



4 High Ridge Park  
Suite 202  
Stamford, CT 06905

October 21, 2020

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
400 North Street  
Harrisburg, PA 17120

RE: Natural Gas Supplier License Application Docket No. A-2020-3021871 Utility Code: 1223443

Dear Secretary Chiavetta:

Enclosed are replies to the questions in your letter dated September 25, 2020 regarding the captioned application. As part of these replies, included also are two additional consecutive months of bank statements, a corrected Tax Certification and the Application and Operations Affidavits with the corrections as noted.

Please do not hesitate to contact me should anything further be required at this time.

Thank you.

Sincerely,

Richard Plutzer  
Chief Financial Officer  
Resource Energy Solutions, LLC  
(201) 937-4210  
[rplutzer@resourceenergy.com](mailto:rplutzer@resourceenergy.com)

Enclosures

Copy via e-mail to:  
Jeff McCracken at [jmmccracken@pa.gov](mailto:jmmccracken@pa.gov)

## BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of **RESOURCE ENERGY SOLUTIONS, LLC**, d/b/a n/a, for approval to offer, render, furnish, or supply natural gas supply services as a(n) **broker/marketer** *(as specified in item #4b below)* to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

### 1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name *(including any fictitious name or d/b/a)*, primary address, web address, and telephone number of Applicant:

**Resource Energy Solutions, LLC**  
**4 High Ridge Park, Suite 202**  
**Stamford, CT 06905**  
[www.resourceenergy.com](http://www.resourceenergy.com)  
**855-300-4113**

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- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

**C T Corporation System**  
**600 North 2<sup>nd</sup> Street**  
**Suite 401**  
**Harrisburg, PA 17101**  
**717-234-6004**

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

**Richard Plutzer, CFO**  
**4 High Ridge Park, Suite 202**  
**Stamford, CT 06905**  
**Phone (201) 937-4210**  
[rplutzer@resourceenergy.com](mailto:rplutzer@resourceenergy.com)  
**Fax (203) 724-4275**

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

**Richard Plutzer, CFO of Applicant, is also an attorney. No outside attorney will be utilized. Mr. Plutzer may be reached at 4 High Ridge Park, Suite 202, Stamford, CT 06905; mobile (201) 937-4210; fax (203) 724-4275; [rplutzer@resourceenergy.com](mailto:rplutzer@resourceenergy.com)**

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail **OF THE PERSON AND AN ALTERNATE PERSON (2 REQUIRED)** responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company,

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Please see the attachment.

#### 4. OPERATIONS

- a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of a natural gas distribution company
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

☐ The Applicant is presently doing business in Pennsylvania as a

- ☐ natural gas interstate pipeline
- ☐ municipality providing service outside its municipal limits
- ☐ local gas distribution company
- ☐ retail supplier of natural gas services in the Commonwealth
- ☐ a natural gas producer
- ☐ a broker/marketer engaged in the business of supplying natural gas services
- ☒ Other. (Identify the nature of service being rendered)

**Applicant is currently licensed to operate in PA as an Electric Generation Supplier, at Docket No. A-2019-3008951.**

**or**

☐ The Applicant is not presently doing business in Pennsylvania.

- b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- ☐ Supplier or Aggregator of natural gas services
- ☐ Municipal supplier of natural gas services
- ☐ Cooperative supplier of natural gas services
- X Broker/Marketer engaged in the business of supplying natural gas services
- X Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- ☐ Other (Describe):

AFFIDAVIT


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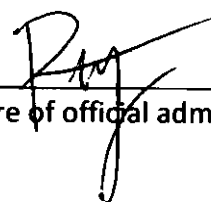
State of Connecticut :  
: ss. Stamford  
County of Fairfield: :

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

I, RICHARD PLUTZER, Affiant, being duly sworn/affirmed according to law, depose and say that I am authorized on behalf of Resource Energy Solutions, LLC being the holder of the office of Chief Financial Officer, Secretary and Treasurer of that limited liability company, and that I am authorized to and do make this Affidavit on its behalf and hereby state that that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to Authorities).

  
\_\_\_\_\_  
Signature of Affiant

Sworn and subscribed before me this 21<sup>st</sup> day of October, 2020.

  
\_\_\_\_\_  
Signature of official administering oath

My commission expires \_\_\_\_\_  
**PAUL LY**  
**NOTARY PUBLIC**  
MY COMMISSION EXPIRES OCT. 31, 2023

Appendix A

APPLICATION AFFIDAVIT

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PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

State of Connecticut :

:ss. Stamford

County of Fairfield :

RICHARD PLUTZER, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

He is the CHIEF FINANCIAL OFFICER, SECRETARY AND TREASURER (Office of Affiant) of

**Resource Energy Solutions, LLC** (Name of Applicant);]

That he is authorized to and does make this affidavit for said Applicant;

That the Applicant herein has the burden of producing information and supporting


documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

That the Applicant herein RESOURCE ENERGY SOLUTIONS, LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein RESOURCE ENERGY SOLUTIONS, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein RESOURCE ENERGY SOLUTIONS, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.



Signature of Affiant, RICHARD PLUTZER

Sworn and subscribed before me this 21<sup>st</sup> day of October, 2020.



Signature of official administering oath

My commission expires PAUL LY  
NOTARY PUBLIC  
MY COMMISSION EXPIRES OCT. 31, 2023

Appendix B

OPERATIONS AFFIDAVIT

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State of Connecticut :  
:  
: ss. Stamford  
County of Fairfield: :

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

RICHARD PLUTZER, Affiant, being duly [sworn/affirmed] according to law,  
deposes and says that:

He is the CHIEF FINANCIAL OFFICER, SECRETARY AND TREASURER (Office of Affiant) of  
**Resource Energy Solutions, LLC**  
(Name of Applicant);]

That he is authorized to and does make this affidavit for said Applicant;

That RESOURCE ENERGY SOLUTIONS, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That RESOURCE ENERGY SOLUTIONS, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That RESOURCE ENERGY SOLUTIONS, LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

## Appendix B (Continued)


That **Resource Energy Solutions, LLC**, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.

  
\_\_\_\_\_  
Signature of Affiant

Sworn and subscribed before me this 21<sup>st</sup> day of Oct, 2020.

  
\_\_\_\_\_  
Signature of official administering oath

My commission expires \_\_\_\_\_  
**PAUL LY**  
**NOTARY PUBLIC**  
MY COMMISSION EXPIRES OCT. 31, 2023

ORIGIN ID: JSDA (203) 738-5901  
 AMEE HARRISON  
 RESOURCE ENERGY SOLUTIONS, LLC  
 4 HIGH RIDGE PARK  
 SUITE 202  
 STAMFORD, CT 06905  
 UNITED STATES US

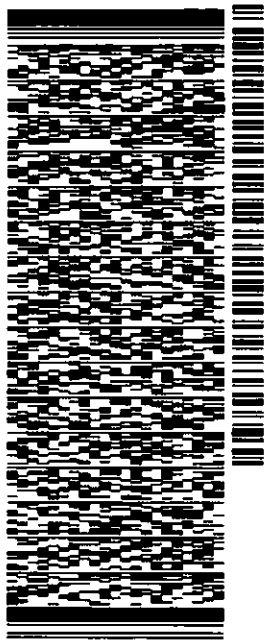
SHIP DATE: 21OCT20  
 ACTWGT: 1.00 LB  
 CAD: 105285855/NET4280

BILL SENDER

TO ROSEMARY CHIAVETTA, SECRETARY  
 PENNSYLVANIA PUBLIC UTILITY COMMISS  
 400 NORTH STREET

HARRISBURG PA 17120

(800) 892-7380 REF:  
 INV:  
 PO: DEPT:



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