



SmartEnergy Holdings LLC  
400 Madison Avenue Suite 9A  
New York, New York 10017  
www.smartenergy.com

October 17th, 2020

Rosemary Chiavetta  
Secretary  
Pennsylvania Public Utility Commission  
400 North Street  
Harrisburg, PA 17120

**RE: Annual Compliance Filing of SmartEnergy Holdings, LLC for Continuation of 5% Reduction in Security Requirements; Docket No. A-2014-2416214 | Utility Code: 1116480**

Dear Secretary Chiavetta:

SmartEnergy requests to maintain its 5% financial security reduction of its most recent 12 months (or 4 quarters) of gross receipts, in accordance with the Commission Order deemed final on October 16, 2018, at Docket No. P-2017-2608078.

SmartEnergy provides the following information and documentation in support of its Annual Compliance Filing:

1. Certificate of Service.
2. Department of Revenue Tax Status Letter of Good Standing.
3. Department of Revenue REV 423 PA Corporation Specialty Tax Estimated Payment form.
4. SmartEnergy's current financial security has an expiration date of January 17, 2021.
5. SmartEnergy's most recent 12-months of gross receipts is [REDACTED].
6. 5% of SmartEnergy's gross receipts for the most recent 12 months is [REDACTED].
7. Original bond rider increase.

If you have any questions or concerns, please do not hesitate to reach out to me.

Regards,

A handwritten signature in blue ink that reads "Ann Marie Toss".

Ann Marie Toss  
Chief Compliance Officer  
212-779-5843  
regulatory@smartenergy.com

**VERIFICATION**

I, Ann Marie Toss, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. § 4904 (relating to unsworn falsification to authorities).



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Ann Marie Toss  
Chief Compliance Officer

## CERTIFICATE OF SERVICE

On this the 17th day of October 2020, I certify that a true and correct copy of the foregoing filing and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120

Department of Revenue  
Bureau of Compliance  
PO Box 281230  
Harrisburg, PA 17128-1230

Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

Vice President – Energy Supply  
Corning Natural Gas Holding Corporation  
330 West William Street  
Corning, NY 14830

Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

Office of General Counsel  
Attn: Kimberly A. Klock  
PPL  
Two North Ninth Street (GENTW3)  
Allentown, PA 18101-1179

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading PA, 19612

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
1 UGI Drive  
Denver, PA 17517

Citizens' Electric Company  
Attn: EGS Coordination  
1775 Industrial Boulevard  
Lewisburg, PA 17837

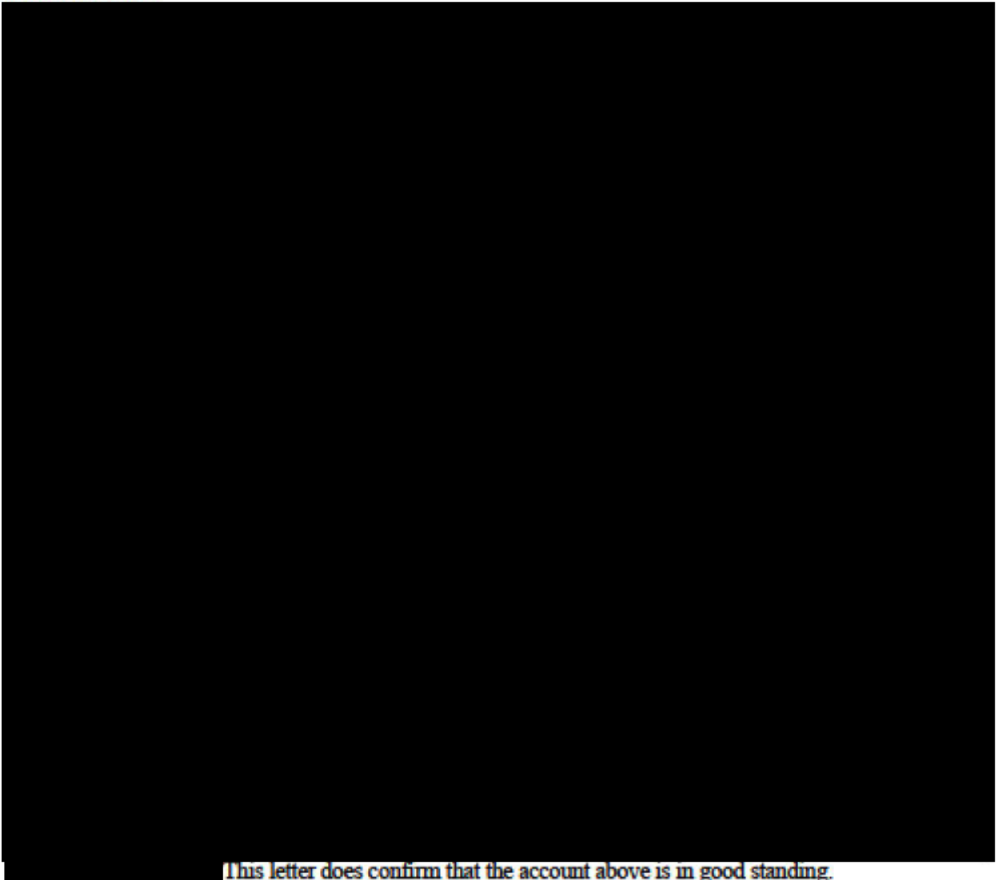
Wellsboro Electric Company  
Attn: EGS Coordination  
33 Austin Street  
P. O. Box 138  
Wellsboro, PA 16901



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**Ann Marie Toss**  
**Chief Compliance Officer**  
**SmartEnergy Holdings, LLC**

Bureau of Compliance  
P.O. Box 280231  
Harrisburg, PA 17128-0231  
717-787-3911 (Option 5)  
[www.revenue.pa.gov](http://www.revenue.pa.gov)



This letter does confirm that the account above is in good standing.

Sincerely,

A handwritten signature in black ink, appearing to read 'Ernard S. Robinson, Jr.'.

Ernard S. Robinson, Jr  
PA Department of Revenue  
Bureau of Compliance  
Business License Clearance Division

Hearing Impaired and/or Speaking Needs  
Call TT# 800-447-3020

**REV-423 Specialty Tax Estimated Payment**





A Member of the Tokio Marine Group

Bond Number: [REDACTED]

**BOND RIDER INCREASE**

