

 **DYNEGY**  
6555 Sierra Drive  
Irving, TX 75039

November 25, 2020

Sent Via FedEx

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
400 North Street  
Commonwealth Keystone Building, 2<sup>nd</sup> Floor  
Harrisburg, PA 17120

**Re: Compliance Filing, 5% Financial Security Renewal for Dynegy Energy Services (East), LLC  
Docket No. A-2010-2199236**

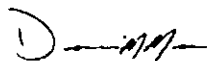
Dear Secretary Chiavetta,

Pursuant to the Pennsylvania Public Utility Commission's Order entered on September 2018, in Docket No. P-2017-2608078, The Public Utility Commission's new process for a 5% Financial Security Reduction Renewal for Electric Generation Suppliers. Dynegy Energy Services (East), LLC hereby respectfully requests that its bonding level of 5% be renewed.

Dynegy Energy Services (East), LLC respectfully requests that the Commission grant Confidential Treatment to the attached documents. It is Dynegy Energy Services (East), LLC's position that the information contained in these documents is of a proprietary and/or confidential nature. Dynegy Energy Services (East), LLC respectfully requests that the Commission treat these documents as confidential, place them under a seal, and prevent public disclosure as stated in Pennsylvania Code Title 52 §5.365.

If you have any questions or concerns in regard to this submission, please do not hesitate to contact me at (214) 812-2134 or at [Dorian.Moore@Vistracorp.com](mailto:Dorian.Moore@Vistracorp.com) and [RCF@vistracorp.com](mailto:RCF@vistracorp.com).

Respectfully,



Dorian Moore  
Manager, Retail Compliance  
Vistra Corp.

Enclosures

RECEIVED

NOV 25 2020

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

456475

Bureau of Compliance  
P.O. Box 280231  
Harrisburg, PA 17128-0231  
717-787-3911 (Option 5)  
[www.revenue.pa.gov](http://www.revenue.pa.gov)

REDACTED



Friday, November 06, 2020

Attention: Dorian Moore

RECEIVED

NOV 25 2020

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

RE: Dynegy Energy Services (East), LLC.  
6555 Sierra Drive  
Irving, TX 75039

Federal EIN: [REDACTED]  
Social Security Number:  
Business Partner Number: [REDACTED]

Dear Requester,

I am writing to confirm that the above-referenced tax accounts for Dynegy Energy Services (East), LLC are current. All returns have been filed with payment as of Friday, November 06, 2020. This confirmation is not intended to represent a "Bulk Sale Clearance" under the provision of section 1403 of the fiscal code. This letter does confirm that the account above is in good standing.

Sincerely,

A handwritten signature in black ink, appearing to read 'Ernard S. Robinson, Jr.', written in a cursive style.

Ernard S. Robinson, Jr  
PA Department of Revenue  
Bureau of Compliance  
Business License Clearance Division


**Dynegy Energy Services (East), LLC**

Dynegy Energy Services (East), LLC has a large credit on the PA GRT account. Due to this large credit prepayments were not made in March 2019. Below is the 2018 information filed on the PA GRT return. No payments were made but tax due was taken against the credit on file.

Prepayments made	Date Paid	Amount Paid	Gross Revenue	GRT Rate	Calc GRT	Comment
N/A		-	[REDACTED]	[REDACTED]	[REDACTED]	Applied GRT due to credit on file
		-	[REDACTED]		[REDACTED]	

Remaining Credit balance towards 2019 PA GRT [REDACTED]

Envelope ID: BU1009526784      Revenue ID: [REDACTED]      [REDACTED]



**pennsylvania**  
DEPARTMENT OF REVENUE

PENNSYLVANIA DEPARTMENT OF REVENUE  
PO BOX 280901  
HARRISBURG PA 17129-0901

### STATEMENT OF ACCOUNT

DYNEGY ENERGY SERVICES (EAST) LLC  
601 TRAVIS ST STE 1400  
HOUSTON TX 77002-3253

REVENUE ID:..... [REDACTED]  
FEIN:..... [REDACTED]  
NOTICE NUMBER:..... [REDACTED]  
DOCUMENT DATE:..... 11/26/2019

This statement of account includes interest as of 12/12/2019. For each section below, tax periods shown include the seven most recent periods filed for Corporation taxes, the three most recent periods filed for all other taxes, any periods that do not have a zero balance, and any non-filed periods. Payments are not applied to estimated liabilities. Non-filed periods are not included in the Total Balance of Filed Tax Periods. Non-filed periods may not yet be due.

SUMMARY OF TAX PERIODS - Corporation Taxes									
Period End Date	Tax Type	Account ID	Liability Type	Liability Amount	Payments/Credits	Restricted Credits	Tax Period Filed	Balance	
12/31/2019	GR ELEC	[REDACTED]	Tax	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	
12/31/2018	GR ELEC	[REDACTED]	Tax	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	

REDACTED

REDACTED

Dynergy Energy Services (East), LLC					
5% Gross Receipt Calculations					
Q4 (2019)	Q1 (2020)	Q2 (2020)	Q3 (2020)	Total	*5% of Total
[REDACTED]					

Bond Amount

[REDACTED]



# PENNSYLVANIA ALTERNATIVE ENERGY CREDIT PROGRAM

*Via Email to: Dynegy Marketing & Trade, LLC (R-West Penn) (dorian.moore@vistraenergy.com, ziam@txu.com)*

10 September, 2020

Dear Pennsylvania Electric Supplier:

This letter is to inform you that Dynegy Marketing & Trade, LLC (R-West Penn) has met the non-solar Tier I, solar Tier I and Tier II AEC retirement requirements for energy year 2020.

If you have any questions regarding this letter please contact InClime, the Pennsylvania AEPS Administrator, at [customerservice@pennaeps.com](mailto:customerservice@pennaeps.com) or by phone at 877-333-0573.

Best,

Pennsylvania AEPS Administration Team

SURETY RIDER

To be attached to and form a part of

Bond No. [REDACTED]

RECEIVED

NOV 25 2020

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

dated March 24, 2020  
effective (MONTH-DAY-YEAR)

executed by Dynegy Energy Services (East), LLC, as Principal,  
(PRINCIPAL)

and by [REDACTED], as Surety,

in favor of Pennsylvania Public Utility Commission  
(OBLIGEE)

in consideration of the mutual agreements herein contained the Principal and the Surety hereby consent to changing

The Bond Amount from:

[REDACTED]

To:

[REDACTED]

Nothing herein contained shall vary, alter or extend any provision or condition of this bond except as herein expressly stated.

This rider is effective November 16, 2020  
(MONTH-DAY-YEAR)

Signed and Sealed November 16, 2020  
(MONTH-DAY-YEAR)

Dynegy Energy Services (East), LLC  
(PRINCIPAL)

By: [Signature]  
(PRINCIPAL) *Kristopher E. Moldovan*

Liberty Mutual Insurance Company  
(SURETY)

By: [Signature]  
Jessica Richmond, Attorney-in-Fact





This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Liberty Mutual Insurance Company
The Ohio Casualty Insurance Company
West American Insurance Company

Certificate No: 8201260-022001

POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That The Ohio Casualty Insurance Company is a corporation duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Philip N. Bair, Alfredo B. Barrera III, Janie Cermeno, Eric S. Feigl, John L. Hohlt, Joyce A. Johnson, Jessica Richmond, Chelsea Nielson

all of the city of Houston state of Texas each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 13th day of May, 2019.



Liberty Mutual Insurance Company
The Ohio Casualty Insurance Company
West American Insurance Company

By: David M. Carey, Assistant Secretary

State of PENNSYLVANIA
County of MONTGOMERY ss

On this 13th day of May, 2019 before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of Liberty Mutual Insurance Company, The Ohio Casualty Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at King of Prussia, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Teresa Pastella, Notary Public
Upper Merion Twp., Montgomery County
My Commission Expires March 28, 2021
Member, Pennsylvania Association of Notaries

By: Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV - OFFICERS: Section 12. Power of Attorney. Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII - Execution of Contracts: Section 5. Surety Bonds and Undertakings. Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Renee C. Llewellyn, the undersigned, Assistant Secretary, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this 16th day of November, 2020.



By: Renee C. Llewellyn, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, currency rate, interest rate or residual value guarantees.

To confirm the validity of this Power of Attorney call 1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.

**CERTIFICATE OF SERVICE**

On this the 24 day of November 2020 I certify that a true and correct copy of the foregoing and all NON-CONFIDENTIAL attachments have been served, as either a hardcopy or a searchable PDF version on a CD-ROM, upon the following:

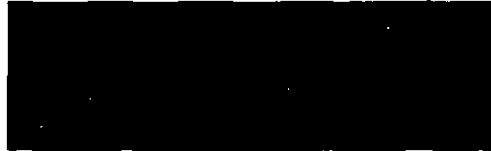
Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17101

Commonwealth of Pennsylvania  
Department of Revenue  
Bureau of Compliance  
Harrisburg, PA 17128-0946

Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101



Legal Department  
West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689

Manager Energy Acquisition  
PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699

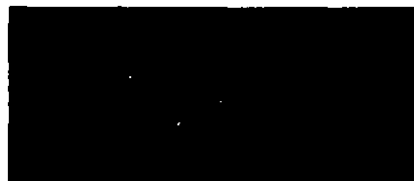
Regulatory Affairs  
Duquesne Light company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219

Office of General Counsel  
Attn: Kimberly A. Klock  
PPL  
Two North Ninth Street (GENTW3)  
Allentown, PA 18101-1179

Legal Department  
First Energy  
2800 Pottsville Pike  
Reading, PA 19612

UGI Utilities, Inc.  
Attn: Rates Dept. – Choice Coordinator  
2525 N. 12th Street, Suite 360  
Post Office Box 12677  
Reading, PA 19612-2677

~~Citizens Electric Company  
Attn: ESG Coordination  
1775 East Limestone Boulevard  
Harrisburg, PA 17107~~



**RECEIVED**

NOV 25 2020

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

*Dorian Moore*

**Dorian Moore**  
Manager, Retail Compliance  
Dynergy Energy Services (East), LLC



**CERTIFICATE OF SERVICE**

I, Dorian Moore, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa. C.S. §4904 (relating to unsworn falsification to authorities).

Date: November 24, 2020

*Dorian Moore*

---

**Dorian Moore**  
**Manager, Retail Compliance**  
**Dynegy Energy Services (East), LLC**

**RECEIVED**

**NOV 25 2020**

**PA PUBLIC UTILITY COMMISSION**  
**SECRETARY'S BUREAU**

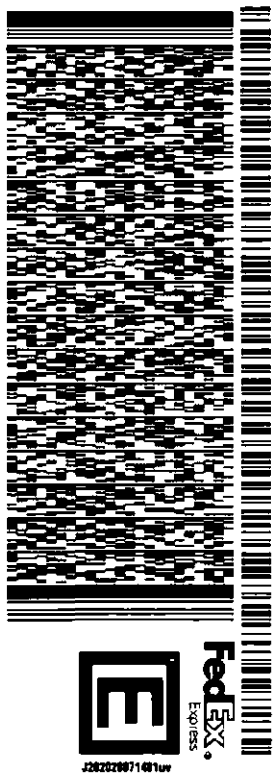
ORIGIN ID: DALA (214) 812-2134  
 DORIAN MOORE  
 VISTRA ENERGY  
 6555 SIERRA DRIVE  
 IRVING, TX 75039  
 UNITED STATES US

SHIP DATE: 25NOV20  
 ACTWGT: 1.00 LB  
 CAD: 101778781/NET4280

BILL SENDER

TO ROSEMARY CHIAVETTA, SECRETARY  
 PA PUBLIC UTILITIES COMMISSION  
 COMMONWEALTH KEYSTONE BUILDING  
 400 NORTH STREET, 2ND FLR ROOM-N201  
 HARRISBURG PA 17105

REF: 307  
 (717) 772-7777  
 NV  
 PO DEPT



TRK# 7721 7689 7022  
 0201

TUE - 01 DEC 4:30P  
 EXPRESS SAVER

SK MDTA  
 PA-US MDT  
 17105

**After printing this label:**

1. Use the 'Print' button on this page to print your label to your laser or inkjet printer.
2. Fold the printed page along the horizontal line.
3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

**Warning:** Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$1,000, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedEx Service Guide.