

**Application of Pennsylvania-American Water Company for Acquisition of  
the Wastewater Assets of Upper Pottsgrove Township  
66 Pa. C.S. § 1329  
Application Filing Checklist – Water/Wastewater  
Docket No. A-2020-3021460**

20. Proof of Compliance. Provide proof of compliance with applicable design, construction and operation standards of DEP or of the county health department, or both, including:
- g. Provide documentation evidencing a 5-year compliance history with DEP of other utilities owned or operated, or both, by the buyer, including affiliates, and their officers and parent corporations with regard to the provision of utility service.<sup>4</sup>

**RESPONSE:**

- g. PAWC's compliance record has been consistently strong over the last ten years, especially as it relates to treatment technique and MCL violations, or the lack thereof. Below is a list of drinking water and non-drinking water violations in nearby systems. We are currently implementing a new environmental data tracking and reporting software that will improve our sampling and monitoring processes. Other processes and training have been implemented to eliminate future similar occurrences.

**Roversford Water System Violations:**

- 2019 – Drinking water inspection violation due to damaged chlorine and caustic soda feed pipes into the clear well.
- 2019 – Drinking water monitoring violation Shady Lane Plant for failure to conduct required compliance sampling and analysis in accordance with analytical techniques.

**Glen Alsace Water System Violations:**

- 2018 – Drinking water monitoring violation for failing to collect a 6-year annual uranium sample.
- 2019 – Drinking water treatment technique violation after a mechanical and electrical issue shut down the chlorine feed pump at the groundwater station for a period of time.

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<sup>4</sup> Regarding Checklist Item 20(g), Class A public utilities need only submit compliance history for operations in the neighboring areas or a statement attesting to their compliance with this item.