely,

Kylia Davis

Kylia Davis
Manager of Choice and Transportation Support Services

Support for item # 7(b) and 7(f)

Broker Online Exchange LLC is a privately held stand-alone entity with no Parents, Affiliates or Subsidiaries

The following confidential information was sent under separate cover to Rosemary Chiavetta, Secretary, Pennsylvania Public Utility Commission, 400 North Street, Harrisburg, PA 17120:

Officer certified financial statements.

Bank statement.

Written reference from licensed lending institution, provided as other evidence of financial integrity.

Bonds held for the states of Illinois, DC, Maryland and Pennsylvania (Electric).

Tax Certification Statement.

Support for item # 7(e)

Accounting Records Custodian:

Arthur Gruen, CEO

1 Paragon Drive, Suite 255

Montvale, NJ 07645

Phone: 1-844-269-3346

Fax: 1-646-943-5858

Arthur@brokeronlinexchange.com

Support for item # 8(a) and 8(e)

Broker Online Exchange currently holds Gas licenses held in Massachusetts, New Jersey, Ohio, Virginia and New Hampshire, and has been successfully servicing customers without incident. see license documentation to follow.

Broker Online Exchange currently services in excess of 10,000 small commercial customers for their electricity and gas needs in deregulated markets across the country including CT, DC, DE, FL, GA, IL, KY, IN, MA, MD, ME, MI, NH, NJ, NY, OH, PA, RI, TX and VA

Mr. Arthur Gruen is the founder of Broker Online Exchange, LLC and is responsible for all Managerial and Technical aspects of the Business.

Mr. Gruen was the CFO / Controller, and a Member of Hudson Energy Services, LLC, from 7/2006 to 5/2010.

Hudson Energy is a retail electricity and natural gas supplier, serving over 200,000 residential, commercial, and industrial customers across the US, Canada, and the United Kingdom with annual revenues of \$1B. Hudson Energy serves customers in the following US markets: Texas, Illinois, New York, New Jersey, Pennsylvania, Maryland, Ohio, and Massachusetts.

In 5/2010 Hudson Energy was acquired by Just Energy, Inc., a publicly traded corporation (NYSE / TSE:JE) servicing approximately 2 million customers in deregulated energy markets across the globe.

From 5/2010 until 6/2013 Mr. Gruen served as the Controller for the commercial division of Just Energy, instrumental in expanding its services in to the UK. Mr. Gruen also served as a Member of Senior Management, and of the Commercial Management Committee.

Additionally, Mr. Gruen served as the Controller for Hudson Energy Solar, Inc. Just Energy's PV Solar Installation unit with installations of \$100M across the US.

During his tenure at Hudson Energy and Just Energy, Mr. Gruen Held primary responsibility for all accounting and finance functions, as well as financial/ tax/ bank and regulatory audits and reporting. Mr. Gruen and has also been intimately involved with all aspects of the deregulated energy business including:

Business development, business registration, certification, and relocation.

Supporting and coordinating computer, security, and network infrastructure installation

Supporting supply functions. including hedging strategies and collateral posting.

Supporting IT initiatives, including development of proprietary billing and customer service software, and recruiting Development managers.

Recruiting Sales managers, and establishing commission structures.

Establishing Customer Service protocols.

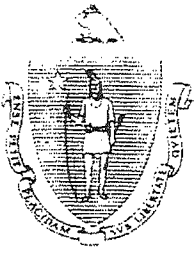
Recruiting / Hiring Customer Service and Operations personnel.

Performing Human resources functions including payroll, payroll taxes, workman's Compensation, and Insurance / employee benefit programs.

The Applicant does not plan to have any employees or assets / locations within the Commonwealth. All functions will be performed by experienced staff who based in our Texas

and NJ offices utilizing processes and procedures that have been in place, developed and refined over the past 6 years of successfully servicing Gas and Electricity customers in deregulated markets across the country.

The Applicant has developed and utilizes technology in obtaining and providing independent price comparisons from a variety of suppliers and serve as a middle-man, assisting clients in procuring gas and electricity rates from energy wholesalers/suppliers utilizing industry intelligence and knowledge of current market conditions



THE COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC UTILITIES

CHARLES D. BAKER
GOVERNOR

KARYN E. POLITO
LIEUTENANT GOVERNOR

KATHLEEN A. THEOHARIDES
SECRETARY OF ENERGY
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION
BOSTON, MA 02110
(617) 305-3500

MATTHEW H. NELSON
CHAIR

ROBERT E. HAYDEN
COMMISSIONER

CECILE M. FRASER
COMMISSIONER

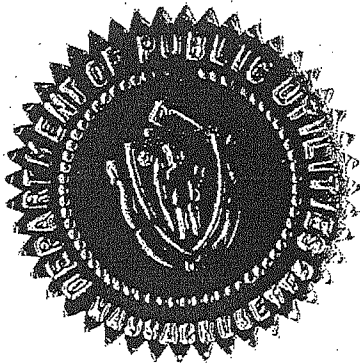
July 22, 2019

Arthur Gruen, Vice President
Broker Online Exchange, LLC
400 Rella Boulevard, Suite 160
Suffern, NY 10901


Mr. Gruen,

The Department of Public Utilities has reviewed Broker Online Exchange, LLC's ("Broker Online Exchange") application for a Gas Retail Agent license to serve commercial and industrial customers in the Commonwealth of Massachusetts. I am pleased to inform you that the application has been approved. Broker Online's license number is RA-232.

As a condition of maintaining its license, Broker Online Exchange must file updated information within 30 days of any material change in the information required by 220 CMR 14.04(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations thereunder, including 220 CMR 12.00, 14.00. If you decide to request renewal of Broker Online Exchange's license next year, please submit a renewal application no later than May 1, 2020.



Sincerely,


Mark D. Marini, Secretary

State of New Jersey



Board of Public Utilities

44 South Clinton Avenue, 3rd Floor, Suite 314, P.O. Box 350, Trenton, New Jersey 08625-0350

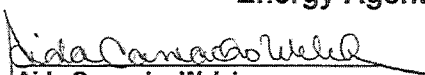
HEREBY REGISTERS

Broker Online Exchange, LLC
400 Rella Boulevard, Suite 160
Suffern, New York 10901

To conduct business in the State of New Jersey as an

Energy Agent




Aida Camacho-Welch
Secretary of the Board

Registration No. EA-0442
Effective Date: September 11, 2019
Expiration Date: September 10, 2020

Arthur Gruen

From: Erbe, Darren <Darren.Erbe@bpu.nj.gov>
Sent: Wednesday, September 09, 2020 7:51 AM
To: Arthur Gruen; Pricelink, Tps
Subject: RE: Broker Online Exchange, LLC - Renewal Application Form Due 9/10

Good morning Arthur,

Thanks very much for the prompt response and for sending over an electronic copy of the filing along with the tracking information. Once reviewed, staff will let you know if any additional information is required.

As Broker Online Exchange, LLC's renewal application was received timely, your expiration date will be extended under N.J.A.C. 14:4-5.9(c) until the application is acted upon by the Board. Your registration number EA-0442 will remain active and in good standing.

If any interested parties need additional verification of this feel free to have them contact me directly or to send an email to tps.pricelink@bpu.nj.gov.

Thanks,
Darren

Darren Erbe

Division of Audits
New Jersey Board of Public Utilities
44 South Clinton Ave
9th Floor, PO Box 350
Trenton, NJ 08625
Darren.Erbe@bpu.nj.gov | 609-292-1406



Effective March 17, 2020: Due to COVID-19 mitigation efforts the BPU offices are currently closed. Staff will be working remotely during this time.

From: Arthur Gruen <arthur@brokeronlinexchange.com>

Sent: Monday, September 7, 2020 2:37 PM

To: Pricelink, Tps <Tps.Pricelink@bpu.nj.gov>

Cc: Erbe, Darren <Darren.Erbe@bpu.nj.gov>

Subject: [EXTERNAL] RE: Broker Online Exchange, LLC - Renewal Application Form Due 9/10

Hi,
As per the email below, please find attached a copy of our renewal application submitted on 8.24.20.
Tracking information for the delivery can be found at:

https://tools.usps.com/go/TrackConfirmAction?qt_c tLabels1=70201290000194190786

Thank you for the follow up,
Arthur.



**Public Utilities
Commission**

Sam Randazzo, Chairman

Commissioners

M. Beth Trombold
Lawrence K. Friedeman
Dennis P. Deters
Daniel R. Conway

**Competitive Retail Natural Gas Service Provider or Governmental
Aggregator Certificate**

Certified Entity:

Broker Online Exchange, LLC DBA
400 RELLA BOULEVARD SUITE 160
SUFFERN, NY 10901

Certificate Number: **18-666G**

Effective Date: July 23, 2020

Expiration Date: July 23, 2022

Issued Pursuant to Case Number(s):

18-0981-GA-AGG

The above-referenced entity is hereby certified to provide competitive retail natural gas Retail Natural Gas Broker services within the State of Ohio.

The certification of competitive retail natural gas service providers is governed by Chapters 4901:1-27, 4901:1-28, and 4901:1-29 of the Ohio Administrative Code, and Section 4929.20 of the Ohio Revised Code. This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

The certified entity is subject to all rules and regulations of the Public Utilities Commission of Ohio now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **23 day of July, 2020.**



By Order of

The Public Utilities Commission of Ohio

Tanowa M. Troupe, Secretary

Debbie Ryan, Acting Secretary

Donielle M. Hunter, Acting Secretary

Susan Patterson, Acting Secretary

COMMONWEALTH OF VIRGINIA
STATE CORPORATION COMMISSION

AT RICHMOND, MARCH 10, 2020

SCC-CLERK'S OFFICE
DOCUMENT CONTROL CENTER

2020 MAR 10 P 3: 08

APPLICATION OF

BROKER ONLINE EXCHANGE, LLC

CASE NO. PUR-2019-00195

For a license to do business as an
electricity and natural gas aggregator

ORDER GRANTING LICENSE

On November 18, 2019, Broker Online Exchange, LLC ("BOX" or "Company") filed an application with the State Corporation Commission ("Commission") for a license to do business as an electricity and natural gas aggregator in the Commonwealth of Virginia ("Application").

The Company seeks authority to market electricity and natural gas aggregation services to eligible commercial and residential customers throughout Virginia.¹ BOX attested that it would abide by all applicable regulations of the Commission as required by 20 VAC 5-312-40 B of the Commission's Rules Governing Retail Access to Competitive Energy Services.²

On January 16, 2020, the Commission entered an Order for Notice and Comment ("Procedural Order") requiring the Company to serve a copy of the Procedural Order on the appropriate utilities and providing an opportunity for interested persons to comment on the

¹ Pursuant to Virginia Code ("Code") § 56-577, retail choice for electricity is only permitted pursuant to the customer classes, load parameter, and renewable energy sources set forth therein. Retail choice thereunder exists only in the service territories of Virginia Electric and Power Company d/b/a Dominion Energy Virginia ("DEV"), Appalachian Power Company, and the electric cooperatives. Retail choice for natural gas service exists only in the service territories of Washington Gas Light Company and Columbia Gas of Virginia, Inc. Access to large commercial and industrial customers in all gas distribution service territories has existed under Federal Energy Regulatory Commission authority since the mid-1980s.

² 20 VAC 5-312-10 *et seq.*

2020320044

Application. By letter filed with the Commission on January 28, 2020, the Company certified that it had completed the service required by the Commission's Procedural Order. DEV filed comments on February 7, 2020.

The Procedural Order also directed the Commission's Staff ("Staff") to investigate the Application and present its findings in a report ("Report"). The Staff filed its Report on February 18, 2020, which summarized BOX's proposal and evaluated its financial condition and technical fitness. Based on its review of the Application, Staff recommended that BOX be granted an aggregator's license to conduct business as a competitive service provider of electric and natural gas services to eligible commercial and residential customers in the Virginia service territories that are open to retail competition.

NOW THE COMMISSION, upon consideration of the Application, the Report, and applicable law, finds that BOX's Application for a license to provide electric and natural gas aggregation services should be granted, subject to the conditions set forth below.

Accordingly, IT IS ORDERED THAT:

- (1) BOX is hereby granted license No. A-87 to provide competitive aggregation service of electricity and natural gas to commercial and residential customers throughout the Commonwealth of Virginia. This license to act as an aggregator is granted subject to the provisions of the Retail Access Rules, this Order, and other applicable statutes.
- (2) This license is not valid authority for the provision of any product or service not identified within the license itself.
- (3) This case shall remain open for consideration of any subsequent amendments or modifications to this license.

AN ATTESTED COPY hereof shall be sent by the Clerk of the Commission to Arthur Gruen, CEO, Broker Online Exchange, LLC, 1 Paragon Drive, Suite 225, Montvale, New Jersey 07645; C. Meade Browder, Senior Assistant Attorney General, 202 North 9th Street, Richmond, Virginia 23219; and to the Commission's Office of General Counsel and the Divisions of Utility Accounting and Finance and Public Utility Regulation.

20030304

THE STATE OF NEW HAMPSHIRE



TDD Access: Relay NH
1-800-735-2964

Tel. (603) 271-2431

FAX (603) 271-3878

Website:
www.puc.nh.gov

CHAIRMAN
Martin P. Honigberg

COMMISSIONERS
Kathryn M. Bailey
Michael S. Giaimo

EXECUTIVE DIRECTOR
Debra A. Howland

PUBLIC UTILITIES COMMISSION

21 S. Fruit Street, Suite 10
Concord, N.H. 03301-2429
April 11, 2019

Arthur Gruen, VP
Broker Online Exchange, LLC
400 Rella Boulevard
Suite 160
Suffern, NY 10901

Re: DM 19-052, Broker Online Exchange, LLC
Application to Register as Provider of Natural Gas Aggregation Service

Dear Mr. Gruen:

On March 19, 2019, Broker Online Exchange, LLC (Broker) submitted an application with the Commission to register as a provider of natural gas aggregation service.

Commission Staff filed a memorandum on April 10, 2019, in which it confirmed its review of the application and its conclusion that the application meets the requirements for registration under N.H. Code Admin. Rules Puc 3000. Staff recommended that Broker's registration application be approved for a two-year term.

The Commission has approved Staff's recommendation. Accordingly, Broker's application for registration is approved, effective as of April 11, 2019. The registration is for a term of two years and expires at the close of business on April 11, 2021. Pursuant to Puc 3003.05(a), you must submit a renewal application at least 60 days prior to the expiration of the approved registration term, on or before February 10, 2021.

Please be aware that registered providers of natural gas aggregation service are subject to specific requirements contained in N.H. Code Admin. Rules Puc 3000 – Competitive Natural Gas Supplier and Aggregator Rules. These rules are available at:
<http://www.puc.nh.gov/Regulatory/Rules/PUC3000.pdf>.

Please note that each registered natural gas aggregator is subject to an annual assessment of \$2,000, unless it demonstrates that its gross revenue in New Hampshire during the preceding fiscal year (from July 1 through June 30) was less than \$10,000, pursuant to RSA 363-A:2, III and 5.

Sincerely,

A handwritten signature in cursive script, appearing to read "Debra A. Howland".

Debra A. Howland
Executive Director

cc: Service List
Docket File

Support for item # 8(d)

The Applicant does not perform door to door marketing but rather markets services to known business contacts of it and its agents. TPV and QC calls are performed on all contracts prior to booking to ensure that customer fully understands and is aware of all terms and conditions of the contract they are entering in to. Applicant works with suppliers to ensure that its processes and procedures are in compliance with their policies and procedures, and follows the requirements and regulations of each jurisdiction in which it operates.

12. NEWSPAPER PUBLICATIONS

Required of ALL Applicants regardless of operating as a supplier, broker, marketer, or aggregator.

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve.

The chart below dictates which newspapers are necessary for each NGDC. For example, an applicant that wants to operate in Peoples – Equitable would need to run ads in both The Erie Times-News and the Pittsburgh Post-Gazette. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

The only acceptable verification of this requirement is with Notarized Proofs of Publication, which may be requested from each newspaper and must be supplied with this application. Applicants do not need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburgh Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Columbia Gas	X	X		X		X	X
National Fuel Gas	X			X			
PECO			X				
Peoples - Equitable	X			X			
Peoples Natural Gas	X			X			X
Peoples Gas Company				X			
Philadelphia Gas Works			X				
UGI Utilities – Gas Div.	X	X	X	X	X	X	X
Valley Energy					X	X	
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices F and G)

13. SIGNATURE

Applicant: Brokers only Energy LLC

By: Arthur Green

Title: CEO

Proof of Publication of Notice in Pittsburgh Post-Gazette

Under Act No 587, Approved May 16, 1929, PL 1784, as last amended by Act No 409 of September 29, 1951

Commonwealth of Pennsylvania, County of Allegheny, ss K. Flaherty, being duly sworn, deposes and says that the Pittsburgh Post-Gazette, a newspaper of general circulation published in the City of Pittsburgh, County and Commonwealth aforesaid, was established in 1993 by the merging of the Pittsburgh Post-Gazette and Sun-Telegraph and The Pittsburgh Press and the Pittsburgh Post-Gazette and Sun-Telegraph was established in 1960 and the Pittsburgh Post-Gazette was established in 1927 by the merging of the Pittsburgh Gazette established in 1786 and the Pittsburgh Post, established in 1842, since which date the said Pittsburgh Post-Gazette has been regularly issued in said County and that a copy of said printed notice or publication is attached hereto exactly as the same was printed and published in the _____ regular _____ editions and issues of the said Pittsburgh Post-Gazette a newspaper of general circulation on the following dates, viz:

24 of September, 2020

Affiant further deposes that he/she is an agent for the PG Publishing Company, a corporation and publisher of the Pittsburgh Post-Gazette, that, as such agent, affiant is duly authorized to verify the foregoing statement under oath, that affiant is not interested in the subject matter of the afore said notice or publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

K. Flaherty
PG Publishing Company

Sworn to and subscribed before me this day of:
September 24, 2020

Melanie L. Goodwin

Commonwealth of Pennsylvania - Notary Seal
Melanie L. Goodwin, Notary Public
Allegheny County
My commission expires May 12, 2022
Commission number 1255781
Member, Pennsylvania Association of Notaries

STATEMENT OF ADVERTISING COSTS
Broker Online Exchange
1 Paragon Drive, Suite 255
Montvale New Jersey 07645

To PG Publishing Company

Total ----- \$387.50

Publisher's Receipt for Advertising Costs

PG PUBLISHING COMPANY, publisher of the Pittsburgh Post-Gazette, a newspaper of general circulation, hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid.

Office
2201 Sweeney Drive
CLINTON, PA 15026
Phone 412-263-1338

PG Publishing Company, a Corporation, Publisher of
Pittsburgh Post-Gazette, a Newspaper of General Circulation
By _____

I hereby certify that the foregoing is the original Proof of Publication and receipt for the Advertising costs in the subject matter of said notice.

COPY OF NOTICE OR PUBLICATION

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of Broker Online Exchange LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged In The Business of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania. Broker Online Exchange LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Broker Online Exchange LLC proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Broker Online Exchange LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Broker Online Exchange LLC at the address listed below.
Broker Online Exchange LLC
1 Paragon Drive Suite 255
Montvale NJ 07645
Phone: 1-845-405-0700
Fax: 1-646-943-5858

PROOF OF PUBLICATON OF NOTICE IN THE WILLIAMSPORT
SUN-GAZETTE UNDER ACT NO. 587, APPROVED MAY 16, 1929

STATE OF PENNSYLVANIA

COUNTY OF LYCOMING

SS:

Robert O. Rollev, Jr. Publisher of the Sun-Gazette LLC publishers of the Williamsport Sun-Gazette, successor to the Williamsport Sun and the Gazette & Bulletin, both daily newspapers of general circulation, published at 252 West Fourth Street, Williamsport, Pennsylvania, being duly sworn, deposes and says that the Williamsport sun was established in 1870 and the Gazette & Bulletin was established in 1801, since which dates said successor, the Williamsport Sun-Gazette, has been regularly issued and published in the County of Lycoming aforesaid, and that a copy of the printed notice is attached hereto exactly as the same was printed and published in the regular editions of said Williamsport Sun-Gazette on the following dates, viz:

September 21, 2020

Affiant further deposes that he is an officer daily authorized by the Sun-Gazette LLC, publisher of the Williamsport Sun-Gazette, to verify the foregoing statement under oath and declare that affiant is not interested in the subject matter of the aforesaid notice of publication, and that all the allegations in the foregoing statement as to time, place and character of publication are true.

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE

Application of Broker Online Exchange LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or

Marketer/Broker Engaged in The Business of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Broker Online Exchange LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Broker Online Exchange LLC proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Broker Online Exchange LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120.

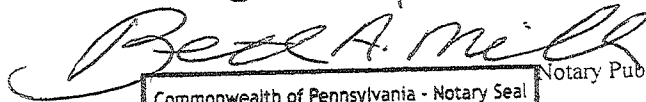
You should send copies of any protest to Broker Online Exchange LLC at the address listed below.

Broker Online Exchange LLC
1 Paragon Drive Suite 255
Montvale NJ 07645
Phone: 1-845-405-0700
Fax: 1-646-943-5858


SUN-GAZETTE LLC

Sworn to and subscribed before me

The 23rd day of September 2020


Notary Public

Commonwealth of Pennsylvania - Notary Seal
BETH A MILLER - Notary Public
Lycoming County
My Commission Expires Jun 4, 2024
Commission Number 1297751

STATEMENT OF ADVERTISING COSTS

To the Sun-Gazette LLC, Dr.:

For publishing the notice attached

Hereto on the above state dates.....\$ 230.04

Probated same.....\$

Total.....\$ 230.04

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

THE SUN-GAZETTE LLC hereby acknowledges receipt of the aforesaid advertising and publication costs and certifies that the same have been fully paid

SUN-GAZETTE LLC

BY Robert O. Rollev, Jr.

The Scranton Times (Under act P.L. 877 No 160. July 9,1976)
Commonwealth of Pennsylvania, County of Lackawanna

BROKER ONLINE EXCHANGE
ARTHUR GRUEN
1 PARAGON DRIVE, SUITE 255 MONTVALE NJ 07645

Account # 639160
Order # 82477544
Ad Price: 219.30

LEGAL NOTICE PENNSYLVANIA

Sharon Venturi

Being duly sworn according to law deposes and says that (s)he is Billing clerk for The Scranton Times, owner and publisher of The Scranton Times, a newspaper of general circulation, established in 1870, published in the city of Scranton, county and state aforesaid, and that the printed notice or publication hereto attached is exactly as printed in the regular editions of the said newspaper on the following dates:

09/19/2020

Affiant further deposes and says that neither the affiant nor The Scranton Times is interested in the subject matter of the aforesaid notice or advertisement and that all allegations in the foregoing statement as time, place and character or publication are true Sharon Venturi

Sworn and subscribed to before me
this 21st day of September A.D., 2020



(Notary Public)

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Kathleen Weaver, Notary Public
City of Scranton, Lackawanna County
My Commission Expires June 14, 2021

LEGAL NOTICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION NOTICE
Application of Broker Online Exchange LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged In The Business of Supplying Natural Gas Supply Services, to the Public in the Commonwealth Of Pennsylvania. Broker Online Exchange LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Broker Online Exchange LLC proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Broker Online Exchange LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Broker Online Exchange LLC at the address listed below.
Broker Online Exchange LLC
1 Paragon Drive Suite 255
Montvale NJ 07645
Phone: 1-845-405-0700
Fax: 1-646-943-5858



AD#: 0009735726

Commonwealth of Pennsylvania,) ss
County of Cumberland)

Sheryl Leggore being duly sworn, deposes that he/she is principal clerk of PA Media Group; that The Patriot News is a public newspaper published in the city of Mechanicsburg, with general circulation in Cumberland and Dauphin and surrounding counties, and this notice is an accurate and true copy of this notice as printed in said newspaper, was printed and published in the regular edition and issue of said newspaper on the following date(s):

The Patriot News 09/29/2020

Principal Clerk of the Publisher

Commonwealth of Pennsylvania - Notary Seal
Crystal B. Rosensteel, Notary Public
Dauphin County
My commission expires June 27, 2024
Commission number 1299212
Member, Pennsylvania Association of Notaries

Sworn to and subscribed before me this 29th day of September 2020

Notary Public

**PENNSYLVANIA PUBLIC UTILITY
COMMISSION NOTICE**

Application of Broker Online Exchange LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged In The Business of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Broker Online Exchange LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Broker Online Exchange LLC proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Broker Online Exchange LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120.

You should send copies of any protest to Broker Online Exchange LLC at the address listed below.

Broker Online Exchange LLC
1 Paragon Drive Suite 255
Montvale NJ 07645
Phone: 1-845-405-0700
Fax: 1-646-943-5858

COMMONWEALTH OF PENNSYLVANIA }
 County of Cambria } SS

PENNSYLVANIA
 PUBLIC UTILITY COMMISSION NOTICE

Application of Broker Online Exchange LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged In The Business of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Broker Online Exchange LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Broker Online Exchange LLC proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Broker Online Exchange LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120.

You should send copies of any protest to Broker Online Exchange LLC at the address listed below.

Broker Online Exchange LLC
 1 Paragon Drive Suite 255 Montvale NJ 07645
 Phone: 1-845-405-0700
 Fax: 1-646-943-5858

publi that of The Johnstown Tribune-Democrat, Johnstown, PA, on September 24, 2020; and that the Affiant is not interested in the subject matter of said notice or advertising and that all of the allegations as to time, place and character of said publication are true.

On this 28th day of September A.D. 2020, before me, the subscriber, a Notary Public in and for said County and State, personally appeared Christine Marhefka, who being duly sworn according to law, deposes and says as Sales Manager / Major Accounts of the Tribune-Democrat, Johnstown, PA, a newspaper of general circulation as defined by the "Newspaper Advertising Act", a merger September 8, 1952, of the Johnstown Tribune, established December 7, 1853; and of the Johnstown Democrat, established March 5, 1863.

County of Cambria, and Commonwealth of Pennsylvania and above matter published in said publication in the regular issues

Christine Marhefka

STATEMENT OF ADVERTISING COSTS

Signed and sworn to before me on
 28th day of September, 2020,
 by Christine Marhefka making the statement.

[Signature]

0.00 Lines @	\$2.50 per line	0.00
5.5 Inches @	\$25.00 per inch	137.50
Notary Fee		5.00
Clerical Fee		2.50
Total Cost		145.00

Commonwealth of Pennsylvania - Notary Seal
 Vivian Ohs, Notary Public
 Cambria County
 My commission expires December 6, 2020
 Commission number 1123017
 Member, Pennsylvania Association of Notaries

To The Tribune-Democrat, Johnstown, PA
 For publishing the notice or publication
 attached hereto on the above stated dates.

PUBLISHER'S RECEIPT FOR ADVERTISING COSTS

VIVIAN OHS for publisher of TRIBUNE-DEMOCRAT
 a newspaper of general circulation, hereby acknowledges receipt of the aforesaid
 and publication costs and certifies that the same has been duly paid.

TRIBUNE-DEMOCRAT
 (Name of Newspaper)
 By *[Signature]*


Proof of Publication in The Philadelphia Daily News
Under Act. No 587, Approved May 16, 1929

STATE OF PENNSYLVANIA
COUNTY OF PHILADELPHIA


Helene Sweeney being duly sworn, deposes and says that The Philadelphia Daily News is a newspaper published daily, except Sunday, at Philadelphia, Pennsylvania, and was established in said city in 1925, since which date said newspaper has been regularly issued in said County, and that a copy of the printed notice of publication is attached hereto exactly as the same was printed and published in the regular editions and issues of the said newspaper on the following dates:

September 28, 2020

Affiant further deposes and says that she is an employee of the publisher of said newspaper and has been authorized to verify the foregoing statement and that she is not interested in the subject matter of the aforesaid notice of publication, and that all allegations in the foregoing statement as to time, place and character of publication are true.

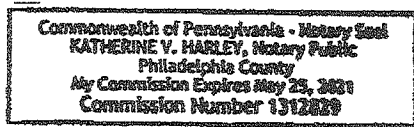


Sworn to and subscribed before me this 28th day of
September, 2020.



Notary Public

My Commission Expires:



Copy of Notice of Publication

PENNSYLVANIA
PUBLIC UTILITY
COMMISSION NOTICE

Application of Broker Online Exchange LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged in The Business of Supplying Natural Gas Supply Services To The Public In The Commonwealth Of Pennsylvania. Broker Online Exchange LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/ marketer engaged in the business of providing natural gas services. Broker Online Exchange LLC proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act. The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Broker Online Exchange LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120. You should send copies of any protest to Broker Online Exchange LLC at the address listed below.

Broker Online
Exchange LLC
1 Paragon Drive Suite 255 Montvale NJ 07645
Phone: 1-845-405-0700
Fax: 1-646-943-5858

PROOF OF PUBLICATION
In
THE ERIE TIMES-NEWS
COMBINATION EDITION

Broker Online Exchange
1 Paragon Drive
Montvale NJ 07645

REFERENCE: 150850 413918
PUC Notice

STATE OF PENNSYLVANIA)
COUNTY OF ERIE) SS:

Lorri Stefanelli, being duly sworn, deposes and says that: (1) he/she is a designated agent of the Times Publishing Company (TPC) to execute Proofs of Publication on behalf of the TPC; (2) the TPC, whose principal place of business is at 205 W. 12th Street, Erie, Pennsylvania, owns and publishes the Erie Times-News, established October 2, 2000, a daily newspaper of general circulation, and published at Erie, Erie County Pennsylvania; (3) the subject notice or advertisement, was published in the regular edition(s) of said newspaper on the date(s) referred to below. Affiant further deposes that he/she is duly authorized by the TPC, owner and publisher of the Erie Times-News, to verify the foregoing statement under oath, and affiant is not interested in the subject matter of the aforesaid notice or advertisement, and that all allegations in the foregoing statement as to time, place and character of publication are true.

PUBLISHED ON: 09/24/20

TOTAL COST: \$476.00 AD SPACE: 0 Lines

FILED ON: 09/24/20

**PENNSYLVANIA PUBLIC UTILITY
COMMISSION NOTICE**

Application of Broker Online Exchange LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged in The Business of Supplying Natural Gas Supply Services, To The Public in The Commonwealth Of Pennsylvania.

Broker Online Exchange LLC will be filing an application with the Pennsylvania Public Utility Commission ("PUC") for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Broker Online Exchange LLC proposes to sell natural gas and related services throughout all of Pennsylvania under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Broker Online Exchange LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, 400 North Street, Harrisburg, PA 17120.

You should send copies of any protest to Broker Online Exchange LLC at the address listed below.

Broker Online Exchange LLC
1 Paragon Drive Suite 255 Montvale NJ 07645
Phone: 1-845-405-0700
Fax: 1-646-943-5858

EP-413918

Sworn to and subscribed before me this 29th day of September 2020

Affiant: Lorri Stefanelli

NOTARY: Barbara J. Moore

Commonwealth of Pennsylvania - Notary Seal
Barbara J. Moore, Notary Public
Erie County
My commission expires March 23, 2024
Commission number 1114860

Member, Pennsylvania Association of Notaries

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of New York :

: ss.

County of Rockland :

Arthur Green, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the CEO (Office of Affiant) of Broker Online Exchange LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Broker Online Exchange LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

That the Applicant herein Broker Online Exchange LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Broker Online Exchange LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Broker Online Exchange LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

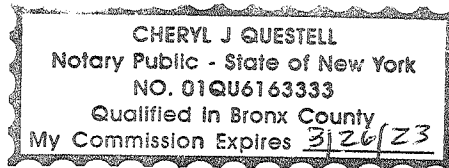
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

[Signature]
Signature of Affiant

Sworn and subscribed before me this 24th day of September, 2020.

[Signature]
Signature of official administering oath

My commission expires 3/26/23.



Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of New York :

: SS.

County of Rockland :

Arthur Green, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the CEO (Office of Affiant) of Broker Online Exchange LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Broker Online Exchange LLC the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Broker Online Exchange LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Broker Online Exchange LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

That Booker Mike Exchange LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

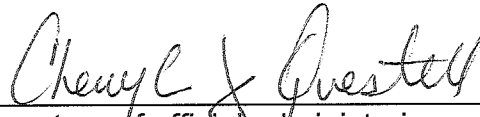
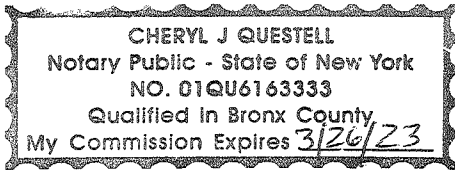
That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.



Signature of Affiant

Sworn and subscribed before me this 24th day of September, 2022.



Signature of official administering oath

My commission expires 3/26/23.