



January 29, 2021

**VIA E-FILING**

**David P. Zambito**

Direct Phone 717-703-5892  
Direct Fax 215-989-4216  
dzambito@cozen.com

Rosemary Chiavetta, Secretary  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2nd Floor -- Filing Room  
Harrisburg, PA 17120

**Re: Application of Pennsylvania-American Water Company-Wastewater Division under Section 1329 of the Pennsylvania Public Utility Code, 66 Pa. C.S. § 1329, for the Acquisition of Royersford Borough's Wastewater System Assets; Docket No. A-2020-3019634, et al.**

**Joint Stipulation for Admission of Evidence**

Dear Secretary Chiavetta:

Enclosed for filing with the Pennsylvania Public Utility Commission ("Commission") is the Joint Stipulation for Admission of Evidence. A copy of this correspondence is being served in accordance with the attached Certificate of Service.

Thank you for your attention to this filing. Please contact me if you have any question or concern.

Sincerely,

COZEN O'CONNOR

By: David P. Zambito  
Counsel for *Pennsylvania-American Water Company*

DPZ

Enclosure

cc: Administrative Law Judge Marta Guhl  
Pamela McNeal  
Per Certificate of Service  
Elizabeth Rose Triscari, Esq.

**BEFORE THE  
PENNSYLVANIA PUBLIC UTILITY COMMISSION**

Application of Pennsylvania-American Water :  
Company-Wastewater Division under Section 1329 :  
of the Pennsylvania Public Utility Code, 66 Pa. C.S. : Docket No. A-2020-3019634  
§ 1329, for the Acquisition of Royersford Borough's :  
Wastewater System Assets :

**CERTIFICATE OF SERVICE**

I hereby certify that I have this day served true copies of the **Joint Stipulation for Admission of Evidence**, upon the parties, listed below in accordance with the requirements of 52 Pa. Code § 1.54 (relating to service by a party).

**DUE TO THE COVID-19 EMERGENCY, THIS DOCUMENT  
IS BEING SERVED BY E-MAIL ONLY**

Sharon E. Webb, Esq. (PA ID 73995)  
Office of Small Business Advocate  
555 Walnut Street, 1<sup>st</sup> Floor  
Harrisburg, PA 17101  
Counsel for *Office of Small Business Advocate*  
swebb@pa.gov

Christine Maloni Hoover, Esq. (PA ID 50026)  
Erin L. Gannon, Esq. (PA ID 83487)  
Harrison W. Breitman, Esq. (PA ID 320580)  
Santo G. Spataro, Esq. (PA ID 327494)  
Office of Consumer Advocate  
555 Walnut Street  
Forum Place, 5<sup>th</sup> Floor  
Harrisburg, PA 17101-1923  
Counsel for *Office of Consumer Advocate*  
CHoover@paoca.org  
EGannon@paoca.org  
SSpataro@paoca.org  
HBreitman@paoca.org

Brian Kalcic  
Excel Consulting  
225 S. Meramec Avenue, Suite 720  
St. Louis, MO 63105  
excel.consulting@sbcglobal.net  
Consultant for *Office of Small Business Advocate*

David J. Garrett  
Resolve Utility Consulting  
101 Park Avenue  
Suite 1125  
Oklahoma City, OK 73102  
OCAPAWCRoyersford@paoca.org  
Consultant for *Office of Consumer Advocate*

Erika L. McLain, Esq. (PA ID 320526)  
John M. Coogan, Esq. (PA ID 313920)  
Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2<sup>nd</sup> Floor West  
P.O. Box 3265  
Harrisburg, PA 17105-3265  
Counsel for *Bureau of Investigation & Enforcement*  
carwright@pa.gov  
ermclain@pa.gov

Thomas Wyatt, Esq. (PA I.D. 89342)  
Matthew S. Olesh, Esq. (PA I.D. 206553)  
Sydney N. Melillo, Esq. (PA I.D. 328031)  
Obermayer Rebmann Maxwell & Hippel, LLP  
Centre Square West  
1500 Market Street, Suite 3400  
Philadelphia, PA 19102  
Counsel for *Royersford Borough*  
Thomas.Wyatt@obermayer.com  
Matthew.Olesh@obermayer.com  
Sydney.Melillo@obermayer.com

Robert Redinger, Jr.  
1881 Painters Run Road  
Pittsburgh, PA 15241  
bobr64@gmail.com

Pamela McNeal  
Office of Administrative Law Judge  
801 Market Street, Suite 4063  
Philadelphia, PA 19107  
pmcneal@pa.gov

Respectfully submitted,



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David P. Zambito, Esq. (PA ID 80017)  
Cozen O'Connor  
17 North Second Street, Suite 1410  
Harrisburg, PA 17101  
(717) 703-5892  
dzambito@cozen.com  
Counsel for *Pennsylvania-American Water Company*

Date: January 29, 2021

**BEFORE THE  
PENNSYLVANIA PUBLIC UTILITY COMMISSION**

---

**Administrative Law Judge  
Marta Guhl**

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Application of Pennsylvania-American Water :  
Company-Wastewater Division under Section 1329 :  
of the Pennsylvania Public Utility Code, 66 Pa. C.S. : Docket No. A-2020-3019634, *et al.*  
§ 1329, for the Acquisition of Royersford :  
Borough’s Wastewater System Assets :

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**JOINT STIPULATION FOR ADMISSION OF EVIDENCE**

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Pennsylvania-American Water Company (“PAWC”), the Office of Consumer Advocate (“OCA”), the Pennsylvania Public Utility Commission’s Bureau of Investigation & Enforcement (“I&E”), the Office of Small Business Advocate (“OSBA”) and Royersford Borough (“Royersford”), all active parties to the above-captioned proceeding<sup>1</sup> (hereinafter, collectively referred to as the “Stipulating Parties”), file this “Joint Stipulation for Admission of Evidence” (“Stipulation”) in the above-captioned proceeding.<sup>2</sup> In support of the Stipulation, the Stipulating Parties represent as follows:

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<sup>1</sup> The only other active party to this proceeding, Robert Redinger, Jr., has not yet submitted any evidence in this proceeding.

<sup>2</sup> PAWC, OCA, OSBA, I&E and Royersford are also signatories to the “Joint Petition for Approval of Settlement of All Issues” (“Settlement”) filed contemporaneously with the Stipulation in the above-captioned proceeding.

## **I. Background**

1. Paragraphs 1 through 19 (regarding “Background”) of the Settlement are hereby incorporated by reference.

## **II. Stipulation**

2. The Stipulating Parties hereby jointly stipulate to the authenticity of and admission into the evidentiary record in this matter of the filings, statements, and exhibits listed below.<sup>3</sup> All such filings, statements, and exhibits are authenticated by verifications from each supporting witness.<sup>4</sup>

### A. Pennsylvania-American Water Company Statements and Exhibits

1. a. PAWC Statement No. 1 – Direct Testimony of Michael Salvo, PAWC Statement No. 1, with PAWC Exhibit MS-1 (this Exhibit is the Application, as amended, which was previously filed with the Secretary of the Pennsylvania Public Utility Commission).

b. PAWC Statement No. 1-R – Rebuttal Testimony of Michael Salvo, PAWC Statement No. 1-R.

2. a. PAWC Statement No. 2 – Direct Testimony of Michael J. Guntrum, P.E. and PAWC Exhibits MJG-1 and MJG-2.

b. PAWC Statement No. 2-R – Rebuttal Testimony of Michael J. Guntrum, P.E.

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<sup>3</sup> The filings, statements, and exhibits are unchanged from the versions that were previously served upon the Honorable Administrative Law Judge Marta Guhl (“Presiding Officer”) and the parties in this proceeding.

<sup>4</sup> Any verification that was not filed previously is enclosed.

3. a. PAWC Statement No. 3 – Direct Testimony of Rod P. Nevirauskas, with PAWC Exhibit RPN-1.

b. PAWC Statement No. 3-R – Rebuttal Testimony of Rod P. Nevirauskas.

4. a. PAWC Statement No. 4 – Direct Testimony of Jerome C. Weinert, PE, ASA, CDP, with PAWC Exhibit JCW-1.

b. PAWC Statement No. 4-R – Direct Testimony of Jerome C. Weinert, PE, ASA, CDP, with PAWC Exhibit JCW-2.

5. PAWC Statement No. 5-SR – Surrebuttal Testimony of Ashley E. Everette.

B. Royersford Statements and Exhibits

1. a. Royersford Statement No. 1 – Direct Testimony of Michael A. Leonard.

b. Royersford Statement No. 1-R – Rebuttal Testimony of Michael A. Leonard.

2. a. Royersford Statement No. 2 – Direct Testimony of Harold Walker III, with Appendix A.

b. Royersford Statement No. 2-R – Rebuttal Testimony of Harold Walker III, with Exhibit HW-1.

C. OCA Statements and Exhibits

1. a. OCA Statement 1 – Direct Testimony of David J. Garrett, with Appendices A through C and OCA Exhibits DJG-1 through DJG-23.

b. OCA Statement 1-S – Surrebuttal Testimony of David J. Garrett.

2. a. OCA Statement 2 – Direct Testimony of Noah D. Eastman, with Appendix A and OCA Exhibit NDE-1 (as adopted by Morgan N. DeAngelo in OCA Statement No. 2-S).

b. OCA Statement 2-S – Surrebuttal Testimony of Morgan N. DeAngelo, with Appendix A.

D. OSBA Statements

1. a. OSBA Statement No. 1 – Direct Testimony of Brian Kalcic, with Appendix A.

b. OSBA Statement No. 2-S – Surrebuttal Testimony of Brian Kalcic.

E. I&E Statements and Exhibits

1. a. I&E Statement No. 1 – Direct Testimony of D.C. Patel, with I&E Exhibit No. 1.

b. I&E Statement No. 1-SR – Surrebuttal Testimony of D.C. Patel.

2. a. I&E Statement No. 2 – Direct Testimony of Ethan H. Cline.

b. I&E Statement No. 2-SR – Surrebuttal Testimony of Ethan H. Cline.

3. This Stipulation is presented by the Stipulating Parties in conjunction with the Settlement, which is intended to settle all issues in the above-captioned proceeding. If the Commission rejects or otherwise modifies the Settlement, the Stipulating Parties reserve their

respective procedural rights to object to the admission of the above-referenced statements and exhibits, submit additional testimony and exhibits, and cross-examine witnesses at on-the-record evidentiary hearings.

4. This Stipulation is being presented, in conjunction with the Settlement, only to resolve issues in the above-captioned proceeding. Regardless of whether this Stipulation is approved, no adverse inference shall be drawn, nor shall prejudice result to any Stipulating Party in this or any future proceeding as a consequence of this Stipulation, or any of its terms or conditions.

5. Verified Direct Statements and Exhibits of PAWC and Royersford were previously filed with the Commission's Secretary's Bureau. "Confidential" materials filed with the Secretary's Bureau of the Commission by the Stipulating Parties have been so marked and should be placed in non-public folders by the Secretary's Bureau. One electronic copy of the remaining statements and exhibits listed in Paragraph 2 above, together with verifications from the supporting witnesses and the Presiding Officer's order granting this Stipulation, will be filed with the Secretary's Bureau for inclusion in the official case record upon approval of this Stipulation. Additionally, the Stipulating Parties shall ensure that electronic copies of statements and exhibits are filed with the Commission's Secretary as required by 52 Pa. Code § 5.412a (regarding "Electronic submission of pre-served testimony").

6. Attached hereto as **Appendix A** is a proposed "Order Granting Joint Stipulation for Admission of Evidence" for consideration by the Presiding Officer.



**III. Request for Relief**

WHEREFORE, the Stipulating Parties, by their respective counsel, respectfully request that the Honorable Administrative Law Judge Marta Guhl admit the foregoing statements and exhibits into the record in this proceeding on the terms and conditions set forth in this Stipulation.

[Signatures appear on next page.]

Respectfully submitted,



---

David P. Zambito, Esq. (PA ID 80017)  
Jonathan P. Nase, Esq. (PA ID 44003)  
Cozen O'Connor  
17 North Second Street, Suite 1410  
Harrisburg, PA 17101  
(717) 703-5892

Elizabeth Rose Triscari, Esq. (PA ID 306921)  
Pennsylvania-American Water Company  
852 Wesley Drive  
Mechanicsburg, PA 17055  
(717) 550-1574

Counsel for *Pennsylvania-American  
Water Company*

---

Christine Maloni Hoover, Esq. (PA ID 50026)  
Erin L. Gannon, Esq. (PA ID 83487)  
Harrison W. Breitman, Esq. (PA ID 320580)  
Santo G. Spataro, Esq. (PA ID 327494)  
Office of Consumer Advocate  
555 Walnut Street  
Forum Place, 5th Floor  
Harrisburg, PA 17101-1923  
(717) 783-5048

Counsel for *Office of Consumer Advocate*

---

Sharon Webb, Esq. (PA ID 73995)  
Office of Small Business Advocate  
555 Walnut Street  
Forum Place, 1<sup>st</sup> Floor  
Harrisburg, PA 17101  
(717) 783-2525

Counsel for *Office of Small Business  
Advocate*

---

Thomas Wyatt, Esq. (PA ID 89342)  
Matthew S. Olesh, Esq. (PA ID 206553)  
Sydney N. Melillo, Esq. (PA ID 328031)  
Obermayer Rebmann Maxwell & Hippel LLP  
Centre Square West  
1500 Market Street, Suite 3400  
Philadelphia, PA 19102  
(215) 665-3000

Counsel for *Royersford Borough*

---

Erika L. McLain, Esq. (PA ID 320526)  
John M. Coogan, Esq. (PA ID 313920)  
Pennsylvania Public Utility Commission  
Bureau of Investigation and Enforcement  
Commonwealth Keystone Building  
400 North Street  
Harrisburg, PA 17120  
(717) 783-6170

Counsel for *Bureau of Investigation and  
Enforcement*

Respectfully submitted,



---

David P. Zambito, Esq. (PA ID 80017)  
Jonathan P. Nase, Esq. (PA ID 44003)  
Cozen O'Connor  
17 North Second Street, Suite 1410  
Harrisburg, PA 17101  
(717) 703-5892

Elizabeth Rose Triscari, Esq. (PA ID 306921)  
Pennsylvania-American Water Company  
852 Wesley Drive  
Mechanicsburg, PA 17055  
(717) 550-1574

Counsel for *Pennsylvania-American  
Water Company*

---

Thomas Wyatt, Esq. (PA ID 89342)  
Matthew S. Olesh, Esq. (PA ID 206553)  
Sydney N. Melillo, Esq. (PA ID 328031)  
Obermayer Rebmann Maxwell & Hippel LLP  
Centre Square West  
1500 Market Street, Suite 3400  
Philadelphia, PA 19102  
(215) 665-3000

Counsel for *Royersford Borough*

---

Christine Maloni Hoover, Esq. (PA ID 50026)  
Erin L. Gannon, Esq. (PA ID 83487)  
Harrison W. Breitman, Esq. (PA ID 320580)  
Santo G. Spataro, Esq. (PA ID 327494)  
Office of Consumer Advocate  
555 Walnut Street  
Forum Place, 5th Floor  
Harrisburg, PA 17101-1923  
(717) 783-5048

Counsel for *Office of Consumer Advocate*

---

Erika L. McLain, Esq. (PA ID 320526)  
John M. Coogan, Esq. (PA ID 313920)  
Pennsylvania Public Utility Commission  
Bureau of Investigation and Enforcement  
Commonwealth Keystone Building  
400 North Street  
Harrisburg, PA 17120  
(717) 783-6170

Counsel for *Bureau of Investigation and  
Enforcement*

---

Sharon Webb, Esq. (PA ID 73995)  
Office of Small Business Advocate  
555 Walnut Street  
Forum Place, 1<sup>st</sup> Floor  
Harrisburg, PA 17101  
(717) 783-2525

Respectfully submitted,

---

David P. Zambito, Esq. (PA ID 80017)  
Jonathan P. Nase, Esq. (PA ID 44003)  
Cozen O'Connor  
17 North Second Street, Suite 1410  
Harrisburg, PA 17101  
(717) 703-5892

Elizabeth Rose Triscari, Esq. (PA ID 306921)  
Pennsylvania-American Water Company  
852 Wesley Drive  
Mechanicsburg, PA 17055  
(717) 550-1574

Counsel for *Pennsylvania-American  
Water Company*

/s/ Santo Giuseppe Spataro

---

Christine Maloni Hoover, Esq. (PA ID 50026)  
Erin L. Gannon, Esq. (PA ID 83487)  
Harrison W. Breitman, Esq. (PA ID 320580)  
Santo G. Spataro, Esq. (PA ID 327494)  
Office of Consumer Advocate  
555 Walnut Street  
Forum Place, 5th Floor  
Harrisburg, PA 17101-1923  
(717) 783-5048

Counsel for *Office of Consumer Advocate*

---

Sharon Webb, Esq. (PA ID 73995)  
Office of Small Business Advocate  
555 Walnut Street  
Forum Place, 1<sup>st</sup> Floor  
Harrisburg, PA 17101  
(717) 783-2525

Counsel for *Office of Small Business  
Advocate*

---

Thomas Wyatt, Esq. (PA ID 89342)  
Matthew S. Olesh, Esq. (PA ID 206553)  
Sydney N. Melillo, Esq. (PA ID 328031)  
Obermayer Rebmann Maxwell & Hippel LLP  
Centre Square West  
1500 Market Street, Suite 3400  
Philadelphia, PA 19102  
(215) 665-3000

Counsel for *Royersford Borough*

---

Erika L. McLain, Esq. (PA ID 320526)  
John M. Coogan, Esq. (PA ID 313920)  
Pennsylvania Public Utility Commission  
Bureau of Investigation and Enforcement  
Commonwealth Keystone Building  
400 North Street  
Harrisburg, PA 17120  
(717) 783-6170

Counsel for *Bureau of Investigation and  
Enforcement*

Respectfully submitted,

---

David P. Zambito, Esq. (PA ID 80017)  
Jonathan P. Nase, Esq. (PA ID 44003)  
Cozen O'Connor  
17 North Second Street, Suite 1410  
Harrisburg, PA 17101  
(717) 703-5892

Elizabeth Rose Triscari, Esq. (PA ID 306921)  
Pennsylvania-American Water Company  
852 Wesley Drive  
Mechanicsburg, PA 17055  
(717) 550-1574

Counsel for *Pennsylvania-American  
Water Company*

---

Christine Maloni Hoover, Esq. (PA ID 50026)  
Erin L. Gannon, Esq. (PA ID 83487)  
Harrison W. Breitman, Esq. (PA ID 320580)  
Santo G. Spataro, Esq. (PA ID 327494)  
Office of Consumer Advocate  
555 Walnut Street  
Forum Place, 5th Floor  
Harrisburg, PA 17101-1923  
(717) 783-5048

Counsel for *Office of Consumer Advocate*

---

Sharon Webb, Esq. (PA ID 73995)  
Office of Small Business Advocate  
555 Walnut Street  
Forum Place, 1<sup>st</sup> Floor  
Harrisburg, PA 17101  
(717) 783-2525

Counsel for *Office of Small Business  
Advocate*

---

Thomas Wyatt, Esq. (PA ID 89342)  
Matthew S. Olesh, Esq. (PA ID 206553)  
Sydney N. Melillo, Esq. (PA ID 328031)  
Obermayer Rebmann Maxwell & Hippel LLP  
Centre Square West  
1500 Market Street, Suite 3400  
Philadelphia, PA 19102  
(215) 665-3000

Counsel for *Royersford Borough*



---

Erika L. McLain, Esq. (PA ID 320526)  
John M. Coogan, Esq. (PA ID 313920)  
Pennsylvania Public Utility Commission  
Bureau of Investigation and Enforcement  
Commonwealth Keystone Building  
400 North Street  
Harrisburg, PA 17120  
(717) 783-6170

Counsel for *Bureau of Investigation and  
Enforcement*

Respectfully submitted,

---

David P. Zambito, Esq. (PA ID 80017)  
Jonathan P. Nase, Esq. (PA ID 44003)  
Cozen O'Connor  
17 North Second Street, Suite 1410  
Harrisburg, PA 17101  
(717) 703-5892

Elizabeth Rose Triscari, Esq. (PA ID 306921)  
Pennsylvania-American Water Company  
852 Wesley Drive  
Mechanicsburg, PA 17055  
(717) 550-1574

*Counsel for Pennsylvania-American  
Water Company*

---

Thomas Wyatt, Esq. (PA ID 89342)  
Matthew S. Olesh, Esq. (PA ID 206553)  
Sydney N. Melillo, Esq. (PA ID 328031)  
Obermayer Rebmann Maxwell & Hippel LLP  
Centre Square West  
1500 Market Street, Suite 3400  
Philadelphia, PA 19102  
(215) 665-3000

*Counsel for Royersford Borough*

---

Christine Maloni Hoover, Esq. (PA ID 50026)  
Erin L. Gannon, Esq. (PA ID 83487)  
Harrison W. Breitman, Esq. (PA ID 320580)  
Santo G. Spataro, Esq. (PA ID 327494)  
Office of Consumer Advocate  
555 Walnut Street  
Forum Place, 5th Floor  
Harrisburg, PA 17101-1923  
(717) 783-5048

*Counsel for Office of Consumer Advocate*

/s/ Sharon E. Webb

---

Sharon Webb, Esq. (PA ID 73995)  
Office of Small Business Advocate  
555 Walnut Street  
Forum Place, 1<sup>st</sup> Floor  
Harrisburg, PA 17101  
(717) 783-2525

*Counsel for Office of Small Business  
Advocate*

---

Erika L. McLain, Esq. (PA ID 320526)  
John M. Coogan, Esq. (PA ID 313920)  
Pennsylvania Public Utility Commission  
Bureau of Investigation and Enforcement  
Commonwealth Keystone Building  
400 North Street  
Harrisburg, PA 17120  
(717) 783-6170

*Counsel for Bureau of Investigation and  
Enforcement*

**BEFORE THE  
PENNSYLVANIA PUBLIC UTILITY COMMISSION**

Application of Pennsylvania-American Water :  
Company Pursuant to Sections 507, 1102 and :  
1329 of the Public Utility Code for Approval :       Docket No. A-2020-3019634  
of its Acquisition of the Wastewater System :  
Assets of Royersford Borough :

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**VERIFICATION OF D. C. PATEL**

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I, **D. C. Patel**, on behalf of the Bureau of Investigation and Enforcement, hereby verify that **I&E Statement No. 1, I&E Exhibit No. 1** and **I&E Statement No. 1-SR** were prepared by me or under my direct supervision and control.

Furthermore, the facts contained therein are true and correct to the best of my knowledge, information and belief and I expect to be able to prove the same if called to the stand at any evidentiary hearing held in this matter.

This Verification is made subject to the penalties of 18 Pa. C.S. § 4904 relating to unsworn falsification to authorities.

Signed in Enola, Pennsylvania, this 14th day of January 2021.

DCPatel

D. C. Patel

**BEFORE THE  
PENNSYLVANIA PUBLIC UTILITY COMMISSION**

Application of Pennsylvania-American Water :  
Company Pursuant to Sections 507, 1102 and :  
1329 of the Public Utility Code for Approval :       Docket No. A-2020-3019634  
of its Acquisition of the Wastewater System :  
Assets of Royersford Borough :

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**VERIFICATION OF ETHAN H. CLINE**

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I, **Ethan H. Cline**, on behalf of the Bureau of Investigation and Enforcement, hereby verify that **I&E Statement No. 2** and **I&E Statement No. 2-SR** were prepared by me or under my direct supervision and control.

Furthermore, the facts contained therein are true and correct to the best of my knowledge, information and belief and I expect to be able to prove the same if called to the stand at any evidentiary hearing held in this matter.

This Verification is made subject to the penalties of 18 Pa. C.S. § 4904 relating to unsworn falsification to authorities.

Signed in Mechanicsburg, Pennsylvania, this 14th day of January, 2021.

/s/ Ethan H. Cline

Ethan H. Cline



**VERIFICATION**


I, Ashley E. Everette, hereby state that the facts above set forth above are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements made herein are made subject to the penalties of 18 Pa. Cons. Stat. §4904 relating to unsworn falsification to authorities.

1/13/2021  
Date

  
Ashley E. Everette  
Ashley E. Everette, Director of Rates and Regulatory  
American Water Company

**VERIFICATION**

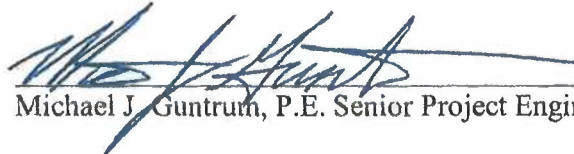
I, Michael Salvo hereby state that the facts above set forth above are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements made herein are made subject to the penalties of 18 Pa. Cons. Stat. §4904 relating to unsworn falsification to authorities.

  
\_\_\_\_\_  
Michael Salvo, Senior Manager, Business Development  
Pennsylvania-American Water Company

Dated 1/29/2021

## VERIFICATION

I, MICHAEL J. GUNTRUM hereby state that the facts above set forth above are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements made herein are made subject to the penalties of 18 Pa. Cons. Stat. §4904 relating to unsworn falsification to authorities.

  
Michael J. Guntrum, P.E. Senior Project Engineer

Dated: 1/29/2021

## VERIFICATION

I, Rod P. Nevirauskas hereby state that the facts above set forth above are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements made herein are made subject to the penalties of 18 Pa. Cons. Stat. §4904 relating to unsworn falsification to authorities.



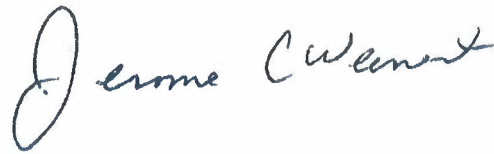
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Rod P. Nevirauskas, Senior Director of Rates and  
Regulations

Dated: 1/29/2021

## VERIFICATION

I, Jerome C. Weinert, P.E., hereby state that the facts above set forth above are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements made herein are made subject to the penalties of 18 Pa. Cons. Stat. §4904 relating to unsworn falsification to authorities.

A handwritten signature in black ink that reads "Jerome C. Weinert". The signature is written in a cursive style with a large initial "J".

---

Jerome C. Weinert, P.E. Principal and Director  
AUS Consultants, Inc.

Dated: 1/29/2021

**APPENDIX A**

**FORM OF ORDER GRANTING  
JOINT STIPULATION FOR ADMISSION OF EVIDENCE**

**BEFORE THE  
PENNSYLVANIA PUBLIC UTILITY COMMISSION**

Application of Pennsylvania-American Water :  
Company-Wastewater Division under Section 1329 :  
of the Pennsylvania Public Utility Code, 66 Pa. C.S. : Docket No. A-2020-3019634, *et al.*  
§ 1329, for the Acquisition of Royersford :  
Borough’s Wastewater System Assets :

**ORDER GRANTING JOINT STIPULATION  
FOR ADMISSION OF EVIDENCE**

On January 29, 2021, Pennsylvania-American Water Company, the Office of Consumer Advocate, the Pennsylvania Public Utility Commission’s Bureau of Investigation and Enforcement, the Office of Small Business Advocate and Royersford Borough (collectively, the “Stipulating Parties”) filed a “Joint Stipulation for Admission of Evidence” (“Stipulation”) in the above-captioned proceeding. Each of the Stipulating Parties stipulated to the authenticity of the statements and exhibits listed in the Stipulation and requested that they be admitted into the record of this proceeding on the terms and conditions set forth in the Stipulation. Certain filings contain information marked as “Confidential.” The Stipulating Parties requested that such materials be placed in non-public folders by the Secretary’s Bureau. The Stipulation is attached to this Order.

As this request is reasonable, it will be granted.

THEREFORE, IT IS ORDERED:

1. That the Stipulation, filed on January 29, 2021, is APPROVED;
2. The statements and exhibits listed therein are admitted into the record of this proceeding on the terms and conditions set forth in the Stipulation;

3. That one electronic copy of each filing, statement and exhibit listed in the Stipulation, together with accompanying verifications and a copy of this Order, be filed with the Secretary's Bureau of the Commission, unless previously filed; and,

4. That all filings designated as "Confidential" be placed in non-public folders by the Secretary's Bureau of the Commission.

Date: \_\_\_\_\_

\_\_\_\_\_  
Marta Guhl  
Administrative Law Judge